

## DIFFUSION OF SEPARATRIX CROSSING PARTICLES

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Adiabatic invariance theory provides a good description of trajectories in a traveling wave when the particle orbit frequency  $\omega$  greatly exceeds the modulation frequency  $\epsilon$  of the wave amplitude. However, the adiabatic invariant is destroyed by encounters with the separatrix, where  $\omega$  goes to zero. Recent advances<sup>1</sup> in the description of this separatrix crossing process indicate that it is diffusive in energy with a characteristic diffusion rate of  $\epsilon^2$  per crossing. This implies a diffusion time that scales as  $1/\epsilon^3$ . Numerical results which verify this scaling with  $\epsilon$  are presented.

### Adiabatic Invariance

A particle under the influence of a Hamiltonian  $H(q,p,\epsilon t)$  with slow time dependence has an adiabatic invariant  $J(q,H,\epsilon t)$  to all orders<sup>2</sup> in the slowness parameter  $\epsilon$ :

$$J = I + \epsilon J_1 + \epsilon^2 J_2 + \dots, \quad (1)$$

where the zeroth order term is the phase space action  $I(H,\epsilon t)$ . The action is directly proportional to the area enclosed by a phase space contour of constant  $H$  at a particular instant in time. In practice, the action is well conserved when the particle is far from the separatrix and  $\omega \gg \epsilon$ . The separatrix is the phase space contour of constant energy  $H_{sx}$ , which separates bound motion from unbound motion. Because the orbit frequency  $\omega$  goes to zero on the separatrix, the action does not remain constant during a separatrix crossing.

### Modulated Wave

The problem considered here is that of a charged particle under the influence of a potential wave with constant velocity and slowly modulated amplitude  $A(\epsilon t)$ . In the reference frame of the wave, the dimensionless Hamiltonian is

$$H(q,p,\epsilon t) = p^2/2 + A_0[1 - A_1 \cos(\epsilon t)] \cos(q). \quad (2)$$

For deeply trapped particles, the orbit frequency is  $\omega = A^{1/2}$ , so the action is well conserved when

$\epsilon \ll A^{1/2}$ . Because particles near the separatrix contour, which is given by  $H_{sx}(\epsilon t) = A(\epsilon t)$ , are forced to cross it repeatedly as it oscillates in phase space, there exists no invariant of the motion. A recent analysis<sup>1</sup> of this separatrix crossing process shows that the action is changed by a discrete amount of order  $\epsilon$  with each crossing. If it is further assumed that successive crossings are uncorrelated, separatrix crossing particles will diffuse through phase space with a diffusion constant  $D \sim \epsilon^3$ . This implies that the mean square dispersion in the energy of an ensemble of such particles has the form

$$\delta E^2(t) = \delta E_{\max}^2 \cdot (1 - \exp(-t/\tau)), \quad (3)$$

with a diffusion time given by  $\tau \sim \epsilon^{-3}$ . This result contradicts an alternative suggestion by Menyuk<sup>3</sup> that the diffusion decay time should scale as  $\epsilon^{-3/2}$ .

### Numerical Experiments

Numerical experiments have been performed in order to test the proposed scaling law, which neglects correlations between successive separatrix crossings, and to determine the greatest modulation frequency  $\epsilon$  for which the law remains valid. The numerical code uses parameters  $A_0 = 2$  and  $A_1 = .5$ , so  $A^{1/2} \approx 1$ . The Hamiltonian equations of motion are

$$\dot{q} = p \quad (4a)$$

$$\dot{p} = (2 - \cos(\epsilon t)) \cdot \sin(q). \quad (4b)$$

The code integrates these equations over many modulation periods  $2\pi/\epsilon$ , using an ensemble of 1000 particles each with initial energy  $H_0 = 1.81$ . Because the separatrix energy  $H_{sx}(\epsilon t)$  oscillates between the values of 1 and 3, the ensemble of particles is forced to cross the separatrix twice during each modulation period. The mean square energy dispersion  $\delta E^2(t)$  of the resulting orbits is calculated after each modulation period until it saturates at the maximum value  $\delta E_{\max}^2$ . Using Eq. (3), one can write

$$\ln(\delta E_{\max}^2 - \delta E^2(t)) = \ln(\delta E_{\max}^2) - t/\tau \quad (5)$$

so a plot of the above function vs time has a slope of  $-1/\tau$ , which allows one to measure the value of  $\tau$  for any  $\epsilon$  used.

### Results

Experiments of the type described above have been performed for a range of modulation frequencies  $.04 \leq \epsilon \leq .7$ , using a different ensemble of initial particles for runs which used the same  $\epsilon$ . If  $\tau \sim \epsilon^{-3}$ , a logarithmic plot of  $\tau$  vs  $\epsilon$  should be a straight line with slope -3. Fig. 1 shows such a plot, which convincingly verifies the scaling law for  $\epsilon < .2$ .

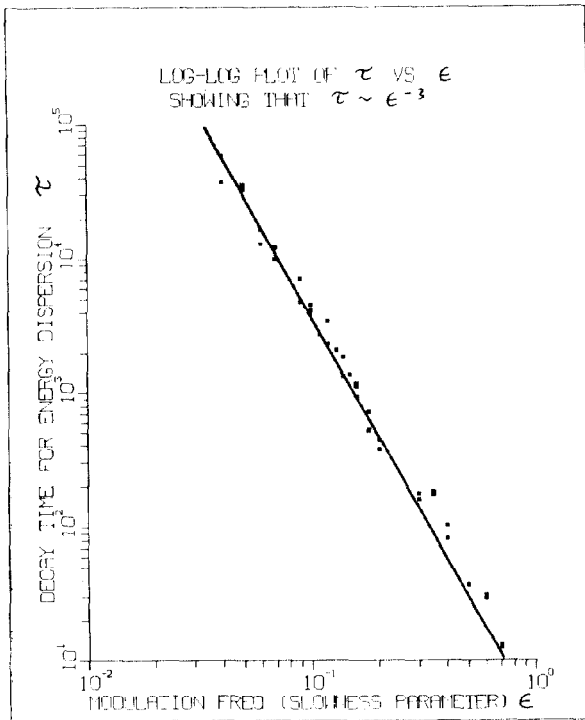


Fig. 1. Diffusion time is plotted against modulation frequency. The points are numerical data. The line has a slope of -3.

### Conclusions

There exists no invariant of the motion for separatrix crossing particles. In the limit that the crossing frequency  $\epsilon$  is small compared to the bounce frequency  $\omega$  of a deeply trapped particle, the crossing process is diffusive in energy. The mean square energy dispersion of an ensemble with uniform initial energy will saturate exponentially, with decay time  $\tau \sim \epsilon^{-3}$ , to some maximum value. Numerical results confirm this behavior for  $\epsilon/\omega < .2$ .

### References

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- [3] C.R. Menyuk, "Particle motion in the field of a modulated wave," Phys. Rev. A, vol. 31, pp. 3282-90, May 1985.