

# Proton-Proton Scattering Contribution to Emittance Growth

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## Abstract

Proton-proton scattering contributes to the emittance growth of the SSC. A formulation is given and used to estimate the mean scattering angle, which is used to determine the contribution to SSC emittance growth resulting from elastic  $pp$  scattering. The method is based upon Lorentz invariants, and it permits the determination of the cross-section for scattering in the center of mass (c.m.) system, as well as scattering from a fixed target (f.t.). Also an example is given for the case of electromagnetic  $pp$  scattering, which results from single virtual photon exchange.

## I. INTRODUCTION

The contribution from  $pp$  elastic scattering to transverse emittance growth is determined for the SSC. Elastically scattered protons with a small scattering angle will remain within the Collider proton beam. These scattered particles contribute to the growth of the beam's transverse emittance. Numerical results for emittance growth resulting from  $pp$  scattering and other sources are given in [1]. In this paper, a summary is given of the analytical methods that are used to determine the mean scattering angle resulting from  $pp$  elastic scattering. Lorentz invariants and cross-sections are defined in Appendix A.

## II. PROTON-PROTON ELASTIC SCATTERING

The contribution to transverse emittance growth, for one degree of freedom, resulting from  $pp$  elastic scattering is given by

$$\left(\frac{d\epsilon_x}{dt}\right) = (\beta_{1x}^* \mathcal{L}_1 + \beta_{2x}^* \mathcal{L}_2) \sigma_{el} \langle \theta_x^2 \rangle / (M N_B), \quad (2.1)$$

where  $\beta_i^*$  and  $\mathcal{L}_i$  are, respectively, the beta function and the luminosity at the  $i^{th}$  interaction point. In this expression  $N_B$  is the number of protons per bunch,  $M$  is the number of bunches,  $\sigma_{el}$  is the  $pp$  elastic scattering cross-section, and  $\sqrt{\langle \theta_x^2 \rangle}$  is the rms value of the  $pp$  elastic scattering angle in the center of mass system, which is projected onto the transverse  $x$ -direction. A similar expression occurs for the transverse  $y$ -direction. The mean scattering angle can

be estimated from the differential elastic scattering cross-section

$$\left(\frac{d\sigma_{el}}{d\Omega}\right)_{c.m.} = \frac{S}{4\pi} \left(\frac{d\sigma_{el}}{dT}\right)_{c.m.}, \quad (2.2)$$

where  $S$  and  $T$  are Lorentz invariants. These invariants, defined in (A1), are  $S \approx 2E_{c.m.}$ , and  $T \approx -S \sin^2(\theta/2) \approx -S(\theta_x^2 + \theta_y^2)/4$ . The invariant differential cross-section for  $pp$  elastic scattering is [2]

$$\frac{d\sigma_{el}}{dT} \approx \frac{\sigma_T^2}{16\pi} (1 + \rho^2) e^{bT}, \quad (2.3)$$

where  $\sigma_T$  is the total  $pp$  cross-section,  $b$  is the slope parameter, and  $\rho \approx 0$  is the ratio of the real part to the imaginary part of the scattering amplitude. Assuming that the slope parameter is a constant, one can integrate the differential cross-section to obtain  $b \approx \sigma_T^2/16\pi\sigma_{el}$ . With the approximation  $\sigma_{el} \approx (1/4)\sigma_T$ , one finds  $b \approx \sigma_T/4\pi$ .

Using the approximations above, the differential cross-section in the c.m. system becomes

$$\left(\frac{d\sigma_{el}}{d\Omega}\right)_{c.m.} \approx \frac{S\sigma_T^2}{64\pi^2} e^{\left(\frac{\theta_x^2}{2\sigma_{\theta_x}^2} + \frac{\theta_y^2}{2\sigma_{\theta_y}^2}\right)}, \quad (2.4)$$

where

$$\sigma_{\theta_x} = \sqrt{\langle \theta_x^2 \rangle} = (bS/2)^{-1/2}. \quad (2.5)$$

This is the expression to be substituted into (2.1) to find  $d\epsilon_x/dt$ . For colliding proton beams with  $\sqrt{S} = 40$  TeV and  $\sigma_T \approx 130$  mb, one finds  $b \approx 26.6$  GeV<sup>-2</sup> and

$$\sigma_{\theta_x} = \sqrt{\langle \theta_x^2 \rangle} = 6.9 \mu\text{rad}. \quad (2.6)$$

In the above, the value of the total  $pp$  scattering cross-section is determined from

$$\sigma_T = 38.5 + 1.33 \ln^2\left(\frac{\sqrt{S}}{10 \text{ GeV}}\right), \quad (2.7)$$

which is obtained from cosmic ray data [3]. Theoretical models giving values for the total and elastic  $pp$  cross-sections can be found in [4].

Using the above techniques, one can estimate the rms scattering angle  $\sqrt{\langle \theta^2 \rangle}$  for the scattering of a proton in a 20 TeV beam from a fixed proton. The scattering angle in the c.m. system for high energy  $pp$  scattering is found from

$$\cos(\theta) \approx \frac{2T}{S} + 1. \quad (2.8)$$

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The scattering angle for a proton of energy  $\omega = S/2m$  from a proton at rest is found from

$$\cos(\tilde{\theta}) \approx 1 - \frac{2 \sin^2(\theta/2)}{(S/m^2)} - \frac{4}{(S/m^2)^2}. \quad (2.9)$$

Using  $\cos \tilde{\theta} \approx 1 - (1/2) \sin^2 \tilde{\theta}$ , the fixed target scattering angle is related to the c.m. scattering angle  $\theta$  through

$$\tilde{\theta} \approx \frac{\sqrt{2}}{(\omega/m)} \sqrt{\left(\frac{\theta^2(\omega/m)}{4} + 1\right)}. \quad (2.10)$$

For the scattering of a 20 TeV proton from a proton at rest, the rms scattering angle in the c.m. system is found from (2.5), with  $\sqrt{S} = 193$  GeV,  $\sigma_T = 50.2$  mb and  $b \approx 10.3 \text{ GeV}^{-2}$ , to be  $\sqrt{\langle \theta^2 \rangle} \approx 3.2$  mrad. The corresponding angle in the fixed target system is found to be  $\sqrt{\langle \tilde{\theta}_x^2 \rangle} \approx 47 \mu\text{rad}$ .

### III. PROTON-PROTON ELECTROMAGNETIC SCATTERING

In this example,  $pp$  scattering is treated as an electromagnetic event, and the scattering of two fermions of initial four-momenta  $a$  and  $b$  to a final state of four-momenta  $c$  and  $d$  results from the exchange of a single virtual photon. The system of units  $\hbar = c = m = 1$  is used. Since both the initial and final states involve identical particles, these states must be antisymmetrical. The initial state  $|I\rangle$  and the final state  $|F\rangle$  are represented as

$$|I\rangle = \frac{|ab\rangle - |ba\rangle}{\sqrt{2}} \quad |F\rangle = \frac{|cd\rangle - |dc\rangle}{\sqrt{2}}. \quad (3.1)$$

The matrix element for this process is

$$\begin{aligned} (F|M|I) &= [(cd|M|ab) - (cd|M|ba) + \\ &\quad (dc|M|ba) - (dc|M|ab)]/2 \\ &= e^2 [J^\mu(d, b) D_{\mu\nu}(a - c) J^\nu(c, a) - (d \leftrightarrow c)], \end{aligned} \quad (3.2)$$

where the fermion current is  $J^\mu(c, a) = \bar{u}_c \gamma^\mu u_a$ . The photon propagator is  $D_{\mu\nu}(a - c) = 4\pi g_{\mu\nu} / ((a - c)^2 + i\epsilon)$ . We use the fermion density matrix  $\rho_{ij}(a) = u_{ai} \bar{u}_{aj}$ , which has the property  $\text{Tr} \rho(a) = 2$ .

The invariant differential cross-section for this process is

$$\frac{d\sigma_{el}}{dT} = \frac{1}{16\pi f(S, a, b)} \mathcal{M}(S, T), \quad (3.3)$$

where  $\mathcal{M}(S, T) = |(F|M|I)|^2$ . For the scattering of unpolarized fermions when the polarization of the final state fermions is not observed, the initial state spin density matrices for  $a$  and  $b$  are of the form  $\rho_0(a) = (\not{1} + 1)/2$ . For the final states  $|c\rangle$  and  $|d\rangle$ , which include a summation over the final spin states, the corresponding density matrices are

multiplied by two. The invariant differential cross-section for this case is now found to be

$$\begin{aligned} \frac{d\sigma_{el}}{dT}(ab \rightarrow cd) &= \frac{\pi e^4}{64S(S/4 - 1)} [A(S, T, U) + A(S, U, T) \\ &\quad - B(S, T, U) - B(S, U, T)]. \end{aligned} \quad (3.4)$$

The invariant functions are

$$A(S, T, U) = \frac{4}{T^2} T^{\mu\nu}(d, b) T_{\mu\nu}(c, a) \quad (3.5)$$

$$B(S, T, U) = \frac{4}{TU} T^{\mu\nu}_{\mu\nu}(b, c, a, d), \quad (3.6)$$

where

$$T^{\mu\nu}(c, a) = \text{Tr}[(\not{1} + 1)\gamma^\mu(\not{1} + 1)\gamma^\nu], \quad (3.7)$$

$$T^{\mu\nu\lambda\sigma}(b, c, a, d) = \text{Tr}[\gamma^\mu(\not{1} + 1)\gamma^\nu(\not{1} + 1)\gamma^\lambda(\not{1} + 1)\gamma^\sigma(\not{1} + 1)]. \quad (3.8)$$

Upon evaluation of the traces, the invariant functions become

$$A(S, T, U) = \frac{32}{T^2} [S^2 + U^2 + 8T - 8] \quad (3.9)$$

$$B(S, T, U) = -\frac{32}{TU} [S^2 - 8S + 12]. \quad (3.10)$$

In the high energy limit when  $S$  becomes large, one finds

$$\frac{d\sigma_{el}}{dT}(ab \rightarrow cd) \approx \frac{4\pi e^4}{T^2}. \quad (3.11)$$

The rms value of the c.m. scattering angle associated with (3.11) can be found using (2.8) in the form

$$\langle \cos \theta \rangle \approx 1 - \langle \theta^2 \rangle / 2 = 2 \langle T/S \rangle + 1, \quad (3.12)$$

where

$$\langle T \rangle = \int_{T_{\min}}^{T_{\max}} T(d\sigma_e/dT) dT / \sigma_e, \quad (3.13)$$

and  $\sigma_e = \int (d\sigma_e/dT) dT$ . The rms value of the scattering angle is written in terms of the projection on the transverse direction as  $\sqrt{\langle \theta^2 \rangle} = \sqrt{2 \langle \theta_x^2 \rangle}$ . The integration limits are found from  $T \approx \theta^2/4$ , where  $\theta_{\max}$  and  $\theta_{\min}$  are found from the uncertainty principal,  $\Delta r \Delta \theta \approx \hbar/p$ , and  $r_{\max}$  and  $r_{\min}$  are found from the beam size and proton radius, respectively. One finds for  $\sigma_{el} < \theta^2$ , which appears in (2.1),  $1.6 \times 10^{-40} \text{ m}^2$  from (2.3) and  $1.8 \times 10^{-42} \text{ m}^2$  from (3.11), which is smaller.

### APPENDIX A: Kinematics and Cross-Sections

In this appendix, the kinematical variables and cross-sections used in the analysis are given. Particles characterized by four-momenta  $a$  and  $b$  interact elastically to yield particles characterized by four-momentum  $c$  and  $d$ . For this process, energy-momentum conservation is represented as  $a + b = c + d$ , where a typical four-vector is represented as  $a = (a^0, \mathbf{a})$ , such that  $a^2 = (a^0)^2 - \mathbf{a} \cdot \mathbf{a} = m_a^2$ . The

interaction channels are defined according to the Lorentz invariants

$$S = (a + b)^2, \quad T = (a - c)^2, \quad U = (a - d)^2, \quad (A1)$$

which satisfy  $(S + T + U) = a^2 + b^2 + c^2 + d^2$ .

In the c.m. system, one finds the invariant expressions for energy, momentum, and scattering angle

$$\mathcal{E}_a = \mathcal{E}(S, a, b) = (S + a^2 - b^2)/4(S/4)^{1/2}, \quad (A2)$$

$$\mathcal{E}_b = \mathcal{E}(S, b, a), \mathcal{E}_c = \mathcal{E}(S, c, d), \mathcal{E}_d = \mathcal{E}(S, d, c),$$

$$|\mathbf{a}| = |\mathbf{b}| = \left[ \frac{f(S, a, b)}{4S} \right]^{1/2}, \quad |\mathbf{c}| = |\mathbf{d}| = \left[ \frac{f(S, c, d)}{4S} \right]^{1/2}, \quad (A3)$$

and

$$\cos \theta_{ac} = (T - a^2 - c^2 + 2\mathcal{E}_a \mathcal{E}_b)/2|\mathbf{a}||\mathbf{c}|, \quad (A4)$$

with

$$f(S, a, b) = [S - (m_a + m_b)^2][S - (m_a - m_b)^2]. \quad (A5)$$

In the fixed target system, the corresponding relations are

$$\omega_a = \omega(S, a, b) = (S - a^2 - b^2)/2m_b,$$

$$\omega_b = m_b, \omega_c = -\omega(U, c, b), \omega_d = -\omega(T, d, b), \quad (A6)$$

$$|\mathbf{a}| = f^{1/2}(S, a, b)/2m_b, |\mathbf{b}| = 0,$$

$$|\mathbf{c}| = f^{1/2}(U, c, b)/2m_b, |\mathbf{d}| = f^{1/2}(T, b, d)/2m_b, \quad (A7)$$

and

$$\cos \tilde{\theta}_{ac} = [2b^2(T - a^2 - c^2)]$$

$$-(S - a^2 - b^2)(U - b^2 - c^2)/[f(S, a, b)f(U, c, b)]^{1/2}. \quad (A8)$$

The differential cross-sections are found from the definition of the invariant total cross-section for the interaction of two particles initially in the states  $|a\rangle$ , and  $|b\rangle$  and the subsequent production of an  $n$ -particle final state, where each particle is characterized by a momentum state  $|p_i\rangle$ .

This cross-section is defined as

$$\sigma(S, T) = \frac{1}{2f^{1/2}(S, a, b)(2\pi)^{3n-4}} \times \int dp_1 dp_2 \dots dp_n \prod_{i=1}^n \delta(p_i^2 - m_i^2) \theta(p_i) \times \delta(a + b - \sum_{i=1}^n p_i) \mathcal{M}(S, T, U), \quad (A9)$$

with  $\theta(p) = [(p^0/\omega) + 1]/2$ ,  $\omega = (|\mathbf{p}|^2 + m^2)^{1/2}$ , and

$$\mathcal{M}(S, T, U) = |\langle f | \mathcal{M} | a, b \rangle|^2,$$

where  $\langle f | \mathcal{M} | a, b \rangle$  is the transition amplitude from the initial to the final state. In (A9), one uses an invariant definition of the flux, which is represented as the magnitude of the relative velocity  $|\mathbf{v}_a - \mathbf{v}_b|$  in the c.m. system. The flux becomes  $F = f^{1/2}(s, a, b)/2\mathcal{E}_a \mathcal{E}_b$ .

Particular differential cross-sections may now be obtained from (A9). Of special interest is the differential cross-section defined formally as

$$\frac{d\sigma}{dT} = \sigma(S, T) \delta[T - (a - c)^2]. \quad (A10)$$

For scattering into the solid angle  $d\Omega_{ac}$ , one finds for elastic scattering in the c.m. system

$$\frac{d\sigma}{d\Omega_{c.m.}} = \frac{1}{4\pi S} f^{1/2}(S, a, b) f^{1/2}(S, c, d) \frac{d\sigma}{dT}. \quad (A11)$$

The corresponding differential cross-section in the f.t. system may be found from (A8) and (A10) to be

$$\frac{d\sigma}{d\Omega_{f.t.}} = \frac{2f^{1/2}(S, a, b)f^{3/2}(U, c, b)}{\pi g(S, T, 1, m, 1, m)} \frac{d\sigma}{dT}. \quad (A12)$$

For the elastic scattering of a particle of unit mass with a particle of mass  $m$ , one finds the expression

$$g(S, t, 1, m, 1, m) = 128m^2[(S/4)^2 + ST/16 - (S/4)(m^2 + 1)/2 - (T/4)(m^2 - 1)/4 + (m^2 - 1)^2/16]. \quad (A13)$$

The integration indicated in (A9) and (A10), when there is a two-particle final state characterized by four-momenta  $c$  and  $d$ , is performed in the c.m. system using the momentum-space measure

$$dcdd = |\mathbf{c}|^2 d|\mathbf{c}| d\Omega_c \frac{dc^2}{2c^0} dd \frac{dd^2}{2d^0} \quad (A14)$$

to find (3.3).

## IV. REFERENCES

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