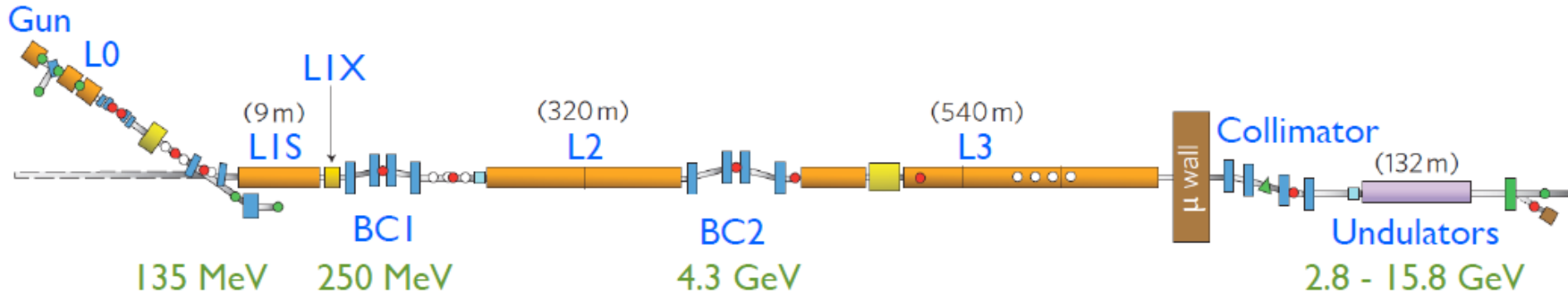


# Fast Simulation of FEL Linacs with Collective Effects

M. Dohlus  
IPAC 2018

# A typical X-FEL



gun environment  
photo cathode  
cavity, solenoid,  
drift ...

straight  
cavity,  
quadrupole,  
drift ...

dispersive  
bend,  
quadrupole,  
drift ...

FEL  
undulator,  
quadrupole,  
wakes ...

Linacs  
diagnostics  
transport ...

chicanes  
collimators  
dog legs ...

FEL interaction

“accelerator”

# 4 Types of Problems

gun environment  
photo cathode  
cavity, solenoid,  
drift ...

cathode physics  
self-fields ~ external fields  
assumption for self fields:  
„SC“ or Maxwell

Parmela, Astra, GPT, CST-PS,  
Impact, Opal,...

interface

straight  
cavity,  
quadrupole,  
drift ...

external fields > self fields  
standard approaches:  
„SC“ for straight part,  
1D-CSR for non-straight part

Astra, GPT, ...

Elegant,  
Impact, Opal, Ocelot, Xtrack, ...

dispersive  
bend,  
quadrupole,  
drift ...

CSRtrack, ...

interface

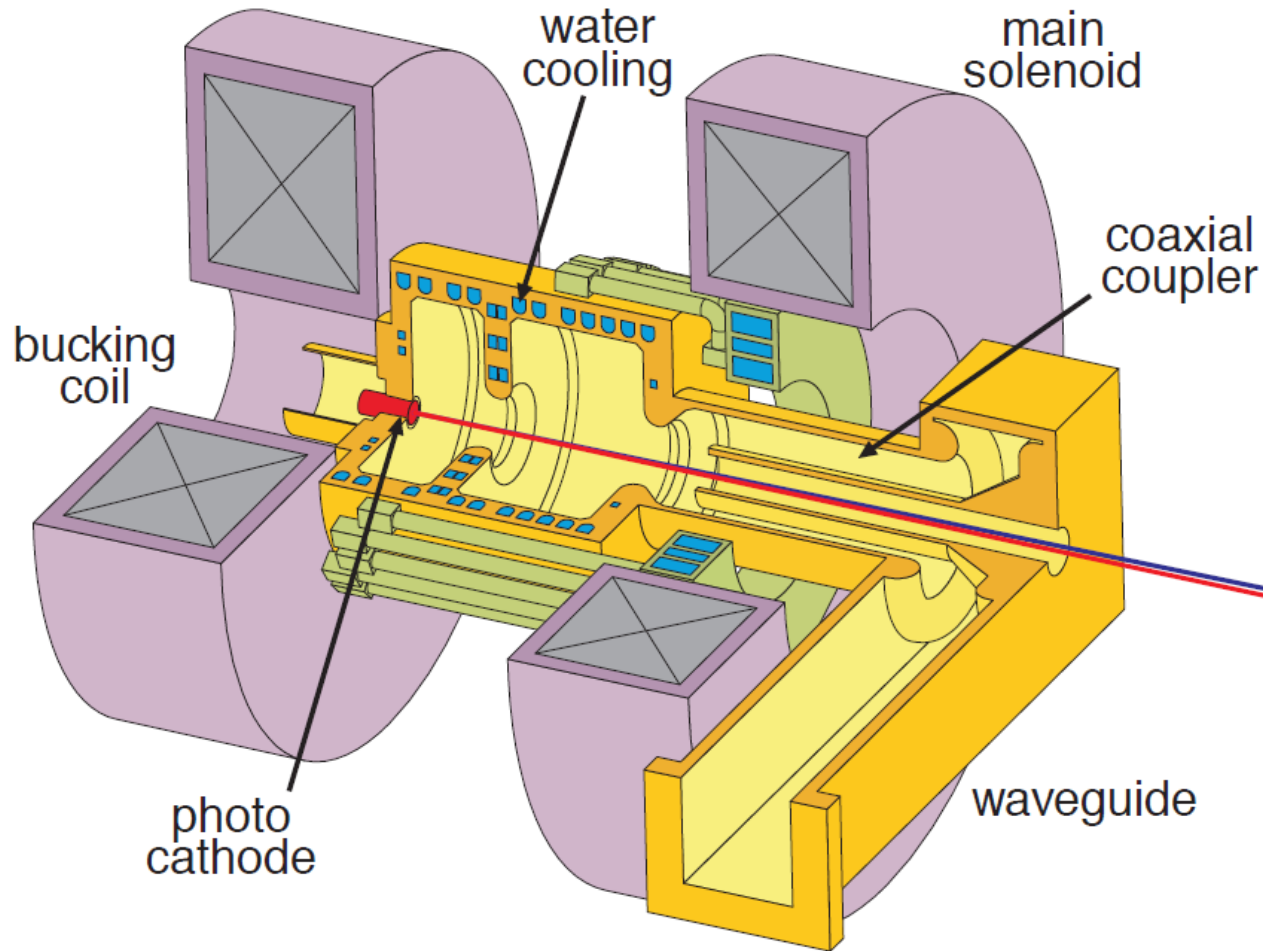
FEL  
undulator,  
quadrupole,  
wakes ...

FEL effects  
slippage & wave propagation  
mono- or multi-frequent

Genesis, Alice,  
Fast, Ginger, ...

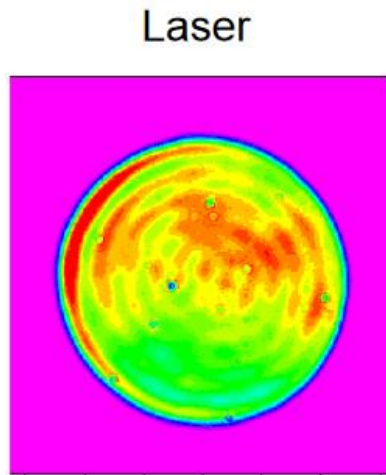
“accelerator”

# About EM-Fields: Gun

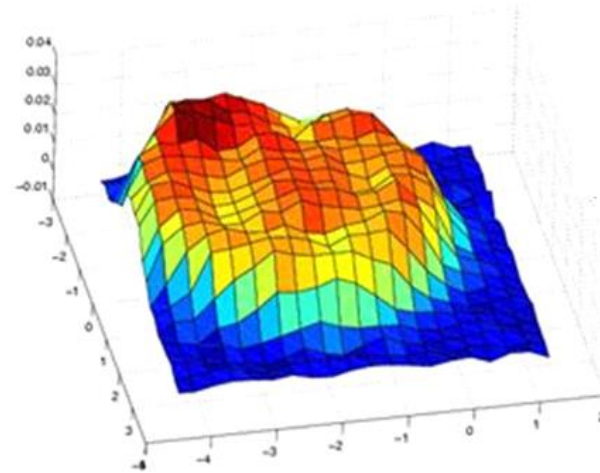


# emission model → cathode distribution

Laser (transverse & time)  
quantum efficiency (transverse)

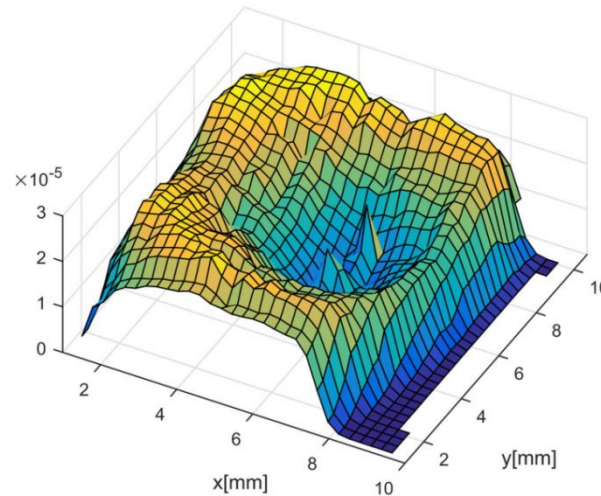
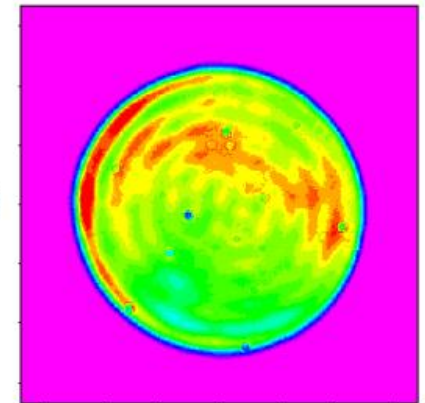


**X**



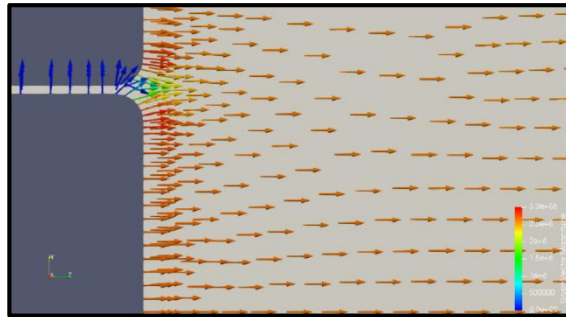
QE map

**=**

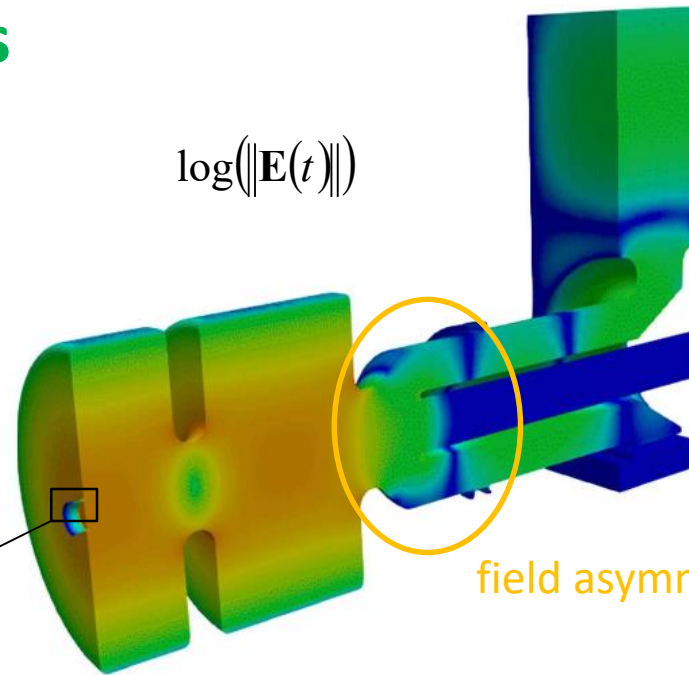


# electro-magnetic fields

external fields  $\|\mathbf{E}\| \sim 100 \frac{\text{MV}}{\text{m}}$

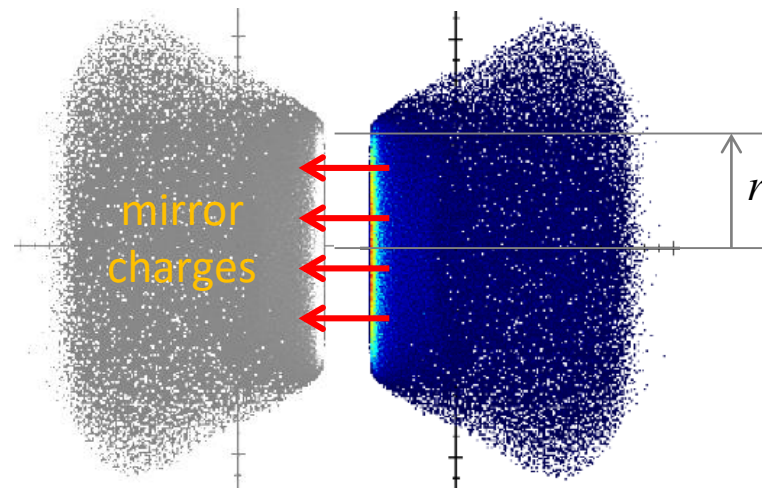


$\log(\|\mathbf{E}(t)\|)$



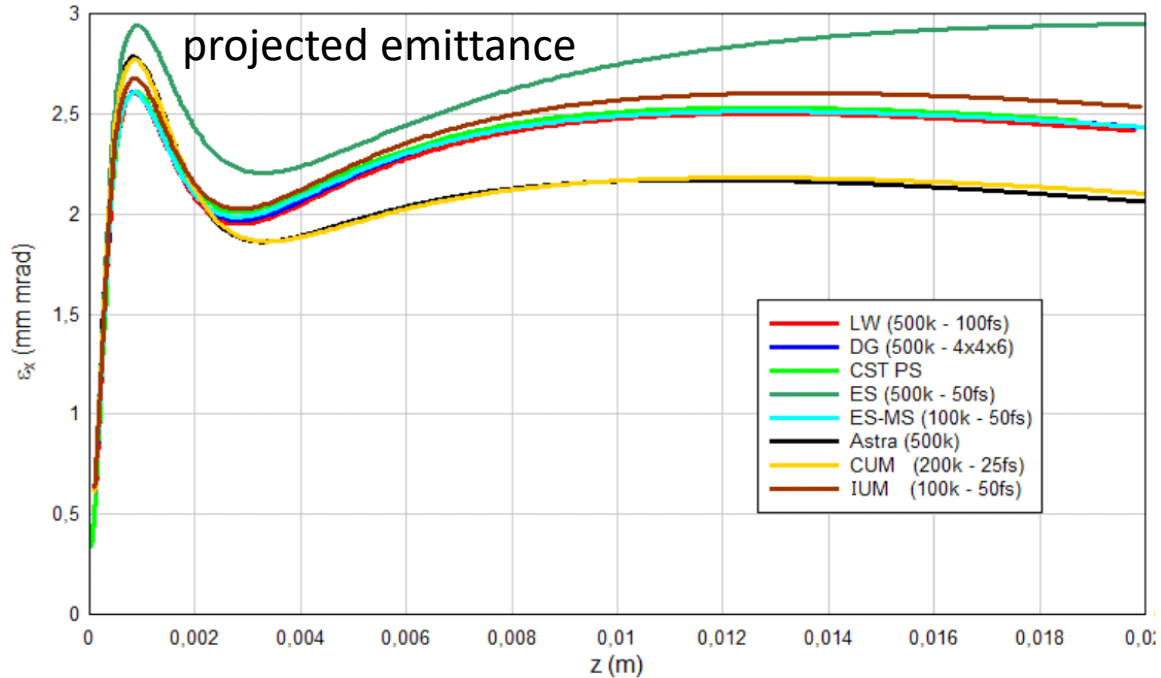
courtesy  
W. Ackermann  
(TUD-TEMF)

self fields  $E_z \sim \frac{Q}{\epsilon_0} \frac{1}{\pi r^2}$



same order of magnitude

# tracking with different types of self fields



courtesy  
E. Gjonaj (TUD-TEMF)

LW	Lienert Wiechert
DG	
CST	CST particle studio
ES	electro static approximation
ESMS	electro-static and magneto-static approximation
Astra	collective uniform motion approach
CUM	<b>collective uniform motion</b> approach
IUM	individual (per particle) uniform motion approach

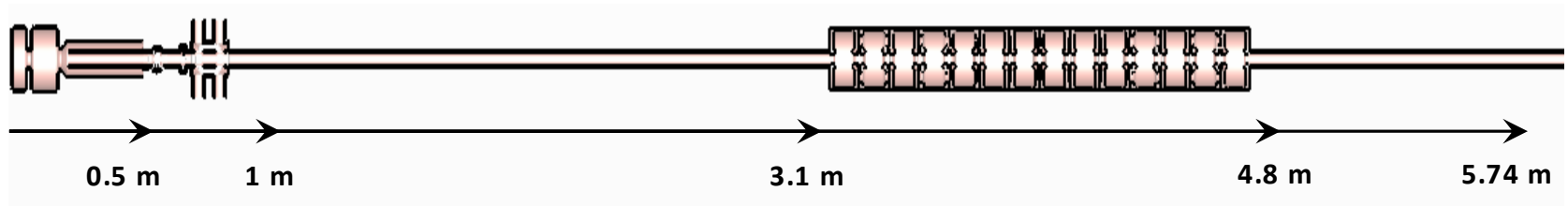
full Maxwell

static

uniform motion

# About EM-Fields: First Straight Section

(PITZ at DESY, Zeuthen)



**full Maxwell**

**PBCI**

grid  $\Delta = 50 \mu\text{m}$

mesh-cells  $\sim 300 \times 10^6$

time-steps  $\sim 10^5$

simulation time  $\sim 1 \dots 3$  day

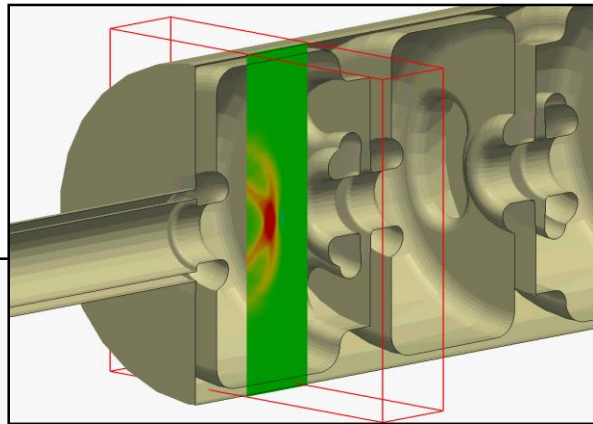
parallel computing

**collective uniform motion**

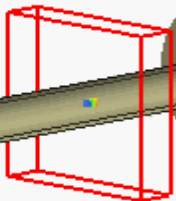
**Astra**

$\sim$  minutes

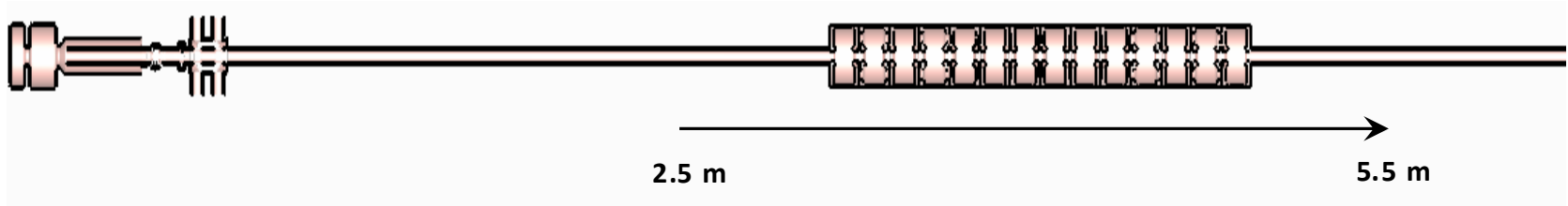
scalar computing



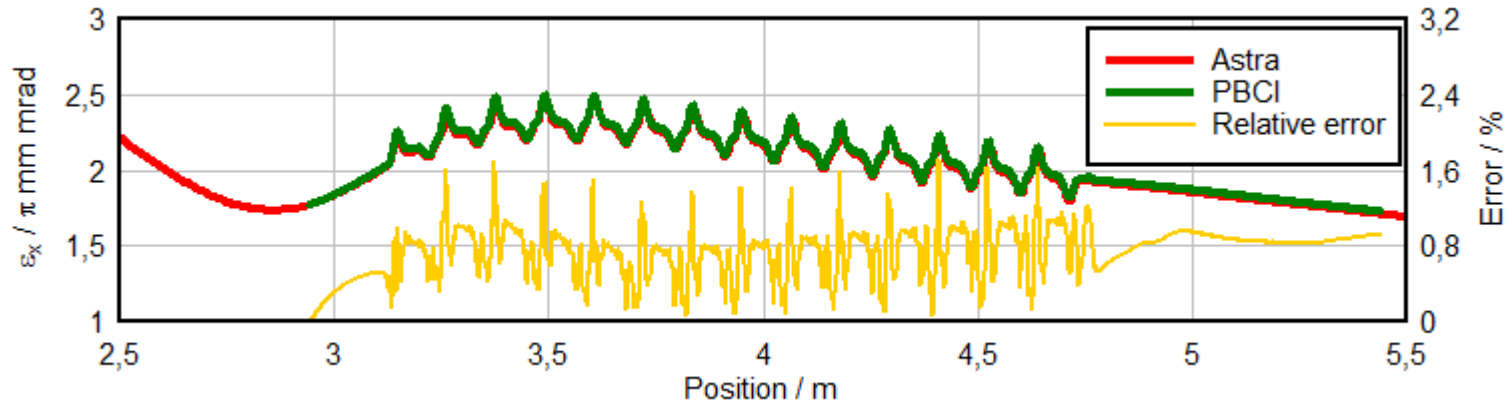
$q = 2 \text{ nC}$



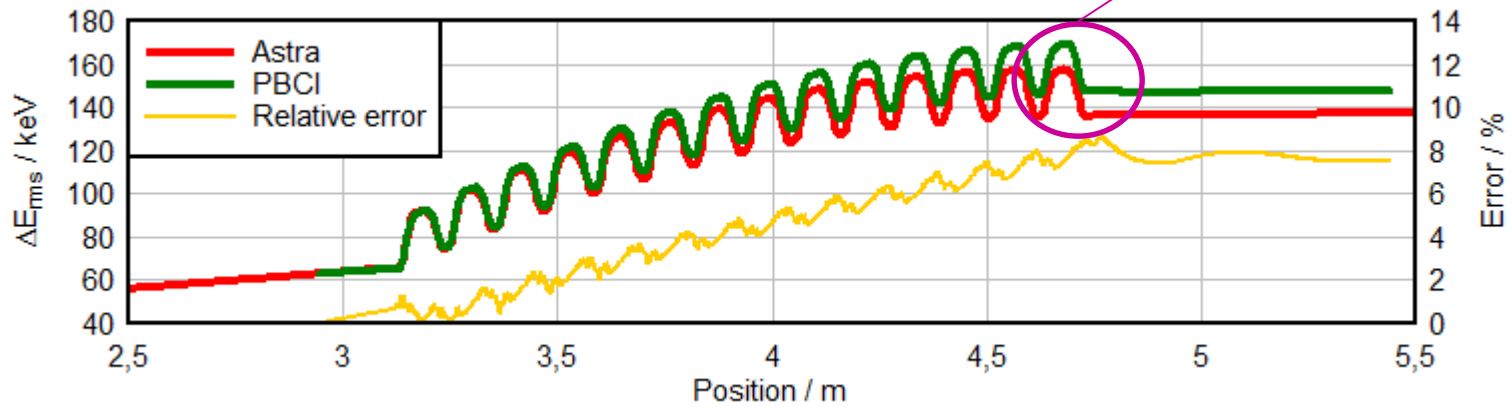




projected emittance



energy spread



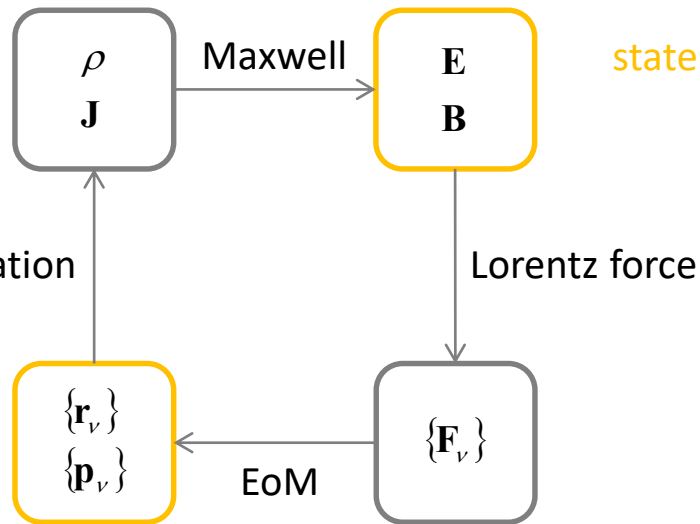
difference is caused by wakes !

# About EM-Fields: Maxwell vs. CUM

time domain solver

domain = field-volume

time step by Courant criterion  $\sim 1\mu\text{m}/c$



effort is very high

$$\sim \frac{\text{length of beamline}}{\text{length of bunch}} < 1\text{E}3 \dots 1\text{E}6$$

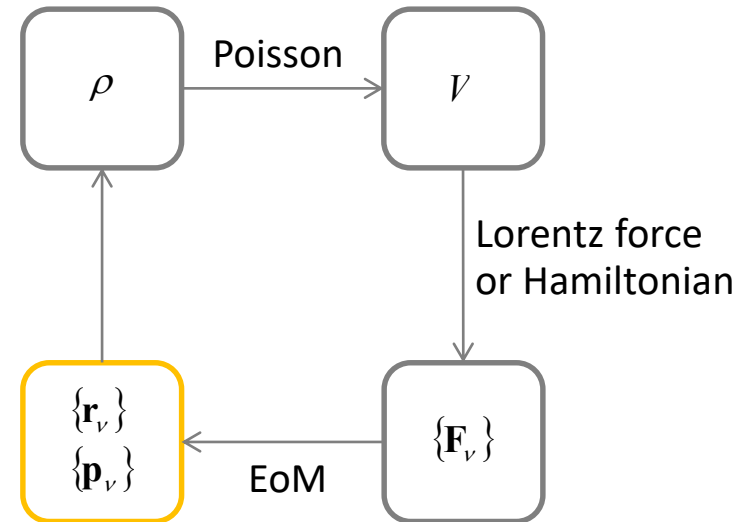
(dispersion free, window & parallel)

collective uniform motion

"frozen" bunches

domain = bunch-volume

time step  $\leftarrow$  variation of ext. fields  $\sim 1\text{cm}/c$



moderate effort

# About Tracking: General vs. Adopted

general purpose tracking

no assumption about fields

any spatial and time dependency

any ratio of self- to external fields

difficult step width control

fine steps in fringe fields

applications

strong self fields

gun

or validation of adopted tracking

adopted tracking

generic description of external fields

field regions with hard boundaries

f.i.  $\mathbf{B}(x, y, z, t) = \nabla \times (\mathbf{e}_z A(x, y))$

tracking between boundaries in large steps

special steps at boundaries (edges)

applications

weak self fields

cavities and magnets above 10 MeV

very effective

# remarks

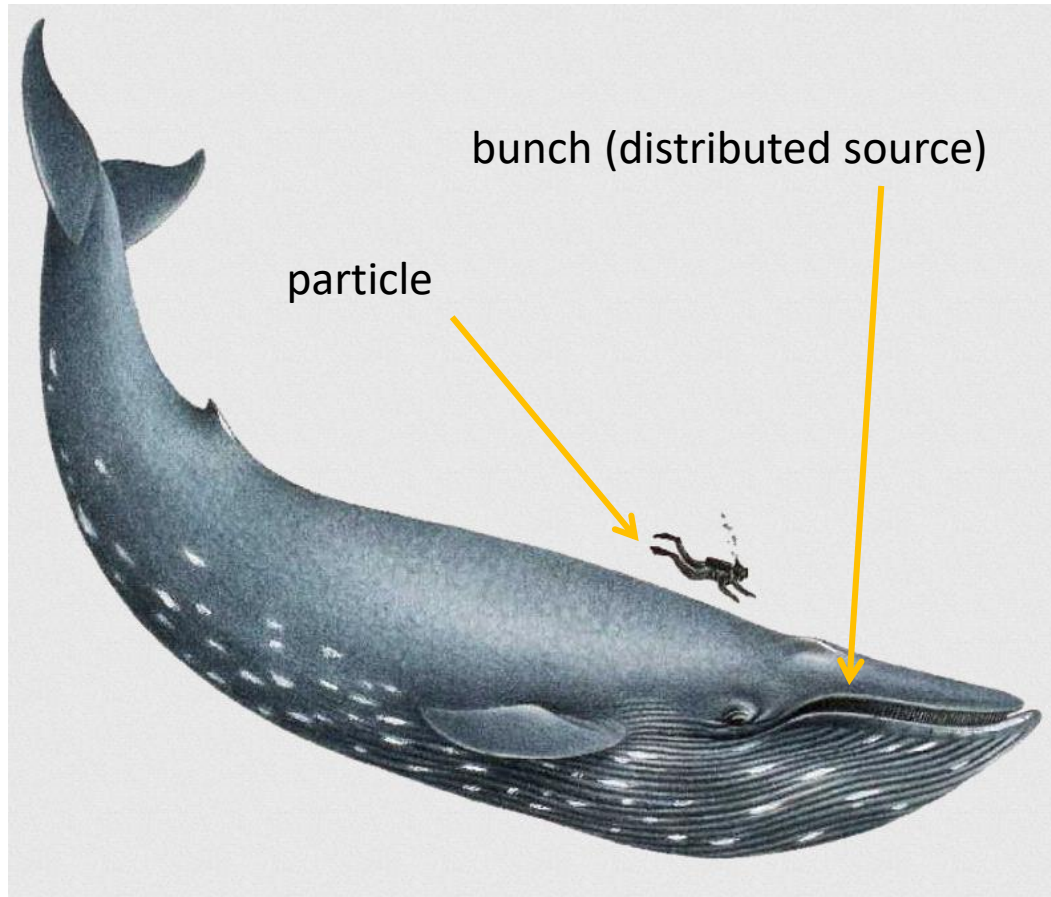
**gun:** needs cathode and emission model  
external fields by field maps → **general tracking method**  
**self fields by CUM** or even Maxwell, large effort for Maxwell  
interplay of self- and external fields is crucial (emittance compensation)  
computation and optimization is time consuming

**gun** and “accelerator” are **computed separately**

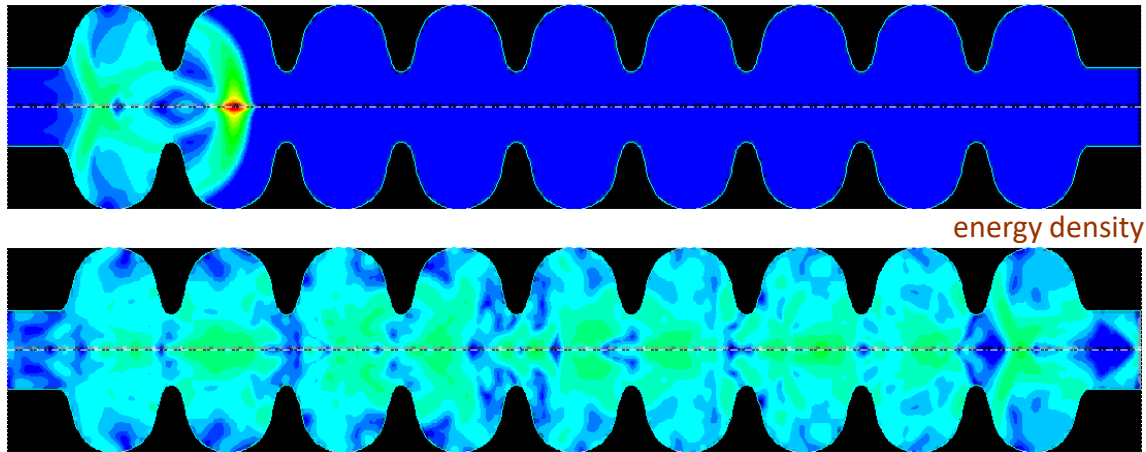
**straight sections:** **self fields by CUM + wakes**  
generic external fields → **adopted tracking method**  
very effective computation (per length)

**to be considered:** **wakes** (geometry, resistivity of chamber)  
**CSR** (trajectory, ... chamber)

# Wakes



# wakes are pre-calculated solutions



source particle  $\mathbf{r}_s(t) = x_s \mathbf{e}_x + y_s \mathbf{e}_y + ct \mathbf{e}_z$  with charge  $q_s$  creates fields  $\mathbf{E}$ ,  $\mathbf{B}$

test particle  $\mathbf{r}_t(t) = x_t \mathbf{e}_x + y_t \mathbf{e}_y + (ct - s) \mathbf{e}_z$  gets integrated kick  $\Delta \mathbf{p}$

$$\mathbf{w}(x_s, y_s, x_t, y_t, s) = \frac{\Delta \mathbf{p}}{q_s q_t}$$

short & long range wakes

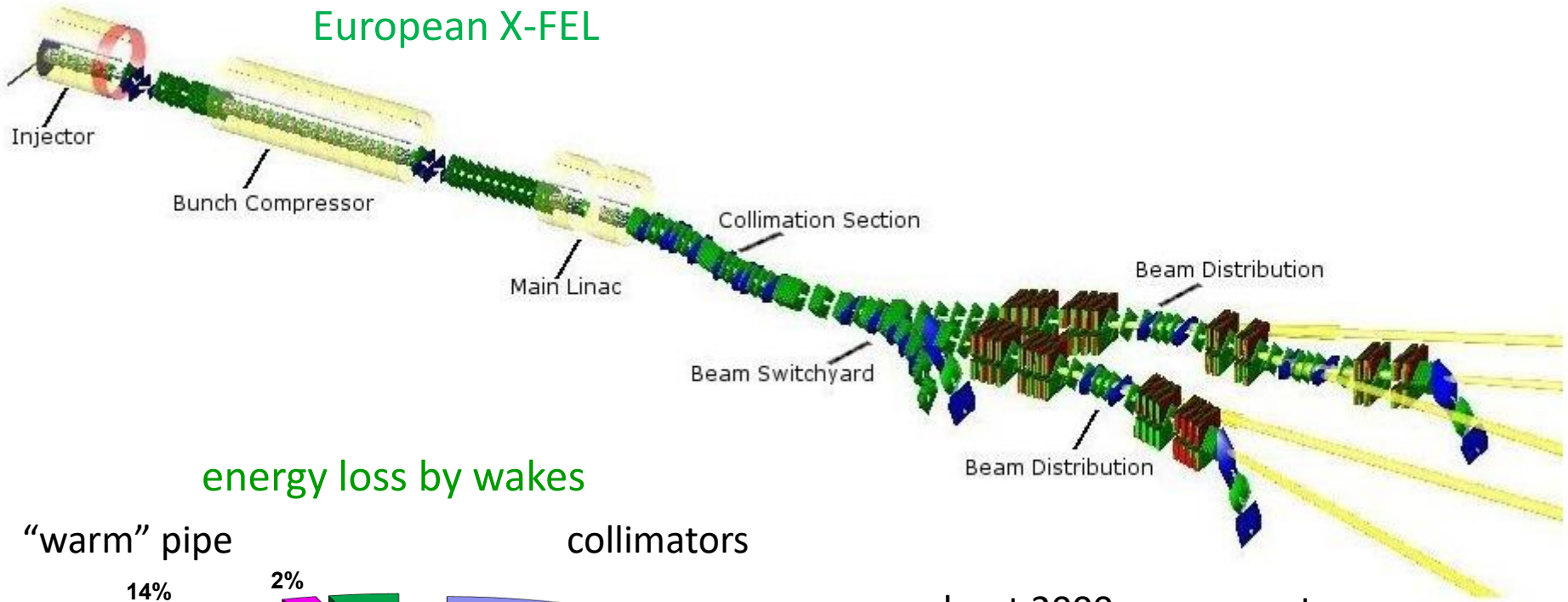
monopole and dipole wakes in structures with symmetry of revolution !!!

longitudinal and transverse wakes

offset-independent

# impedance/wake data base

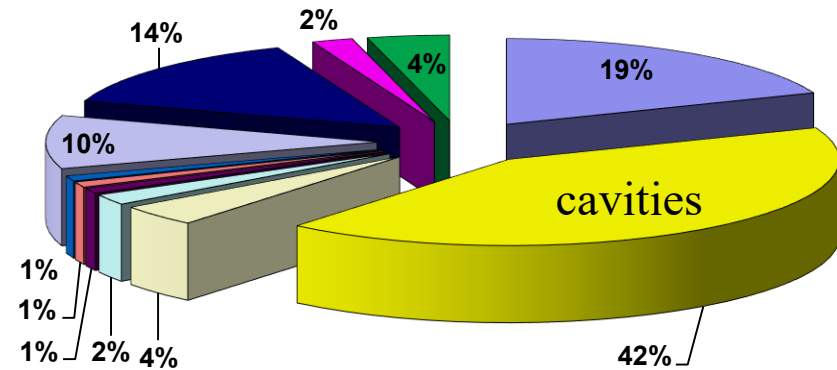
European X-FEL



## energy loss by wakes

“warm” pipe

collimators



COL	CAV	TDS
BPMA	OTRA	BPMR
TORAO	KICK	PIP20
PUMCL	FLANG	

about 2000 components:  
824 cavities (including TDS)

500 flanges

220 BPMs (5 types)

78 pumps

20 OTR screens

7 collimators

5 BAMs

3 kickers

warm pipes, ...

# remarks

wakes can be “collected” over some length

update of transverse wakes: length  $\ll$  betatron wavelength

update of longitudinal wakes: length  $\ll$  wavelength of longitudinal oscillations  
before dispersive sections

wake updates are fast compared to SC updates, but less often

accelerator:

effects due to transverse wakes are minor

effects due to longitudinal wakes are essential  $\rightarrow$  long. phase space and compression

in undulator:

longitudinal wake causes energy loss

tapering

FEL codes use a wake per length (averaged for a typical section)

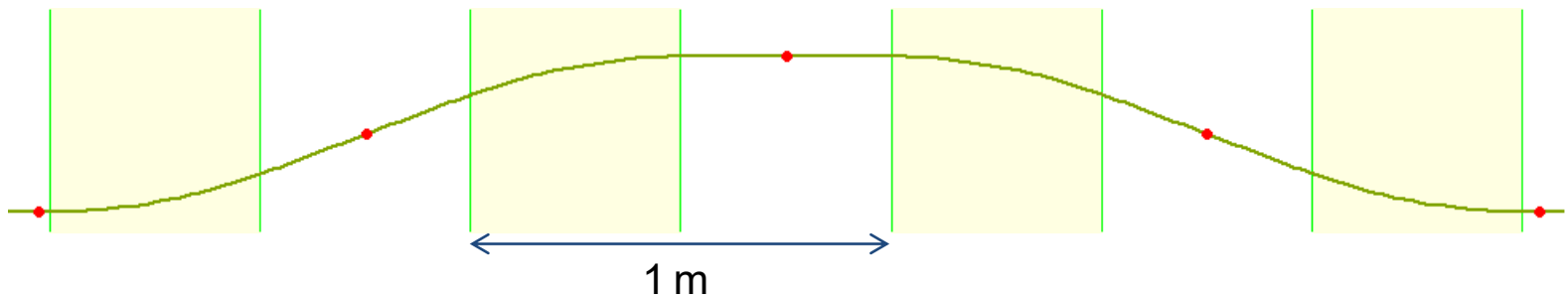
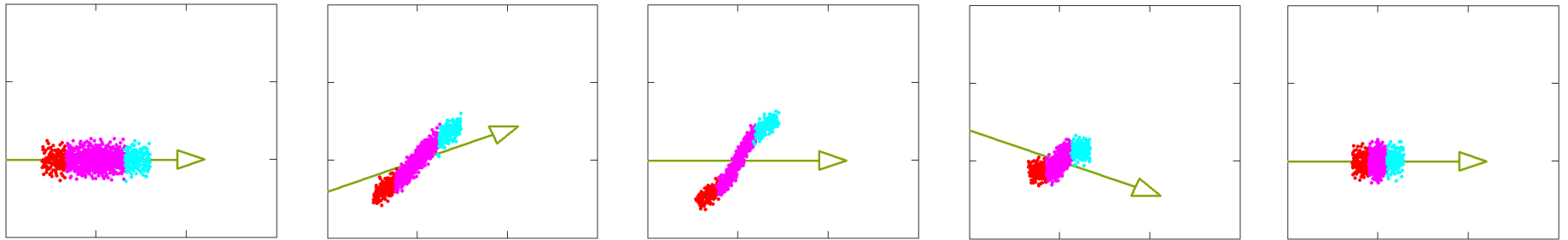
transient wakes can be used for dispersive sections ( $\rightarrow$  **1D CSR model**)



# CSR Effects in Chicanes

do not try this at home: 1nC  $\rightarrow$  5 kA @ 500 MeV

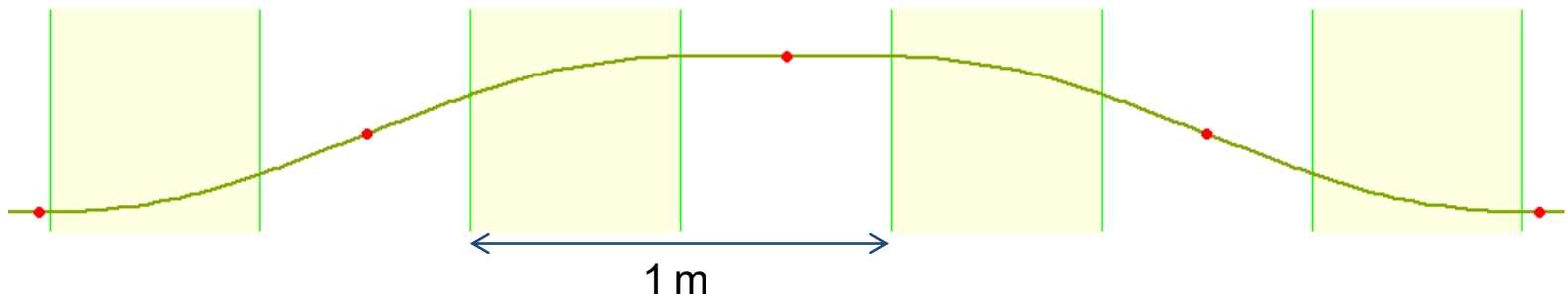
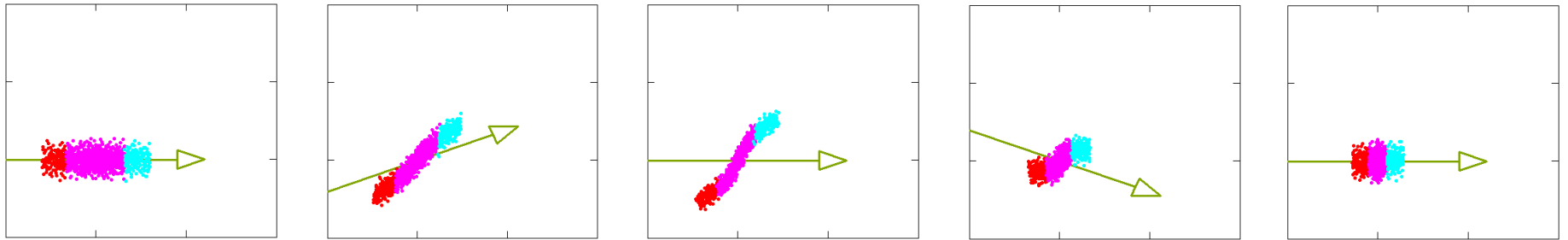
without self-interaction



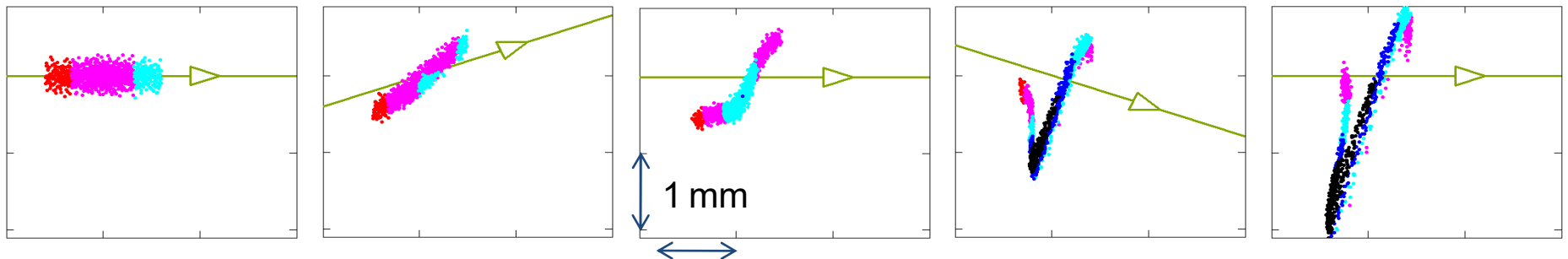
# CSR Effects in Chicanes

do not try this at home: 1nC  $\rightarrow$  5 kA @ 500 MeV

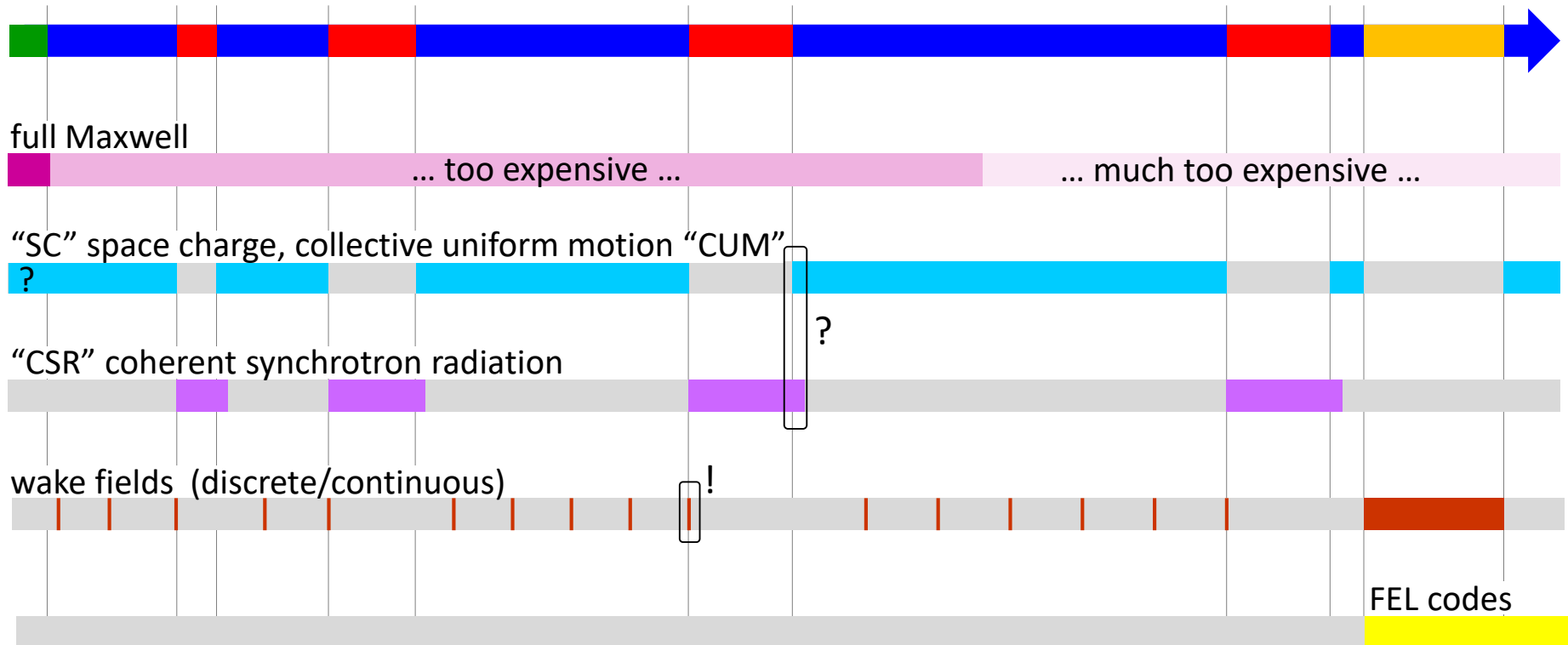
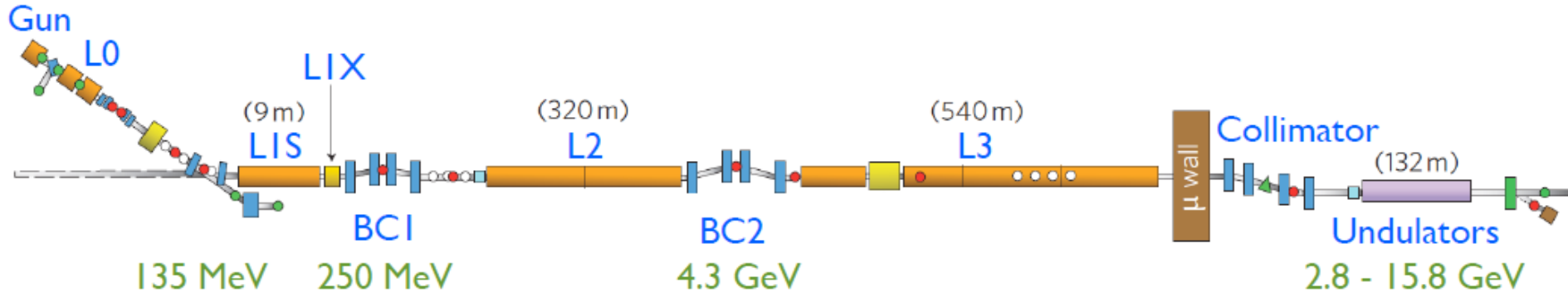
without self-interaction



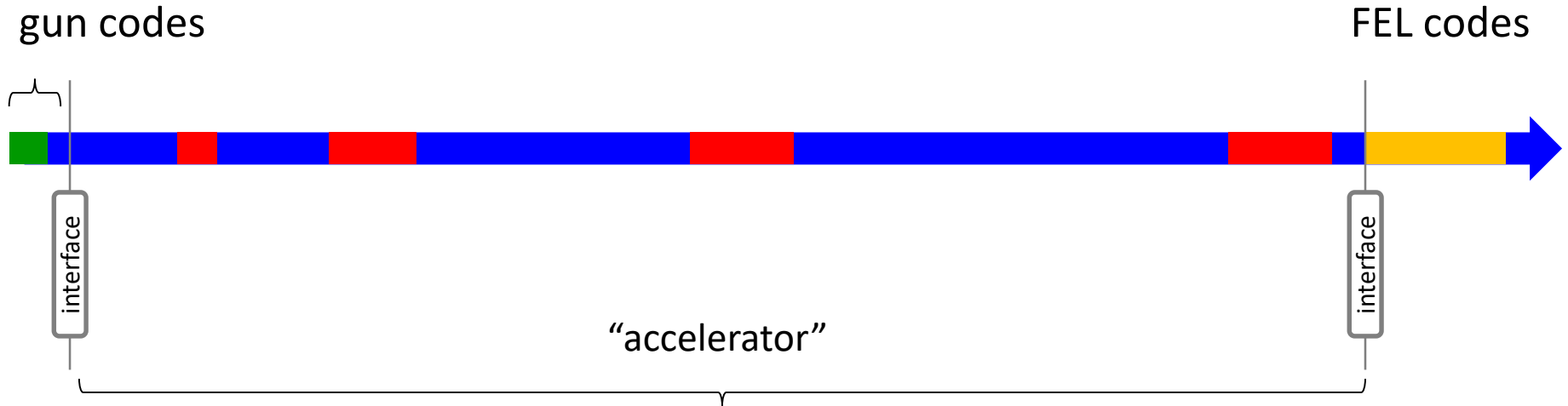
with self-interaction



# Interplay for Start-to-End Simulations



# The Standard Approach



Impact, Opal, Ocelot, Xtrack, ...

CUM + adopted

wakes

1D CSR

parallel implementations

# Considered Effects of Standard Approach

precise **longitudinal dynamics** (compression)

longitudinal profile

peak current

correlated & un-correlated energy spread

BCs: parallel plate shielding (perfect conducting)

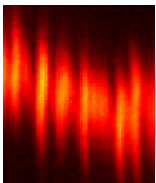
**transverse self effects**

transverse shape

emittance

SC optics, mismatch & self-mismatch

**micro-bunching**



start-up from shot-noise needs “full particle” simulation and high spatial resolution

identify critical wavelength

increased emittance and energy spread

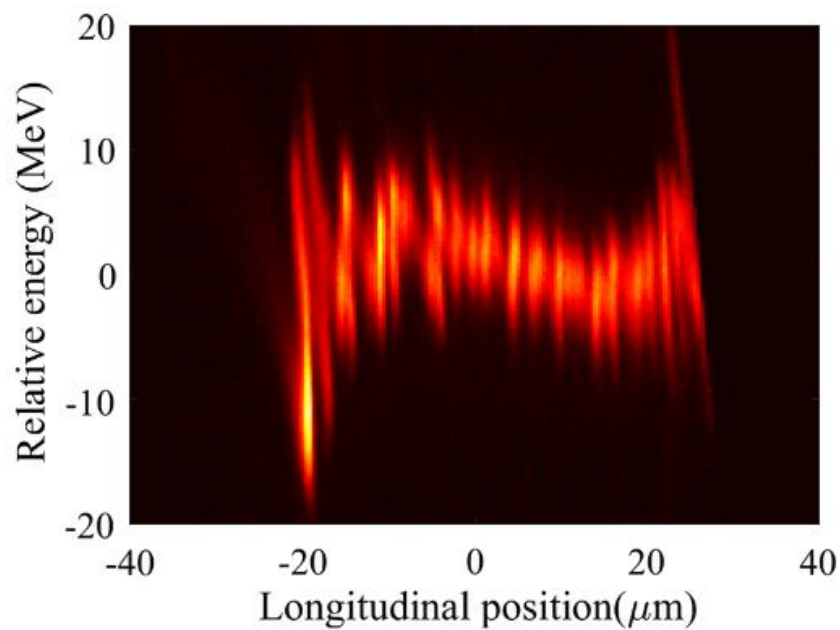
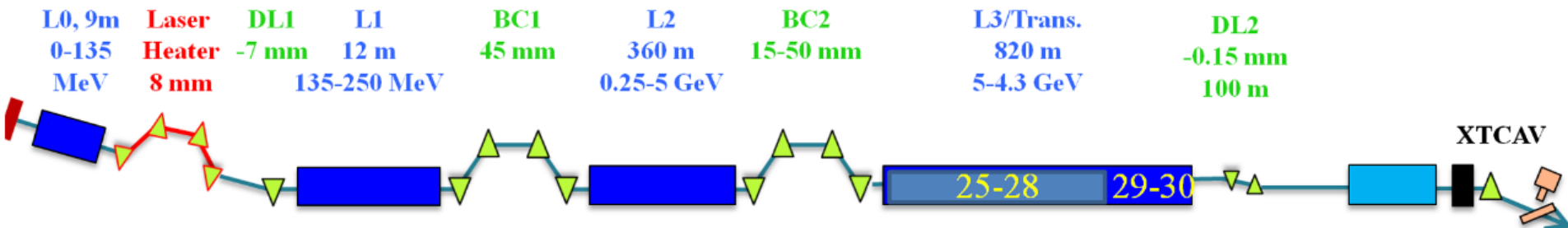
effect of laser heater

# micro-bunching with full-particle-simulation

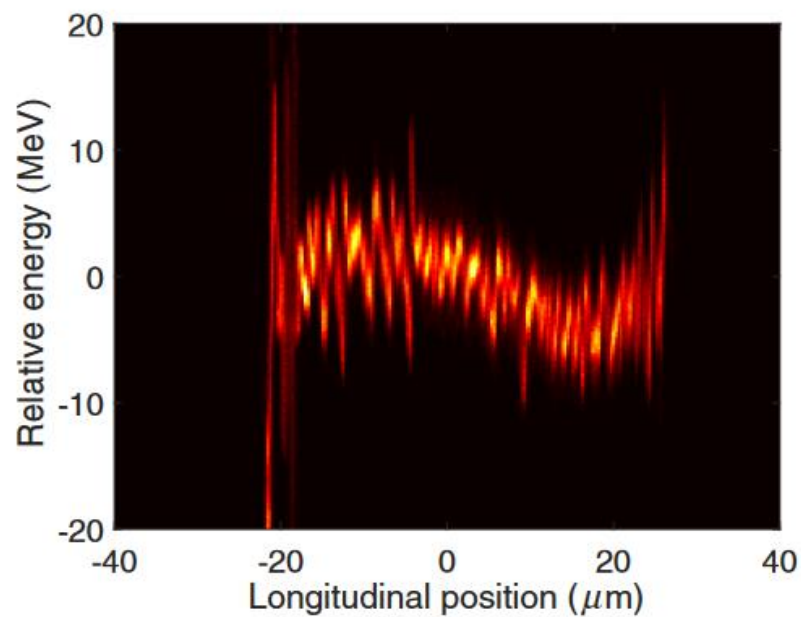
PHYSICAL REVIEW ACCELERATORS AND BEAMS 20, 054402 (2017)

Start-to-end simulation of the shot-noise driven microbunching instability experiment at the Linac Coherent Light Source

J. Qiang,<sup>1\*</sup> Y. Ding,<sup>2†</sup> P. Emma,<sup>2</sup> Z. Huang,<sup>2</sup> D. Ratner,<sup>2</sup> T. O. Raubenheimer,<sup>2</sup>  
M. Venturini,<sup>1</sup> and F. Zhou<sup>2</sup>



(a) measurement



(b) simulation

180pC  
1kA  
LH off

# Missing Effects of Standard Approach

3D CSR model

density( $x,y,s$ )

force vector ( $x,y,s$ )

consistent treatment of “SC” + “CSR”

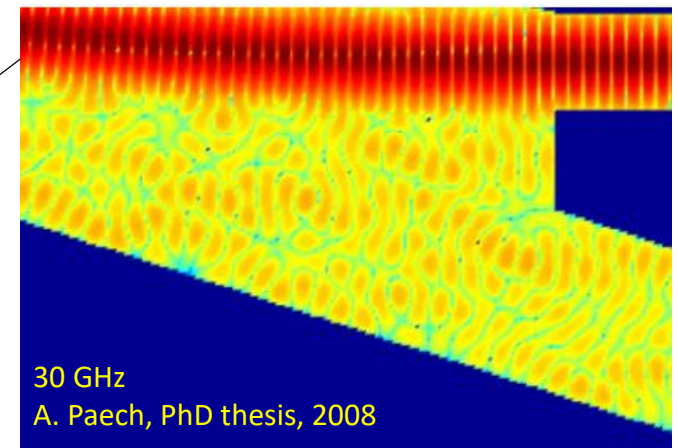
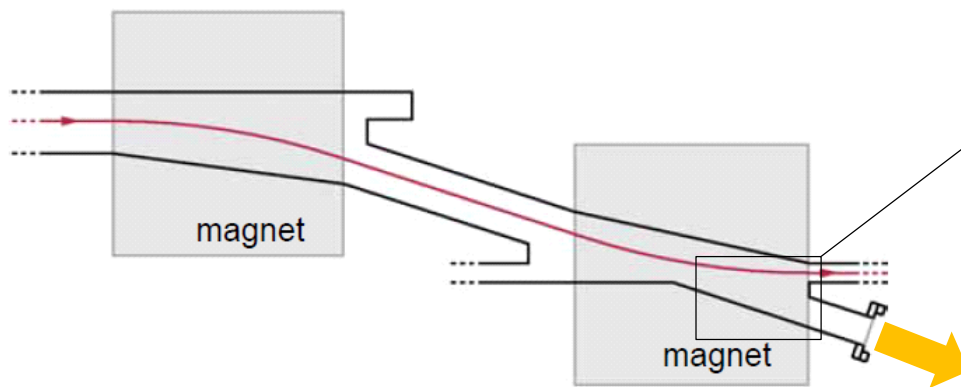
1D CSR model

density( $s$ )

long. force ( $s$ )

BC chambers: CSR + resistive wall effects in  
energy loss → beam dynamics  
→ heating

radiation



# Lighter Approaches and Special Methods

3D beam & 1D forces: (as Elegant or Xtrack)

1D self effects (**long. SC impedance,**  
wakes,  
1D CSR)  
3D beam & tracking

**applicability** of longitudinal SC impedance:  $\gamma z_c \gg \sigma_{\perp}$   
 $z_c$  is characteristic length (bunch length or scale of **micro-bunching**)

this **condition is** usually **good fulfilled** after the first cavities for the rest of the machine, **if there is no micro-bunching**

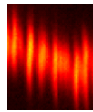
**in straight sections** after the first cavities the **longitudinal shape** is nearly **frozen**

**light trackers:** (as LiTrack or Rftweak) with 1D beam & tracking

**discrete model** (with effective impedance) for straight sections,  
pre-calculated wake-tables for dispersive sections (CSR)  
→ tool for control room



# micro-bunching (special):



## 1D particles

one macro **particle** per electron  
**shot noise**

$$\{z_\nu, p_{z\nu}\}$$

dynamics in **longitudinal** phase space

initial z-distribution = arbitrary

initial energy distribution = arbitrary, z-independent

1d space charge impedance  
1d wakes

particle tracking

**phase space**  
non-linear effects  
(as **saturation** and harmonics)

## LGM (linear gain model)

**continuous** 6d phase space  
coasting beam + **periodic perturbation**

$$F(\mathbf{r}, \mathbf{p}) = F_0(\mathbf{r}, \mathbf{p}) + f(\mathbf{r}, \mathbf{p})$$

dynamics in **full phase space**

initial z-perturbation = harmonic

initial transverse phase space = gaussian

1d CSR

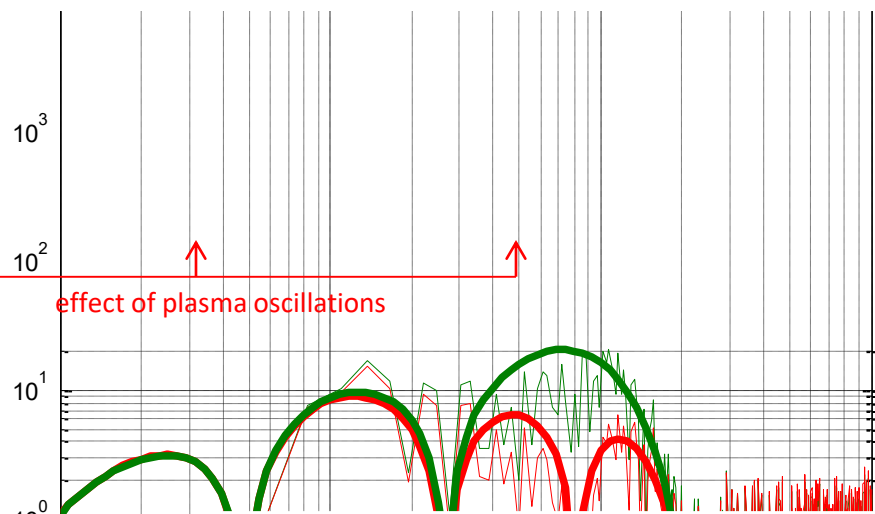
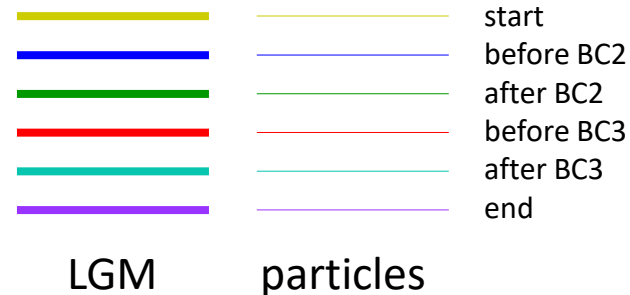
integral equation

**gain**  
linear

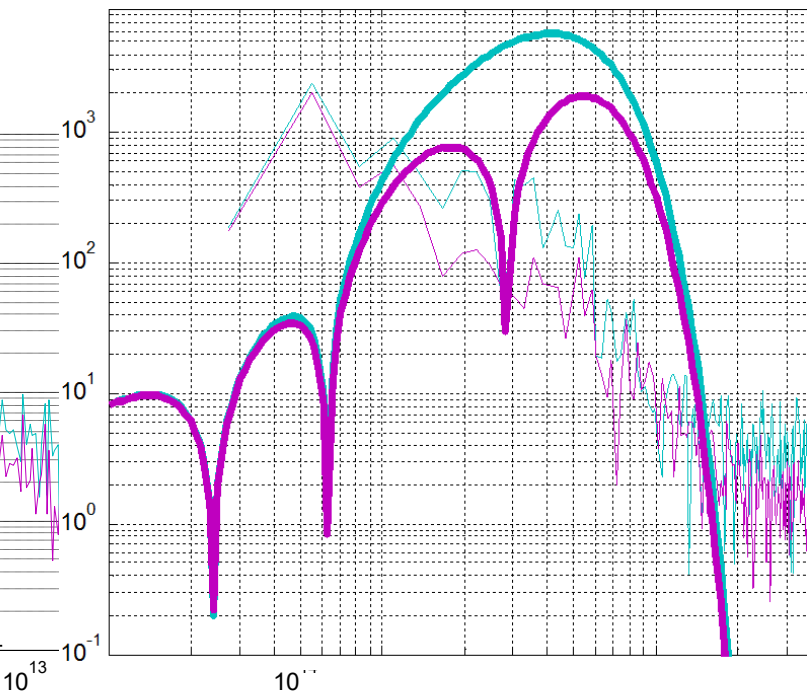
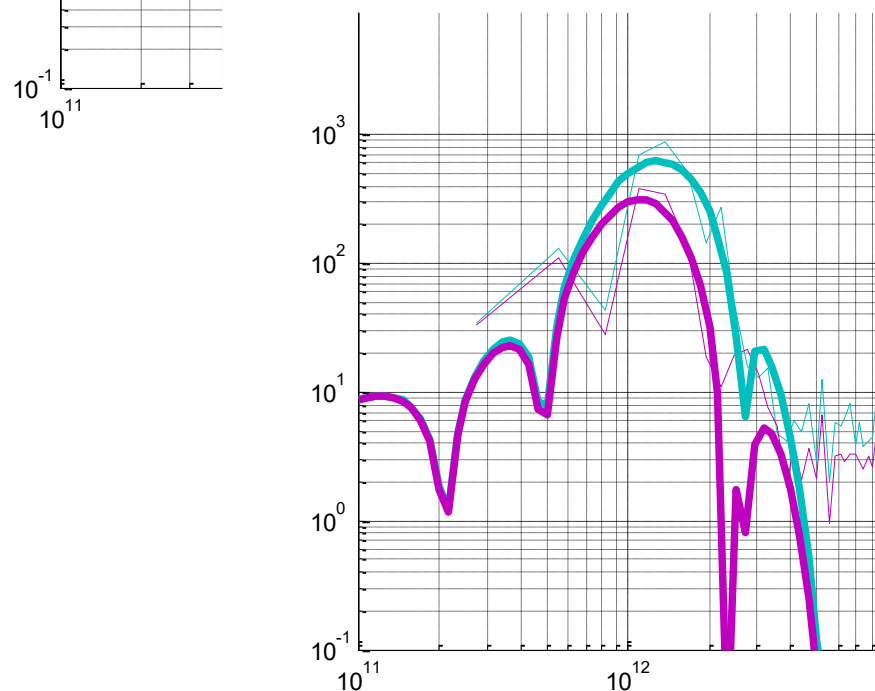
# example: gain (LGM) and noise (particles) vs. initial frequency

Gain and (noise ratio)<sup>0.5</sup> for  $\sigma_E=1000\text{eV}$ ,  $I_0 \rightarrow 110\text{A}$

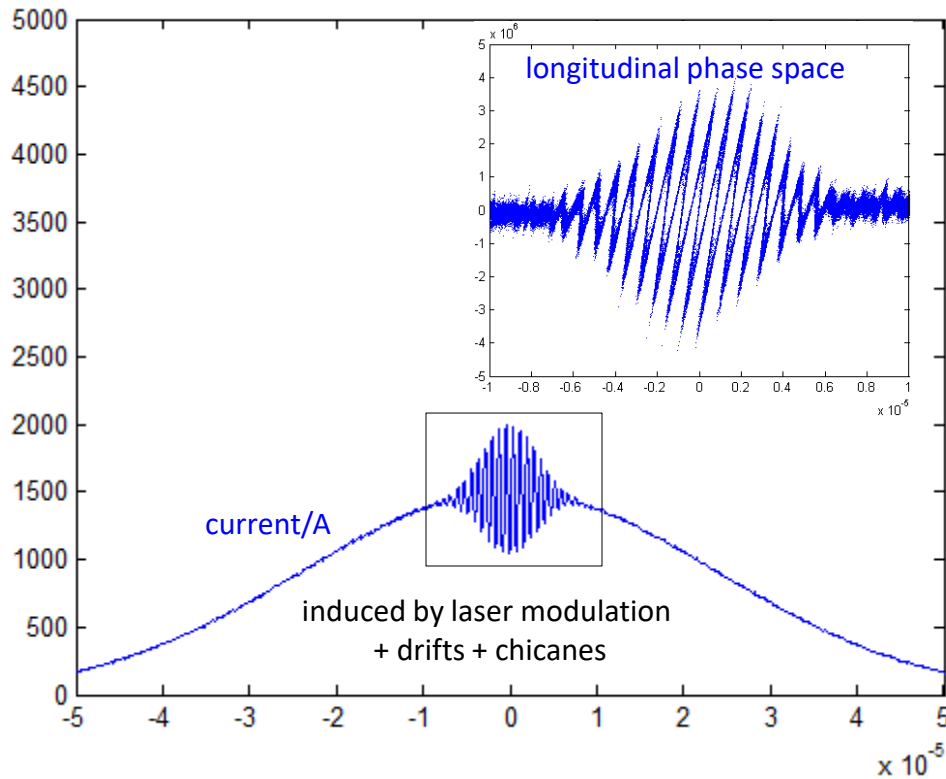
**DESY-FLASH**



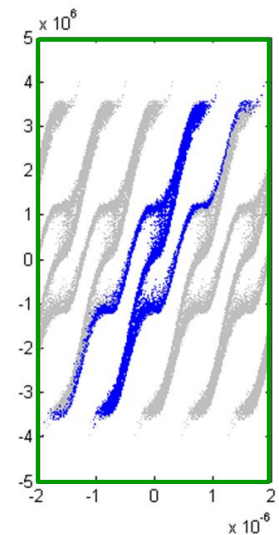
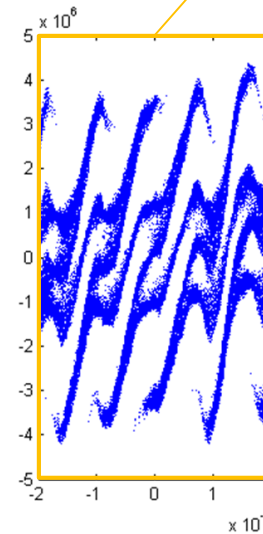
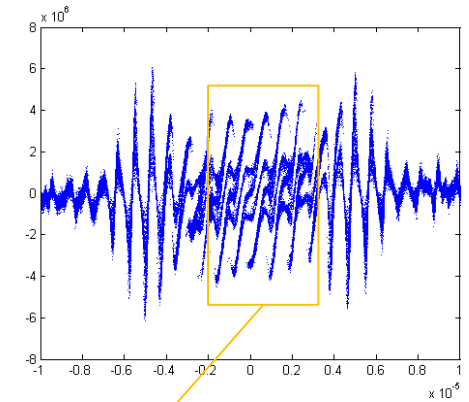
Gain and (noise ratio)<sup>0.5</sup> for  $\sigma_E=1000\text{eV}$ ,  $I_0 \rightarrow 1200\text{A}$  **other working point** → non linear effects



# Lighter Approaches and Special Methods



drift



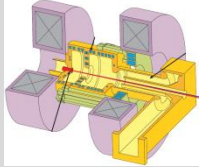
periodic distributions + CUM

full simulation with 300pC  
periodic simulation with 4pC

# Finally, Computation Times

scalar

parallel



1 s

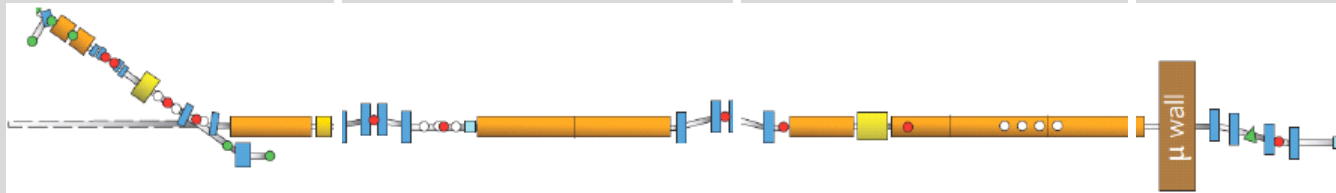
1 min

1 h

1 d

CUM  
 $L < 1\text{m}, N_p \sim 10^6$

Maxwell, TD  
 $L < 1\text{m}, N_p \sim 10^6$



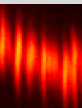
light tracker  
(1d)

Maxwell, TD  
 $L \sim 1\text{m}, N_p \sim 10^6$

standard approach  
 $L \sim 10^3\text{ m}, N_p \sim 10^6$

standard approach  
 $L \sim 10^3\text{ m}, N_p \sim 10^9$

micro-bunching



LGM

