© 1981 IEEE. Personal use of this material is permitted. However, permission to reprint/republish this material for advertising or promotional purposes or for creating new collective works for resale or redistribution to servers or lists, or to reuse any copyrighted component of this work in other works must be obtained from the IEEE.

IEEE Transactions on Nuclear Science, Vol. NS-28, No. 3, June 1981

BEAM QUALITY LIMITATIONS TO THE SINGLE PASS FEL DYNAMICS

G. Dattoli, A. Marino and A. Renieri

Comitato Nazionale Energia Nucleare, Centro di Frascati, C.P. 65 - 00044 Frascati, Rome, Italy

Abstract

The limitations on the FEL performances due to the electron beam length, energy spread and emittance are examined.

1. Introduction

During the last years ingrowing interest has been devoted to the single pass machines as electron beam (e.b.) sources for the Free Electron Laser (FEL) operation.1, 2

Although the qualities of a superconducting Linac, such as the Stanford one,¹ are the ideally suitable ones for the study of the most important FEL operating characteristics, a number of practical considerations (e. g. the high cost of construction, the limitation on the current and the criticity of operation) has suggested to look for e.b. sources such as conventional linacs or microtrons. Many theoretical papers have been, therefore, aimed to understand the main features of the FELs operating with single pass machines.³⁻⁵

This note is devoted to the understanding of the limitations in the FEL operation due to the e.b. quality, in particular we shall investigate how energy spread and emittance affect characteristics of laser parameters such as the gain and the criticity of operation.

The analysis will be carried out in terms of the theoretical picture developed in $^{L}, 5$; thus before going further we recall the main features of the model

- a) We assume to work in the low gain regime relevant to the Stanford experiment as well as to the other proposed FEL Compton experiments (for further comments see⁵).
- b) The second assumption is the multimode⁶ one, owing to the bunched structure of the e.b. which generates a kind of mode locking in the laser beam (1.b.).
- c) The third pivoting point is the introduction of the so called "Super-Mode" picture⁴ to overcome the difficulties of the longitudinal modesanalysis.

2. Theoretical Picture

In dealing with the FEL theory we came across, as already stressed, with two main problems. Namely the necessity of a multimode analysis, carried out by means of an expansion of the laser field in terms of longitudinal modes of the optical cavity⁶; and, subsequently, with the obvious necessity of overcoming the difficulties arising in dealing with several thousands of longitudinal modes.

We found, therefore, expedient to lock for a new kind of "modal" expansion, different from the conventional one in the fact that each "mode", actually recognized as a Super Mode (SM), is a "coherent" superposition of a collection of longitudinal modes.⁴

More precisely, we looked for that particular configuration of spatial modes which reproduces itself unmodified after one wiggler passage. From the above definition it follows that each spatial mode, belonging to one SM, must obey the following equations for the energy density (W) and phase (φ) variation

$$\Delta W_{j} = \alpha W_{j}, \quad \Delta \varphi_{j} = \psi \tag{1}$$

where α and ψ are the gain and the advance in phase per pass respectively and are identical for each longitudinal mode belonging to the SM.

Both the energy density and phase variations have been derived in the framework of the small signal analysis of $^6.$

To better clarify the SMs physical role we can introduce a quantity, linked to both W_i and φ_i , but with a well defined physical meaning, namely⁴,⁵

s = longitudinal electron coordinate

$$v_i = [1-\omega_i/\omega_0](\Delta\omega/\omega)_0^{-1}, \omega_i \equiv i-\text{th} \text{ laser frequency}$$

 $\omega_0 = 2\pi c/\lambda_0 \equiv \text{resonant frequency}$
 $\lambda_0 = [\lambda_q/2\gamma^2](1 + K^2) \equiv \text{resonant wavelength}$
 $\lambda_q \equiv \text{wiggler pass, } K = eB_0\lambda_q/\sqrt{2} \ 2\pi m_0 c^2$ (linear
polarized wiggler), $B_0 \equiv \text{peak magnetic field}$
 $(\Delta\omega/\omega)_0 = \lambda_q/2L_w, L_w \equiv \text{wiggler length}$
 $\Delta = \mu_c\sigma_z \equiv e.b. - 1.b. \text{ vacuum slippage due to}$
the different beam velocities⁴,5
 $\mu_c = \lambda_0/2\sigma_z \cdot (\Delta\omega/\omega)_0^{-1} \equiv \text{coupling parameter}^{4,5,7}$
 $\sigma_z \equiv \text{r.m.s. bunch length}$ (3)

(2)

 $\zeta(s) = \sum_{i} \left\{ \sqrt{W_{i}} \exp(j\varphi_{i}) \right\} \exp\left[jv_{i} \frac{s_{i}}{\Delta}\right], \quad j = \sqrt{-1}$

$$\sigma_z$$
 = r.m.s. bunch length (3)
It is readily understood that $\zeta(s)$ is the "slowly"

It is readily understood that $\zeta(s)$ is the "slowly varying" part of the laser electric field. We have, elsewhere, shown⁴ that the equation defining SMs is

$$q_{\gamma}\zeta(s) = \int K(s,s_{o}; \mu_{c}; \mu_{e},\mu_{a})\zeta(s_{o})ds_{o}$$
(4)
where

$$\mathbf{q}_{\gamma} = 1/\mathbf{g}_{0} \left\{ \alpha + \gamma_{\mathrm{T}} / (1 - \gamma_{\mathrm{T}}) + 2j \left[\psi - \pi / 2(\Delta \omega / \omega)_{0}^{-1} \mathbf{g}_{0} \Theta \right] \right\}$$
(5)

 γ_{η} are the cavity losses and

$$g_{o} = 2\pi (2\lambda_{o}/\lambda_{q})^{1/2} (I_{p}/I_{o}) (L_{w} \lambda_{o}/\Sigma_{L}) K^{2}/(1 + K^{2})^{3/2} \cdot (\Delta \omega/\omega)_{o}^{-2} , I_{o} = ec/r_{o}$$

$$\Sigma = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition of } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z \lambda_{L} / \sqrt{2} \text{ to minimize the definition } z = 1 b \text{ cross section } z = 1 b \text{ cross section } z + 1 b \text{ cross section } z = 1 b \text{ cross$$

- $\Sigma_{\rm L}$ = 1.b. cross section = $\lambda_{\rm L}/\sqrt{3}$ to minimize the diffraction losses
- $I_p \equiv peak current$

$$\Theta = -(2/\pi)(\Delta\omega/\omega)_{O} \omega_{O} \delta t/g_{O} \equiv \text{delay parameter}$$

$$\delta t = T_{C} - T_{e}$$
(6)

 (T_c, T_e) cavity round trip period and electron bunch--bunch time distance (further insight in the role played by the delay parameter Θ can be found in 4.5.7. Finally the Kernel of the integral Eq. (4) writes (linear polarized wiggler)

$$K(s,s_{o}; \mu_{c}; \mu_{c}, \mu_{a}) = \Delta \Theta \delta'(s - s_{o}) - \frac{1}{(2\pi)^{3/2}} \int_{\mu_{c}} \Delta^{2} \int_{s_{o}}^{s+\Delta} f(z) dz + \frac{1}{(2\pi)^{3/2}} \frac{1}{(2\pi)^{3/2}} \int_{s_{o}}^{s+\Delta} f(z) dz + \frac{1}{(2\pi)^{3/2}} \frac{1}{(2\pi)^{3/2}} \int_{s_{o}}^{s+\Delta} f(z) dz + \frac{1}{$$

• $\exp\{-1/2[\mu_{\varepsilon}\pi(s-s_{o})]^{L}\}/(1+j\mu_{a}\pi(s-s_{o})/\Delta)$ (7) with δ' and ϑ derivative of the Dirac function and step function, respectively, and

$$\mu_{\varepsilon} = (\Delta\omega/\omega)_{\varepsilon} \cdot (\Delta\omega/\omega)_{o}^{-1}$$

$$\mu_{a} = (\Delta\omega/\omega)_{a} \cdot (\Delta\omega/\omega)_{o}^{-1}$$
(8)

 $(\Delta\omega/\omega)_{\rm E},~(\Delta\omega/\omega)_{\rm a}$ being the inhomogeneous bandwidth due to the energy spread and emittance respectively, and reads

$$(\Delta\omega/\omega)_{\varepsilon} = 2\sigma_{\varepsilon}, \sigma_{\varepsilon} \equiv r.m.s. \text{ relative energy spread}$$

$$(\Delta\omega/\omega)_{a} = (\sqrt{2} K/\sqrt{1+K^{2}})\sigma_{a}/\sqrt{\lambda_{o} \lambda_{c}}, \sigma_{a} = r.m.s. \text{ emittance}$$

$$(9)$$

We stress that the SMs can be recognized as the eigenstates of the Eq. (4).

The next step will be the discussion of the numerical analysis of our main Eq. (4) and thus the dependence of the FEL relevant quantities on μ_c , μ_{ϵ} , μ_{a} .

3. Numerical Results

Before discussing the numerical results let us recall that the gain relevant to the first SM can be derived from (5) and reads

$$G = g_{o} \operatorname{Re} q_{\gamma}^{\perp} (\Theta; \mu_{c}; \mu_{\epsilon}, \mu_{a})$$
(10)

The main goal of the forthcoming analysis will be the study of the numerical dependence of the gain function (10) on the parameters μ_c , μ_c , μ_g ; to this aim it appears expedient to introduce the following quantity

$$\beta(\mu_{c};\mu_{\varepsilon},\mu_{a}) = \frac{\mathbb{R}e \,q_{\gamma}^{\perp}(\Theta^{\bullet}(\mu_{c};\mu_{\varepsilon},\mu_{a});\mu_{c};\mu_{\varepsilon},\mu_{a})}{\mathbb{R}e \,q_{\gamma}^{\perp}(\Theta^{\bullet}(0;0,0);0,0,0)}$$
(11)

where Θ^{\dagger} indicates value of the delay parameter for which Re q_{γ}^{\perp} assumes the maximum value (and is in turn a function of our main parameters). The quantity at the denominator of (11) (i.e. the maximum gain for $\mu_c=\mu_{E}=$ = μ_a = 0) was already derived in 14 and is given by

$$\mathbb{R}e q_{\gamma}^{1}(\Theta^{*}(0; 0, 0); 0; 0, 0) \cong .85.$$
(12)

It follows from (9), (10) and (11) that it could be useful to express the gain as follows

where the peak current I_p is expressed in Ampére.

In Figure 1 both $\beta(\mu_c; C, 0)$ (Fig. 1a) and $\Theta^{\bullet}(\mu_c; 0, 0)$ (Fig. 1b) have been plotted. It appears



Fig. 1- β and Θ^{\dagger} vs μ_{c} for $\mu_{c} = \mu_{a} = 0$

that to increasing values of $\mu_{\rm C}$ correspond decreasing values of β and $\Theta^{\rm C}$.

This result is not a new one⁴, and can be understood by noticing that to higher values of μ_{C} correspond smaller values of σ_z compared to the slippage Δ , and this amounts to a "narrowing" of the gain region for the l.b.. In the mean time the working region for

 Θ becomes smaller, i.e. the FEL operation becomes more critical (see⁴ for further comments).

In Figures 2, 3 have been plotted $\beta(0; \mu_{\epsilon}, \mu_{a} =$

= 0.0, 0.5, 1.0, 1.5, 2.0) and $\beta(1; \mu_c, \mu_a = 0.0, 1.0, 2.0)$ respectively. The behaviour of Fig. 1 is confirmed,



Fig. 2- β vs μ_{ϵ} for μ_{c} = 0, and μ_{a} = 0.0 (a), 0.5 (b), 1.0 (c), 1.5 (d), 2.0 (e)



Fig. 3- β vs μ_{ϵ} for μ_{c} = 1, and μ_{a} = 0.0 (a), 1.0 (b), 2.0 (c)

indeed $\beta(0; \mu_{\epsilon}, \mu_{a}) > \beta(1; \mu_{\epsilon}, \mu_{a});$ furthermore we find the obvious fact that β (and in turn the gain) decreases for increasing values of the energy spread and emittance.

Finally in Fig. 4 it has been plotted $\Theta^{\bullet}(1; \mu_{\varepsilon}, \mu_{a}=$ = 0.0, 1.0, 2.0) which shows that with increasing of both μ_{ε} and μ_{a} , Θ^{\bullet} decreases thus indicating a more critical operation with higher values of the energy spread and emittance.



Fig. 4 - Θ^{\dagger} vs μ_{ε} for μ_{c} = 1, and μ_{a} = 0.0 (a), 1.0 (b), 2.0 (c)

References

- 1 L.R. Elias et al., Phys. Rev. Lett. <u>36</u>, 717 (1976) D.A.G. Deacon et al., Phys. Rev. Lett. <u>38</u>, 892 (1976)
- 2 Experimental Proposals for FELs Operating with Single Pass Machines can be found in the Proceedings of the Course "Physics and Technology of the Free Electron Lasers", Ed. by A.N. Chester, S. Martellucci and A. Renieri, Erice (1980) to be published
- 3 See e.g. Ref. 2 contributions by G.T. Moore and
- M.O. Scully and by W.B. Colson 4 G. Dattoli et al., Opt. Commun. <u>35</u>, 407 (1980) 5 G. Dattoli et al., C.N.E.N. Report 80.51/p, Centro di Frascati, Frascati, Rome, Italy
- 6 G. Dattoli and A. Renieri, Lett. Nuovo Cimento 24, 121 (1979) and C.N.E.N. Report 79.37/p, Centro di Frascati, Frascati, Rome, Italy to appear in Nuovo Cimento B
- 7 G. Dattoli and A. Renieri, Nuovo Cimento 59 B, 1 (1980)
- 8 G. Dattoli et al. to appear in 2