# **EFFECT OF PROTON BUNCH PARAMETER VARIATION ON AWAKE**

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#### Abstract

We show that the phase of the wakefields as the CERN SPS proton bunch experiences the self-modulation instability is very weakly dependent on variations of the bunch parameters by  $\pm 5\%$ . There is a  $\approx \lambda_{pe}/4$ -wide region of the wakefields that remain accelerating and focusing for an electron witness bunch after the instability has grown and saturated, that is after ~ 4 m into the plasma with AWAKE base-line parameters. These results suggest that deterministic injection and acceleration of an electron witness bunch into these wakefields resulting from the self-modulation instability is, in principle, possible experimentally.

### **INTRODUCTION**

Sending relativistic charged particle bunches through a plasma generates wakefields in the transverse and longitudinal direction with frequency defined by the electron plasma density  $n_e$ , frequency  $\omega_{pe} = (n_e e^2/\epsilon_0 m_e)^{1/2}$  and wavelength  $\lambda_{pe} = 2\pi c/\omega_{pe}$  [1]. In the linear regime, the transverse wakefields are  $\frac{\pi}{2}$  out of phase with the longitudinal ones, so there is a region within  $\lambda_{pe}/4$  where the fields are both accelerating and focusing for an electron (or positron) bunch to be externally injected and accelerated over a long distance.

AWAKE is a proof-of-principle experiment which will be propagating the SPS (Super Proton Synchrotron) bunch through a plasma column of 10 m to drive wakefields and accelerate an externally injected electron bunch. With a baseline electron plasma density of  $7 \times 10^{14}$  cm<sup>-3</sup>,  $\lambda_{pe} \approx$ 1.2 mm. This is many times shorter than the longitudinal rms length of the bunch ( $\cong$ 12 cm), which causes protons along the bunch to be locally either focused or defocused by the wakefield. This is the self-modulation instability (SMI) [2]. The focused protons form micro-bunches separated by  $\approx \lambda_{pe}$ , which resonantly drive the wakefields to large amplitudes.

The SMI growth and the associated evolution of the proton bunch cause the wakefield phase-velocity to change with respect to the initial bunch velocity, until eventually stabilizing at the speed of the bunch. This can be seen in Fig. 1, where we see that the on-axis field  $E_z$  moves backwards within a set window of  $\xi = z - ct$  of the proton bunch as it propagates within the plasma. Near 4 m, the phase stabilizes after SMI development (i.e., lines become vertical on Fig. 1), which becomes a suitable location to inject particles. Note that the transverse wakefields follow a similar evolution (not shown).

Many simulations have been performed for AWAKE using parameters of the CERN SPS bunch. However, from an experimental viewpoint we are interested in determining

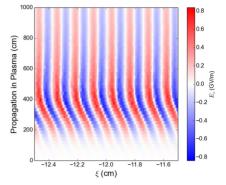


Figure 1:  $E_z$  as a function of  $\xi$  and propagation distance of the proton bunch along the plasma in a region near  $\xi = -12$  cm from the proton bunch front.

how variations in the parameters of the proton bunch affect the phase change at positions  $\xi$  along the bunch, i.e., where the electrons are injected. We use a variation of  $\pm 5\%$  of the bunch parameters for this study in order to obtain the trends of the wakefields phase variation. Since all particles are relativistic and there is essentially no dephasing between them over the plasma length considered here, injected electrons stay in the proper phase (accelerating and focusing) all along the acceleration process, unless the relative phase of the wakefields change.

In the experiment, the proton bunch will be copropagating with a laser pulse at its center as it goes through rubidium (Rb) vapor. The ~ 100 *fs* laser pulse ionizes the Rb, creating a relativistically moving ionization front in the bunch that seeds the SMI. For the simulations, the bunch density is cut to include the sharp start of the beam/plasma interaction and for  $-\sqrt{2\pi}\sigma_{zb} < \xi < 0$  is given by :

$$n_b(\xi,r) = n_{b0} \times 0.5 \left[1 + \cos\left(\sqrt{\frac{\pi}{2}}\frac{\xi}{\sigma_{zb}}\right)\right] \times e^{-\frac{r^2}{2\sigma_{rb}^2}}.$$

Here  $n_{b0} = N_b / [(2\pi)^{3/2} \sigma_{rb}^2 \sigma_{zb}]$ . Since the wakefields amplitude is proportional to  $n_b$ , the evolution of the wakefields (amplitude and phase) may be sensitive to its initial value  $n_{b0}$ , which itself depends on the bunch population  $N_b$ , and rms radius and length,  $\sigma_{rb}$  and  $\sigma_{zb}$ , respectively. These are parameters that may vary from event to event in the experiment.

For these studies, we use the particle-in-cell code OSIRIS [3] developed at IST in Lisbon and at UCLA. For these 2D cylindrical simulations we use a box size of 1.61 mm with 425 grid points in r and 299.89 mm with 18000 grid points in z. The number of plasma and beam particles are  $6 \times 10^6$  and  $5 \times 10^5$  respectively. The simulation time

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step is  $0.012\omega_{pe}^{-1}$ . The beam and plasma parameters are the AWAKE baseline parameters:  $\sigma_{rb} = 0.2 \text{ mm}$ ,  $\sigma_{zb} = 12.6 \text{ cm}$ ,  $N_b = 1.5 \times 10^{11}$ ,  $\epsilon_N = 3.6 \text{ mm}$ -mrad and the plasma density is  $7 \times 10^{14} \text{ cm}^{-3}$ . It should be noted  $\sigma_{zb}$  is not the longitudinal rms bunch length for the bunch described by Eq. 1, which is actually 11.4 cm.

# **BUNCH POPULATION VARIATIONS**

Simulations were run with the initial proton bunch parameters, and  $N_b \pm 5\%$ . The wakefield phase was analyzed as a function of bunch propagation for a range of  $\xi$  values. The relative phase of the wakefields is always calculated within the same window in  $\xi$ . It is obtained from a  $\cos (\omega_{pe}\xi/c + \phi(\xi))$  fit to the  $E_z$  field in the simulation window (e.g., see Fig. 1). The  $\xi = -12$  cm region is chosen because it is there that the wakefields reach their peak value along the bunch after total propagation within the plasma, and the location of optimal wakefield phase stabilization after SMI development (see below). Figure 2 shows the relative phase shift of the wakefield for the case of the initial parameters and  $N_b \pm 5\%$ . We see that an increase (decrease) in  $N_b$  leads to a larger (smaller) shift backwards in  $\xi$  of the field.

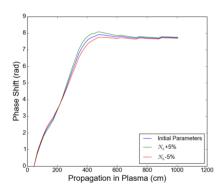


Figure 2: Phase of  $E_z$  for simulations with initial and  $N_b \pm 5\%$  parameters.

We also see that the phase difference between  $N_b\pm 5\%$ and the initial case is largest at regions of 4-5 m, after which it decreases and the three phases become essentially indistinguishable. This is also true at different values of  $\xi$  (not shown), though in general the phase differences are larger for larger  $|\xi|$ . The phase difference corresponds to about  $0.03\lambda_{pe}$ . This difference is small and allows in principle for placing the electron bunch near the peak of accelerating field, without the risk of loosing it to due to phase variations of the wakefields from event to event.

To find the injection point along the plasma, we calculate the energy gain for particles injected at various  $\xi$  values in one of the quarter-periods of the wakefields shown on Fig. 1. We start at the propagation length of 1000 cm and integrate  $eE_z$  backwards along the plasma until an electron would reach a decelerating or defocusing region. The location along the plasma where the integration is stopped is shown in Fig. 3 and corresponds to the minimum injection point along the plasma. Figure 3 shows that 4 m is a good injection point, and that variations of  $N_b \pm 5\%$  do not greatly affect the optimal region in  $\xi$  for injection. The injection region is  $\approx 0.21\lambda_{pe}$  in  $\xi$ , smaller than the expected  $0.25\lambda_{pe}$  due mostly to the still-shifting wakefield phase after z = 4 m.

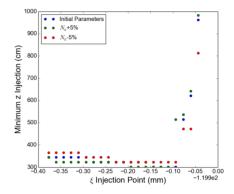


Figure 3: Minimum injection point of electrons so they remain in focusing/accelerating fields until z = 10 m (injection  $-12.03 < \xi < -11.99$  cm)

Figure 4 shows the energy gain for injection at z = 4 m. We see the energy gain is higher when further back in  $\xi$  because this is where the peak  $|E_z|$  is located. However, we see that  $N_b$ -5% case results in overall higher energy gain compared to the initial case; whereas we would expect  $N_b$ +5% to have higher energy as  $E_z \propto n_{b0} \propto N_b$ . This is because  $N_b$ -5% has its wakefield shifted further forward in  $\xi$  than the others, meaning an electron is closer to peak  $|E_Z|$  for this case, resulting in the higher energy gain. We also see the energy gain difference from the initial case increases for electrons further from peak  $|E_z|$ , which gives additional reason to inject near peak accelerating fields.

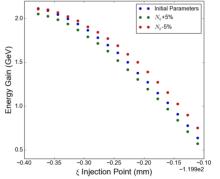


Figure 4: Energy gain of injected electrons for z > 4 m as a function of  $\xi$  in the optimal region for initial and  $N_b \pm 5\%$  runs (injection  $-12.03 < \xi < -11.99$  cm).

# **BUNCH SIZES VARIATIONS**

We also ran simulations with  $\sigma_b \pm 5\%$  ( $\sigma_{zb}$  and  $\sigma_{rb}$ ). For both cases, an increase (decrease) in  $\sigma_b$  moves the wakefield

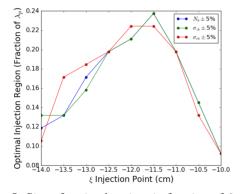
phase forward (backwards) in  $\xi$  relative to the initial case. These effects are opposite to the variations of  $N_b$ . This implies that the wakefield phase difference is correlated with  $n_b \propto \frac{N_b}{\sigma_{zb}\sigma_{rb}^2}.$ 

The phase differences from these simulations in the range of z = 4-5 m are lower than in the  $N_b$  case. In the neighboring regions of  $\xi = -12$  cm, the differences are less than  $0.03\lambda_{pe}$ , implying that changes in  $\sigma_b$  have less of an effect than changes in  $N_b$ . Of the two, the lowest phase difference was from  $\sigma_{rb} \pm 5\%$ .

The minimum injection point was also not significantly impacted, with the optimal region of continuous acceleration/focusing being  $0.21\lambda_{pe}$  for variations of  $\sigma_{zb}$  and  $0.22\lambda_{pe}$  for variations of  $\sigma_{rb}$ . The higher energy gains come from  $\sigma_b$  +5%, in contrast to the  $N_b$  case, also due to forward shifts in  $\xi$  of the wakefields.

# **OVERALL EFFECTS**

With the same methods as presented above, we now examine the injection range (in terms of  $\lambda_{pe}$  fraction) and its dependence on the location of injection along the bunch (previously around  $\xi \approx \sigma_{zb} \approx -12$  cm). In Fig. 5, we plot the narrowest injection range (as in Fig. 3) of each parameter variation versus injection position along the bunch. This is still for injection at z = 4 m along the plasma. The optimal region for injection would be where we have the largest injection range, which is near  $\xi = -11.5$  cm for each curve. This is about the rms longitudinal bunch length of -11.4 cm.



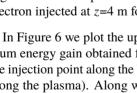


Figure 5: Size of optimal region, in fraction of  $\lambda_{pe}$ , for an electron injected at z=4 m for different locations in  $\xi$ .

In Figure 6 we plot the upper and lower bounds of maximum energy gain obtained for each variation, again versus the injection point along the bunch (with injection at z = 4 m along the plasma). Along with a large injection range, we also desire peak energy gain and minimal changes to this energy gain from parameter variations. Looking at Fig. 6, we generally find both near  $\xi = -11.5$  cm. Therefore, these figures show that the best injection region in  $\xi$  at around  $\sigma_{zb}$ remains unchanged by bunch parameter variations of  $\pm 5\%$ .

## SUMMARY AND CONCLUSION

We found that variations of  $\pm 5\%$  in the initial proton bunch parameters  $(N_b, \sigma_{rb}, \sigma_{zb})$  do change the phase of  $E_z$ 

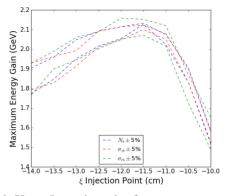


Figure 6: Upper/lower bounds of maximum energy gain of electron injected at z = 4 m for different locations in  $\xi$ .

along  $\xi$ . However, these phase differences are very small when compared to the nominal bunch parameters and are less than  $0.03\lambda_{pe}$  when injecting near  $\xi = -12$  cm along the bunch and 4 m into the plasma and beyond. This variation is well within the region of focusing/acceleration of about  $0.25\lambda_{pe}$ , potentially allowing for the witness bunch to be placed very close to the peak accelerating field. We also found that the wakefield phase shift is correlated with the density of the proton bunch,  $n_b \propto \frac{N_b}{\sigma_{zb}\sigma_{rb}^2}$ . When  $n_{b0}$  is increased, the phase of the wakefield shifts further back in  $\xi$ , whereas when it is decreased, the phase shifts further forward.

Simulations also showed the injection range for electrons at 4 m into the plasma is reduced from  $\lambda_{pe}/4$  to  $\approx 0.22\lambda_{pe}$ due to the wakefields slight shift in phase after SMI development, though changes in  $n_{b0}$  itself does not notably impact this range. The energy gain of electrons within this optimal injection region changes less significantly for the variations in the bunch parameters considered here for injections close to the peak accelerating field and for injection along the bunch near  $\sigma_{zb}$ . We also found that the best injection point along the bunch is near the rms bunch length of -11.4 cm, regardless of the studied parameters.

These results suggest that variations in the incoming bunch parameters from event to event are not a significant impediment for deterministic injection of a witness electron bunch into wakefields driven by a proton bunch that experiences the SMI.

#### REFERENCES

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