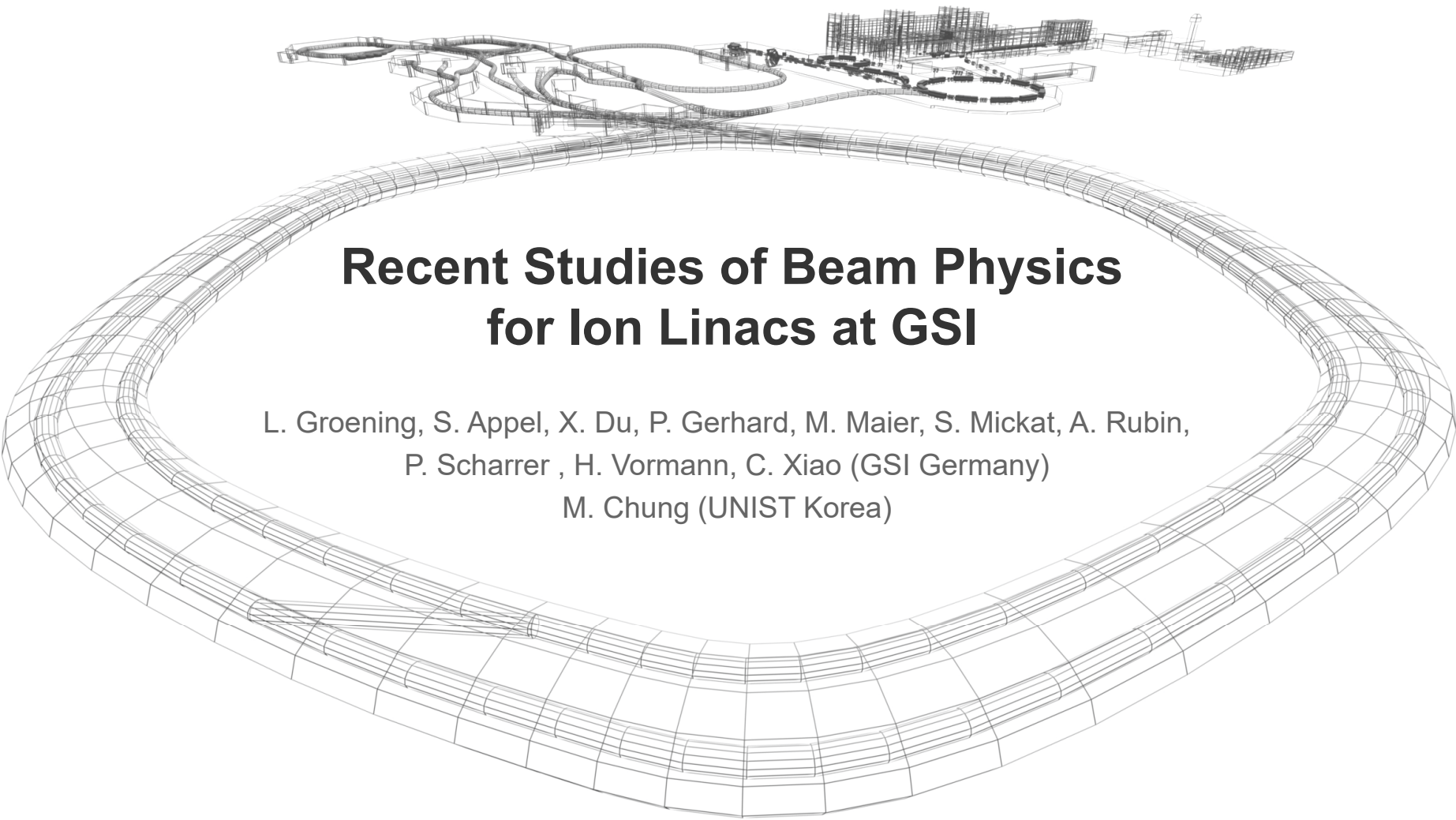




Recent Studies of Beam Physics for Ion Linacs at GSI

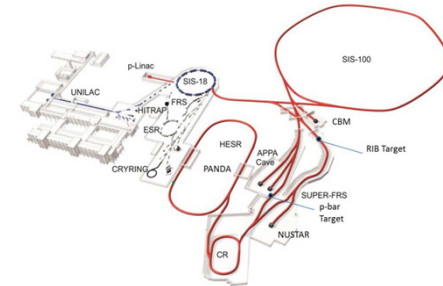
L. Groening, S. Appel, X. Du, P. Gerhard, M. Maier, S. Mickat, A. Rubin,
P. Scharrer , H. Vormann, C. Xiao (GSI Germany)
M. Chung (UNIST Korea)

- UNILAC Introduction
- Increase of ion stripping efficiency
- Advances in longitudinal beam dyn. modeling of DTLs
- complete 4d transverse diagnostics
- Extension of Busch theorem to beams
- Generic algorithm for ring injection optimization

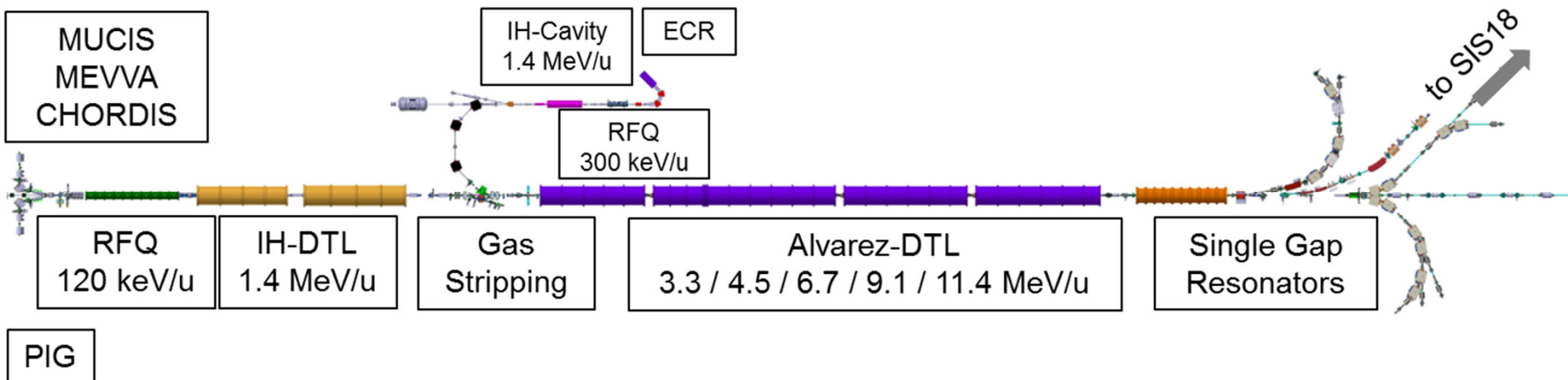
UNiversal Linear Accelerator UNILAC

design parameters after upgrade

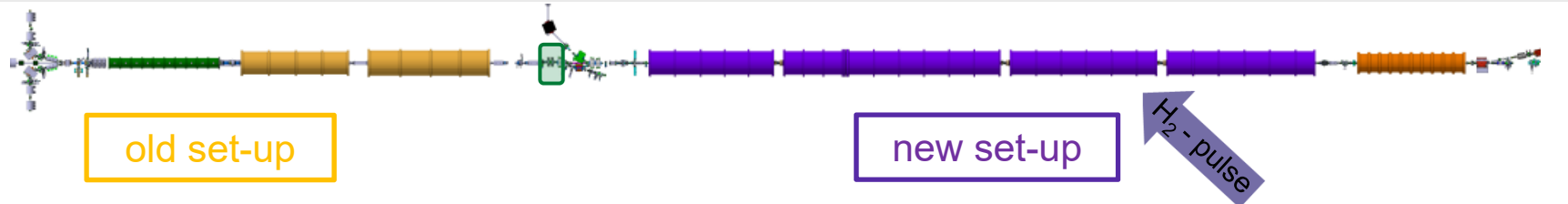
ion A/q	≤ 8.5 , i.e. $^{238}\text{U}^{28+}$	
beam current (pulse) * A/q	1.76 (0.5% duty cycle)	emA
input beam energy	2.2	keV/u
output beam energy	3.0 - 11.7	MeV/u
normalized total output emittance, horizontal / vertical	0.8 / 2.5	mm mrad
beam pulse duration	≤ 1000	μs
beam repetition rate	≤ 10	Hz
operating frequency	36.136 / 108.408	MHz
length	≈ 115	m



≈ 115 m



Increase of stripping efficiency



old set-up

new set-up

H₂ - pulse

0.15 – 5 ms

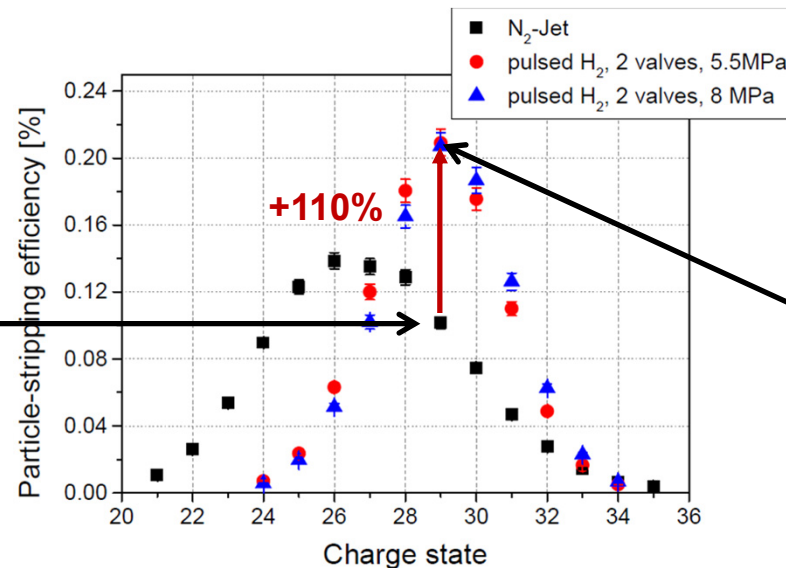
N₂ - jet

≥ 20 ms

bunch train

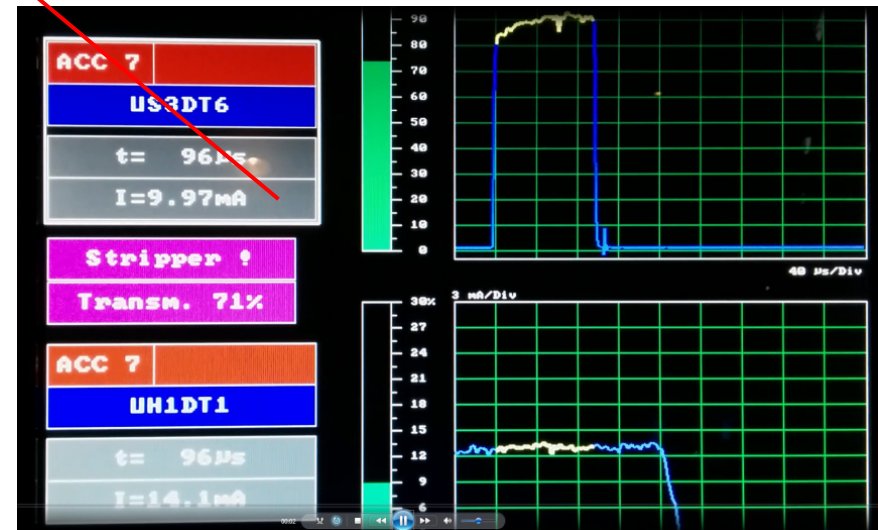
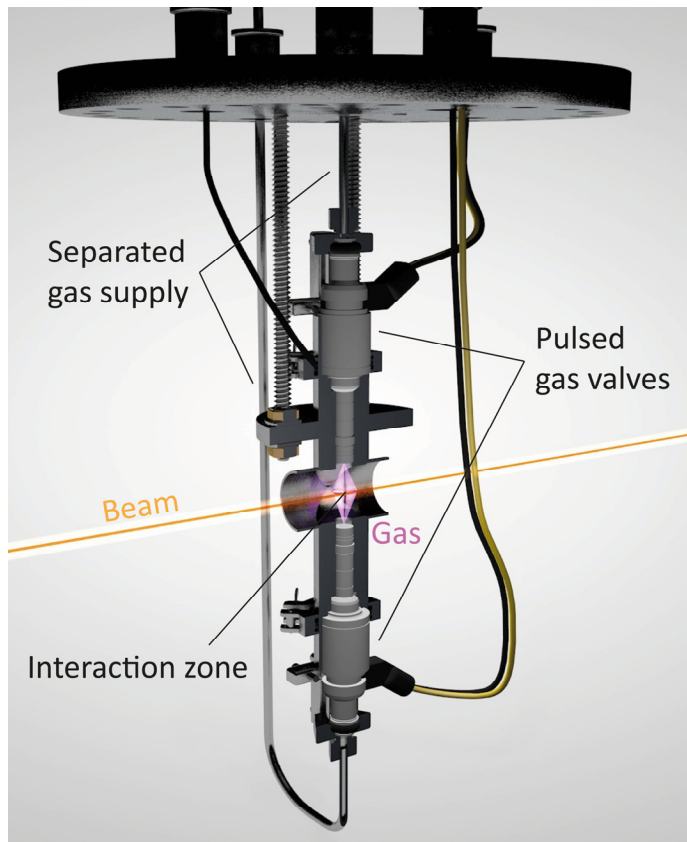
Phys. Rev. Accel. Beams **20** 043503 (2017)

- one single continuous jet
- nitrogen @ 4 bar
- stripper parameters are constant



- two pulsed injections
- hydrogen @ ≤ 250 bar
- higher density @ beam, but lower averaged gas load at pumps
- variable pressure, duration, and rep.rate
- pulse-to-pulse variation of stripper parameters

Increase of stripping efficiency

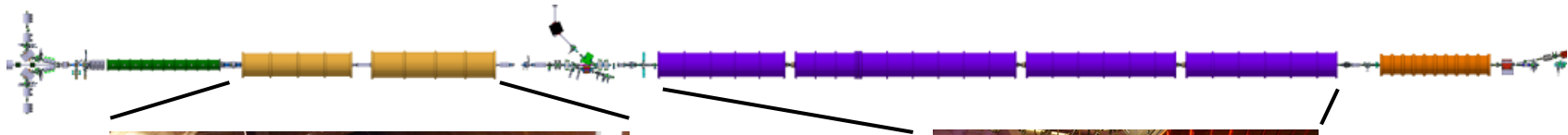


>10 emA of U^{29+} achieved behind stripper

Phys. Rev. Accel. Beams **20** 050101 (2017)

Longitudinal modeling of DTLs

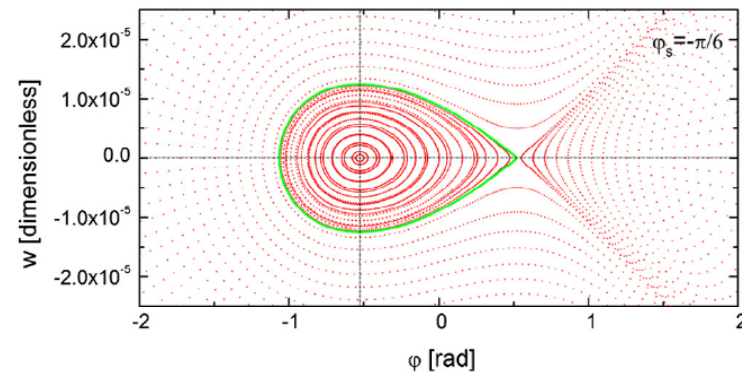
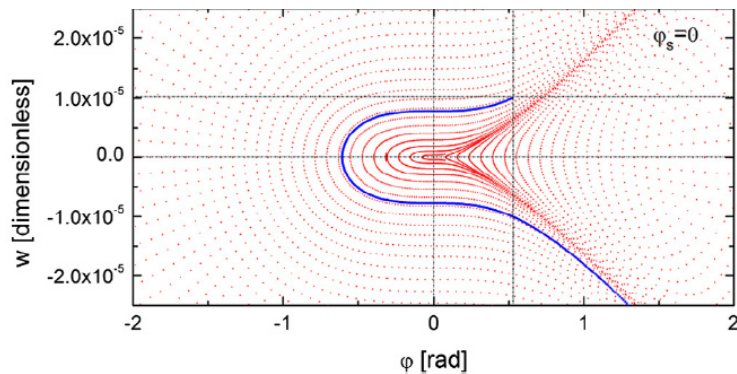
UNILAC has two types of DTLs with different long. beam dynamics



IH-cavities with KONUS
(combined 0-degrees structure)

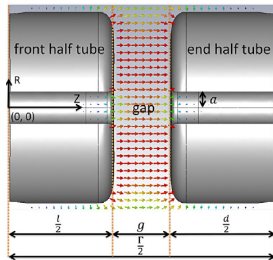


Alvarez-cavities with synchronous particle



Longitudinal modeling of DTLs

- KONUS: no stable bucket → very sensitive to rf-voltage & phase at rf-gaps
- using pre-defined phases and/or TTF-factors may deliver less precise results (z-codes)
- using too simple approximations for E-field inside gap may deliver less precise results
- GSI developed a MATHCAD routine using:
 - gap field calculation from BEAMPATH using Fourier-Bessel series



$$E_z(z, r, t) = -\cos(\omega t + \psi_0) \sum_{m=1}^M E_m I_0(\mu_m r) \sin\left(\frac{2\pi m z}{\Gamma}\right),$$

$$E_r(z, r, t) = \cos(\omega t + \psi_0) \sum_{m=1}^M \frac{2\pi m E_m}{\mu_m \Gamma} I_1(\mu_m r) \cos\left(\frac{2\pi m z}{\Gamma}\right),$$

$$B_\theta(z, r, t) = \sin(\omega t + \psi_0) \sum_{m=1}^M \frac{2\pi E_m}{\mu_m \lambda c} I_1(\mu_m r) \sin\left(\frac{2\pi m z}{\Gamma}\right),$$

and

$$\mu_m = \frac{2\pi}{\lambda} \sqrt{\left(\frac{m\lambda}{\Gamma}\right)^2 - 1}, \quad \Gamma = l + 2g + d, \quad E_0 = \frac{U}{g},$$

$$E_m = \frac{4U}{I_0(\mu_m a) \Gamma} \frac{\pi m(l+g)}{\Gamma} \frac{\sin\left[\frac{\pi m(l+g)}{\Gamma}\right]}{\frac{\pi m(l+g)}{\Gamma}} \frac{\sin\left(\frac{\pi m g}{\Gamma}\right)}{\frac{\pi m g}{\Gamma}}$$

- longitudinal tracking based on adaptive step size in dt (and hence dz) with Bulirsch-Stoer method

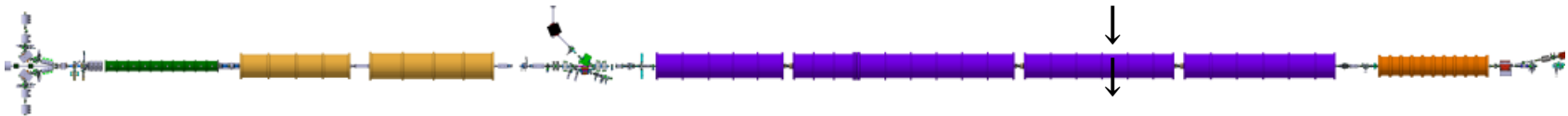
$$Z(t, z) := \begin{bmatrix} z \\ \frac{dz}{dt} \end{bmatrix} = \begin{bmatrix} z \\ \beta c \end{bmatrix}$$

$$DZ(t, z) := \frac{dZ(t, z)}{dt} = \begin{bmatrix} \beta c \\ \frac{q}{\frac{m_0}{\sqrt{1-\beta^2}}} E_z(z) \cos(\omega t + \psi_0) \end{bmatrix}$$

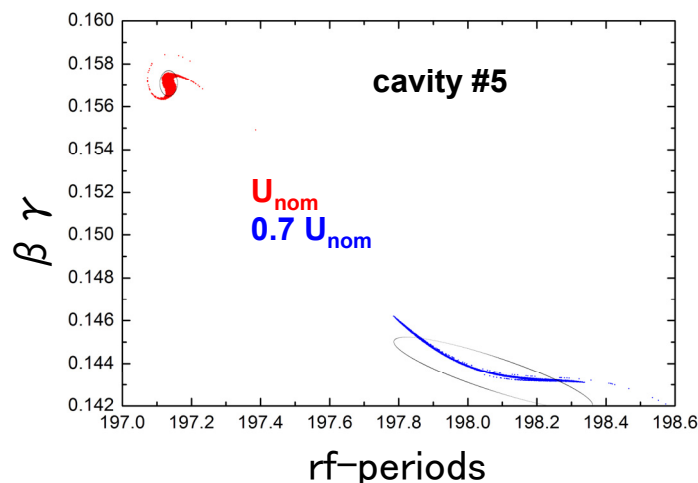
Nucl. Instrum. & Meth. **A** 887, p.40 (2018)

Longitudinal modeling of DTLs

- new tracking method allows for understanding the “intermediate energy puzzle” at the UNILAC that rose 1983:



- last two Alvarez-cavities deliver beam with 100% transmission and very low dp/p , if they are operated at just 80 / 70 % of their nominal voltage
- energy gains are reduced by 66 / 68 % w.r.t. value at nominal voltage
- beam delivered to users since 1983 to their full satisfaction



work in progress ...

4d transverse diagnostics: Coupling & eigen-emittances



- linear (4d), Hamiltonian beam line elements preserve :

- 4d rms emittance $E_{4d}^2 = \det \begin{bmatrix} \langle xx \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle \\ \langle x'x \rangle & \langle x'x' \rangle & \langle x'y \rangle & \langle x'y' \rangle \\ \langle yx \rangle & \langle yx' \rangle & \langle yy \rangle & \langle yy' \rangle \\ \langle y'x \rangle & \langle y'x' \rangle & \langle y'y \rangle & \langle y'y' \rangle \end{bmatrix}$

$$\varepsilon_1 = \frac{1}{2} \sqrt{-\text{tr}[(CJ)^2] + \sqrt{\text{tr}^2[(CJ)^2] - 16\det(C)}}$$

- the two eigen-emittances

$$E_{4d} = \varepsilon_1 \cdot \varepsilon_2$$

$$\varepsilon_2 = \frac{1}{2} \sqrt{-\text{tr}[(CJ)^2] - \sqrt{\text{tr}^2[(CJ)^2] - 16\det(C)}}$$

$$C = \begin{bmatrix} \langle xx \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle \\ \langle x'x \rangle & \langle x'x' \rangle & \langle x'y \rangle & \langle x'y' \rangle \\ \langle yx \rangle & \langle yx' \rangle & \langle yy \rangle & \langle yy' \rangle \\ \langle y'x \rangle & \langle y'x' \rangle & \langle y'y \rangle & \langle y'y' \rangle \end{bmatrix} \quad J := \begin{bmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

4d transverse diagnostics: Coupling & eigen-emittances



- if, and only if there is no $x \leftrightarrow y$ correlation, i.e.,
$$C = \begin{bmatrix} \langle xx \rangle & \langle xx' \rangle & 0 & 0 \\ \langle x'x \rangle & \langle x'x' \rangle & 0 & 0 \\ 0 & 0 & \langle yy \rangle & \langle yy' \rangle \\ 0 & 0 & \langle y'y \rangle & \langle y'y' \rangle \end{bmatrix}$$
- rms emittances = eigen-emittances
- if there is any coupling
 - rms emittances $\neq$ eigen-emittances
 - coupling parameter $t = \frac{\epsilon_x \epsilon_y}{\epsilon_1 \epsilon_2} - 1 \geq 0$

- term „eigen-emittance“ is quite unknown, since generally beams are considered as uncoupled
- measured beam moments are reliable, only if they deliver reasonable eigen-emittances !

4d transverse diagnostics: Motivation through coupling elements

initial beam:

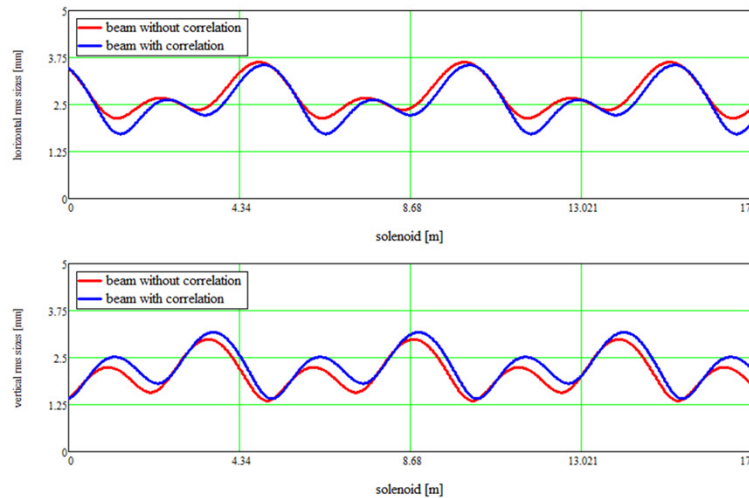
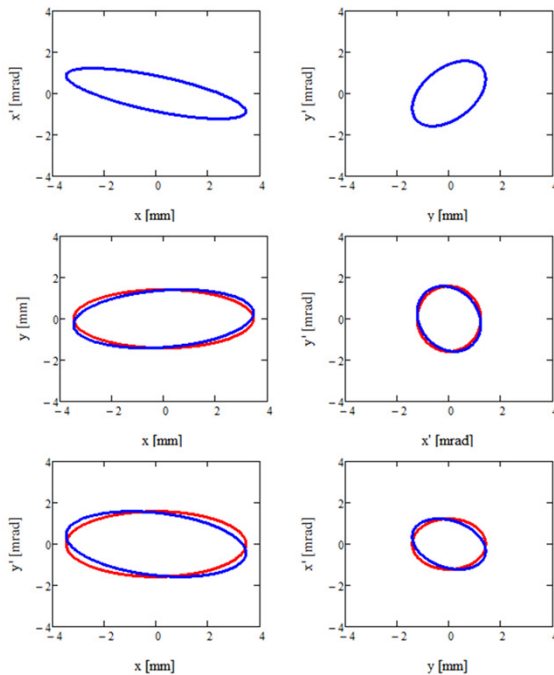
$$C_1 = \begin{bmatrix} 12.00 & -3.00 & 0.00 & 0.00 \\ -3.00 & 1.50 & 0.00 & 0.00 \\ 0.00 & 0.00 & 2.00 & 1.00 \\ 0.00 & 0.00 & 1.00 & 2.50 \end{bmatrix} \text{ uncorrelated}$$

$$C_2 = \begin{bmatrix} 12.00 & -3.00 & 1 & -1.5 \\ -3.00 & 1.50 & -0.5 & -0.35 \\ 1.00 & -0.50 & 2.00 & 1.00 \\ -1.50 & -0.35 & 1.00 & 2.50 \end{bmatrix} \text{ correlated}$$

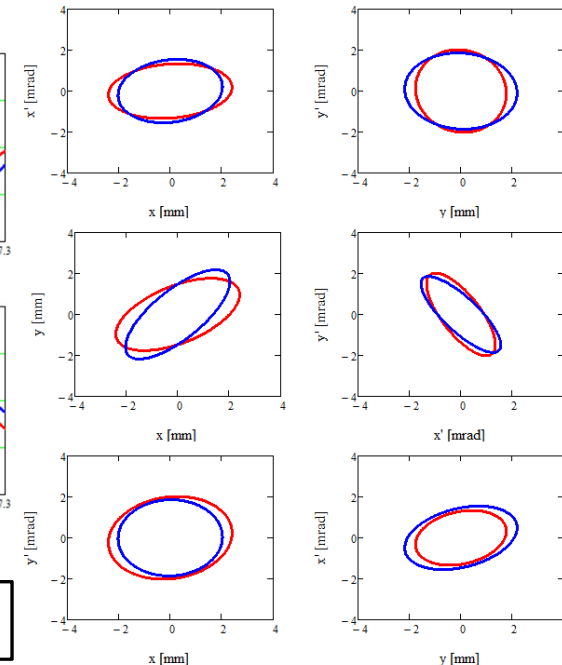


$$M_{sol} = \begin{bmatrix} C^2 & \frac{SC}{K} & SC & \frac{S^2}{K} \\ -KSC & C^2 & -KS^2 & CS \\ -SC & -\frac{S^2}{K} & C^2 & \frac{SC}{K} \\ KS^2 & -SC & -KSC & C^2 \end{bmatrix}$$

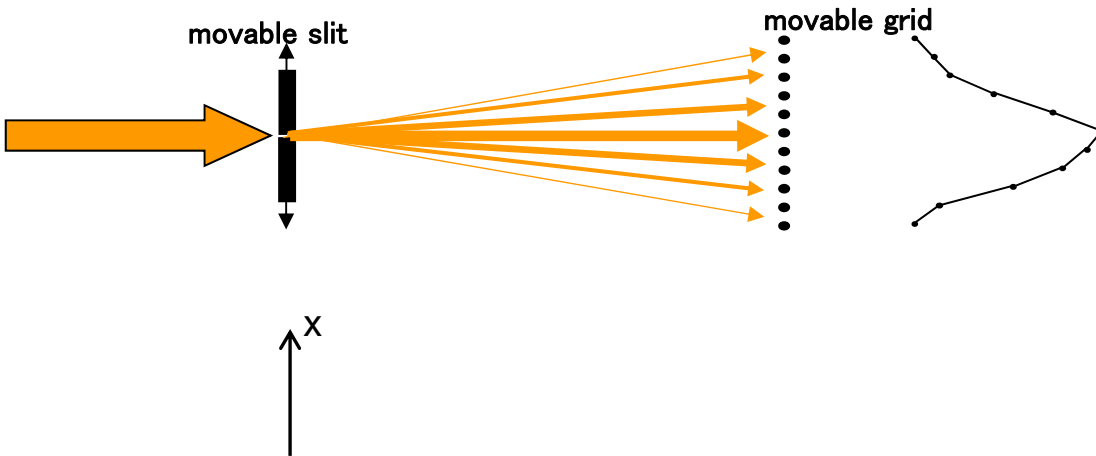
final beam:



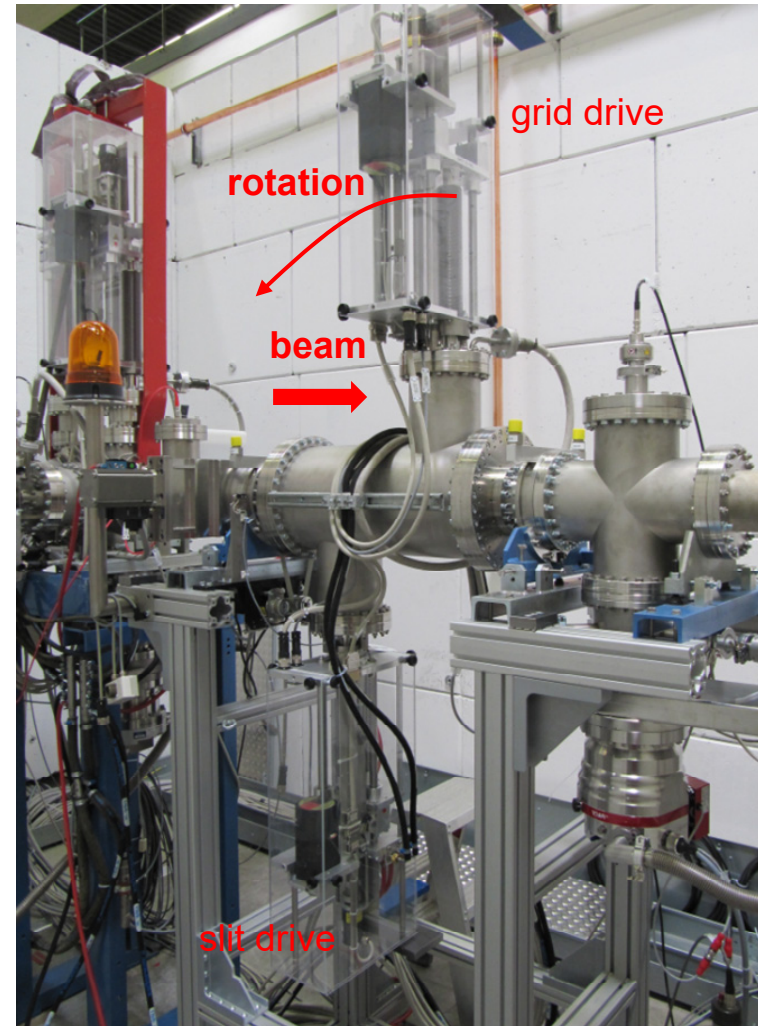
red and blue envelopes differ



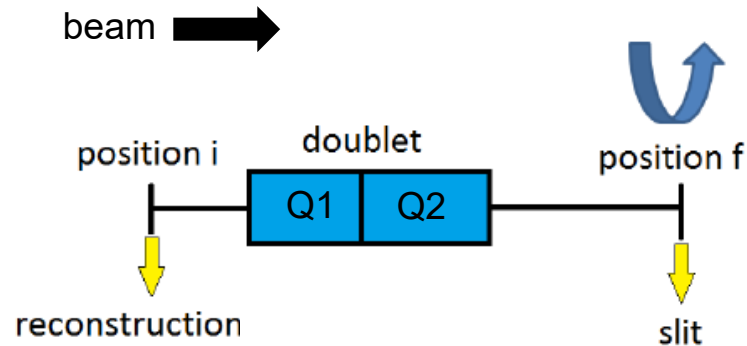
4d transverse diagnostics: Concept



- slit & grid are mounted inside a rotatable chamber
- rotation gives access to $\langle xy \rangle$, $\langle xy' \rangle$, $\langle x'y \rangle$, $\langle x'y' \rangle$
- chamber does not rotate during measurement



4d transverse diagnostics: Concept

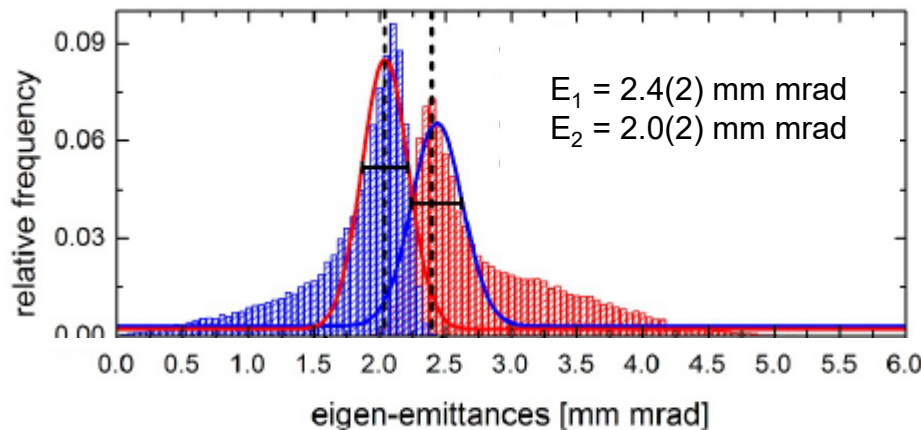
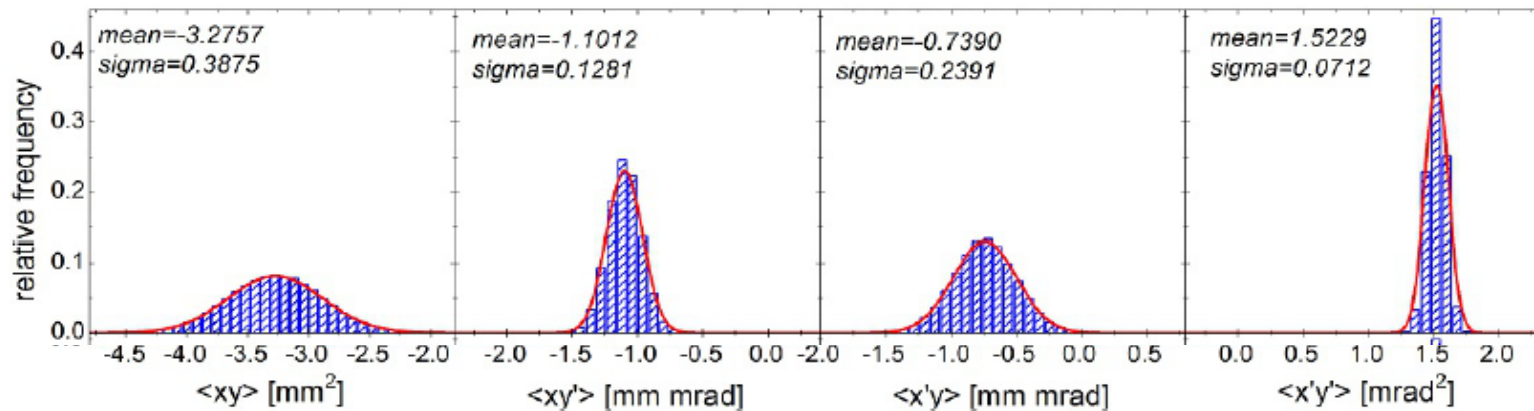


- beam moments to be determined at „reconstruction point“
- „reconstruction point“ and ROSE are separated by adjustable non-coupling elements
- slit & grid of ROSE can be rotated simultaneously

4d transverse diagnostics: Beam matrix measured

$$\begin{bmatrix} \langle xx \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle \\ \langle x'x \rangle & \langle x'x' \rangle & \langle x'y \rangle & \langle x'y' \rangle \\ \langle yx \rangle & \langle yx' \rangle & \langle yy \rangle & \langle yy' \rangle \\ \langle y'x \rangle & \langle y'x' \rangle & \langle y'y \rangle & \langle y'y' \rangle \end{bmatrix} = \begin{bmatrix} 8.57 & -4.34 & -3.28 & -1.10 \\ -4.34 & 3.35 & -0.74 & 1.52 \\ -3.28 & -0.74 & 11.20 & -3.05 \\ -1.10 & 1.52 & -3.05 & 1.87 \end{bmatrix}$$

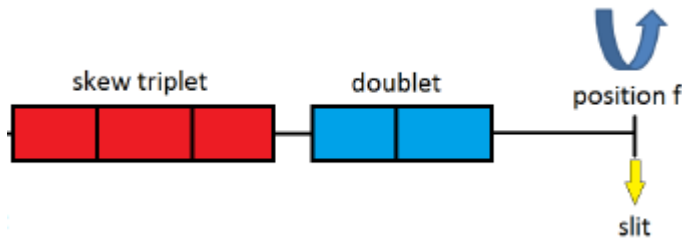
in mm, mrad



$$t = \frac{\epsilon_x \epsilon_y}{\epsilon_1 \epsilon_2} - 1 = 1.2(2)$$

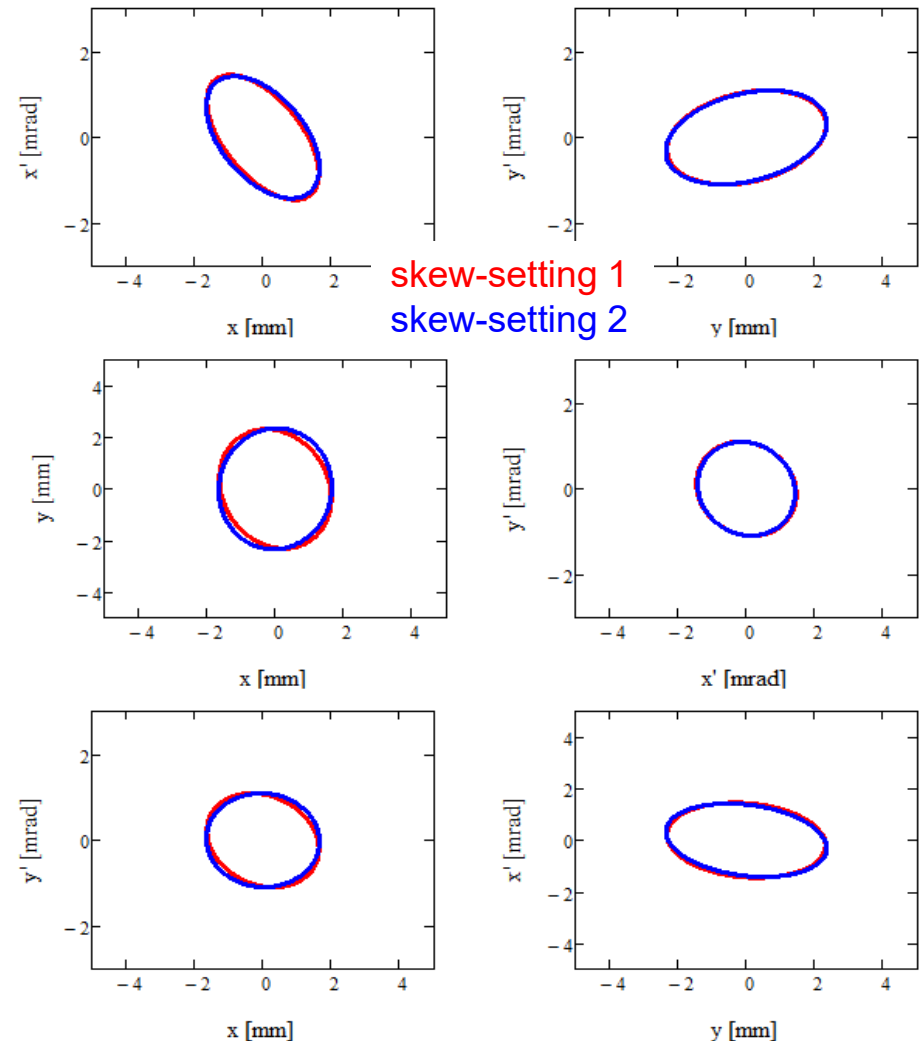
NIM A 820 14 (2016)
 Phys. Rev. Accel. Beams **19** 072801 (2016)

4d transverse diagnostics: Check reliability



- measurements were done for two different skew triplet settings
- both measurements were back-transformed to entrance of skew triplet
- back-transformations delivered very similar results
- the two measurements are consistent
- ROSE seems to be reliable

at skew triplet entrance:



Busch theorem for beams: Single particle (H. Busch 1926)

Busch theorem states

$$L_s = [\vec{r} \times (\vec{P} + eq\vec{A})] \cdot \vec{e}_s = \text{const}$$

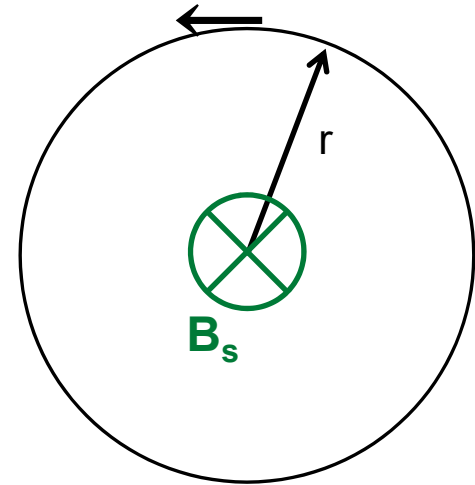
using cylindrical coordinates :

$$x = r \cos \theta, \quad y = r \sin \theta$$

$$\vec{P} = m \begin{bmatrix} \dot{x} \\ \dot{y} \\ \beta c \end{bmatrix}$$

$$L_s = mr^2 \dot{\theta} + \frac{1}{2} eq B_s r^2 = \text{const}$$

$$L_s = mr^2 \dot{\theta} + \frac{eq}{2\pi} \Psi = \text{const}$$



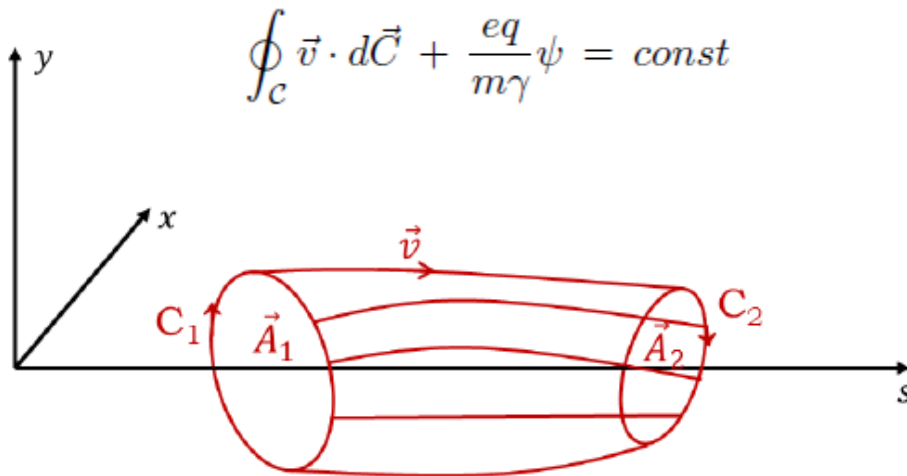
H. Busch, Z. Phys. **81** (5) 924 (1926)

$$L_s = \text{orbital angular momentum} + \text{flux through area of cyclotron motion} = \text{const}$$

Busch theorem for beams: Single particle trajectories

Busch theorem can be generalized to :

P. T. Kirstein, G. S. Kino, and W. E. Waters,
Space Charge Flow (McGraw-Hill, New York, 1967), p. 14



C_i enclose possible single
particle trajectories

$$C_i := \text{circles with constant } r_i \rightarrow m\gamma r^2 \dot{\theta} + \frac{eq}{2\pi} \Psi = const$$

Busch theorem for beams: Reformulate preservation of eigen-emittances

- „generalized“ eigen-emittance through replacing (x', y') by generalized momenta

$$C = \begin{bmatrix} \langle xx \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle \\ \langle x'x \rangle & \langle x'x' \rangle & \langle x'y \rangle & \langle x'y' \rangle \\ \langle yx \rangle & \langle yx' \rangle & \langle yy \rangle & \langle yy' \rangle \\ \langle y'x \rangle & \langle y'x' \rangle & \langle y'y \rangle & \langle y'y' \rangle \end{bmatrix} \longrightarrow \tilde{C} = \begin{bmatrix} \langle x^2 \rangle & \langle xp_x \rangle & \langle xy \rangle & \langle xp_y \rangle \\ \langle xp_x \rangle & \langle p_x^2 \rangle & \langle yp_x \rangle & \langle p_x p_y \rangle \\ \langle xy \rangle & \langle yp_x \rangle & \langle y^2 \rangle & \langle yp_y \rangle \\ \langle xp_y \rangle & \langle p_x p_y \rangle & \langle yp_y \rangle & \langle p_y^2 \rangle \end{bmatrix}$$

$$J = \begin{bmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix} \quad \tilde{\varepsilon}_{1/2} = \frac{1}{2} \sqrt{-\text{tr}[(\tilde{C}J)^2] \pm \sqrt{\text{tr}^2[(\tilde{C}J)^2] - 16 \det(\tilde{C})}}$$

- if $\tilde{\varepsilon}_{1/2}$ are preserved, also $\tilde{\varepsilon}_1^2 + \tilde{\varepsilon}_2^2$ must be preserved

- state $\tilde{\varepsilon}_1^2 + \tilde{\varepsilon}_2^2 = \text{const}$ using generalized momenta

$$p_x := x' + \frac{A_x}{(B\rho)} = x' - \frac{yB_s}{2(B\rho)}$$

$$p_y := y' + \frac{A_y}{(B\rho)} = y' + \frac{x B_s}{2(B\rho)}$$

to obtain expression for useful „laboratory“ eigen-emittances

Busch theorem for beams

$$(\varepsilon_1 - \varepsilon_2)^2 + \left[\frac{AB_s}{(B\rho)} \right]^2 + 2 \frac{B_s}{(B\rho)} [\langle y^2 \rangle \langle xy' \rangle - \langle x^2 \rangle \langle yx' \rangle + \langle xy \rangle (\langle xx' \rangle - \langle yy' \rangle)] = \text{const}$$

- sum of three quantities forms an invariant
- difference of eigen-emittances, flux through beam area,
- what is the third ?
 - has dimension m^3
 - scales with beam rms area as for $y = ax$ it vanishes
 - vanishes for uncorrelated beams
 - invariant under rotation around beam axis
 - it turns out to be the beam's vorticity multiplied by the beam area

Busch theorem for beams

original Busch theorem for single particle :

$$\oint_C \vec{v} \cdot d\vec{C} + \frac{eq}{m\gamma} \psi = \text{const}$$

theorem extended to accelerated particle beams :

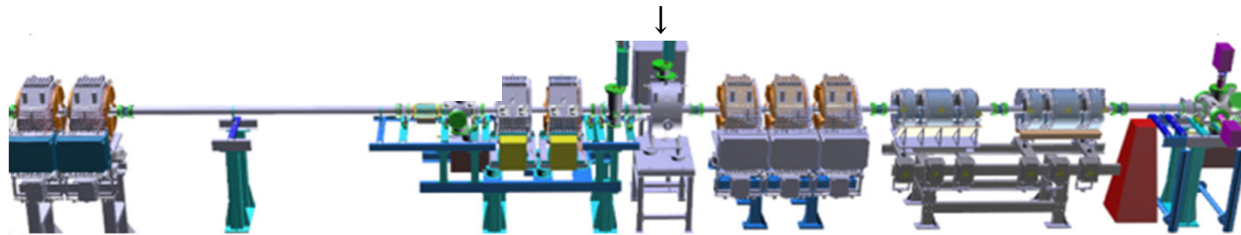
$$(\varepsilon_{n1} - \varepsilon_{n2})^2 + \frac{4eq\psi\beta\gamma}{mc\pi} \oint_C \vec{r}' \cdot d\vec{C} + \left[\frac{eq\psi}{mc\pi} \right]^2 = \text{const}$$

- both expressions include flux and „vorticity“ $\vec{v} \cdot d\vec{C} \cong (\vec{\nabla} \times \vec{v}) \cdot d\vec{A}$ (Stoke's law)
- the extended theorem additionally includes eigen-emittances
- theorem allows very fast modeling of setups for emittance gymnastics

Phys. Rev. Accel. Beams **20** 014201 (2018)

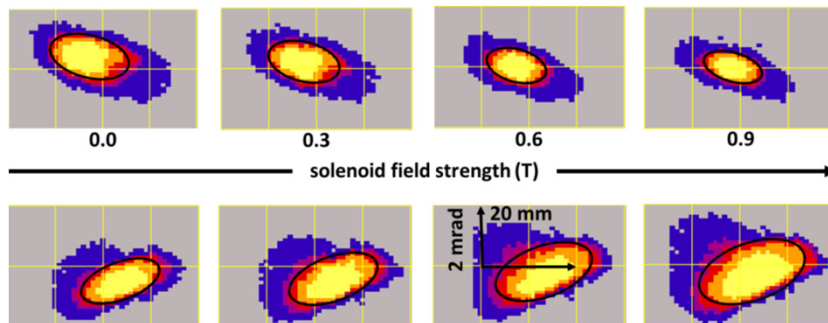
Busch theorem for beams: Application to emittance shaping (EMTEX)

charge state stripping inside solenoid

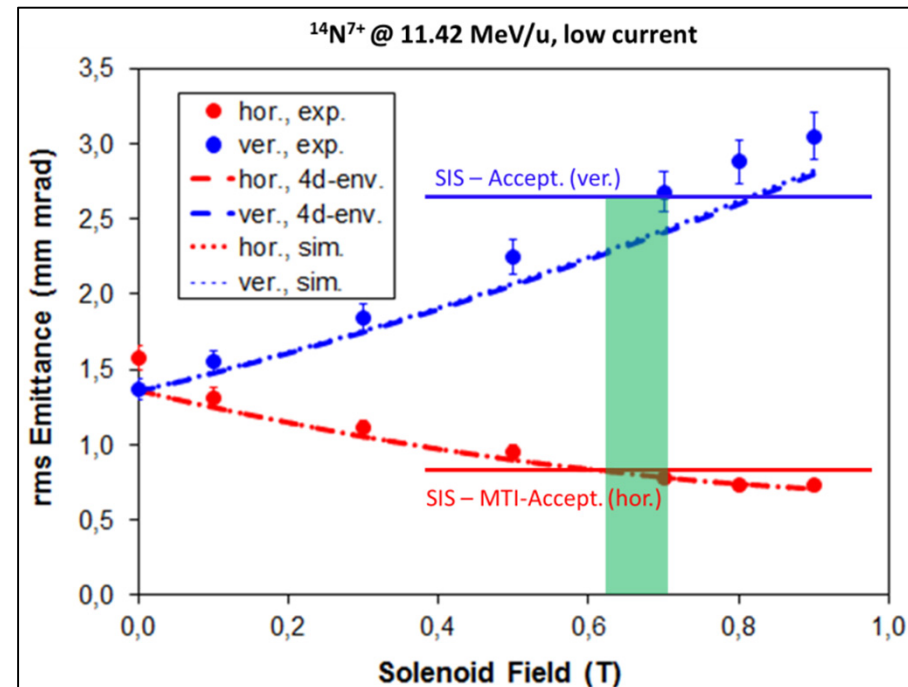


$$(\varepsilon_{x,7+} - \varepsilon_{y,7+})^2 = (\varepsilon_{x,3+} - \varepsilon_{y,3+})^2 + (A_f B_0)^2 \left[\frac{1}{(B\rho)_{7+}} - \frac{1}{(B\rho)_{3+}} \right]^2$$

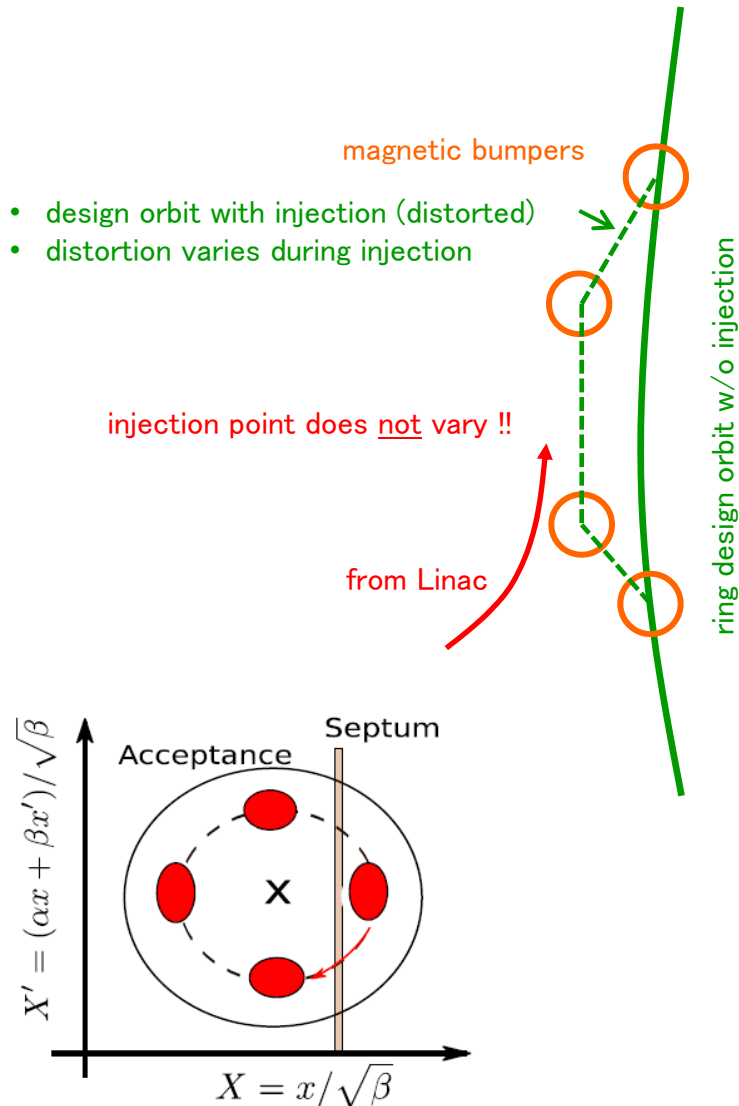
emittance shaping



Phys. Rev. Lett. **113** 264802 (2014)



Optimization of multi-turn injection



many injection parameters to be optimized:

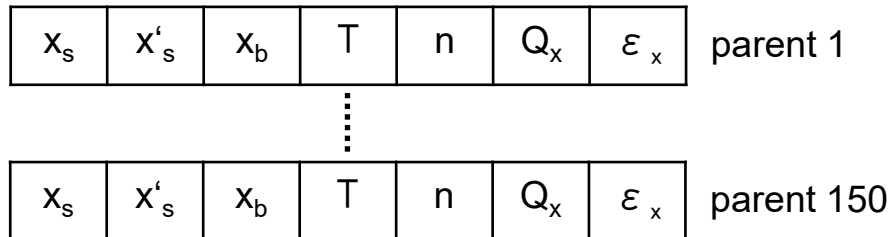
- | | |
|---------------------------------------|-----------------|
| • position of incoming beam at septum | x_s, x'_s |
| • initial distortion from bumper | x_b |
| • bump decreasing rate | T |
| • # turns to be injected | n |
| • hor. tune | Q_x |
| • hor. emittance from linac | ε_x |

target of optimization:

- maximum current after injection
- minimum integrated losses
- emittance (brilliance) from linac

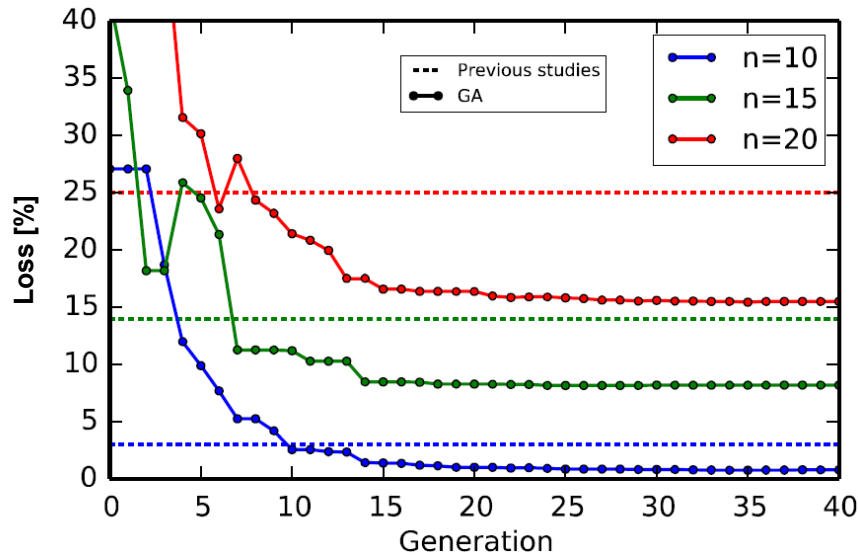
Optimization of multi-turn injection: Generic algorithm

- create about 150 random sets of parameters (parents = generation #1)



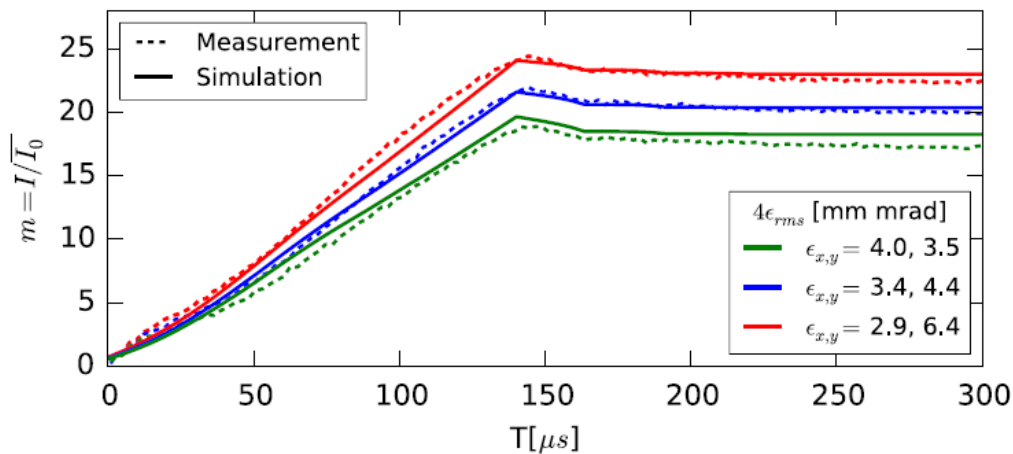
- check all parents for their fitness w.r.t. target of optimization
- pick best 100 parents and create about 50 children (gen. #2) from:
 - mutation of one parent (vary each parameter by some percent)
 - combination of parameters from two parents
- check all 50 children + 100 parents for their fitness
- pick best 100 from this pool and create 50 children (gen. #3) from:
 - mutation of one parent (vary each parameter by some percent)
 - combination of parameters from two parents
- check all 50 children + 100 parents for their fitness ...

Optimization of multi-turn injection: Generic algorithm



algorithm reduces losses by a factor of 2

Nucl. Instrum. & Meth. **A** 852, p.73 (2017)



Nucl. Instrum. & Meth. **A** 866, p.36 (2017)

Summary

- Ion stripping efficiency increases by 50% using pulsed H₂ jet
- Advanced longitudinal beam dyn. modeling of DTLs explains UNILAC 's “intermediate-energy puzzle”
- Demonstration of complete 4d transverse diagnostics at ions with $E \gtrsim 150$ keV/u
- Fast modeling of emittance gymnastics by extending Busch theorem to beams
- Generic algorithm increases efficiency of multi-turn injection into rings