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## Summary

RHIC is the Relativistic Heavy Ion Collider which is being studied at Brookhaven National Laboratory. Unlike the linear aperture of the Tevatron or the SSC for which the random sextupole component of dipoles is an important factor in limiting its value, the RHIC linear aperture is affected most by the random normal and skew quadrupole components since they introduce large errors in betatron amplitude functions and in dispersion functions. It will be shown that, with eight or twelve dipoles covering four or six regular cells sorted as a group, one can achieve an improvement of factor four to five over statistically expected values of quantities such as $\left(\hat{\Delta} \beta_{x} / \beta_{x}\right)$ rms without introducing a large nonlinear distortion(sometimes called "smear") arising from the random sextupole component.

## Introduction

It is essential to state at the outset that there is no unique way of shuffling magnets. Many factors are involved in deciding how to do it; for example, one may take into account not just the linear machine parameters but other things such as size and distribution of magnet errors, magnet installation schedule, allowance (or non-allowance) of "unusable" magnets, and type and scope of diagonostic system and correction systems. In addition, one may be influenced, consciously or unconsciously, by the past experiences and may be inclined to emphasize some factors over others even when that is not entirely justified by technical considerations alone. The example given in this note is just that, an example of what one can do under certain assumptions. Better ways of shuffling magnets should emerge as more data on $f$ fex qualities would become available.

For the Tevatron at Fermilab, the goal of shuffling dipoles was a quite limited one and, because of that, the problem was a well-defined one. ${ }^{1}$ We simply tried to minimize the magnitude of several isolated resonancedriving terms, these resonances arising from sextupole ( $b_{2}$ and $a_{2}$ ) and octupole ( $a_{3}$ only) components. The dimensionless figure-of-merit was the magnitude of each cerm relative to what one should expect from the distribution of $b_{2}$, $a_{2}$ or $a_{3}$ if the shuffling were not done. Since this involves only one particular harmonic component for each resonance, it is the simplest case of what one right call the "global" compensation." Another example of the global compensation has been discussed recently in which many harmonic components near the most important one are minimized by a particular way of shuffling. ${ }^{3}$ This sort of consideration becomes necessary when noe is concerned about the loss of linearity in the beam motion, which may cause a reduction in the effective aperture of the machine, even when isolated resonances are not a direct threat to the beam stability.

In contrast to the global compensation, the "local" compensation is more appropriate when the source of field errors (or nonlinear elements) is within a relatively small area of the ring. One then tries to confine the effect of errurs within Lhat area. If the compensalion is perfect, there will be no effect outside the area although the effect may not be so small inside. This
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Operated by the Universities Research Association,
Inc. under contract with the U.S. Department of Energy.
scheme has been promoted by Tom Collins in connection with a group of special sextupoles in the SSC lattice.

## Special Considerations for the RHIC

One obvious difference between the RHIC and the Tevatron is in the number of dipoles, 144 in the regular arc sections of the RHIC compared with almost 800 for the Tevatron. Calculations which we regarded as impractical because of the required computing time for the Tevatron may not be so for the RHIC. Another difference (which may be more relevant to the shuffling) is that, for the Tevatron, the fluctuations in quadrupole components $b_{1}$ and $a_{1}$ were reduced down to $0.5 \times 10^{-4}$ at $1^{\prime \prime}$ (rms) by moving the collared coil relative to the surrounding yoke. Since the effect of $b_{1}$ and $a_{1}$ was negligible, we concentrated on minimizing the effect of nonlinear field components. For the RHIC, the situation seems to be the other way around; the linear effects due to $b_{1}$ and $a_{1}$ on betatron amplitudes and dispersions may reduce the effective aperture of the ring more than nonlinear effects arising from higher multipole components such as $b_{2}$ and $a_{2}$. Therefore, it is assumed here that
(i) In shuffling dipoles in the regular arc sections, only the effect of $b_{1}$ on $3_{x}, \beta_{y}$ and $X_{p}$ (horizontal dispersion), and the effect of $a_{1}$ on $Y_{p}$ (vertical dispersion) are taken into account. The effect of $b_{2}$ is controlled only to the extent that it is no more than one would expect from the statistical argument.

The choice of the number of dipoles to be shuffled each time will undoubtedly depend on the schedule of magnet construction and tunnel preparation. It may even change during the course of the project as it did for the Tevatron. Here we take eight or twelve as a reasonable choice covering four or six regular cells. With less than eight magnets, it will be difficult to balance the errors, particularly when some errors are abnormal, while more than six cells would cover too much phase advance.
(ii) 'Iwo cases are considered, one with eight dipoles and the other with twelve in each group to be shuffled.

Problems associated with magnet errors in the insertions are rather special. They may be compensated for by special shunts or separate power supplies. Even if it becomes necessary to shuffle insertion magnets, it should be done independently from the shuffling of regular dipoles. It is expected that the effect of errors in regular quadrupoles is much less than that of dipole errors. Again any shuffling of quadrupoles should be done separately. (The most important error in quadrupoles is the fluctuation in the integrated gradient field. It may be difficult to shuffle quadrupoles unless one is certain of the average over the entire ring. At the same time, it does not seem practical to postpone the installation of quadrupoles untill all of them are built and measured.)
(iii) A11 quadrupoles are assumed to be free of errors. Insertions are assumed to be perfect.

## Calculations for Shuffling

Since the purpose of this note is simply to demonstrate how shuffling can be done to minimize various effects of magnet errors, a precise quantitative estimate of these effects is not an essential requirement.

In order to simplify the computation, all magnets (dipoles and quadrupoles) in the arc sections are treated as a thin lens. Moreover, each insertion is represented by a matrix that matches all linear parameters with the phase advance of $636^{\circ}$ in both directions. The cell length is 29.622 m and the bend angle is 38.85 mr per dipole. Shufflings are done for $v=28.8$ corresponding tp phase advance of $910 / \mathrm{cell}$ but the performance is checked for $v=28.4$ to see that it is not degraded by a small change in tune.

The distribution of $b_{1}, a_{1}$ and $b_{2}$ is all taken to be Gaussian around the mean zero with the rms values

$$
\begin{aligned}
& \left\langle b_{1}\right\rangle=2.1 \times 10^{-4} / 25 \mathrm{~mm},\left\langle a_{1}\right\rangle=4.3 \times 10^{-4} / 25 \mathrm{~mm} \\
& \left\langle b_{2}\right\rangle=4.6 \times 10^{-4} /(25 \mathrm{mmi})^{2}
\end{aligned}
$$

Using these numbers, one can estimate the expected value of various errors due to 144 dipoles:

$$
\begin{aligned}
& \langle\Delta B / B\rangle_{x, y}=(2 \sqrt{2} \sin |2 \pi v|)^{-1}<b_{1}>\theta_{B} \beta_{x, y}(144)^{1 / 2}=0.0322 \\
& \left\langle\Delta X_{p} / \sqrt{\beta_{x}>}=(2 \sqrt{2} \sin |\pi v|)^{-1}<b_{1}>\theta_{B} X_{p} x_{x}(1.44)^{\frac{1}{2}}=0.0109 m^{L / 2}\right. \\
& \left\langle\mathrm{Y}_{\mathrm{p}} / v_{\mathrm{y}}\right\rangle=\left(2 \sqrt { \prime } \operatorname { s i n } | \pi \nu | ^ { - 1 } \left\langle\mathrm{a}_{1}>\theta_{\mathrm{B}} \mathrm{X}_{\mathrm{p}} \mathrm{y}_{\mathrm{y}}(144)^{\frac{1}{2}}=0.0224 \mathrm{~m}^{\frac{1}{2}}\right.\right.
\end{aligned}
$$

where, on the right hand side of each equation, $\theta_{\mathrm{B}}=$ .03885 (bend angle), $B_{X}=B_{y}=22.1 \mathrm{~m}$ and $X_{p}=0.99 \mathrm{~m}$ at each dipole (regarded as a thin lens). As the measure of deviations from linearity in betatron oscillations, we use the distortion functions $\left(B_{3}^{2}+A_{3}^{2}\right)^{\frac{1}{2}},\left(B_{S}^{2}+A_{S}^{2}\right)^{\frac{1}{2}}$ and $\left(B_{d}^{2}+A_{d}^{2}\right)^{\frac{1}{2}}$ defined by Tom Collins. ${ }^{4}$ There are two more pairs of functions, $\bar{B}$ and $\bar{A}$, and $B_{1}$ and $A_{1}$ but their expected values are not much different from that of $\left(\mathrm{B}_{\mathrm{d}}\right.$, $A_{d}$ ). Expected values are, for $v=28.8$,

$$
\begin{aligned}
<\left(B_{3}^{2}+A_{3}^{2}\right)^{1 / 2}> & =\left(16 \sin \left|3 \pi v_{x}\right|\right)^{-1}<b_{2}>\theta_{B}\left(B_{x}^{3} / B_{0}\right)^{\frac{1}{2}} / 144=2.34 m^{-1} \\
<\left(B_{s}^{2}+A_{s}^{2}\right)^{\frac{1}{2}}> & =\left(16 \sin \left\lvert\, \pi\left(v_{x}+2 v_{y} \mid\right)^{-1}<b_{2}>\theta_{B}\left(B_{x} B_{y}^{2} / B_{o}\right)^{\frac{1}{2}}(144)^{1 / 2}\right.\right. \\
& =2.34 m^{-1} \\
<\left(B_{d}^{2}+A_{d}^{2}\right)^{\frac{1}{2}}> & =\left(16 \sin \left\lvert\, \pi\left(v_{x}-2 v_{y} \mid\right)^{-1}<b_{2}>\theta_{B}\left(B_{x} B_{y}^{2} / B_{0}\right)^{\frac{1}{2}}(144)^{\frac{1}{2}}\right.\right. \\
& =3.79 m^{-1}
\end{aligned}
$$

where the reference value of $\beta$ is taken to be $\xi_{0}=1 \mathrm{~m}$.
One random set of ( $b_{1}, a_{1}, b_{2}$ ) was generated for 1.44 cipoles and the calculations were always made for this particular set. The comparison is made between the unique, optimally shuffled arrangement of this set and 1,000 randomly arranged rings using the same set of ( $b_{1}$, $a_{1}, b_{2}$ ). As the figure of merit, a simple expression

$$
\begin{aligned}
\text { F.M. } \equiv & \left|\Sigma a_{1} \exp \left(i \psi_{y}\right)\right|^{2}+\left|\Sigma b_{1} \exp \left(i \psi_{x}\right)\right|^{2} \\
& +\left|\Sigma b_{1} \exp \left(2 i \psi_{x}\right)\right|^{2}+\left|\Sigma b_{1} \exp \left(2 i \psi_{y}\right)\right|^{2}
\end{aligned}
$$

evaluated at dipole locations was initially used with the supplementary condition that $\left(\psi_{+} \equiv \psi_{x} \pm 2 \psi_{y}\right)$

$$
\left|\sum b_{2} \exp \left(3 i \psi_{x}\right)\right| \quad \text { and } \quad\left|\Sigma b_{2} \exp \left(i \psi_{ \pm}\right)\right|
$$

do not exceed the expected rms values. The summations here are over eight or twelve dipoles of cach group 30 that one is trying to minimize the effect of each group outside the four or six cells under consideration. In shuttling magnets in the second group, it might be better to include the predetermined sums over the first group. Then for the third shuffling, the sums would include the results from the two previous groups, and so on. However, this is not necessarily the optimum procedure since the "inside" region in which the minimization is not done at all covers larger and larger fraction of the entire ring. For the best overall result, it is not so
obvious what the largest number of groups should be in the summation. It was then realized that, for a given arrangement of all magnets, linear lattice parameters $\left(\beta_{x}, \beta_{y}, X_{p}, Y_{D}\right)$ can be calculated rapidly at all locations around the ring so that the figure-of-merit could be more directly related to these parameters. Resultspresented in the next section have been obtained with the figure-of-merit

$$
\text { F.M. } \equiv \Sigma\left(\Delta \beta_{\mathrm{x}} / \beta_{\mathrm{x}}\right)^{2}+\Sigma\left(\Delta \beta_{\mathrm{y}} / \beta_{\mathrm{y}}\right)^{2}+\sum\left(\Delta X_{\mathrm{p}} / / \beta_{\mathrm{x}}\right)^{2}+\Sigma\left(\mathrm{Y}_{\mathrm{p}} / \sqrt{ } \beta_{\mathrm{y}}\right)^{2}
$$

where the summations are at all ( $6 \times 25$ ) quadrupole locations, "inside" as well as "outside" regions. Each quantity to be summed is calculated exactly for a given arrangement of dipoles with $M$ dipoles, $2 M$ dipoles, 3 M dipoles, and so on where $M=8$ or 12 . For shuffling the last group of $M$ dipoles, ( $144-\mathrm{M}$ ) dipoles are already determined and the ring is entirely "inside". The local nature of balancing is thus shifted gradually to the global nature. In order to find the final "optimum" arrangement, approximately 1,000 random cases were studied. Although the figure-of-merit does not include the effect of sextupole component $b_{2}$, quantities such as ( $\mathrm{B}_{3}{ }^{2}+\mathrm{A}_{3}{ }^{2}$ ) summed at all 150 quadrupole locations were monitored to prevent large nonlinear effect in the selected optimum arrangement. It is of course possible to add nonlinear distortion effects from the skew sextupole component $a_{2}$ for this monitoring as long as one is not too greedy.

## Results

Seven quantities, four of them 1 inear and three nonlinear, are calculated to test the performance of the shuffling.

$$
\begin{aligned}
& \text { I. }\left\{\Sigma\left(\Delta E_{\mathrm{X}} / \beta_{\mathrm{X}}\right)^{2}\right\}^{\frac{1}{2} / 1 / 150,} \\
& \text { II. }\left\{\Sigma\left(\Delta B_{y} / B_{y}\right)^{2}\right\}^{\frac{1}{2}} / 1 / 150 \text {, } \\
& \text { III. }\left\{\sum\left(\Delta X_{p} / / \beta_{x}\right)^{2}\right\}^{\frac{1}{2} / \sqrt{\prime}} 150 \text {, } \\
& \text { IV. }\left\{\Sigma\left(Y_{p} / \sqrt{ } \beta_{y}\right)^{2}\right\}^{\frac{1}{2}} / \sqrt{150} \text {, } \\
& \text { v. }\left\{\Sigma\left(B_{3}^{2}+A_{3}^{2}\right)\right\}^{\frac{1}{2}} / / 150 \text {, } \\
& \text { VI. } \quad\left\{\Sigma\left(B_{S}^{2}+A_{S}^{2}\right)\right\}^{\frac{1}{2}} / \sqrt{ } 150 \text {, }
\end{aligned}
$$

VII. $\left\{\Sigma\left(B_{d}^{2}+A_{d}^{2}\right)\right\}^{1 / 2} / \sqrt{150}$.
(Summations are over 150 quadrupole locations.)
The shuffled arrangement is compared with 1,000 randomly arranged cases with either eight or twelve dipoles as a unit. The tune used to find the optimum arrangement is 28.8 in both horizontal and vertical directions ( $91^{\circ}$ per cell) but the same arrangement is used with the tune of 28.4 ( 890 per cell) to see the tune dependence of the performance. In comparing the performance, the rank of " 0 " means the shuffled case is better than any of 1,000 cases and 1,000 means worse than any.

## Conclusion

With the Gaussian distribution which was assumed in this study, it seems possible to achieve an improvement of factor four to five over the statistically expected values without too much sacrifice in the linearity of the ring. There is little difference in the performance between $M=8$ and $M=12$ and the tune dependence of the performance is acceptable when the change in tune is less than 0.5 or so.
$M=$ total number of dipoles shuffled as a group
tune $=28.8$ (horizontal and vertical)

|  | expected rms | $M=8$ <br> average of 1,000 cases | largest of 1,000 cases | shuffled arrangement | rank | $M=12$ <br> average of 1,000 cases | largest of 1,000 cases | shuffled arrangement | rank |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1. | 0.0322 | 0.0330 | 0.0742 | 0.0074 | 0 | 0.0328 | 0.0665 | 0.0087 | 0 |
| II. | 0.0322 | 0.0319 | 0.0616 | 0.0069 | 0 | 0.0334 | 0.0777 | 0.0070 | 0 |
| III. | 0.0109 | 0.0115 | 0.0291 | 0.0021 | 0 | 0.0112 | 0.0303 | 0.0025 | 0 |
| IV. | 0.0224 | 0.0248 | 0.0619 | 0.0044 | 0 | 0.0277 | 0.0662 | 0.0057 | 0 |
| $V$. | 2.34 | 2.31 | 4.59 | 1.69 | 221 | 2.36 | 5.06 | 1.26 | 45 |
| VI. | 2.34 | 2.39 | 5.10 | 1.97 | 365 | 2.23 | 5.12 | 1.14 | 30 |
| VII. | 3.79 | 3.48 | 8.51 | 1.14 | 8 | 4.33 | 11.9 | 1.19 | 4 |

Lume - 28.4 (horizontal and vertical)
shuffled arrangement ("optimum" for tune $=28.8$ )

|  | I. | II. | III. | IV. | V. | VI. | VII. |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $M=8$ | 0.0140 | 0.0063 | 0.0019 | 0.0108 | 3.27 | 3.22 | 1.20 |
| $M=12$ | 0.0097 | 0.0070 | 0.0034 | 0.0165 | 2.90 | 3.49 | 1.16 |
| expected <br> rms | 0.0322 | 0.0322 | 0.0109 | 0.0224 | 3.79 | 3.79 | 2.34 |

## References

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