

# IMPEDANCE MATRIX — AN UNIFIED APPROACH TO LONGITUDINAL COUPLED-BUNCH FEEDBACKS IN A SYNCHROTRON

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## Abstract

Characteristic Eq. of coupled-bunch motion of beam governed by a feedback (FB) is given to find FB's stabilizing effect against coherent instabilities or, say, injection error damping rates. Quite a general FB's schematics is involved: (i) it has two paths, the in-phase and quadrature (or amplitude and phase in a small-signal approach), with unequal gains; (ii) may employ distinct RF-bands to pick-up beam data and feed correction back to the beam. To account for cross-talk between various field and beam current harmonics inflicted by frequency down- and up-mixing, an impedance matrix (with, at most, three non-trivial elements per row) is introduced as a natural concept to gain insight into 'FB & beam' dynamics. The important class of FBs to counteract heavy beam loading of accelerating cavities is included into analysis as a particular case.

## I. INTRODUCTION

Let  $\vartheta = \Theta - \omega_0 t$  be azimuth in a co-rotating frame, where  $\Theta$  is azimuth around the ring in the laboratory frame,  $\omega_0$  is the angular velocity of a reference particle,  $t$  is time. The beam current  $J(\vartheta, t)$  and longitudinal electric field  $E(\vartheta, t)$  are decomposed into  $\sum_k J, E_k(\Omega) e^{ik\vartheta} - i\Omega t$  with  $\Omega$  being the frequency of Fourier transform w.r.t. the co-rotating frame. In the laboratory frame  $\Omega$  is seen as  $\omega = k\omega_0 + \Omega$ .

Interacting with passive components inside the vacuum chamber, the beam drives  $E$ -field with amplitude

$$E_k(\Omega) = -L^{-1} Z_{kk}(\omega) J_k(\Omega), \quad \omega = k\omega_0 + \Omega, \quad (1)$$

where  $L$  is the orbit length,  $Z_{kk}(\omega)$ ,  $\text{Re} Z_{kk}(\omega) \geq 0$  is the standard longitudinal impedance. Its main-diagonal element is cut from the entire matrix  $Z_{kk'}(\omega)$  (it describes the lumped nature of the beam environment) due to a narrow-band response appropriate to, as a matter of fact, slowly perturbed bunched beams,

$$J_{k'}((k - k')\omega_0 + \Omega) \simeq J_k(\Omega) \delta_{kk'}, \quad |\Omega| \ll \omega_0, \quad (2)$$

with  $\delta_{kk'}$  being the Kronecker's delta-symbol.

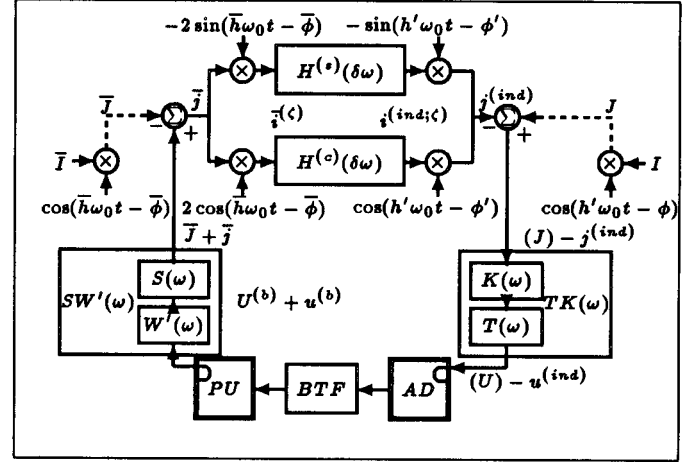
## II. FEEDBACK

### A. Circuitry with $PU \neq AD$

To simplify the matters, let a Pick-Up unit and an Acting Device of the FB in question be cavity-like resonant objects which excite longitudinal  $E$ -field

$$E^{(a)}(\Theta, t) = L^{-1} G^{(a)}(\Theta) u_a(t); \quad a = \text{PU}, \text{AD}, \quad (3)$$

where  $u_a(t)$  is voltage across the gap,  $G^{(a)}(\Theta)$  specifies the field localization and is normalized as  $\int_0^{2\pi} |G^{(a)}(\Theta)| d\Theta = 2\pi$ . Its



decomposition into  $\sum_k G_k^{(a)} e^{ik\Theta}$  provides  $G_k^{(a)}$ , the complex transit-time factors at  $\omega = k\omega_0$  with  $|G_k^{(a)}| \leq 1$  and  $\arg G_k^{(a)}$  being proportional to  $\Theta^{(a)}$ , the object's coordinate along the ring.

Quite a general coupled-bunch FB circuit employing filter methods is shown in the above Fig., Ref.[1]. The circuitry extracts beam data as a band-pass signal at  $\omega \simeq \pm h\omega_0$ , processes it at IF  $\omega = 0$  after frequency down-mixing, and then feeds an up-mixed band-pass correction back to the beam at  $\omega \simeq \pm h'\omega_0$ . Here  $\bar{h}, h'$  are integers, and, generally,  $\bar{h} \neq h'$ ;  $\bar{h}, h' \neq h$  where  $h$  is the main RF harmonic number. The FB has the in-phase ( $c$ ) and quadrature ( $s$ ) paths with unequal gains. Treated in a small-signal approach near the FB's set-point, the former one controls an amplitude, while the latter — a phase, of the accelerating voltage seen by the beam. Either of the paths may be switched off altogether, say,  $H^{(c)} = 0$  for an injection error damping system, or in case of a dedicated phase control loop.

On neglecting the PU's (small) impact on the beam, the net voltage imposed by the FB can be put down as

$$u_{\text{AD}}^{(\text{tot})}(t) = u_{\text{AD}}^{(b)}(t) - u_{\text{AD}}^{(\text{ind})}(t) \quad (4)$$

where  $(b)$  and  $(\text{ind})$  denote beam-excited and FB-induced voltages, correspondingly;  $u_{\text{AD}}^{(\text{ind})}(t)$  is a linear functional of  $u_{\text{PU}}^{(b)}(t')$  taken at  $t' \leq t$  due to causality.

Let  $\delta\omega$  be a frequency deviation with  $|\delta\omega| \ll (\bar{h}, h')\omega_0$ . Whenever  $H^{(c,s)}(\pm 2h\omega_0 + \delta\omega) = 0$ , the state of the system is given by 2-D column-vectors

$$\vec{u}_{\text{PU}}(\delta\omega) = (u(\bar{h}\omega_0 + \delta\omega); u(-\bar{h}\omega_0 + \delta\omega))_{\text{PU}}^T, \quad (5)$$

$$\vec{u}_{\text{AD}}(\delta\omega) = (u(h'\omega_0 + \delta\omega); u(-h'\omega_0 + \delta\omega))_{\text{AD}}^T. \quad (6)$$

The in-out gain through the linear FB is

$$\vec{u}_{\text{AD}}^{(\text{ind})}(\delta\omega) = \hat{\chi}(\delta\omega) \vec{u}_{\text{PU}}^{(b)}(\delta\omega) \quad (7)$$

where  $\hat{\chi}(\delta\omega)$  is a  $2 \times 2$  FB's 'susceptibility' matrix,

$$\chi_{11}(\delta\omega) = 0.25 TK(h'\omega_0 + \delta\omega) S(\bar{h}\omega_0 + \delta\omega) \times \left( H^{(c)}(\delta\omega) + H^{(s)}(\delta\omega) \right) e^{i(\phi' - \bar{\phi})}; \quad (8)$$

$$\chi_{12}(\delta\omega) = 0.25 TK(h'\omega_0 + \delta\omega) S(-\bar{h}\omega_0 + \delta\omega) \times \left( H^{(c)}(\delta\omega) - H^{(s)}(\delta\omega) \right) e^{i(\phi' + \bar{\phi})}; \quad (9)$$

$$\chi_{21}(\delta\omega) = \chi_{12}(-\delta\omega^*)^*; \quad \chi_{22}(\delta\omega) = \chi_{11}(-\delta\omega^*)^*.$$

Carrier phases  $\bar{\phi}$ ,  $\phi'$  of to beam and accelerating voltage so as to comply with the FB's particular purpose and its layout along the ring.

The beam-excited voltages at the PU and AD are

$$u_a^{(b)}(\omega) = - \left( \frac{W'(\omega)}{T'(\omega)} \right) \sum_{k=-\infty}^{\infty} G_{-k}^{(a)} J_k(\omega - k\omega_0) \quad (10)$$

where  $W'$ ,  $T'(\omega)$  are the gap-voltage responses to the beam current of PU and AD, respectively. Generally, the response of AD to external RF-drive  $T(\omega) \neq T'(\omega)$ .

Insert Eqs.10 into Eqs.7,4 and extract synchronous-to-beam  $E$ -field harmonics from Eq.3. Use Eq.2 to truncate  $\sum_k$ . Then, to generalize the commonly used impedance concept introduced by Eq.1, the FB can be treated as imposing the  $E$ -field harmonics

$$E_k^{(fb)}(\Omega) = -L^{-1} ( Z_{kk}(\omega) J_k(\Omega) + Z_{k,k-h'+\bar{h}}^{(fb)}(\omega) J_{k-h'+\bar{h}}(\Omega) + Z_{k,k-h'-\bar{h}}^{(fb)}(\omega) J_{k-h'-\bar{h}}(\Omega) ) \quad (11)$$

through coupling impedances

$$Z_{kk}(\omega) = T'(\omega) |G_k^{(AD)}|^2, \quad (12)$$

$$Z_{k,k-h'+\bar{h}}^{(fb)}(\omega) = -\chi_{11}(\omega - h'\omega_0) \times W'(\omega - h'\omega_0 + \bar{h}\omega_0) G_k^{(AD)} G_{-k+h'+\bar{h}}^{(PU)}, \quad (13)$$

$$Z_{k,k-h'-\bar{h}}^{(fb)}(\omega) = -\chi_{12}(\omega - h'\omega_0) \times W'(\omega - h'\omega_0 - \bar{h}\omega_0) G_k^{(AD)} G_{-k+h'+\bar{h}}^{(PU)}. \quad (14)$$

Here  $\omega = k\omega_0 + \Omega$ ,  $k \sim h' > 0$ ,  $|\Omega| \ll \omega_0$ . The negative-frequency domain of  $k \sim -h' < 0$  is arrived at with the reflection property  $Z_{-k,-k'}(-\omega^*)^* = Z_{kk'}(\omega)$ .

Eq.12 yields the coupling impedance of AD itself treated as a passive device in line with Eq.1. Eqs.13,14 represent an active response of the FB and account for cross-talk between harmonics  $E_k$ ,  $J_{k'}$  with  $k \neq k'$  caused by down- and up-mixing of frequencies. Impedances  $Z_{kk'}^{(fb)}(\omega)$  are no longer subject to restriction  $\text{Re} Z_{kk'}^{(fb)}(\omega) \geq 0$ , which is to introduce damping into the beam motion. The balance  $H^{(c)}(\delta\omega) = H^{(s)}(\delta\omega)$  of the FB's path gains results in matrix  $\hat{\chi}$  becoming diagonal, and in  $Z_{kk'}^{(fb)}(\omega)$  with  $|k - k'| = h' + \bar{h}$  vanishing. In injection error damping systems, the FB's path gains and, hence,  $Z_{kk'}^{(fb)}(\omega)$  may be scaled reciprocally to, say, the average beam current  $J_0$ .

### B. Circuitry with PU = AD

Take  $h', \bar{h} = h$ ,  $W'(\omega) = T'(\omega)$  with PU and AD being merged into a single device AC, an Accelerating Cavity. This

particular case represents an RF FB around the final power amplifier which is responsible for the reduction of periodic beam-loading transients and coupled-bunch instability damping, Ref.[2]. Now, Eq.4 is kept intact while the PU detects both, the beam-imposed and correction signals. Therefore, Eq.7 have to undergo an essential modification:

$$\vec{u}_{AC}^{(ind)}(\delta\omega) = \hat{\chi}(\delta\omega) \vec{u}_{AC}^{(tot)}(\delta\omega) \quad (15)$$

due to which the coupling impedances to enter Eq.11 acquire the form other than that given by Eqs.12–14,

$$Z_{kk}(\omega) + Z_{kk}^{(fb)}(\omega) = \varepsilon_{11}^{-1}(\omega - h\omega_0) \times T'(\omega) |G_k^{(AC)}|^2, \quad (16)$$

$$Z_{k,k-2h}^{(fb)}(\omega) = \varepsilon_{12}^{-1}(\omega - h\omega_0) \times T'(\omega - 2h\omega_0) G_k^{(AC)} G_{-k+2h}^{(AC)} \quad (17)$$

where  $\omega = k\omega_0 + \Omega$ ,  $k \sim h > 0$ ,  $|\Omega| \ll \omega_0$  and

$$\hat{\varepsilon}(\delta\omega) = \hat{I} + \hat{\chi}(\delta\omega), \quad (18)$$

$$\hat{\varepsilon}^{-1}(\delta\omega) = \frac{1}{\text{Det } \hat{\varepsilon}(\delta\omega)} \begin{pmatrix} 1 + \chi_{22}(\delta\omega) & -\chi_{12}(\delta\omega) \\ -\chi_{21}(\delta\omega) & 1 + \chi_{11}(\delta\omega) \end{pmatrix}. \quad (19)$$

Here  $\hat{I}$ ,  $\hat{\varepsilon}(\delta\omega)$  and  $\hat{\varepsilon}^{-1}(\delta\omega)$  are  $2 \times 2$  matrix unit, FB's 'permeability' matrix and its inverse, correspondingly.

This FB may turn self-excited, which is avoided technically by putting zeros of  $\text{Det } \hat{\varepsilon}(\delta\omega)$  into the lower half-plane  $\text{Im } \delta\omega < 0$  through a proper tailoring of  $H^{(c,s)}(\delta\omega)$ .

It is evident hereof that by substituting Eqs.16–17 for Eqs.12–14 the formulae to follow can be extended to treat the important case of  $h', \bar{h} = h$ ; PU, AD = AC as well.

## III. CHARACTERISTIC EQUATION

### A. General Case

The total  $E$ -field at the orbit is a sum of two terms

$$E_k^{(tot)}(\Omega) = E_k^{(ext)}(\Omega) + E_k^{(fb)}(\Omega). \quad (20)$$

The former one,  $(ext)$  is imposed from the outside, say, by an external RF drive. The latter,  $(fb)$  is the induced response of the environment to the coherent motion of the beam: its perturbed current harmonics  $J_k(\Omega)$  drive the FBs, both unintentional (Eq.1) and issued (Eq.11 with its negative-frequency counterpart), to yield

$$E_k^{(fb)}(\Omega) = -L^{-1} \sum_{k'=-\infty}^{\infty} z_{kk'}(k\omega_0 + \Omega) J_{k'}(\Omega), \quad (21)$$

$z_{kk'}(\omega)$  having, at most, three non-trivial elements per row:

$$z_{kk'}(\omega) = Z_{kk'}(\omega) \delta_{k'k} + Z_{kk'}^{(fb)}(\omega) (\delta_{k',k-(h'-\bar{h})k/|k|} + \delta_{k',k-(h'+\bar{h})k/|k|}). \quad (22)$$

The first member in r.h.s. of Eq.22 incorporates effect of all the passive devices available.

From now on, one enters a standard route of instability analysis, and via the Vlasov's linearized Eq. finds

$$J_k(\Omega) = L \sum_{k'=-\infty}^{\infty} y_{kk'}(\Omega) E_{k'}^{(tot)}(\Omega). \quad (23)$$

Here  $y_{kk'}(\Omega)$  is the beam 'admittance' matrix which, say, for the beam of average current  $J_0$  in  $M \leq h$  ( $h/M$  is an integer) identical and equispaced bunches is equal to

$$y_{kk'}(\Omega) = C J_0 (Y_{kk'}(\Omega)/k') \sum_{l=-\infty}^{\infty} \delta_{k-k', lM}, \quad (24)$$

$$Y_{kk'}(\Omega) = -i \sum_{m=-\infty}^{\infty} \int_0^{\infty} \frac{m}{\Omega - m\Omega_s(\mathcal{J})} \times \quad (25)$$

$$\times \frac{\partial F_0(\mathcal{J})}{\partial \mathcal{J}} I_{mk}(\mathcal{J}) I_{m k'}^*(\mathcal{J}) d\mathcal{J}.$$

Here  $(\psi, \mathcal{J})$  are the longitudinal angle-action variables introduced in the phase-plane  $(\vartheta, \vartheta' \equiv d\vartheta/dt)$  with the origin  $\vartheta = 0$  being put on the reference particle of a bunch;  $\Omega_s(\mathcal{J}) = d\psi/dt$  is the non-linear synchrotron frequency;  $F_0$  is unperturbed bunch distribution normalized to unit; functions  $I_{mk}^*(\mathcal{J})$  are the coefficients of series which expand a plane wave  $e^{ik\vartheta(\mathcal{J}, \psi)}$  into sum over multipoles:  $\sum_m I_{mk}^*(\mathcal{J}) e^{im\psi}$ . The leftmost factor  $C$  in Eq.24 is

$$C = \Omega_0^2 / (hV_0 \sin \varphi_s), \quad (26)$$

where  $\Omega_0$  is the small-amplitude synchrotron frequency (circular),  $V_0$  is the nominal amplitude of accelerating voltage,  $\varphi_s$  is the stable phase angle ( $\varphi_s > 0$  below transition, the synchronous energy gain being  $eV_0 \cos \varphi_s$ ).

Insert Eq.23 into Eq.21 and use Eq.20 to get

$$E_k^{(ext)}(\Omega) = \sum_{k'=-\infty}^{\infty} \epsilon_{kk'}(\Omega) E_{k'}^{(tot)}(\Omega), \quad (27)$$

$$\epsilon_{kk'}(\Omega) = \delta_{kk'} + \chi'_{kk'}(\Omega), \quad (28)$$

$$\chi'_{kk'}(\Omega) = \sum_{k''=-\infty}^{\infty} z_{kk''}(\Omega) y_{k''k'}(\Omega). \quad (29)$$

Here  $\chi'_{kk'}(\Omega)$ ,  $\epsilon_{kk'}(\Omega)$  are 'susceptibility' and 'permeability' matrices of 'beam & FB' medium. Zeros of the characteristic Eq.

$$\text{Det } \hat{\epsilon}(\Omega) = 0 \quad (30)$$

are the eigen-frequencies of beam coherent oscillations which must be located in the lower half-plane  $\text{Im } \Omega \leq -1/\tau_\epsilon < 0$ . Here  $\tau_\epsilon$  is the sought-for damping time of beam coherent oscillations which, as well, determines duration of beam injection transients under the FB showing themselves up, mainly, at the dipole side-bands  $\Omega \simeq \pm\Omega_0$ .

### B. Narrow-Band Case

Label the normal coupled-bunch modes by  $n = 0, 1, \dots, M-1$ , phase shift between adjacent bunches being  $2\pi n/M$ . Suppose  $h'/M$  and  $\bar{h}/M$  be integers, due to which the FB would not couple beam modes whose  $n' \neq n$ . Let band-width of the FB be  $\Delta\omega \ll M\omega_0$ . Hence, there would be only four resonant harmonics  $J_k(\Omega)$  of beam current perturbation which belong to the given mode  $n$  and cross-talk through the FB. Their subscripts are

$$k'_{1,2} = n + l'_{1,2}M \simeq \pm h', \quad \bar{k}'_{1,2} = n + \bar{l}'_{1,2}M \simeq \pm \bar{h} \quad (31)$$

with  $l'_{1,2}, \bar{l}'_{1,2}$  the integers. The essential  $E$ -field harmonics  $E_k(\Omega)$  to occur within  $\Delta\omega$  are the two with  $k = k'_{1,2}$ . In this

$2 \times 2$  case  $\text{Det } \hat{\epsilon}(\Omega)$  can be found, which results in characteristic Eq. to follow,

$$1 + \chi'_{k'_1 k'_1}(\Omega) + \chi'_{k'_2 k'_2}(\Omega) + \quad (32)$$

$$+ \left[ \chi'_{k'_1 k'_1}(\Omega) \chi'_{k'_2 k'_2}(\Omega) - \chi'_{k'_1 k'_2}(\Omega) \chi'_{k'_2 k'_1}(\Omega) \right] \simeq 0.$$

L.h.s. of Eq.32 involves dispersion integrals  $Y_{kk'}$  whose subscripts are  $k = k'_{1,2}, \bar{k}'_{1,2}$  and  $k' = k'_{1,2}$ . Given  $\Delta\omega \Delta\vartheta_0/\omega_0 \ll \pi$ , where  $\Delta\vartheta_0$  is bunch half-width,  $Y_{kk'}$  become slow functions of  $k, k'$ , which allow substitutions  $k'_{1,2} \simeq \pm h', \bar{k}'_{1,2} \simeq \pm \bar{h}$  be performed in subscripts of all the essential  $Y_{kk'}$  that enter the characteristic Eq.32.

Usually, at  $\Omega \simeq m\Omega_0 + i0$  a single resonant term  $Y_{kk'}^{(m)}$  dominates in  $\sum_m$  of Eq.25. Hereof, one arrives at the reflection properties of  $Y_{kk'} \simeq Y_{kk'}^{(m)}$ ,

$$Y_{-k, k'} \simeq Y_{k, -k'} \simeq (-1)^m Y_{kk'}, \quad Y_{-k, -k'} \simeq Y_{kk'}. \quad (33)$$

Up to these two assumptions, expression in square brackets of Eq.32 vanishes, while the characteristic Eq. itself reduces to much a simpler form

$$1 + C J_0 \left( \zeta_n(\Omega) Y_{h' h'}(\Omega) + \zeta_n^{(fb)}(\Omega) Y_{\bar{h} \bar{h}'}(\Omega) \right) \simeq 0, \quad (34)$$

being put down in terms of the effective, or instability driving, impedances at side-bands  $\Omega \simeq m\Omega_0$  of coupled-bunch mode  $n$ ,

$$\zeta_n(\Omega) \simeq Z_{k'_1 k'_1}(k'_1 \omega_0 + \Omega)/k'_1 + \dots \quad k'_1 \rightarrow k'_2, \quad (35)$$

$$\zeta_n^{(fb)}(\Omega) \simeq Z_{k'_1, k'_1 - h' + \bar{h}}^{(fb)}(k'_1 \omega_0 + \Omega)/k'_1 + \quad (36)$$

$$+ (-1)^m Z_{k'_1, k'_1 - h' - \bar{h}}^{(fb)}(k'_1 \omega_0 + \Omega)/k'_1 +$$

$$+ \dots \quad k'_1 \rightarrow k'_2, \quad h' \rightarrow -h', \quad \bar{h} \rightarrow -\bar{h}.$$

Items with  $(-1)^m$ , if any, are responsible for the intrinsic asymmetry in damping of within-bunch multipole modes  $m$  with opposite parity inherent in FBs with the unbalanced path gains,  $H^{(c)} \neq H^{(s)}$ .

### References

- [1] F. Pedersen, CERN PS/90-49(AR), CERN, Geneva, 1990.
- [2] D. Boussard, CAS Proceed., CERN/87-03, Vol. 2, Geneva, 1987, pp. 626-646.