

# Kinetic Limits to Average Power in Plasma Wakefield Accelerators

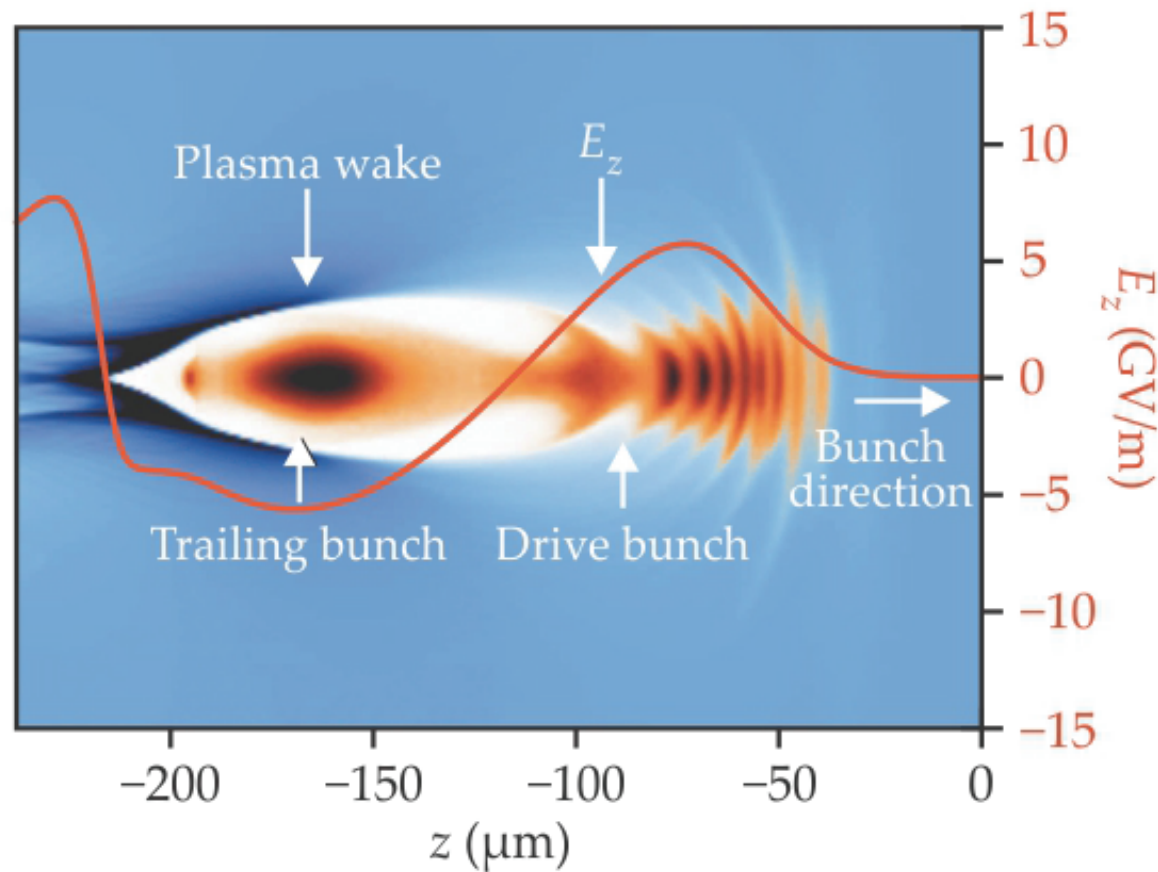
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2016 North American Particle Accelerator Conference

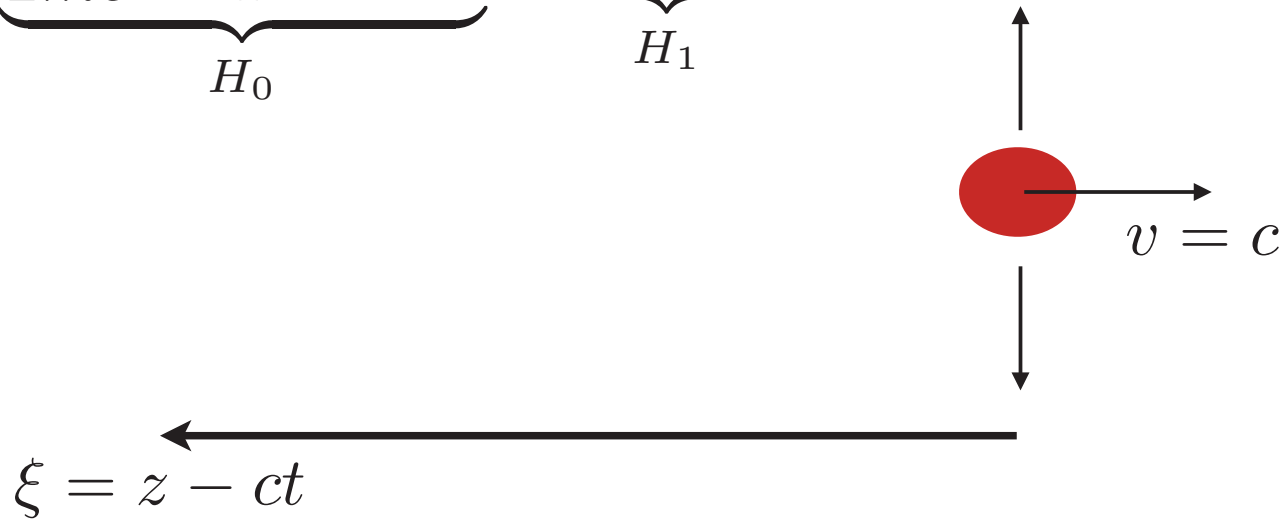
## The Problem: A Beam-Driven Plasma Wakefield Accelerator



M. Litos, et al., “High-efficiency acceleration of an electron beam in a plasma wakefield accelerator”, *Nature* **515**, 92–95 (2014).

## A Model for Beam-Driven Wakes

$$H = \underbrace{\frac{p^2}{2mc} + \frac{1}{4}mck_p^2 r^2}_{H_0} + \underbrace{\phi_e(r, \xi)}_{H_1}$$

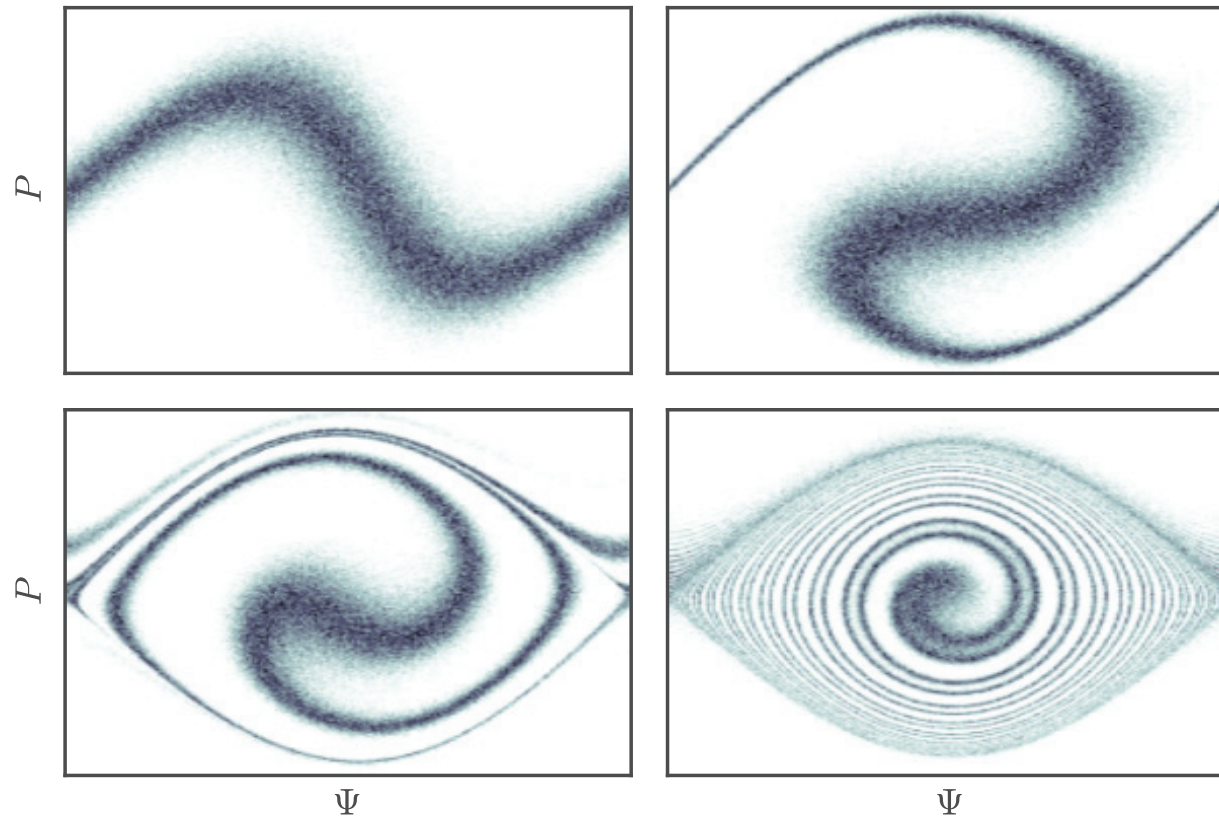


$$E_r(r) = \frac{Ne}{4\pi\sigma_b^2\ell_b} \begin{cases} \frac{r}{\sigma_b} & r < \sigma_b \\ \frac{\sigma_b}{r} & r \geq \sigma_b \end{cases}$$

## Solving the Vlasov Equation

$$\frac{\partial f}{\partial t} + \vec{v} \cdot \frac{\partial f}{\partial \vec{x}} + q \left( \vec{E}[f] + \frac{\vec{v}}{c} \times \vec{B}[f] \right) \cdot \frac{\partial f}{\partial \vec{v}} = 0$$

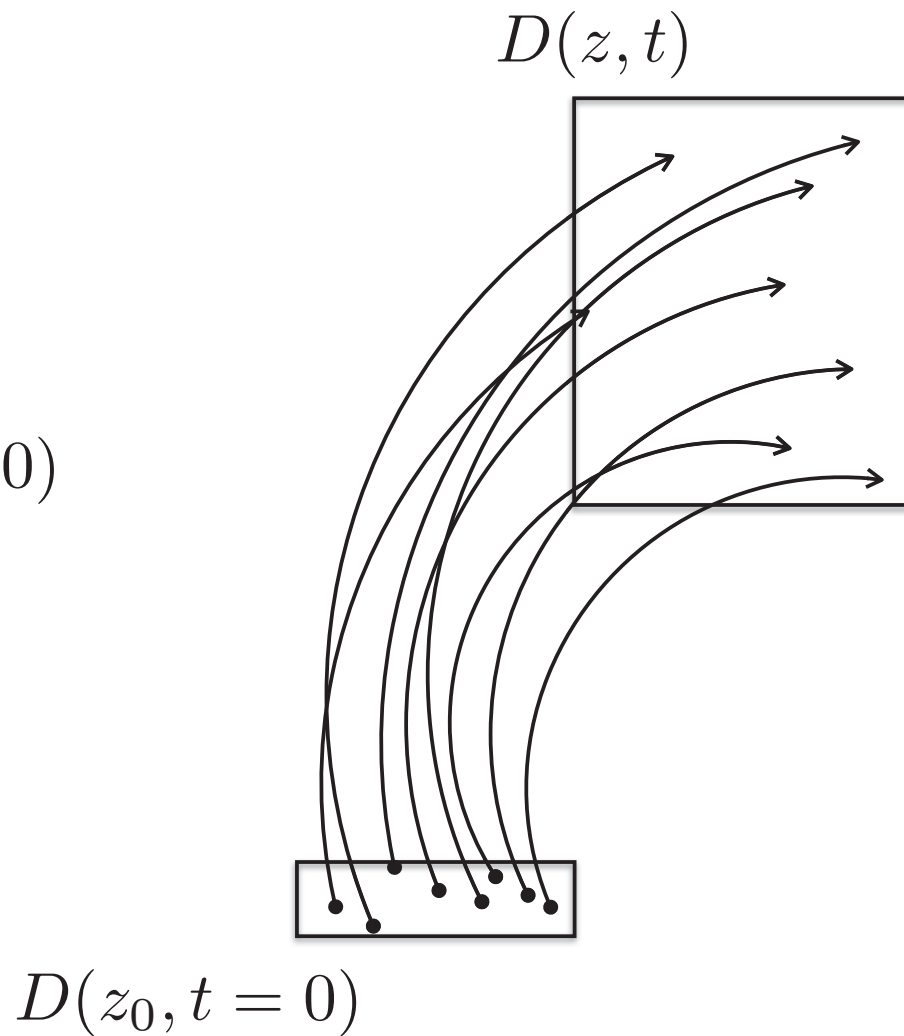
$$\frac{\partial f_1}{\partial t} + \vec{v} \cdot \frac{\partial f_1}{\partial \vec{x}} + q \left( \vec{E}[f_1] + \frac{\vec{v}}{c} \times \vec{B}[f_1] \right) \cdot \frac{\partial f_0}{\partial \vec{v}} + q \left( \vec{E}[f_1] + \frac{\vec{v}}{c} \times \vec{B}[f_1] \right) \cdot \frac{\partial f_1}{\partial \vec{v}} = 0$$



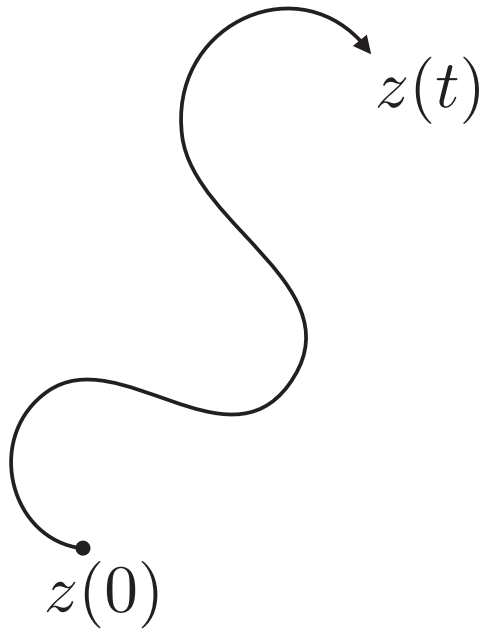
Phase space filamentation makes  
derivatives large after long times

# The Vlasov Equation & Hamiltonian Flows

$$D(z, t) = D(\mathcal{M}_{-t} \circ z_0, t = 0)$$



## Hamiltonian Flows & Symplectic Maps



$$\dot{z} = -\{H, z\}$$

$$z(t) = \mathcal{M}_t \circ z(0)$$

$$\{H, *\} \leftrightarrow :H:$$

$$\dot{\mathcal{M}} = \mathcal{M} : -H :$$

$$H = \frac{1}{2}(p^2 + \omega^2 q^2)$$

$$\mathcal{M} \doteq \begin{pmatrix} \cos \omega t & \omega^{-1} \sin \omega t \\ -\omega \sin \omega t & \cos \omega t \end{pmatrix}$$

## Split Operator Hamiltonian Perturbation Theory

$$H = H_0 + \epsilon H_1$$

$$\mathcal{M} = \mathcal{M}_I \mathcal{M}_0 \quad \dot{\mathcal{M}}_0 = \mathcal{M}_0 : -H_0 :$$

$$\dot{\mathcal{M}}_I = \epsilon \mathcal{M}_I \underbrace{\left( \mathcal{M}_0 : -H_1 : \mathcal{M}_0^{-1} \right)}_{:-H_I:}$$

$$H_I(z, t) = H_1(\mathcal{M}_0 \circ z, t)$$

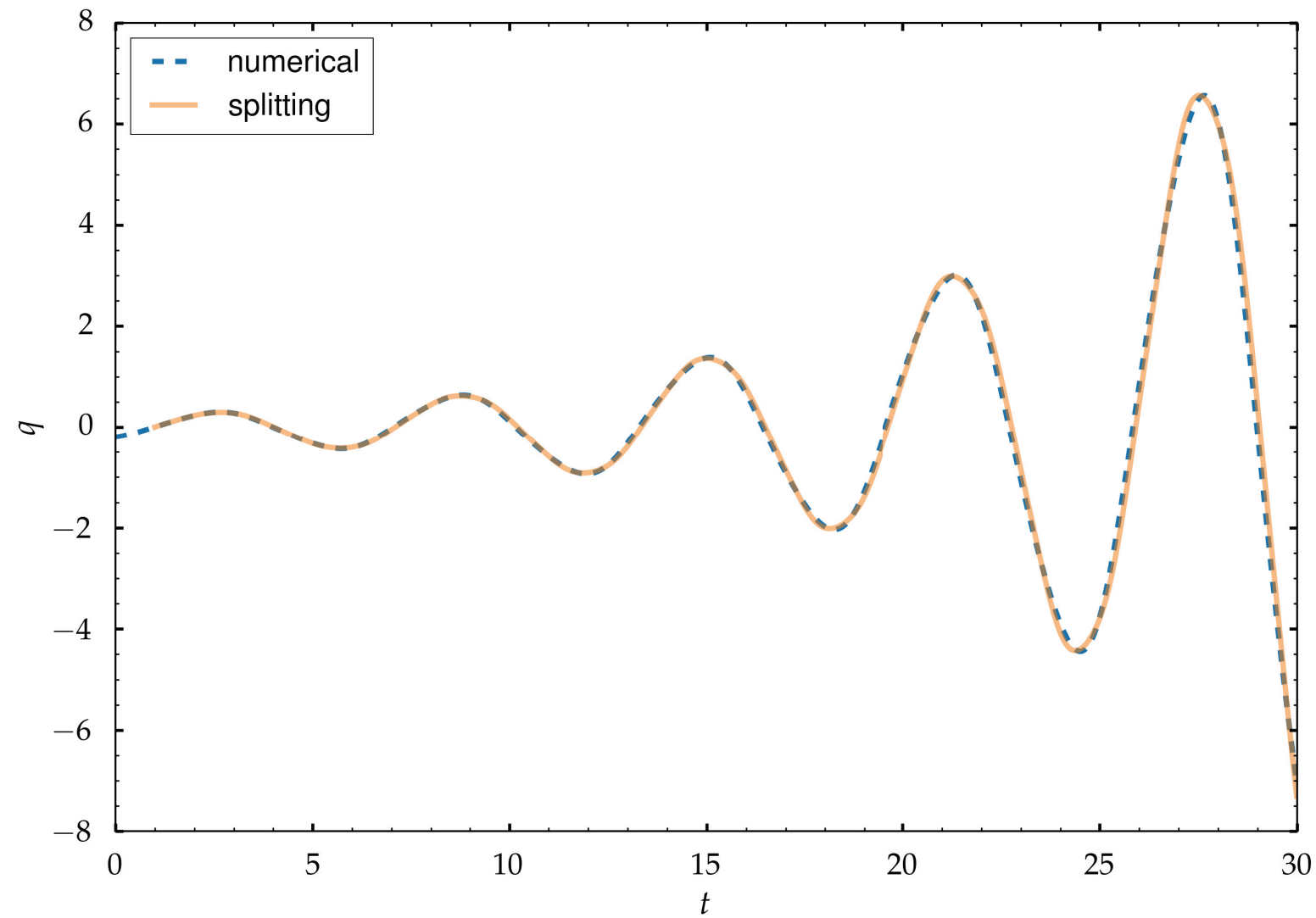
$$\mathcal{M}_I(t) \approx \exp \left\{ : -\epsilon \int_0^t d\sigma H_I(z, \sigma) : + \mathcal{O}((\epsilon t)^2) \right\}$$

$$H = \underbrace{\frac{1}{2} (p^2 + \omega^2 q^2)}_{H_0} + \epsilon \underbrace{\frac{1}{2} \omega^2 \cos(2\omega t) q^2}_{H_1}$$

$$H_I = \frac{1}{2} \left[ \cos(2\omega t) (p^2 \cos^2(\omega t) + \omega^2 q^2 \sin^2(\omega t)) + 2\omega p q \cos(\omega t) \cos(2\omega t) \sin(\omega t) \right]$$

$$\mathcal{M}_I \approx \exp \left\{ \epsilon \int_0^t ds \cos(2\omega s) \begin{pmatrix} \sin(\omega s) \cos(\omega s) & \sin^2(\omega s)/\omega \\ -\omega^2 \cos^2(\omega s) & -\omega \sin(\omega s) \cos(\omega s) \end{pmatrix} + \mathcal{O}(t^2) \right\}$$

Hill's Equation: A Quick Example



Hill's Equation: A Quick Example

## Application to the Nonlinear Vlasov Equation

$$H = H_0(p, q) + \epsilon \mathcal{H}_1(p, q; f) \quad f(z, t) = f\left([\mathcal{M}_0^{-1} \mathcal{M}_I^{-1}] \circ z_0, 0\right)$$

$$\begin{aligned} \dot{\mathcal{M}}_I &= \mathcal{M}_I : -\epsilon \int dz' dt' \mathcal{G}(\mathcal{M}_t^0 z^i, t; z', t') f(\mathcal{M}_{-t} z'^i, 0) :. \\ \mathcal{M}_I &= \exp \left[ : \Omega^{[N]}(t) : \right] \end{aligned}$$

$$\Omega^{[1]}(t) = -\epsilon \int_0^t d\tau \int dz' dt' \mathcal{G}(\mathcal{M}_\tau^0 z^i, t; z', t') f(\mathcal{M}_{-t'}^0 z'^i, 0)$$

$$\Omega^{[N]}(t) = -\epsilon \sum_{n=0}^{N-2} \frac{B_n}{n!} \int_0^t d\tau : \Omega^{[N-1]}(\tau) :^n \int dz' dt' \mathcal{G}(\mathcal{M}_\tau^0 z, \tau; z', t') f(e^{:\Omega^{[N-1]}(-t') :} \mathcal{M}_{-t'}^0 z', 0), N \geq 2$$

## Short Drive Beam Approximation

beam parameter

$$b_0 = \frac{Ne^2}{2\pi\sigma_b^2 m_e c^2}$$

short beam transverse  
momentum kick

$$P(R) = mcb_0 \begin{cases} \frac{R}{\sigma_b} & R < \sigma_b \\ \frac{\sigma_b}{R} & R \geq \sigma_b \end{cases}$$

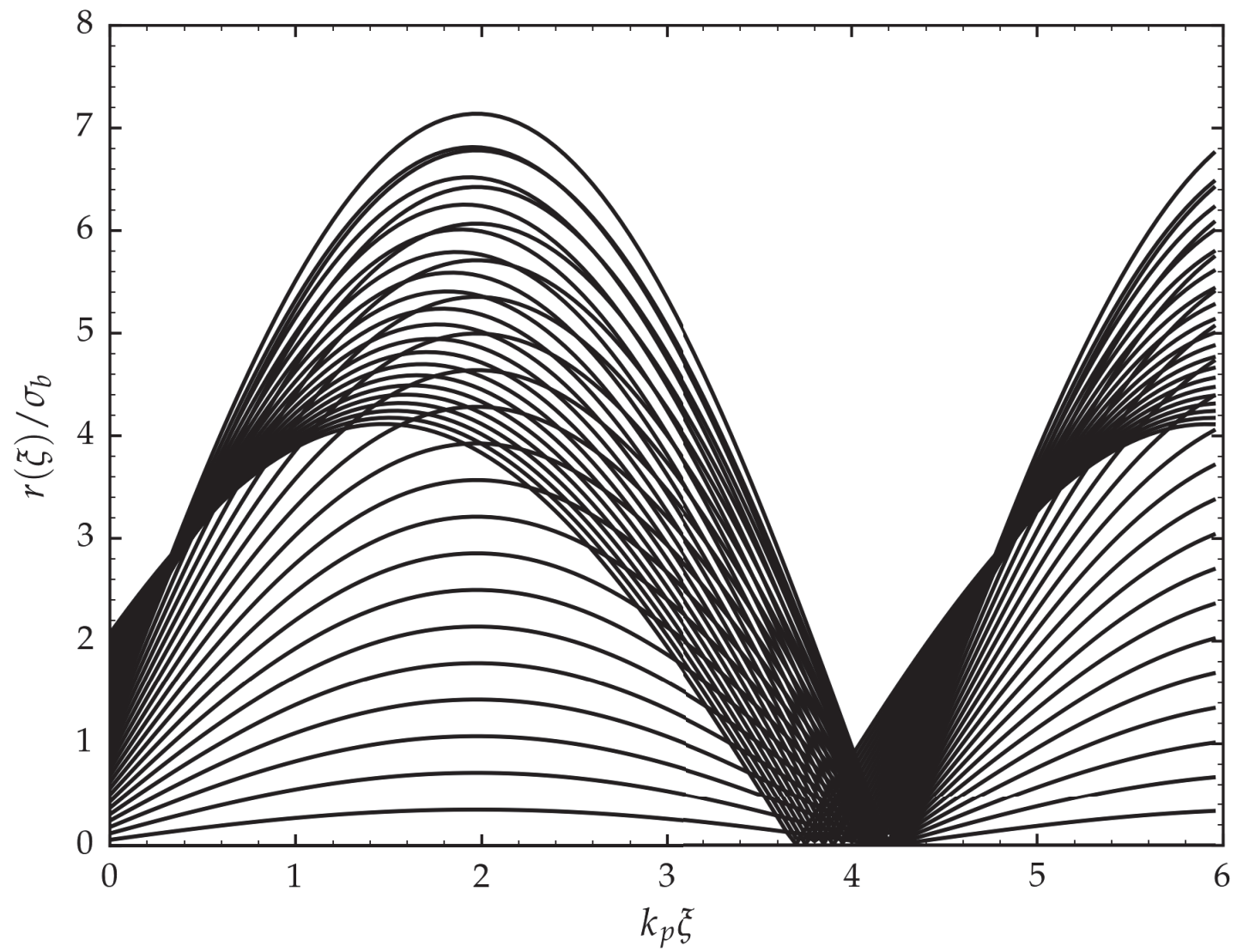
non-relativistic limit

$$b_0 \ll 1$$

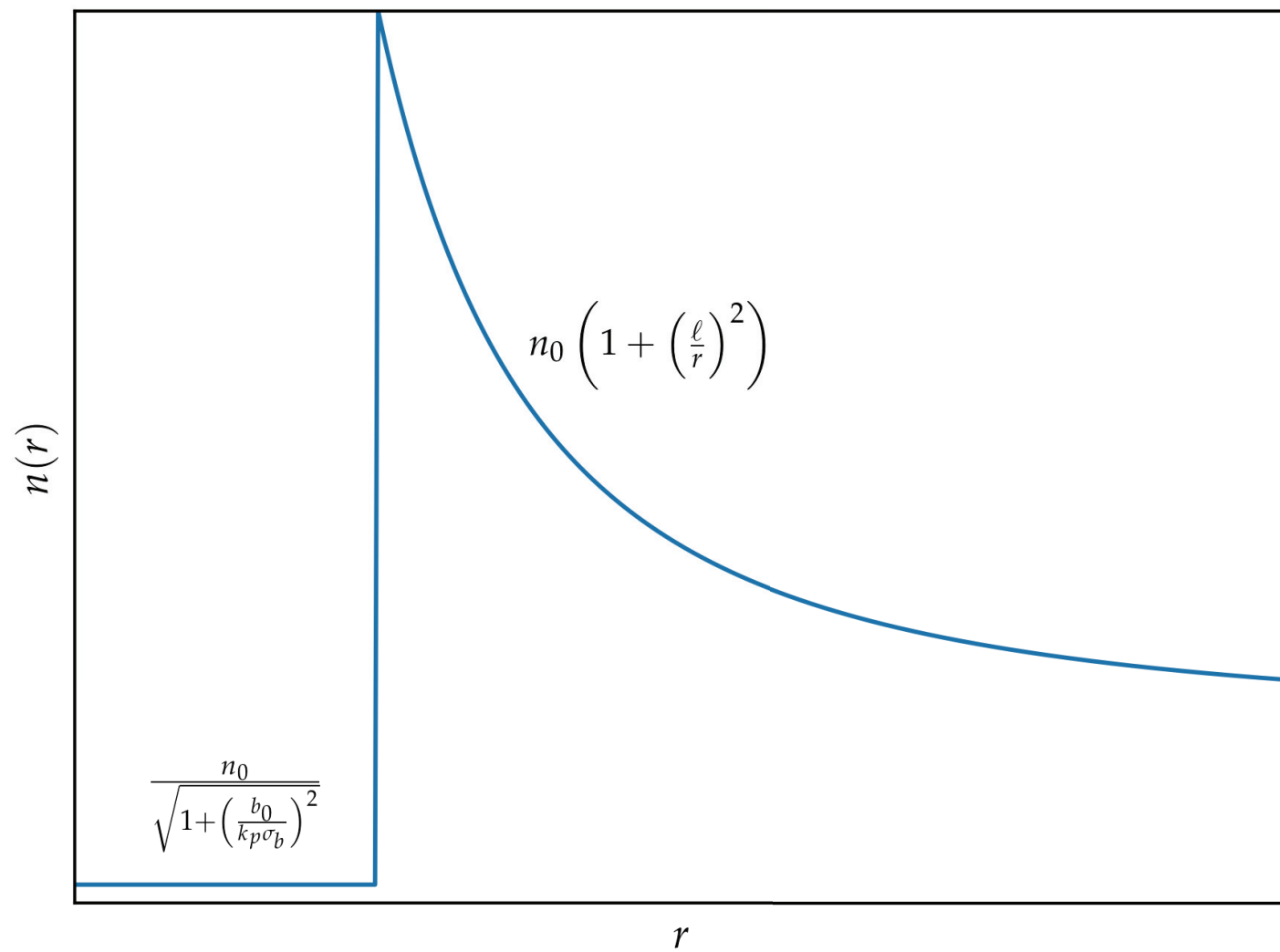
initial distribution

$$f(p_0, r_0, \xi = 0) = \delta(p_0 - P(r_0))$$

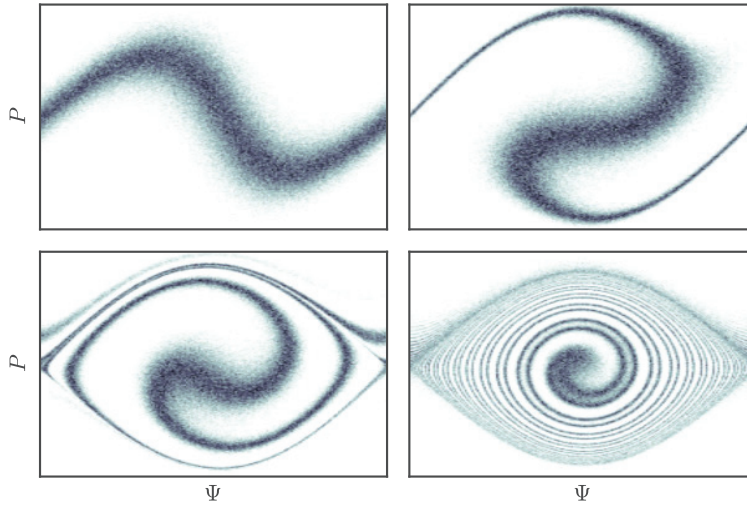
# Zeroth Order Theory Predicts Wake Shape



# Zeroth Order Theory Predicts Wake Shape



## Analytic Estimate for the Decoherence Time



$$\omega(\mathcal{E})^{-1} = \oint dq \sqrt{\frac{2M}{\mathcal{E} - \mathcal{V}(q)}}$$

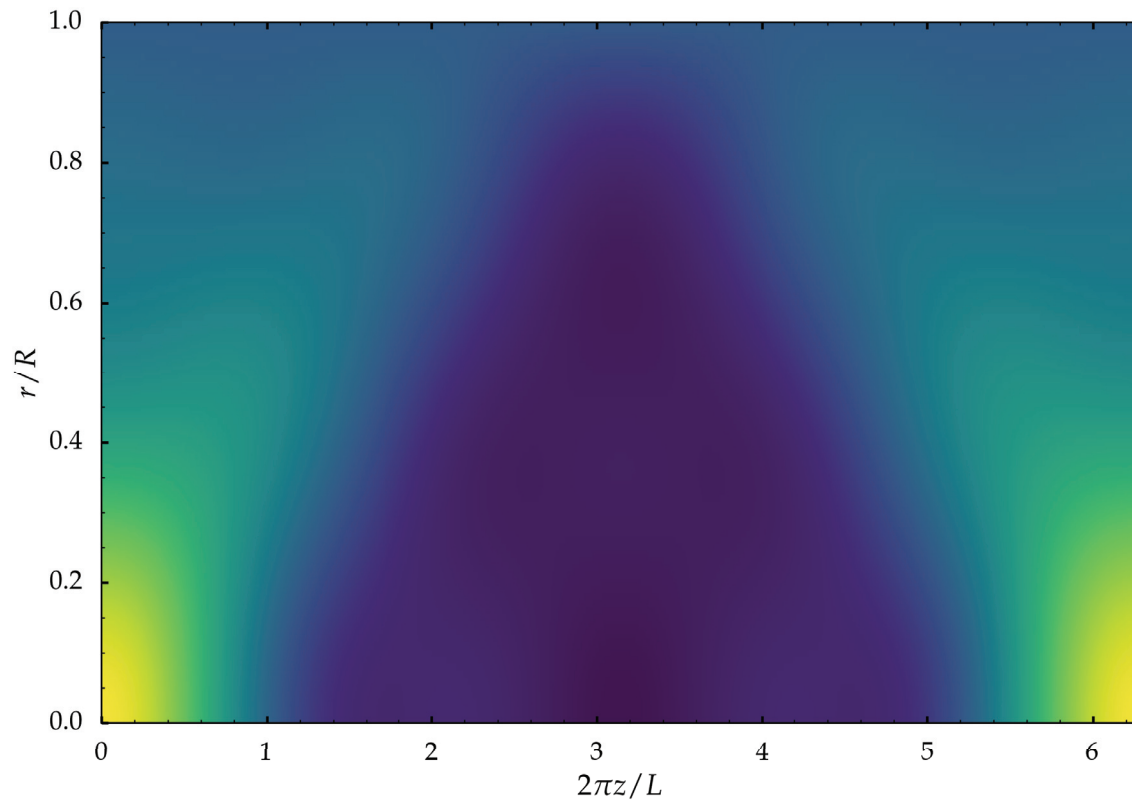
$$\tau \sim \langle (\omega^{-1})^2 \rangle_{\mathcal{E}} - \langle \omega^{-1} \rangle_{\mathcal{E}}^2$$

# Numerical Validation – A Symplectic Particle-in-Mode Algorithm

$$\begin{aligned}\mathcal{L} = & \int d\mathbf{x}_0 d\mathbf{v}_0 \left\{ -mc \sqrt{1 - \dot{r}^2 - \dot{z}^2 - r^2 \dot{\theta}^2} \right. \\ & \left. + \frac{q}{c} \dot{r} A_r + \frac{q}{c} \dot{z} A_z \right\} \Psi(\mathbf{x}_0, \mathbf{v}_0) \\ & + \frac{1}{8\pi c} \int d\mathbf{x} \left( \frac{\partial \mathbf{A}}{\partial \tau} \right)^2 - (\nabla \times \mathbf{A})^2.\end{aligned}$$

## Eigenmodes of a Cylindrical Cavity

$$\mathbf{A} = \sum_{m,n} a_{m,n}(\tau) \begin{pmatrix} J_1 \left( x_m \frac{r}{R} \right) \sin \left( \frac{2n\pi z}{L} \right) \\ J_0 \left( x_m \frac{r}{R} \right) \cos \left( \frac{2n\pi z}{L} \right) \end{pmatrix}$$



## Particle Shape Functions

$$\Psi(\mathbf{x}, \mathbf{v}) = \sum_{j=1}^{N_{macro.}} w_j \frac{1}{r} \Lambda_r(r - r_j) \Lambda_z(z - z_j) \\ \delta(v_r - \dot{r}_j) \delta(v_z - \dot{z}_j) \exp \left( -m \frac{r^2 \dot{\theta}^2}{2T} \right)$$

$$\begin{aligned}
\mathcal{L} = \sum_j \bigg\{ & -mcw_j \sqrt{1 - \dot{r}_j^2 - \dot{z}_j^2 - \frac{1}{r_j^2} \frac{\ell_j^2}{mc}} \\
& + 2\pi w_j \frac{q}{c} (\dot{r}_j I_r(\mathbf{x}_j) + \dot{z}_j I_z(\mathbf{x}_j)) \bigg\} \\
& + \frac{1}{4c} \sum_{m,n} (\dot{a}_{m,n})^2 C_{m,n} - \frac{1}{L_{m,n}} (a_{m,n})^2.
\end{aligned}$$

The Spatially Discretized  
Relativistic Lagrangian

$$\mathcal{H} = \underbrace{\sum_j \sqrt{\left( \mathbf{p}_j - 2\pi \frac{q}{c} \sum_{m,n} \mathcal{Q}_{m,n} \sqrt{\frac{2c}{C_{m,n}}} \mathbf{F}_{m,n}(\mathbf{q}_j) \right)^2 + \frac{\ell_j^2}{m c r_j^2} + m^2 c^2}}_{\mathcal{H}_{p-c}} + \underbrace{\frac{1}{2} \sum_{m,n} (\mathcal{P}_{m,n})^2 + \frac{1}{c^2} \frac{1}{C_{m,n} L_{m,n}} (\mathcal{Q}_{m,n})^2}_{\mathcal{H}_f}$$

The Spatially Discretized  
Relativistic Hamiltonian

$$\mathcal{N} = e^{-:\mathcal{H}_f:h/2} e^{-:\mathcal{H}_{p-c}:h} e^{-:\mathcal{H}_f:h/2}$$

Numerical Map

$$\mathcal{N} = e^{-:\mathcal{H}_f: \hbar/2} e^{-:\mathcal{H}_{p-c}: \hbar} e^{-:\mathcal{H}_f: \hbar/2}$$

$$e^{-:\mathcal{H}_f: \hbar/2} \circ \begin{pmatrix} \mathcal{P}_{m,n} \\ \mathcal{Q}_{m,n} \end{pmatrix} = \begin{pmatrix} \cos \Omega_{m,n} \frac{\hbar}{2} & \Omega_{m,n} \sin \Omega_{m,n} \frac{\hbar}{2} \\ -\frac{1}{\Omega_{m,n}} \sin \Omega_{m,n} \frac{\hbar}{2} & \cos \Omega_{m,n} \frac{\hbar}{2} \end{pmatrix} \begin{pmatrix} \mathcal{P}_{m,n} \\ \mathcal{Q}_{m,n} \end{pmatrix}$$

Field Map

$$\mathcal{N} = e^{-: \mathcal{H}_f : \hbar/2} e^{-: \mathcal{H}_{p-c} : \hbar} e^{-: \mathcal{H}_f : \hbar/2}$$

$$\dot{\mathcal{M}}_{p-c} = \mathcal{M}_{p-c} \dot{-} \left\{ \sum_j \sqrt{\left( \mathbf{p}_j - 2\pi \frac{q}{c} w_j \sum_{m,n} \mathcal{Q}_{m,n} \sqrt{\frac{2c}{C_{m,n}}} \mathbf{F}_{m,n}(\mathbf{q}_j) C_{m,n} \right)^2 + \frac{\ell_j^2}{r_j^2} + m^2 c^2} \right\} :$$

Particle–Coupling Map

## Proper Time vs. Lab Time Tracking

$$ds = d\tau/\gamma$$

$$L_s = -\frac{1}{2}mcU^iU_i - \frac{q}{c}U^iA_i \quad U = (\gamma, \gamma\mathbf{x}')$$

$$K_s = -\frac{(P^i - eA^i)(P_i - eA_i)}{2mc}$$

## Proper Time vs. Lab Time Tracking

$$\gamma_j mc = \sqrt{\left( \mathbf{p}_j - \frac{q}{c} w_j 2\pi \sum_{m,n} \mathcal{Q}_{m,n} \sqrt{\frac{2c}{C_{m,n}}} \mathbf{F}_{m,n}(\mathbf{q}_j) \right)^2 + \frac{\ell_j^2}{r_j^2} + m^2 c^2}$$

$$\overline{\mathcal{H}}_{p-c} = \frac{\left( \mathbf{p}_j - \frac{q}{c} w_j 2\pi \sum_{m,n} \mathcal{Q}_{m,n} \sqrt{\frac{2c}{C_{m,n}}} \mathbf{F}_{m,n}(\mathbf{q}_j) \right)^2 + \frac{\ell_j^2}{r_j^2}}{2mc\gamma_j}$$

# Similarity Transform on the Magnetic Map

$$e^{-:(p_i - a_i(\mathbf{q}))^2:t} = e^{:-\int a_i(\mathbf{q})dq_i:} e^{-:p_i^2:t} e^{-:-\int a_i(\mathbf{q})dq_i:}$$

$$\mathcal{M}^{(r)}(h) = \exp \left\{ - \sum_j : \frac{p_j^{(r)} - \frac{q}{c} w_j 2\pi \sum_{m,n} \mathcal{Q}_{m,n} \sqrt{\frac{2}{C_{m,n}}} F_{m,n}^{(r)}(\mathbf{q}_j)}{2\gamma_j m c} : h \right\}$$

$$\mathcal{M}^{(r)}(h) = \mathcal{A}^{(r)} \mathcal{D}^{(r)} \left[ \mathcal{A}^{(r)} \right]^{-1}$$

$$\mathcal{D}^{(r)} = e^{-\sum_j : p_j^{(r)2} / 2\gamma_j m c : h}$$

$$\mathcal{A}^{(r)} = e^{-\sum_j \frac{q}{c} w_j 2\pi : \int dr_j \sum_{m,n} \mathcal{Q}_{m,n} \sqrt{\frac{2}{C_{m,n}}} F_{m,n}^{(r)}(\mathbf{q}_j) :}$$

# The Full Integrator

$$\mathcal{N} = \mathcal{M}_f(h/2) \mathcal{M}^{(\ell)}(h/2) \mathcal{A}^{(r)} \mathcal{D}^{(r)}(h/2) \underbrace{\left[ \mathcal{A}^{(r)} \right]^{-1} \mathcal{A}^{(z)} \mathcal{D}^{(z)} \left[ \mathcal{A}^{(z)} \right]^{-1} \mathcal{A}^{(r)} \mathcal{D}^{(r)}(h/2) \left[ \mathcal{A}^{(r)} \right]^{-1} \mathcal{M}^{(\ell)}(h/2) \mathcal{M}_f(h/2)}_{e^{-:\mathcal{H}_{p-c}:h+\mathcal{O}(h^3)}}$$

13 map operations  
6 distinct maps

includes angular  
momentum and self-  
consistent deposition/  
interpolation

a few unusual  
properties...

# Thank you!

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Effort sponsored by the Air Force Office of Scientific Research, Young Investigator Program, under contract no. FA9550-15-C-0031. Distribution Statement A. Approved for public release; distribution is unlimited.