

# Quantum Regime of SASE-FEL

**R. Bonifacio** <sup>(1)</sup>, **N. Piovella** <sup>(1,2)</sup>, **G.R.M. Robb** <sup>(3)</sup>, **A. Schiavi** <sup>(4)</sup>

(1) INFN-MI, Milan, Italy.

(2) Dipartimento di Fisica, Univ. of Milan, Italy

(3) SUPA, Dep. of Physics, Univ. of Strathclyde, Glasgow, UK

(4) Dipartimento di Energetica, Univ. of Rome “La Sapienza” & INFN, Italy

# Outline

1. Classical FEL, Superradiance and SASE

2. Quantum FEL model

3. Gain and spectrum in quantum FEL

4. Spectral “purification” in Quantum SASE

5. Fluctuations and energy spread effect

6. Towards a Quantum x-ray SASE-FEL

# CLASSICAL MODEL

$$\frac{\partial^2 \theta_j}{\partial \bar{z}^2} = -(A e^{i\theta_j} + \text{c.c.})$$

$$\frac{\partial A}{\partial \bar{z}} + \frac{\partial A}{\partial z_1} = \frac{1}{N} \sum_{j=1}^N e^{-i\theta_j}$$

R.Bonifacio, C.Pellegrini,  
L.Narducci, Opt. Comm. (1984)

$$\bar{z} = z / L_g$$

$$L_g = \lambda_w / 4\pi\rho$$

gain length

$$z_1 = (z - v_r t) / L_c$$

$$L_c = \lambda / 4\pi\rho$$

cooperation length

$$\theta = (k + k_w)z - \omega t;$$

$$\rho |A|^2 = \frac{P_{\text{e.m.}}}{P_{\text{beam}}}$$

$$\rho \propto \frac{1}{\gamma_r} \left( \frac{a_w \lambda_w}{\sigma} \right)^{2/3} I^{1/3}$$

FEL parameter

# HIGH-GAIN REGIME

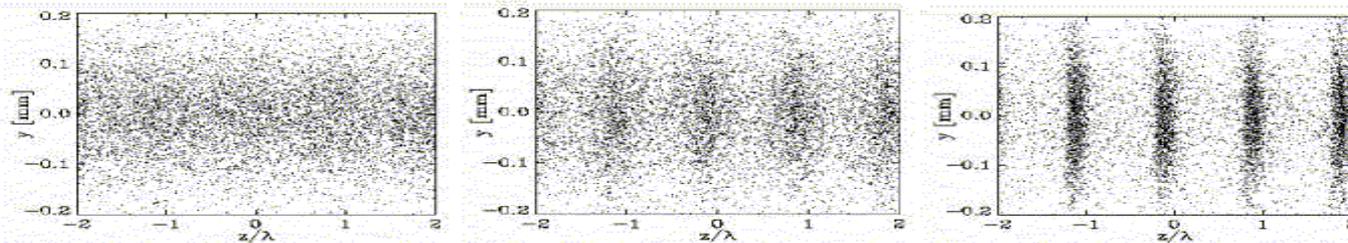
- exponential growth of intensity and bunching
- start up from noise
- saturation at  $A \sim 1$  ( $P_{\text{rad}} \sim \rho P_{\text{beam}}$ ) after several  $L_g$

$b \sim 0$



$b \sim 0.8$

bunching:



$$b = \frac{1}{N} \sum_{j=1}^N e^{-i\theta_j}$$

wiggler length (several  $L_g$ )

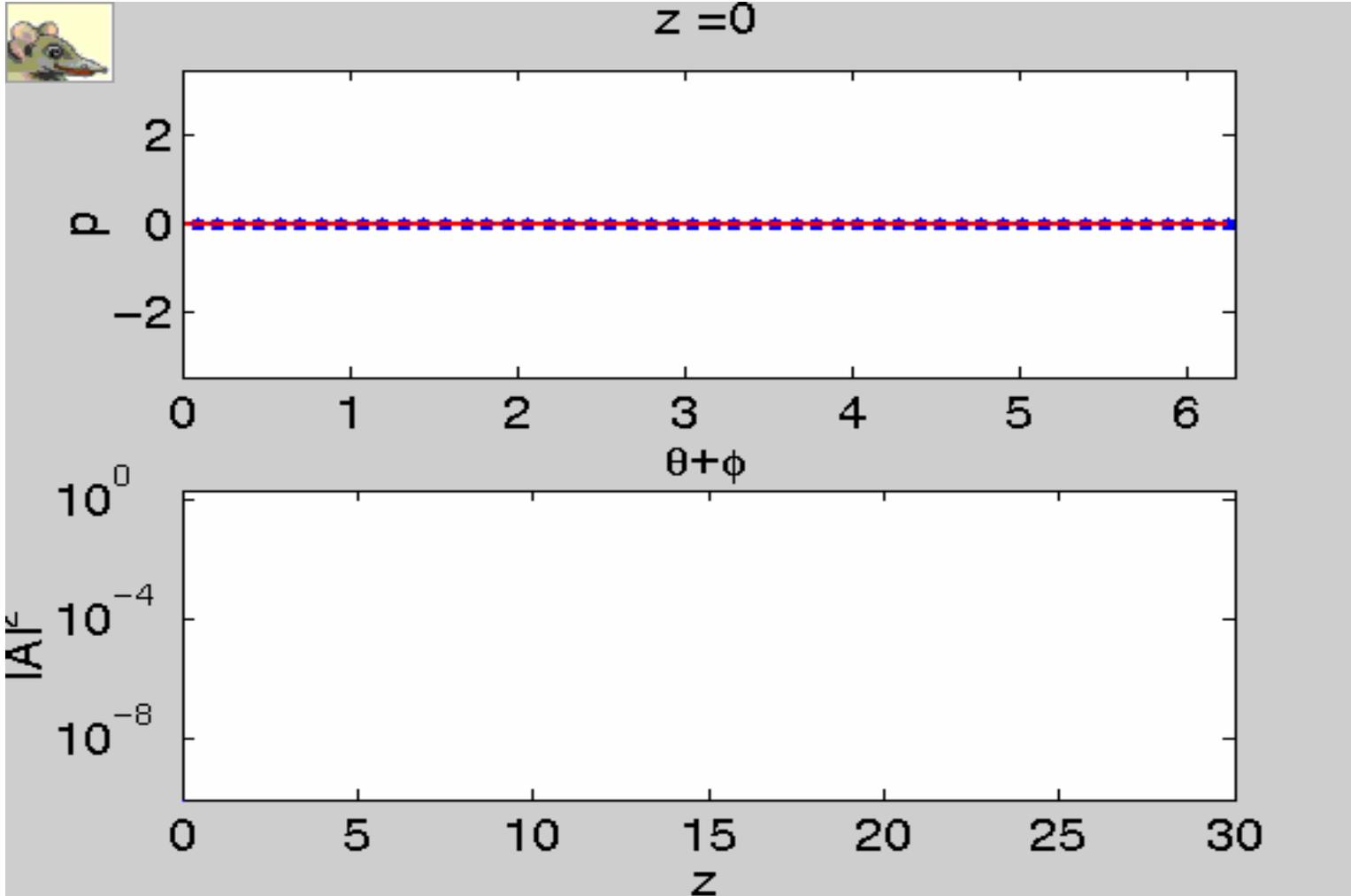
neglecting SLIPPAGE:

$$\frac{\partial A}{\partial z_1} = 0$$

(**STEADY-STATE** regime)

electrons behave as coupled pendula  
in a self-consistent potential:

$$V(\theta + \varphi) = 2 |A| \cos(\theta + \varphi)$$

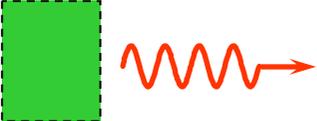


$$\rho = \frac{\gamma - \gamma_r}{\rho \gamma_r}$$

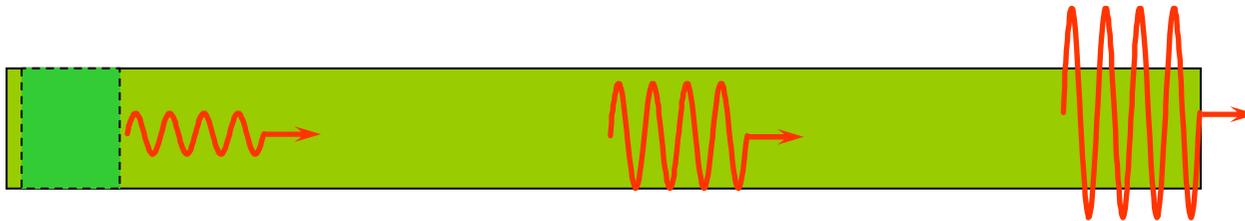
# SLIPPAGE EFFECT: SUPERRADIANCE

R. Bonifacio, B.W. McNeil,  
P. Pierini PRA (1989)

Particles at the trailing edge of the beam never receive radiation from particles behind them: they radiate in a **SUPERRADIANT PULSE** or **SPIKE** which propagates forward.

if  $L_b \ll L_c$  the SR pulse remains small (**weak SR**). 

if  $L_b \gg L_c$  the **weak SR** pulse gets amplified (**strong SR**) as it propagates forward through beam with **no saturation**.



The SR pulse is a **self-similar solution** of the propagation equation.

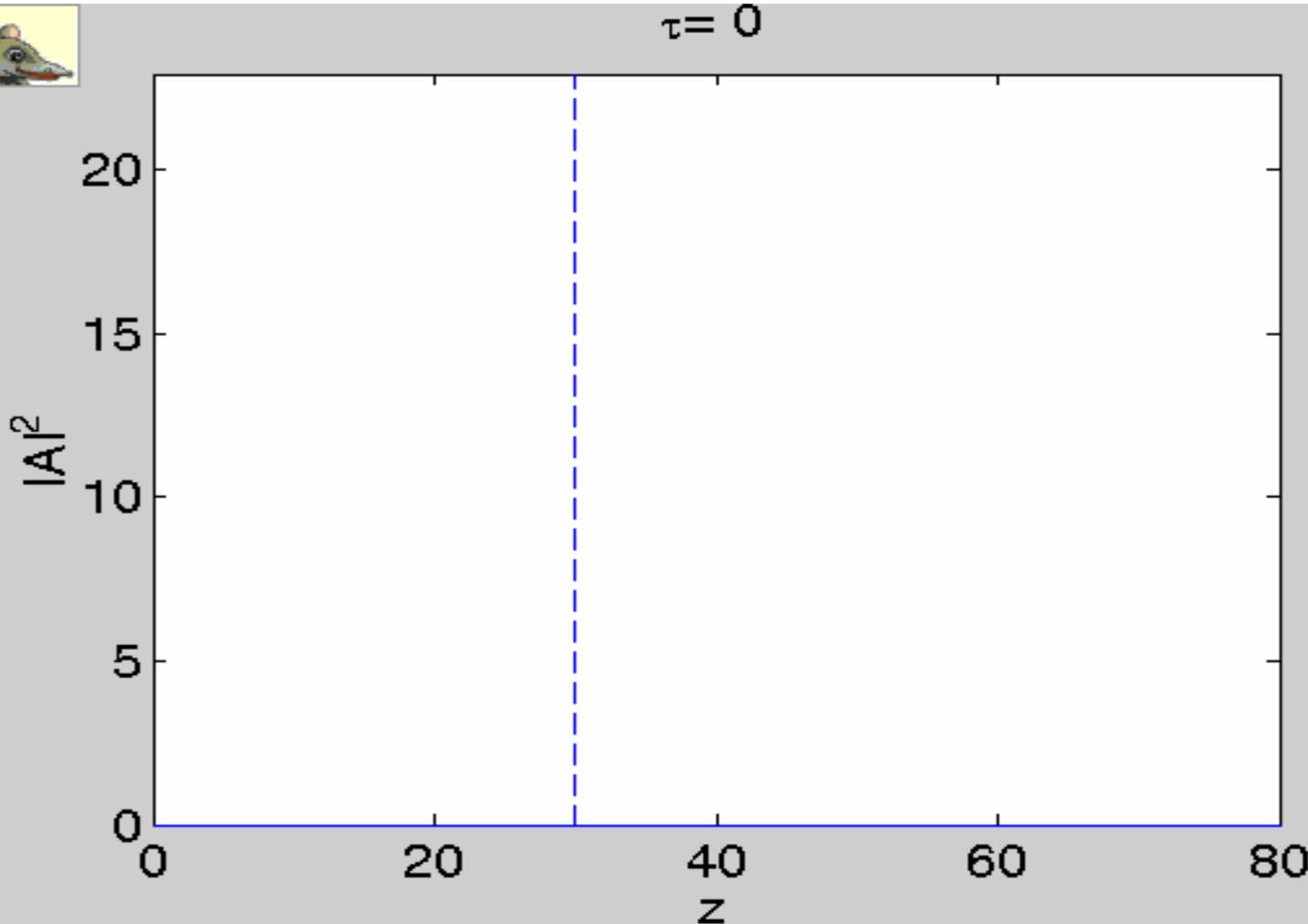
# STRONG SUPERRADIANCE

from a coherent seed

$$\frac{\partial A}{\partial \bar{z}} + \frac{\partial A}{\partial z_1} = \langle e^{-i\theta} \rangle$$

$$\left( z_1 = \frac{z - vt}{L_c} \right)$$

$L_b = 30 L_c$ ,  $0 < z < 50 L_g$  resonant seed ( $\delta = 0$ )



$\tau \rightarrow z/L_g$

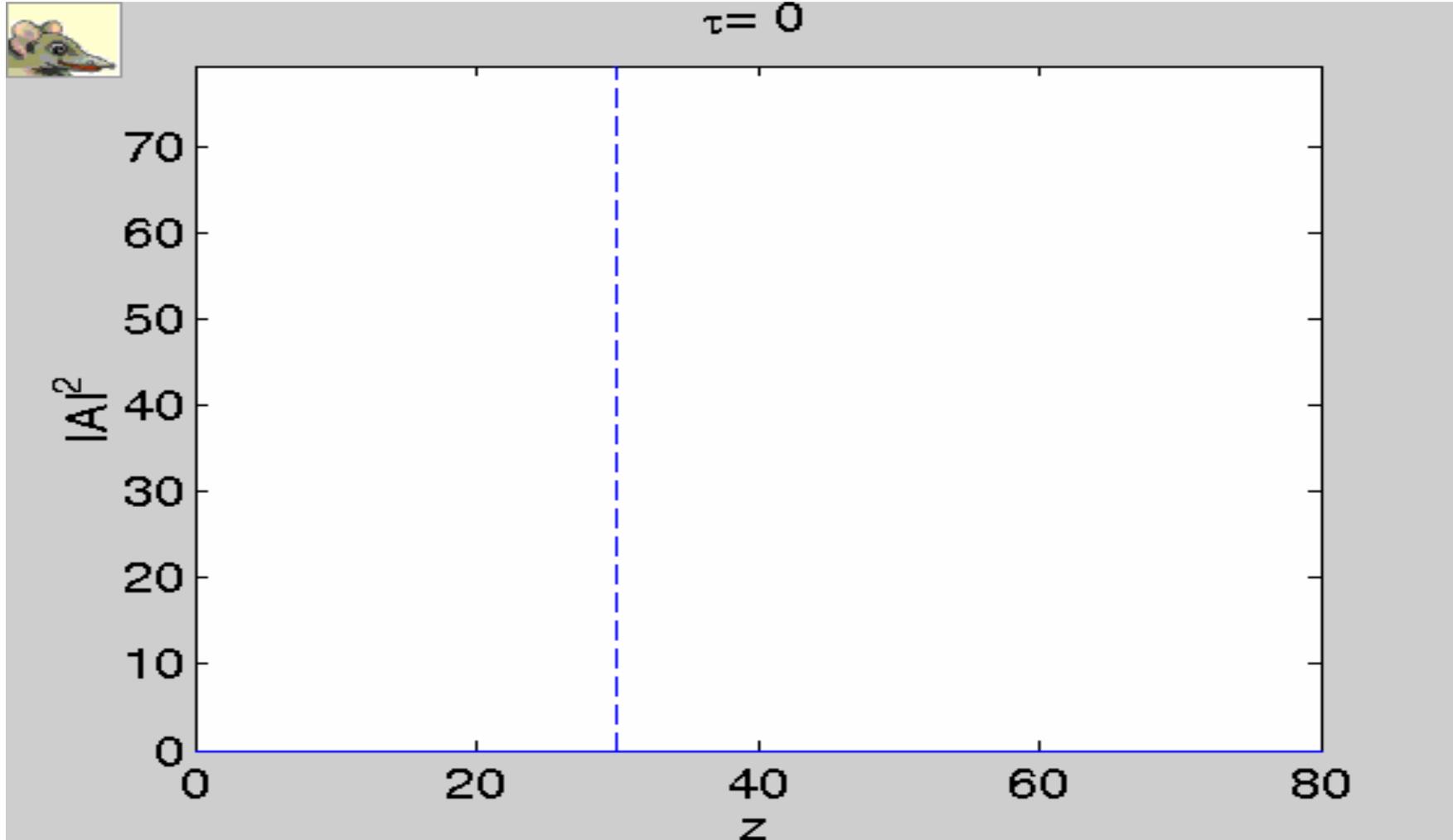
$z \rightarrow (z - vt)/L_c$

# STRONG SUPERRADIANCE

from a **detuned** coherent seed

$$\frac{\partial A}{\partial \bar{z}} + \frac{\partial A}{\partial z_1} = \langle e^{-i\theta} \rangle \quad \left( z_1 = \frac{z - vt}{L_c} \right)$$

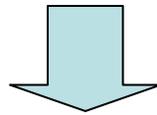
$L_b = 30 L_c$ ,  $0 < z < 50 L_g$ , detuned seed ( $\delta = 2$ )



# SASE mode for FELs

Ingredients of Self Amplified Spontaneous Emission (SASE)

- i) Start up from noise
- ii) Propagation effects (slippage)
- iii) **Superradiance instability**



R.Bonifacio, L. De Salvo, P.Pierini,  
N.Piovella, C. Pellegrini, PRL (1994)

each cooperation length in the e-beam radiates a **SR** spike  
which is amplified when it propagates forward on the beam

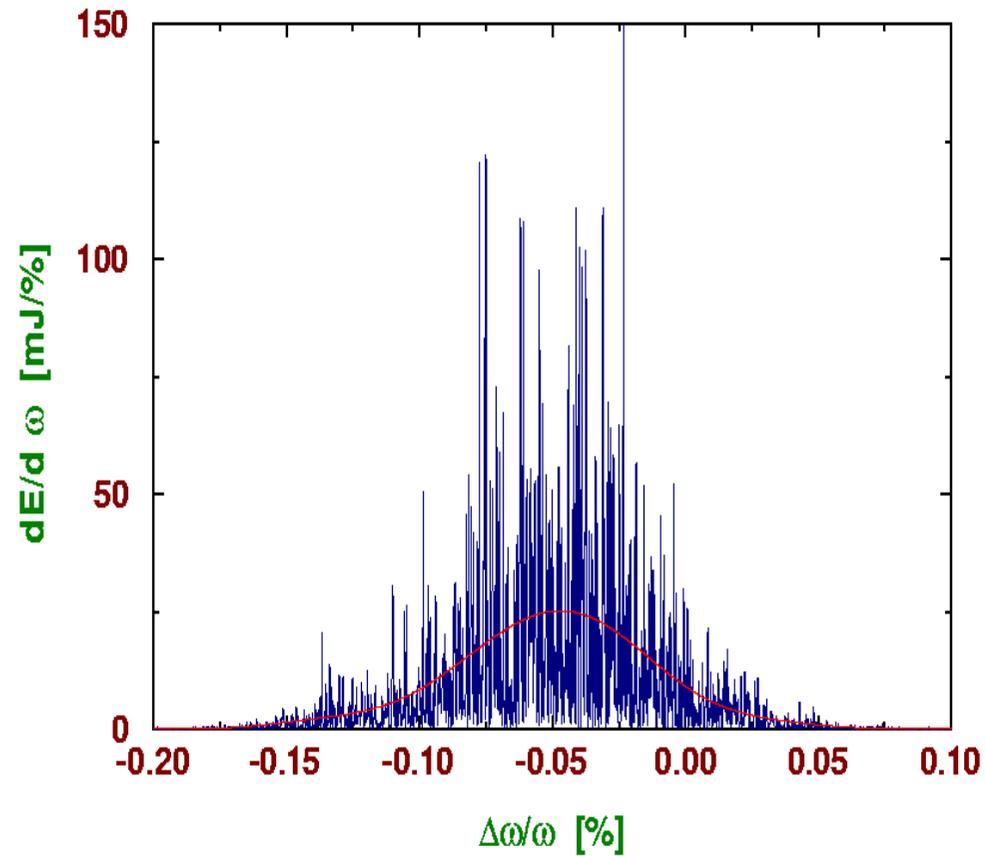
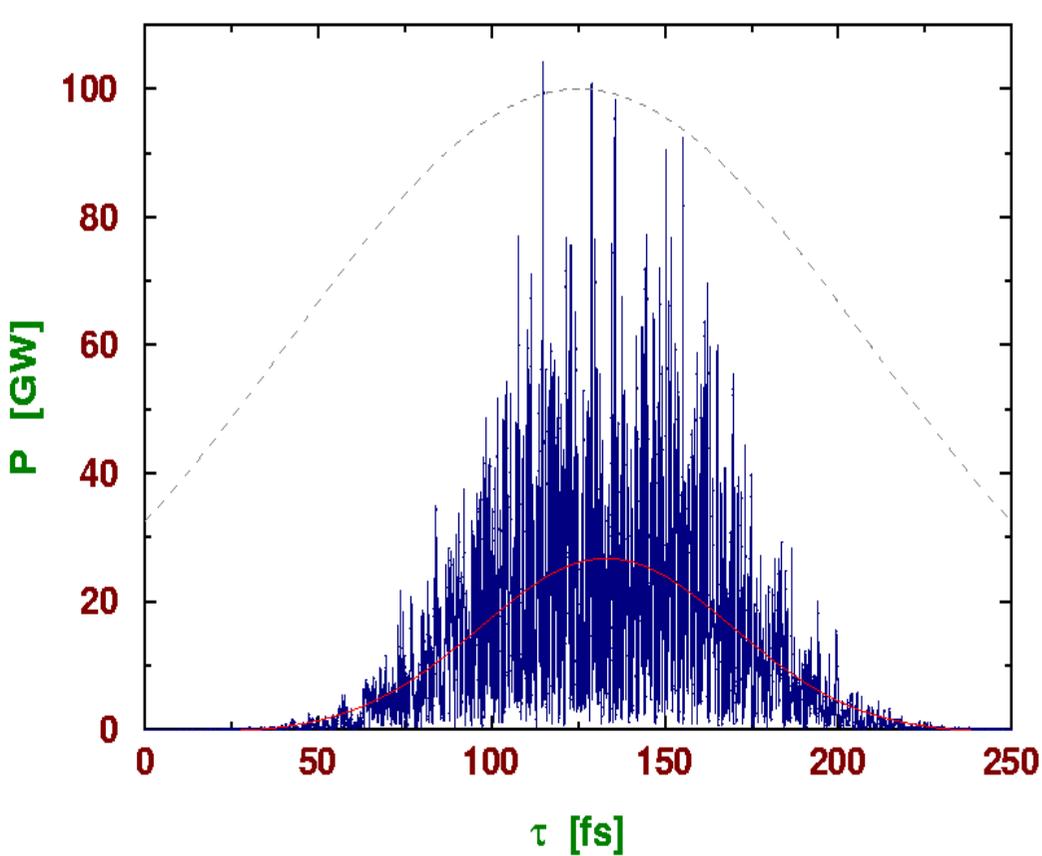
**SASE mode is proposed as a method for producing  
'coherent' X-ray radiation (LCLS, Desy,..)**

# DRAWBACKS OF 'CLASSICAL' SASE

Time profile has many random spikes ( $n = L_b/L_c$ )

Broad and noisy spectrum at short wavelengths (x-ray FELs)

simulations from **DESY** for the SASE experiment



# NEW QUANTUM-SASE REGIME

- In a **QUANTUM REGIME** an FEL behaves as a TWO-LEVEL system
- electrons emit coherent photons as in a **LASER**
- in the SASE mode the spectrum is **intrinsically narrow** ('quantum purification')
- the transition between the **classical** and the **quantum** regimes depends on a **single** parameter:

$$\bar{\rho} = \left( \frac{mc \gamma_r}{\hbar k} \right) \rho$$

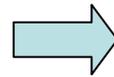
# QUANTUM EFFECTS IN FELs

## CLASSICAL LIMIT OF FEL:

momentum spread:  $mc (\delta\gamma) \gg \hbar k$  : photon recoil

Since in the classical regime

$$(\delta\gamma / \gamma_r) \approx \rho$$



$$\bar{\rho} \gg 1$$

$$\bar{\rho} = \left( \frac{mc \gamma_r}{\hbar k} \right) \rho$$

many recoils implies many photons, hence..  
**classically**, each electron emit many photons

$$\frac{\langle N \rangle_{\text{photon}}}{N} = \bar{\rho} |A|^2 \gg 1$$

(since  $A \sim 1$ )

the **QUANTUM REGIME** of an **FEL** occurs when:

$$mc (\delta\gamma) \leq \hbar k \quad \longrightarrow \quad \bar{\rho} < 1$$

each electron emits **only** a single photon!  $(\bar{\rho} |A|^2 = 1)$

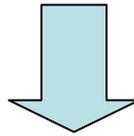
**COHERENCE**

Quantum FEL behaves like a **two-level system**  
(i.e. a **'laser'**)

# QUANTUM FEL MODEL

## Procedure :

Describe N particle system as a **Quantum Mechanical** ensemble



Write a Schrödinger equation for macroscopic wavefunction

$$\Psi(\theta, \bar{z})$$

Include slippage (i.e. propagation) using a **multiple-scaling** approach



$$\Psi(\theta, \bar{z}, z_1)$$

# Canonical Quantization

$$\dot{\theta} = \frac{p}{\bar{\rho}} = \frac{\partial H}{\partial p}$$

$$\dot{p} = -\bar{\rho}(Ae^{i\theta} + c.c.) = -\frac{\partial H}{\partial \theta}$$

$$H = \frac{p^2}{2\rho} - i\bar{\rho}(Ae^{i\theta} - c.c.)$$

$$\left[ p = \frac{mc(\gamma - \gamma_0)}{\hbar k} \right]$$

$$[\hat{\theta}, \hat{p}] = i \quad \longrightarrow \quad p \rightarrow \hat{p} = -i \frac{\partial}{\partial \theta} \quad \longrightarrow \quad i \frac{\partial \Psi}{\partial \bar{z}} = \hat{H} \Psi$$

$$i \frac{\partial \Psi}{\partial \bar{z}} = -\frac{1}{2\bar{\rho}} \frac{\partial^2 \Psi}{\partial \theta^2} - i\bar{\rho} [A(\bar{z})e^{i\theta} - c.c.] \Psi$$

$$\frac{dA}{d\bar{z}} = \int_0^{2\pi} d\theta |\Psi(\theta, \bar{z})|^2 e^{-i\theta} + i\delta A$$

G. Preparata,  
PRA (1988)

# QUANTUM FEL PROPAGATION MODEL

$\theta$  describes spatial evolution of  $\Psi$  on scale of  $\lambda$

$z_1$  describes spatial evolution of  $A$  and  $\Psi$  on scale of  $L_c \gg \lambda$ .

$$(z_1 = (z - vt) / L_c)$$

Using the **multiple-scale method** we have derive the model:

$$i \frac{\partial \Psi}{\partial \bar{z}} = - \frac{1}{2 \bar{\rho}} \frac{\partial^2 \Psi}{\partial \theta^2} - i \bar{\rho} [ A(\bar{z}, z_1) e^{i\theta} - c.c. ] \Psi$$

$$\frac{\partial A}{\partial \bar{z}} + \frac{\partial A}{\partial z_1} = \int_0^{2\pi} d\theta |\Psi(\theta, \bar{z}, z_1)|^2 e^{-i\theta} + i \delta A$$

# Momentum representation:

$$\Psi(\theta, \bar{z}, z_1) = \sum_{n=-\infty}^{\infty} c_n(\bar{z}, z_1) e^{in\theta}$$

$e^{in\theta}$  is the momentum eigenstate corresponding to eigenvalue  $n(\hbar k)$

discrete changes of momentum :  $p_z \sim mc(\gamma - \gamma_r) = n(\hbar k)$ ,  
 $n=0, \pm 1, \dots$



$$\frac{\partial c_n}{\partial \bar{z}} = -\frac{in^2}{2\rho} c_n - \bar{\rho} \left( A c_{n-1} - A^* c_{n+1} \right)$$

$$\frac{\partial A}{\partial \bar{z}} + \frac{\partial A}{\partial z_1} = \sum_{n=-\infty}^{\infty} c_n c_{n-1}^* + i\delta A$$

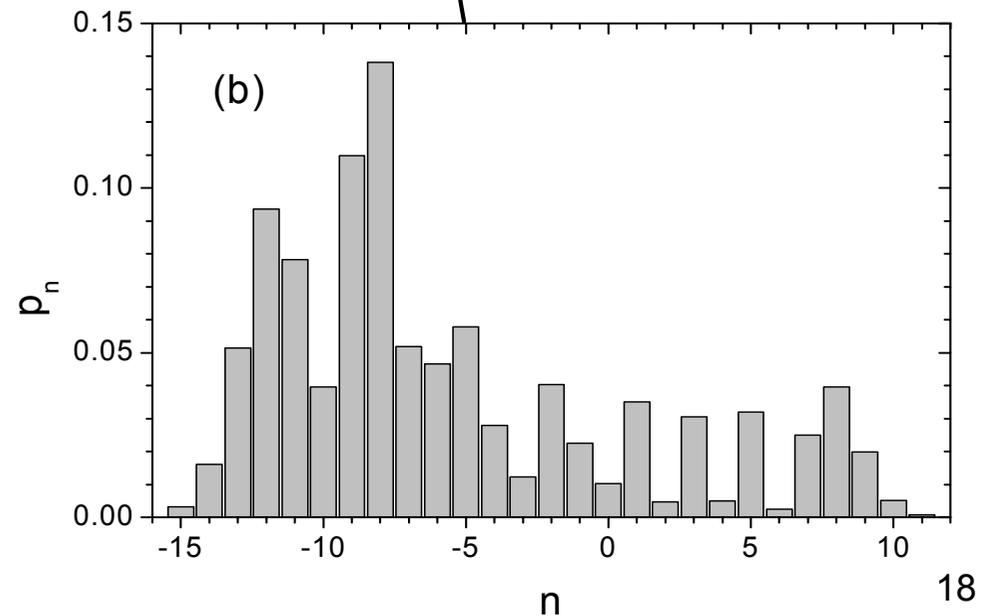
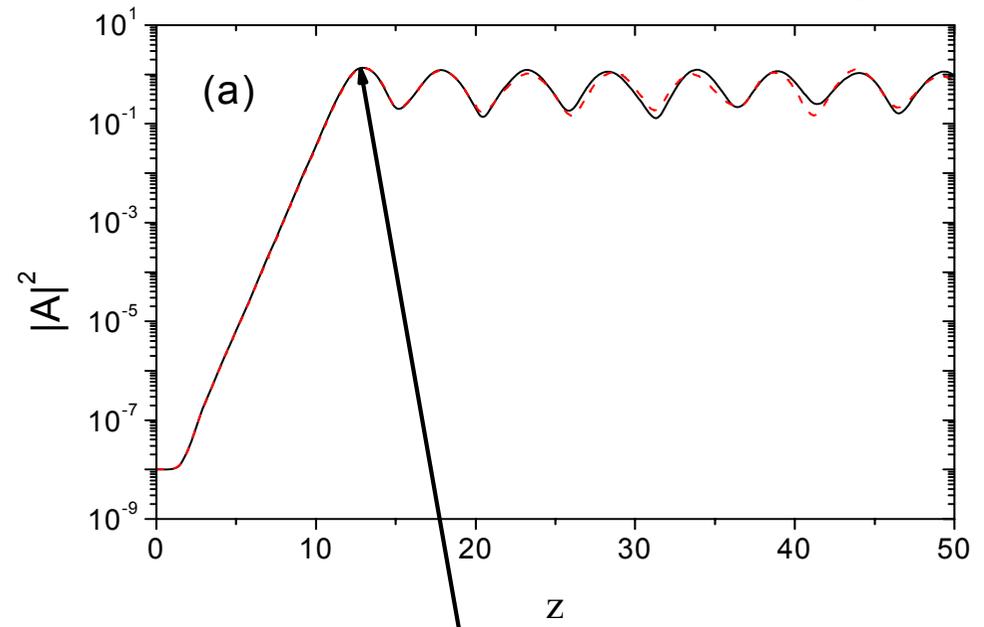
$$\left( \begin{array}{c} \frac{\partial A}{\partial z_1} = 0 \end{array} \right)$$

classical limit  
is recovered for

$$\bar{\rho} \gg 1$$

many momentum states  
occupied,  
both with  $n > 0$  and  $n < 0$

$\bar{\rho} = 10, \delta = 0, \text{ no propagation}$

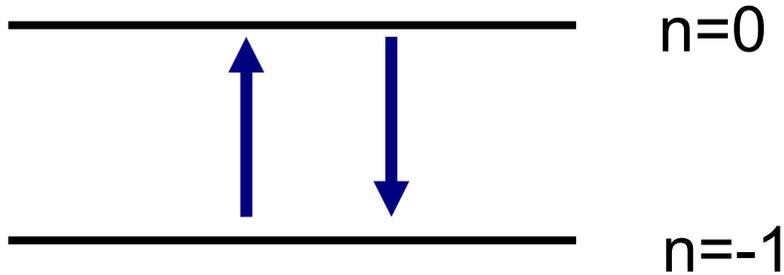


# Quantum limit for $\bar{\rho} \leq 1$

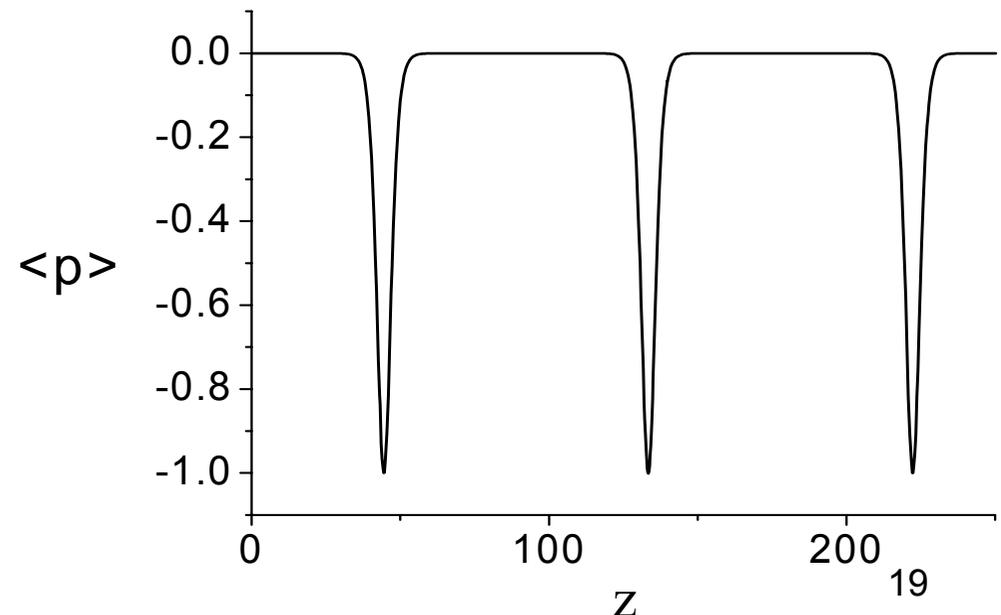
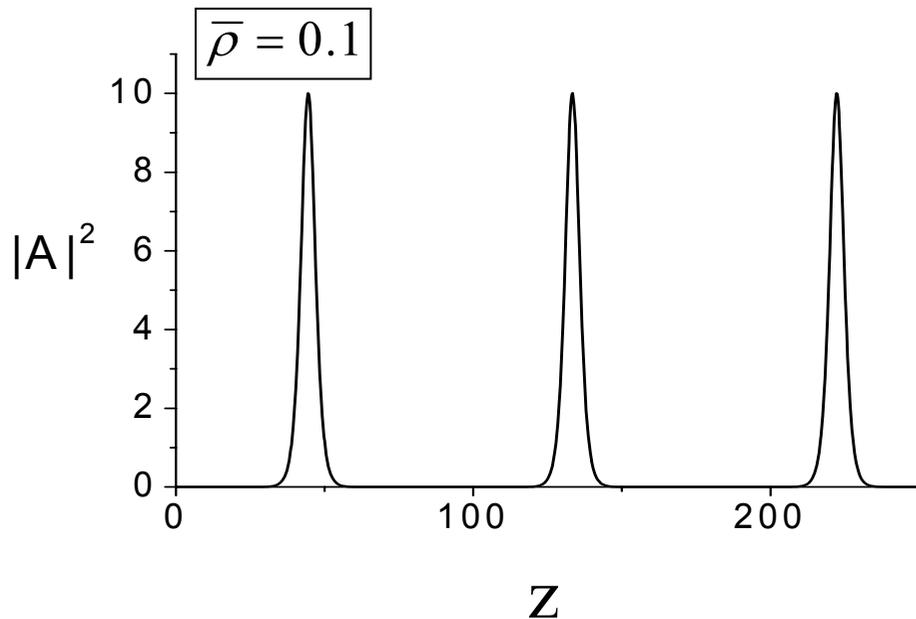
$$\left( \frac{\partial A}{\partial z_1} = 0 \right)$$

Only TWO momentum states :  $p=0$  and  $p= -\hbar k$

$$\Psi(\theta, \bar{z}) \propto c_0(\bar{z}) + c_{-1}(\bar{z})e^{-i\theta}$$

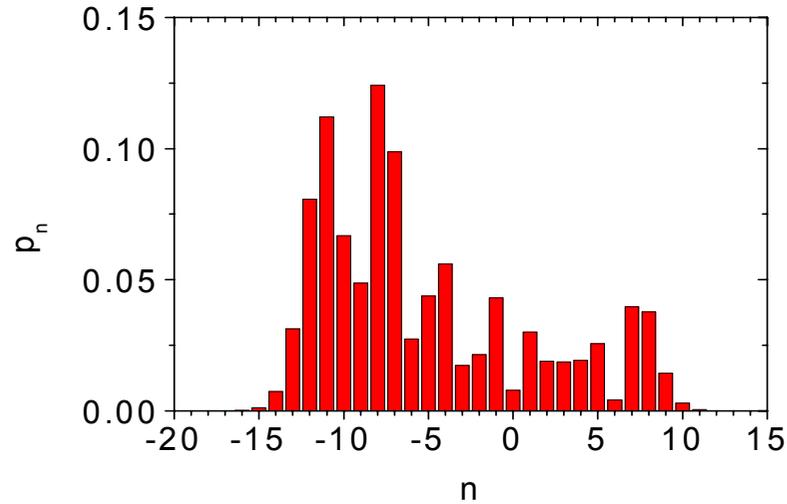


Dynamics are those of a **2-level system** coupled to an optical field, as in a **LASER**

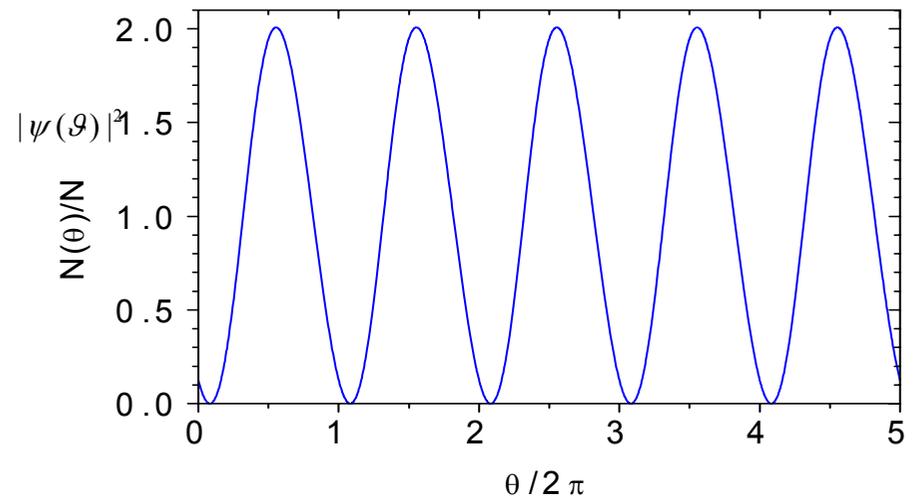
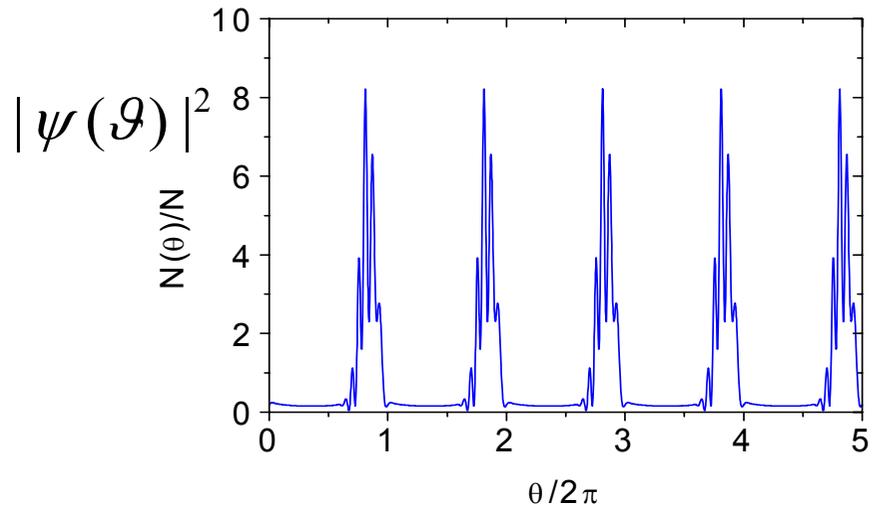
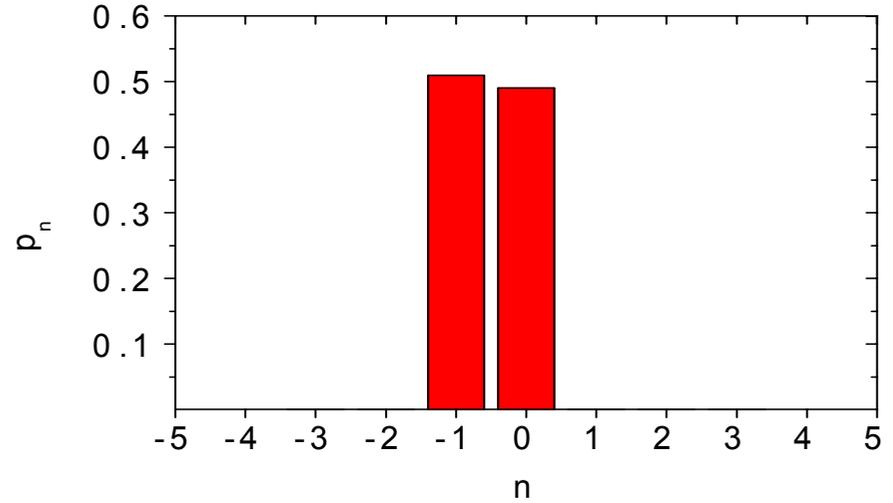


# Bunching and density grating

CLASSICAL REGIME  $\bar{\rho} \gg 1$



QUANTUM REGIME  $\bar{\rho} < 1$



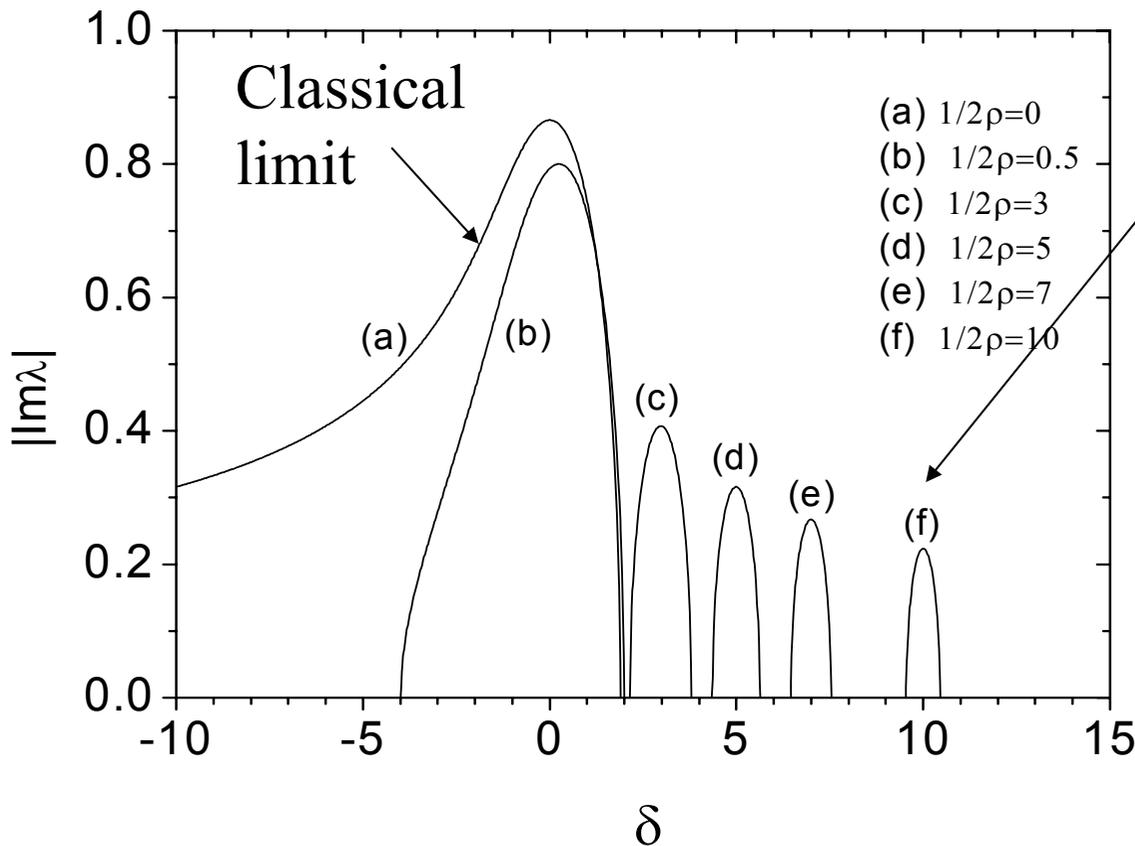
# Quantum Linear Theory

$$(A \propto e^{i\lambda\bar{z}})$$

$$(\lambda - \Delta) \left( \lambda^2 - \frac{1}{4\bar{\rho}^2} \right) + 1 = 0$$

$$\Delta = \delta + \frac{n}{\bar{\rho}} ; n=0, -1, \dots$$

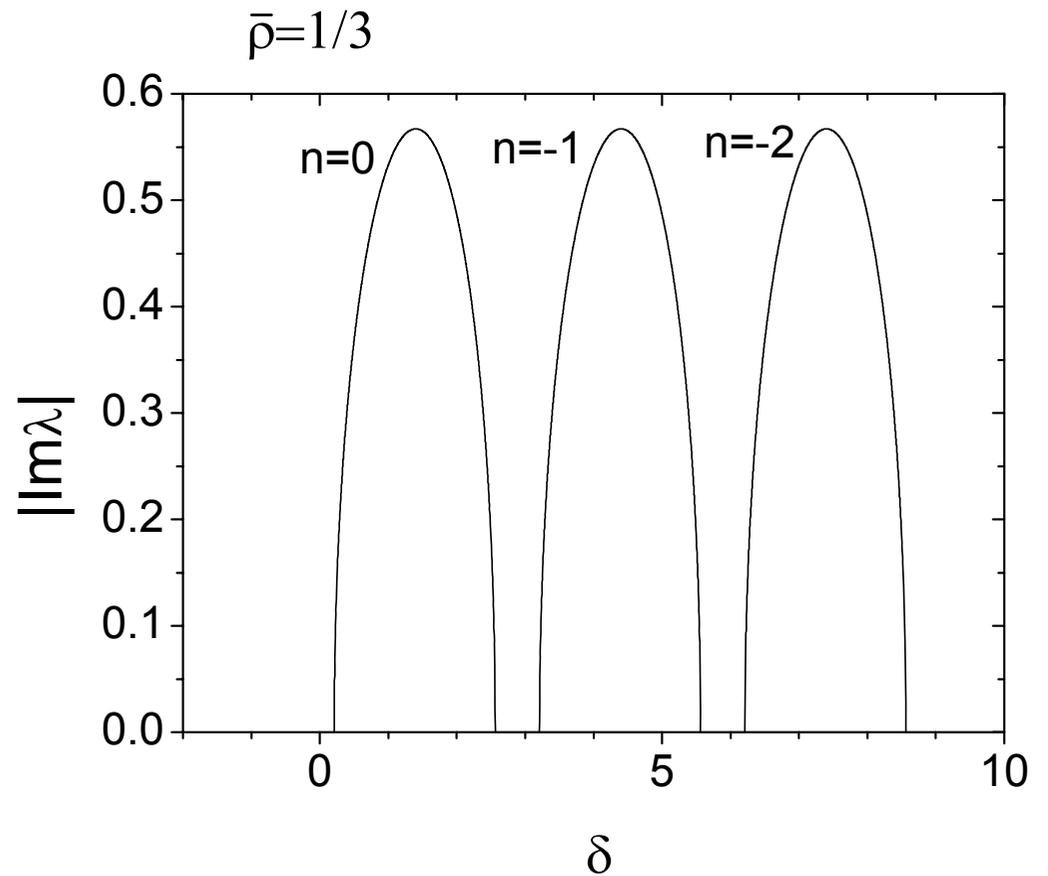
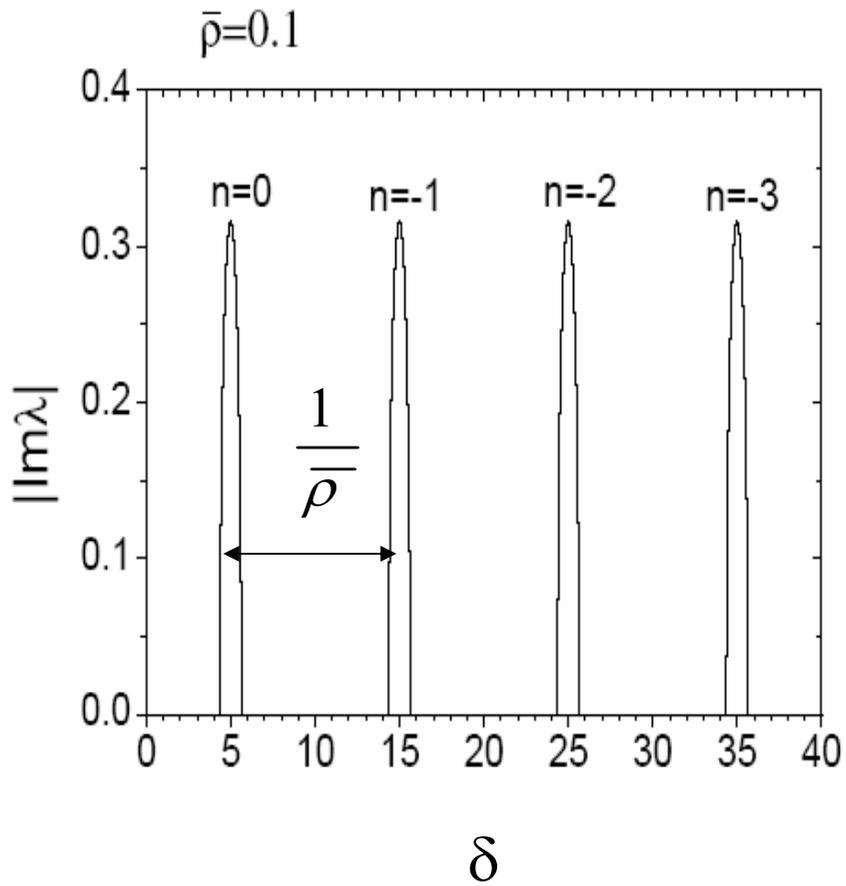
Quantum regime for  $\bar{\rho} < 1$



Resonance:  $\delta = \frac{1}{2\bar{\rho}}$

$$mc(\gamma_0 - \gamma_r) = \hbar k / 2$$

width  $\propto \sqrt{\bar{\rho}}$



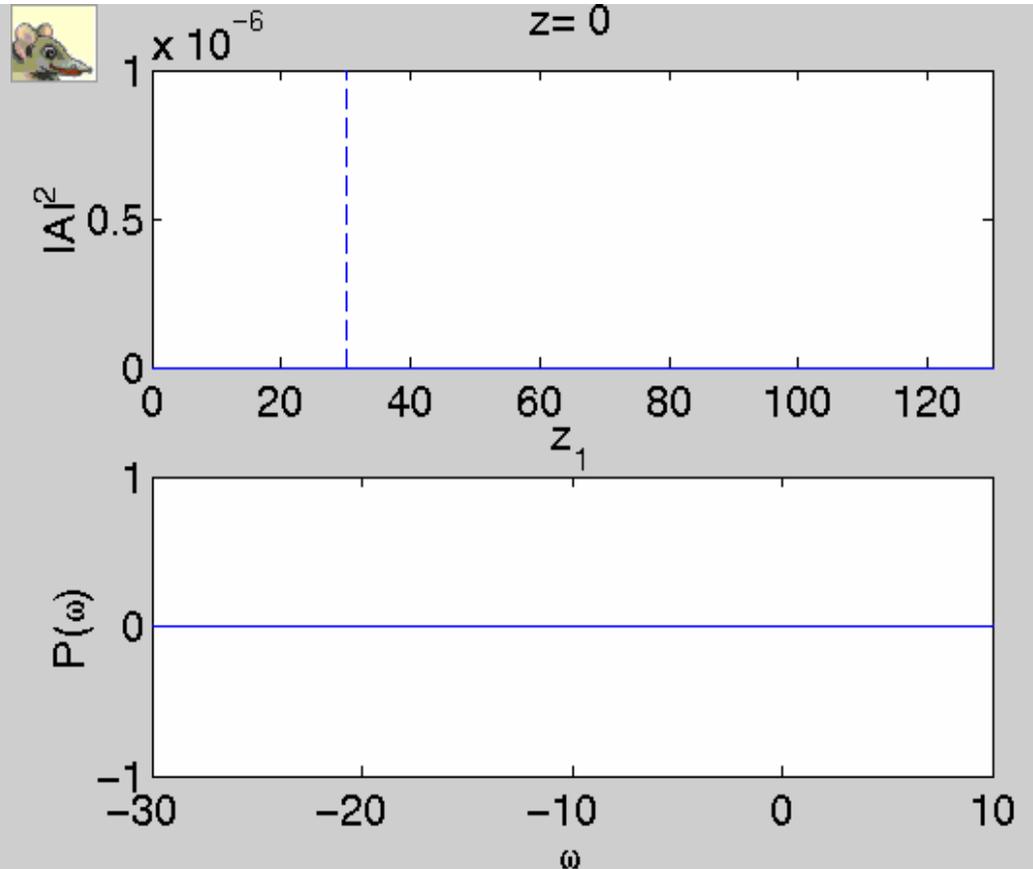
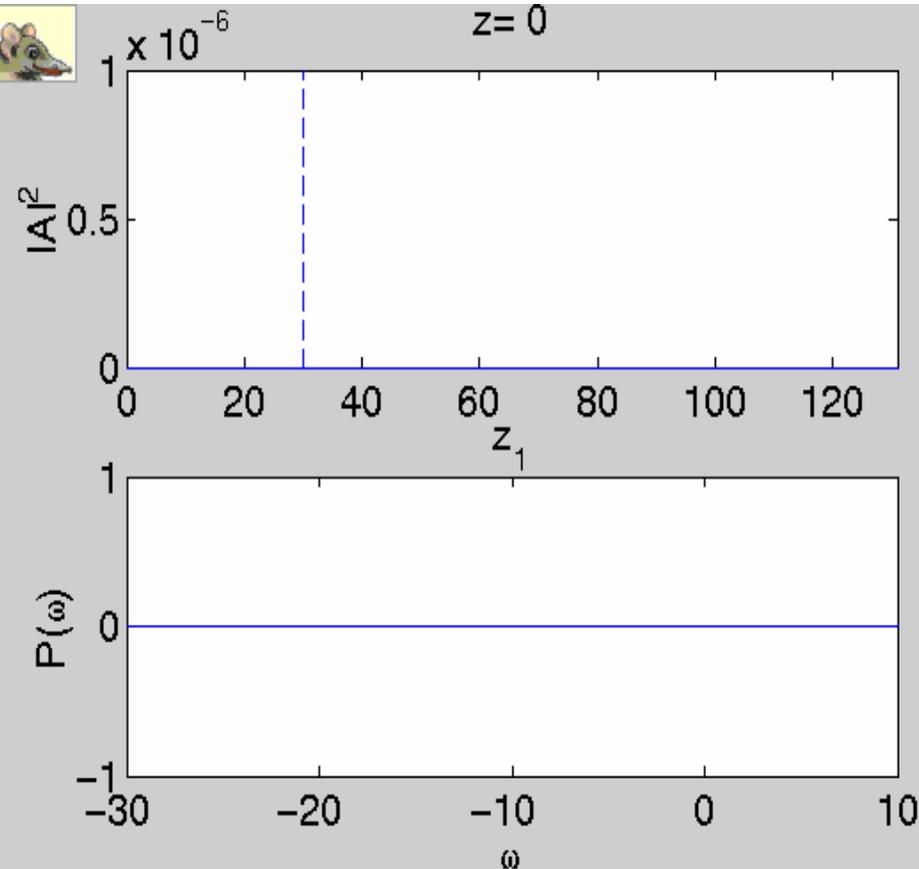
for  $\bar{\rho} > 0.4$  lines overlap: - transition to classical regime.

# SASE : NUMERICAL SIMULATIONS using the quantum model

$$L_b = 30L_c$$

CLASSICAL REGIME:  $\bar{\rho} = 5$

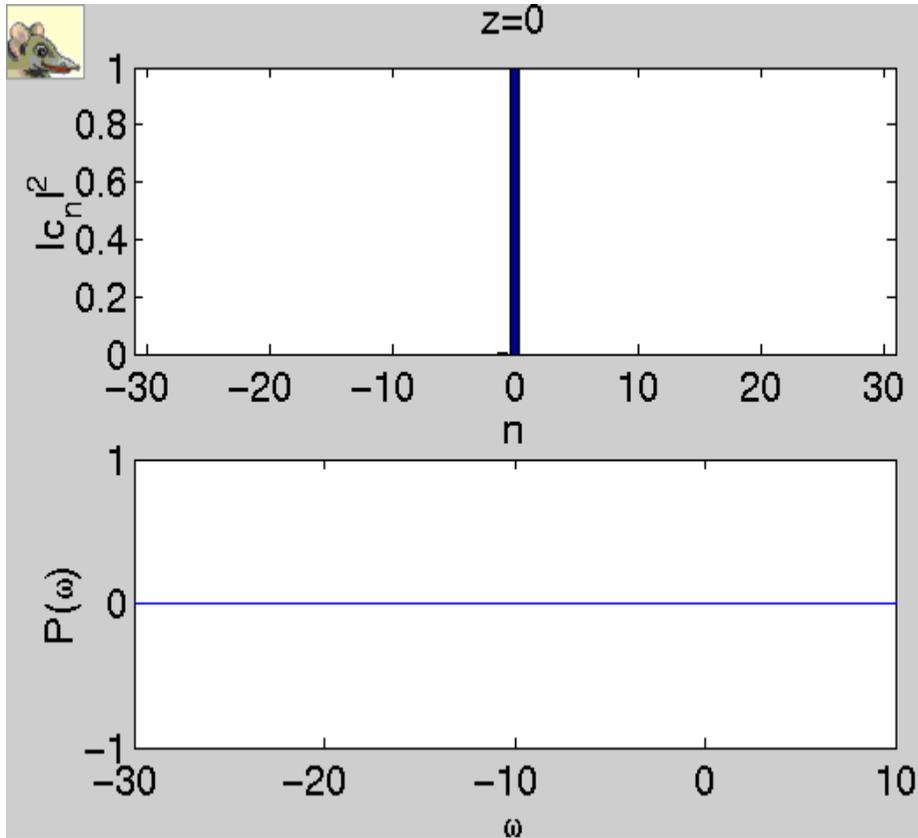
QUANTUM REGIME:  $\bar{\rho} = 0.1$



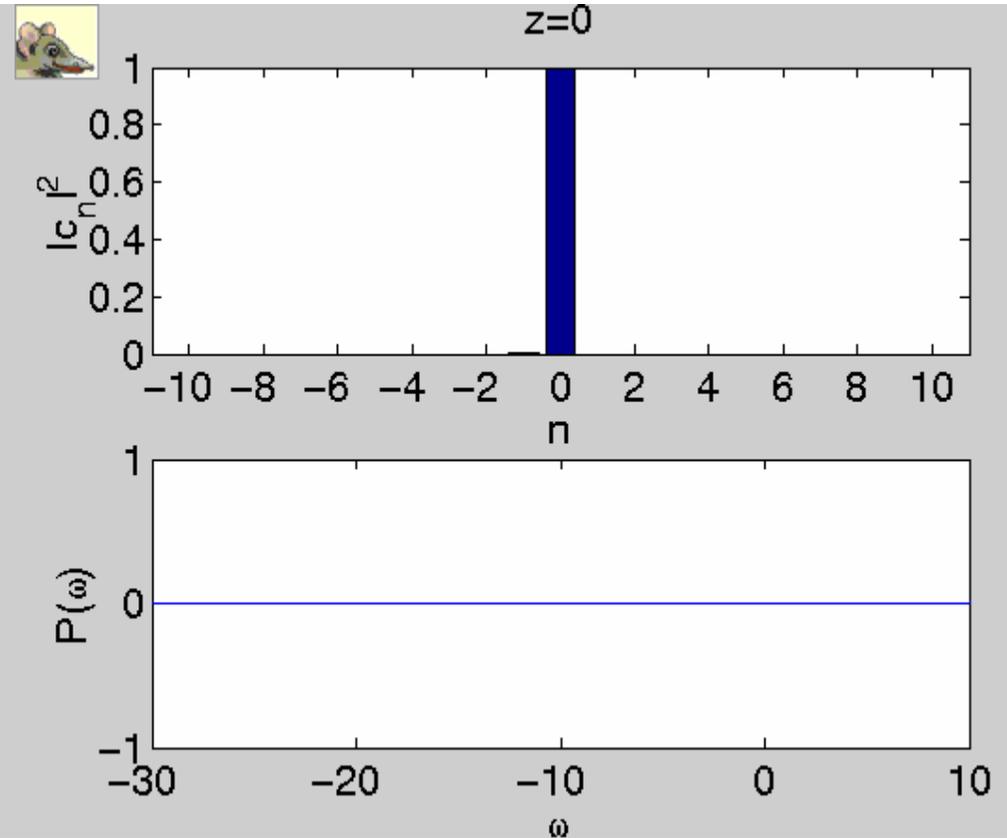
# momentum distribution for SASE

CLASSICAL REGIME:  $\bar{\rho} = 5$

QUANTUM REGIME:  $\bar{\rho} = 0.1$

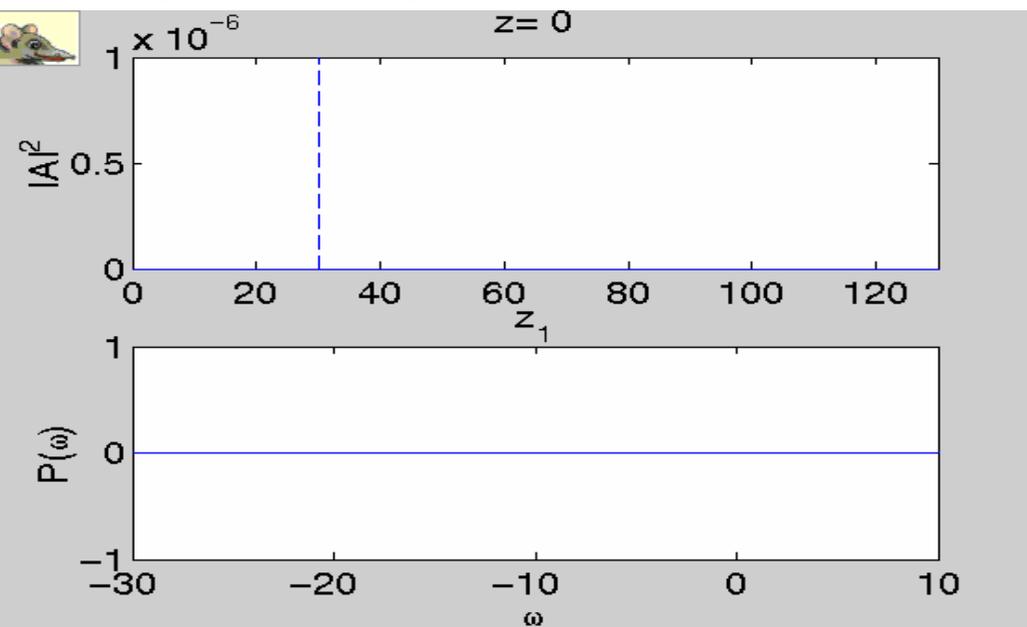


Classical behaviour :  
both  $n < 0$  and  $n > 0$  occupied

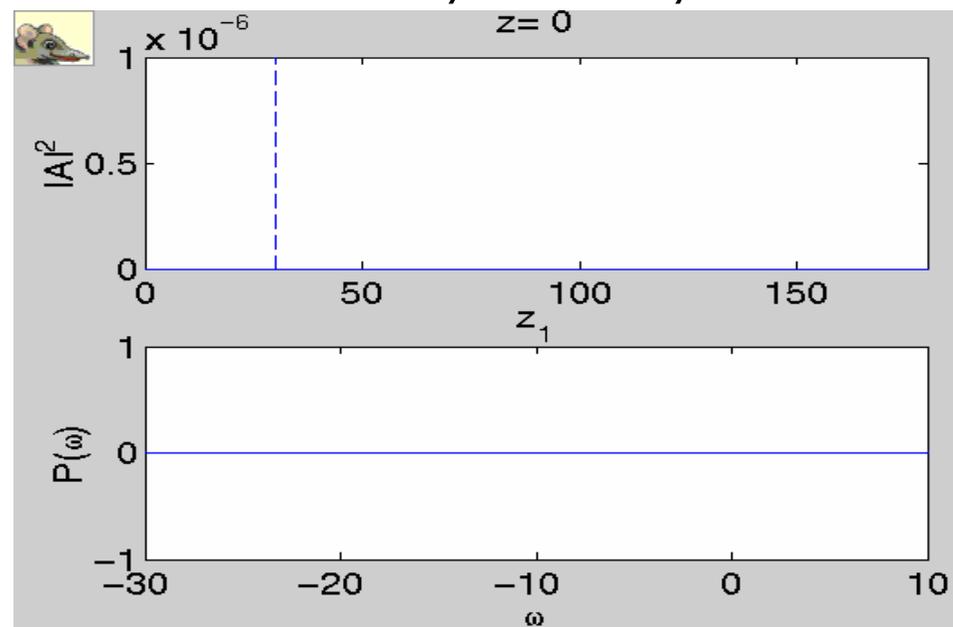


Quantum behaviour :  
sequential SR decay, only  $n < 0$

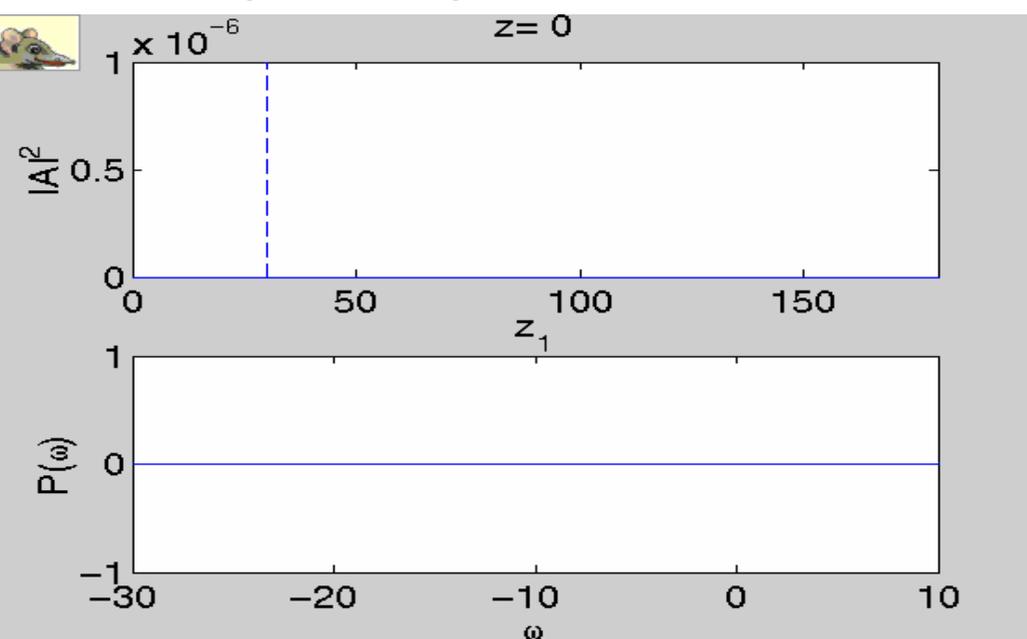
$$\bar{\rho} = 0.1 \quad 1/\bar{\rho} = 10$$



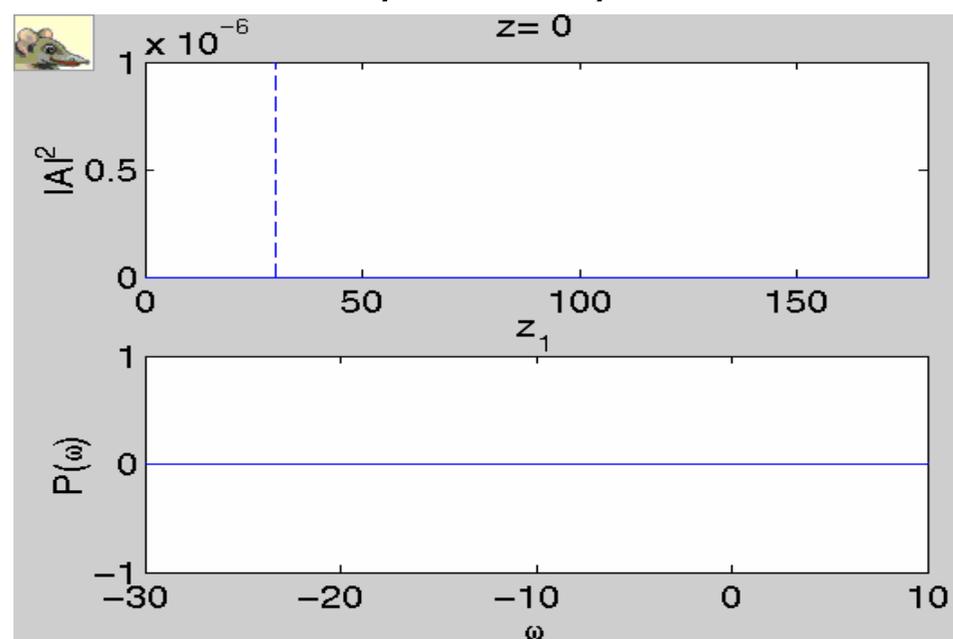
$$\bar{\rho} = 0.2 \quad 1/\bar{\rho} = 5$$



$$\bar{\rho} = 0.3 \quad 1/\bar{\rho} = 3.3$$

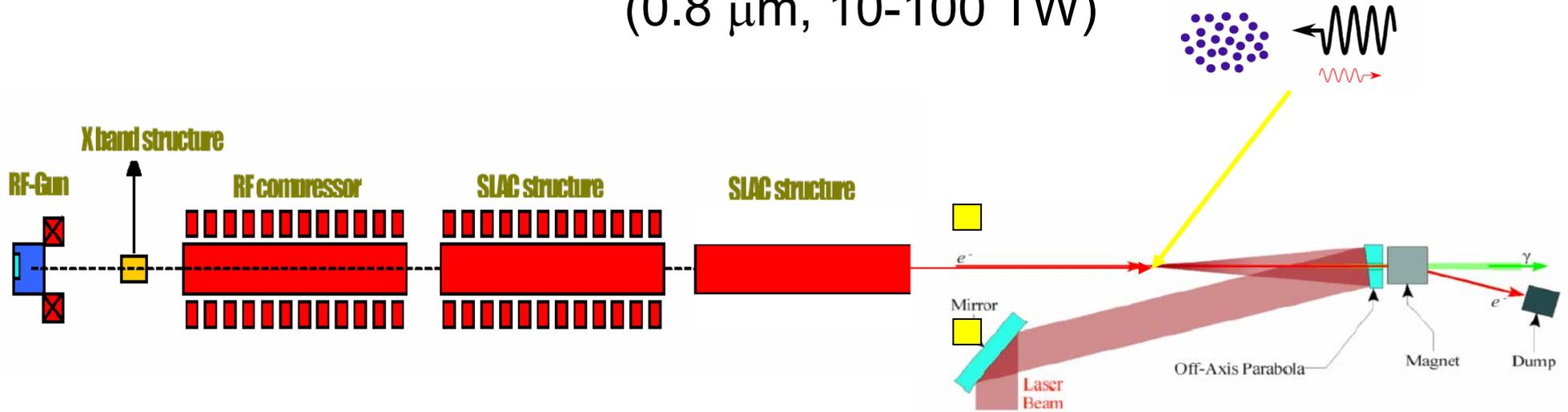


$$\bar{\rho} = 0.4 \quad 1/\bar{\rho} = 2.5$$



# QFEL

INFN project for a feasibility study of a quantum x-ray FEL ( $\sim 1 \text{ \AA}$ ) in the SASE mode with a **laser wiggler** ( $0.8 \mu\text{m}$ , 10-100 TW)



under development at LNF (Frascati) for SPARC/PLASMON-X

main goals:

1. quantum **3D** model
2. development of a **3D** numerical code
3. definition of the experimental constraints
4. demonstration of the feasibility of a **Quantum-SASE FEL** experiment

# shot-to-shot fluctuations in the **QUANTUM SASE**

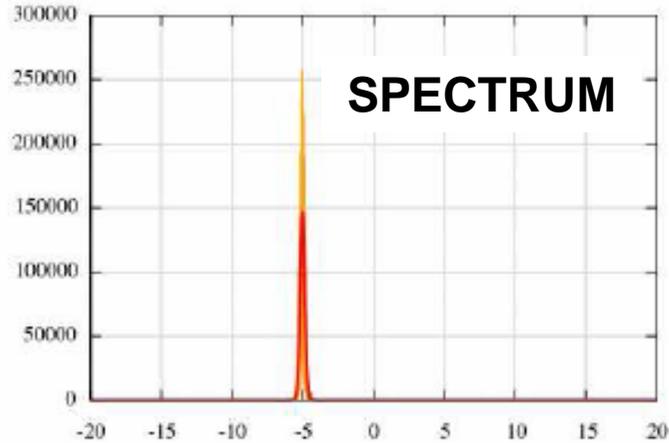
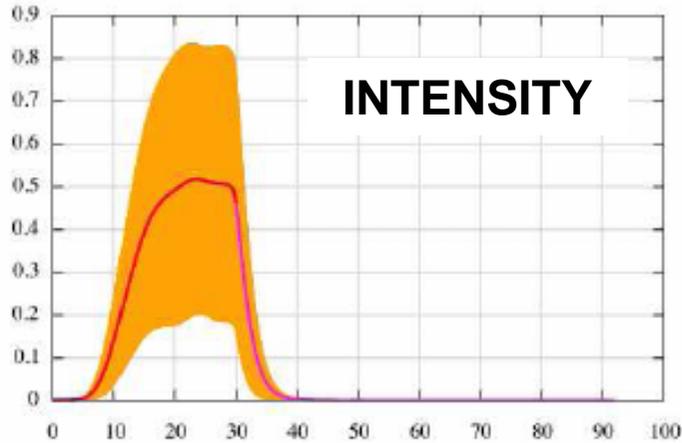
XFEL

$|A|^2$

$\bar{\rho} = 0.1$

Spectrum

$\bar{z} = 25.0$



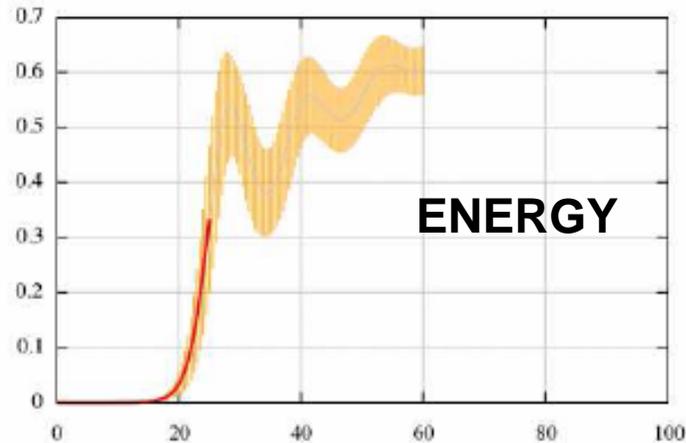
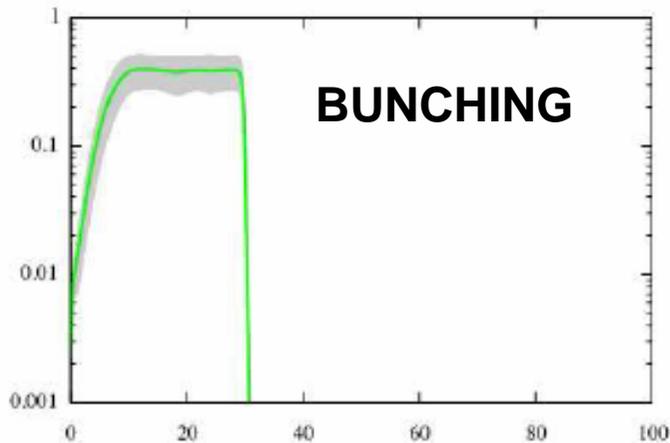
$$\bar{\rho} = 0.1$$

$$b_0 = 0.01$$

$$L_b = 30L_c$$

$(z-vt)/L_c$

$\Delta\omega/\rho\omega$



$(z-vt)/L_c$

$z/L_g$

# INITIAL ENERGY SPREAD EFFECTS:

$$\frac{\partial \mathbf{c}_n}{\partial \bar{z}} = -in \left( \frac{n}{2\bar{\rho}} + \delta \right) \mathbf{c}_n - \bar{\rho} \left( A \mathbf{c}_{n-1} - A^* \mathbf{c}_{n+1} \right)$$

$$\frac{\partial A}{\partial \bar{z}} + \frac{\partial A}{\partial z_1} = \sum_{n=-\infty}^{\infty} \int d\delta G(\delta) \mathbf{c}_n \mathbf{c}_{n-1}^*$$

$G(\delta)$ ; initial distribution of width  $\sigma_\delta$

linear theory  $\rightarrow$  **GENERALIZED DISPERSION** relation:  $(A \propto e^{i\lambda\bar{z}})$

$$\lambda - \Delta + \bar{\rho} \int_{-\infty}^{\infty} \frac{d\delta}{\lambda + \delta} \left\{ G\left(\delta + \frac{1}{2\bar{\rho}}\right) - G\left(\delta - \frac{1}{2\bar{\rho}}\right) \right\} = 0$$

$$\left( \Delta = \bar{\delta} + \frac{n}{\bar{\rho}} - \frac{\Delta\omega}{\rho\omega} \right)$$

N. Piovella, R. Bonifacio, NIMA (2006)

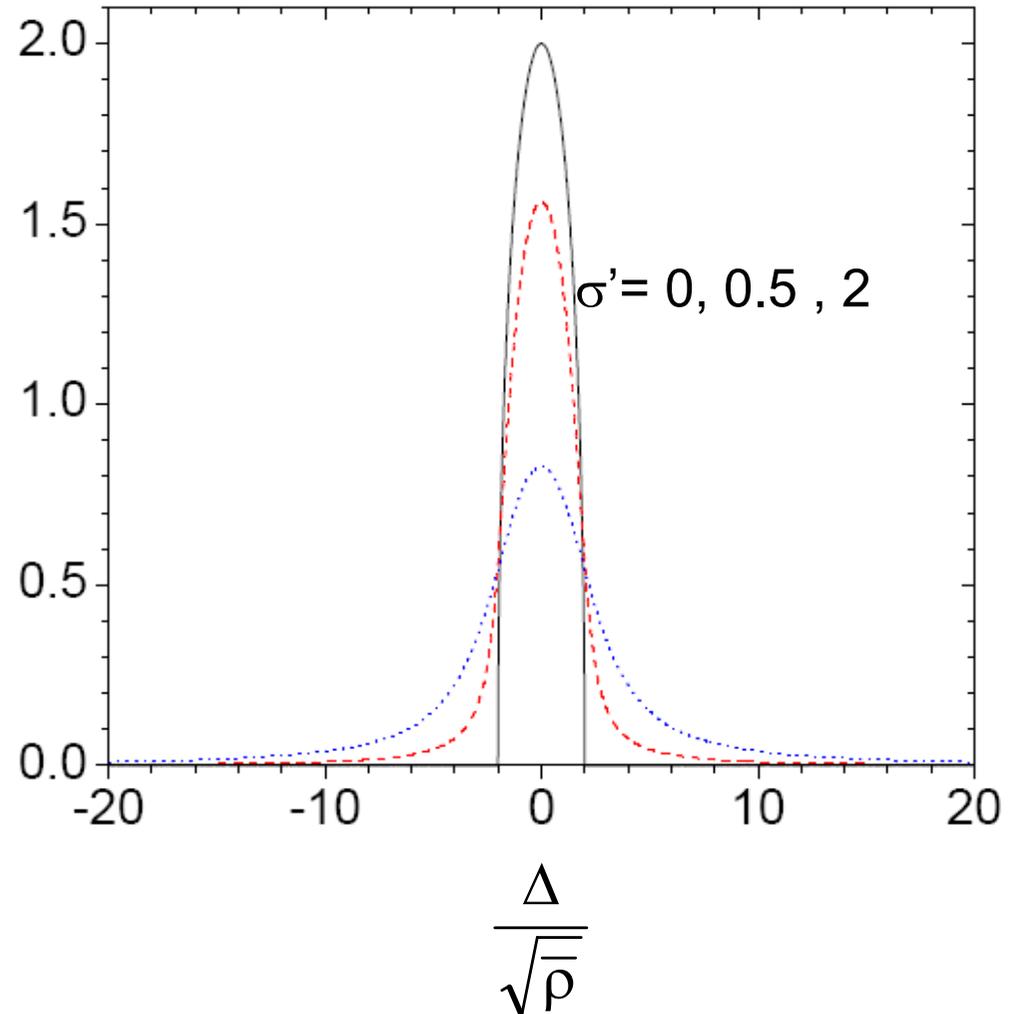
when  $G(\delta)$  is Lorentzian  $\rightarrow$

$$(\lambda - \Delta) \left[ (\lambda - i\sigma)^2 - \frac{1}{4\bar{\rho}^2} \right] + 1 = 0$$

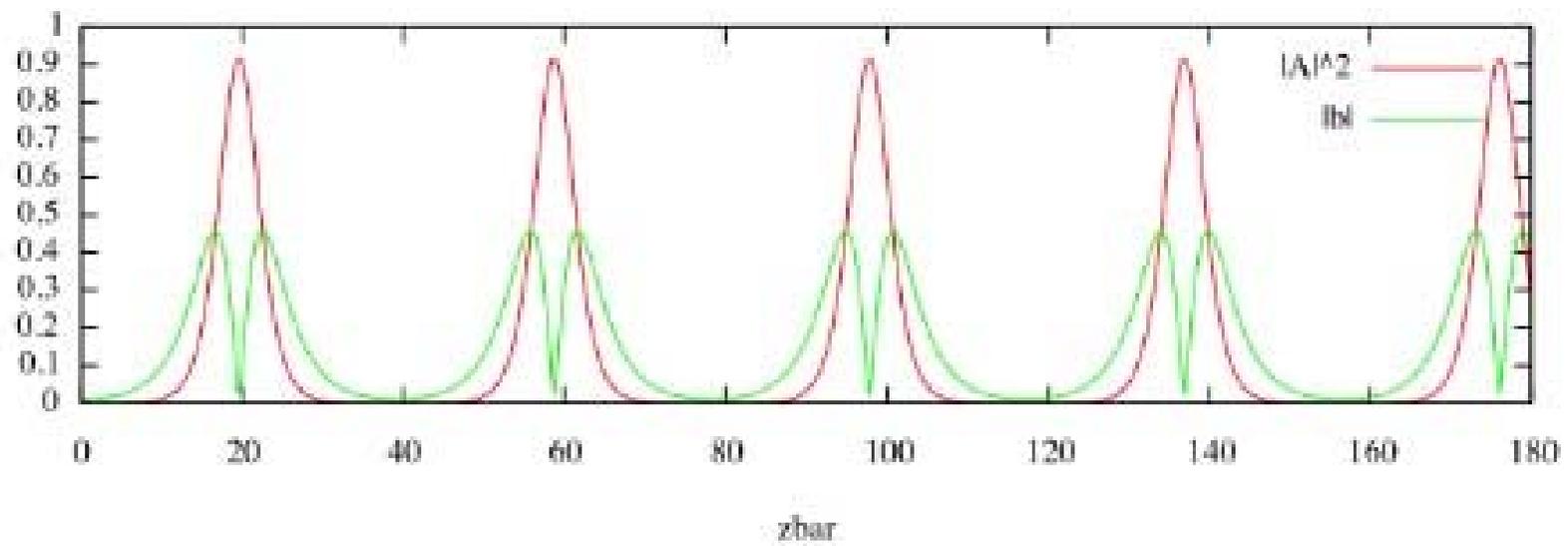
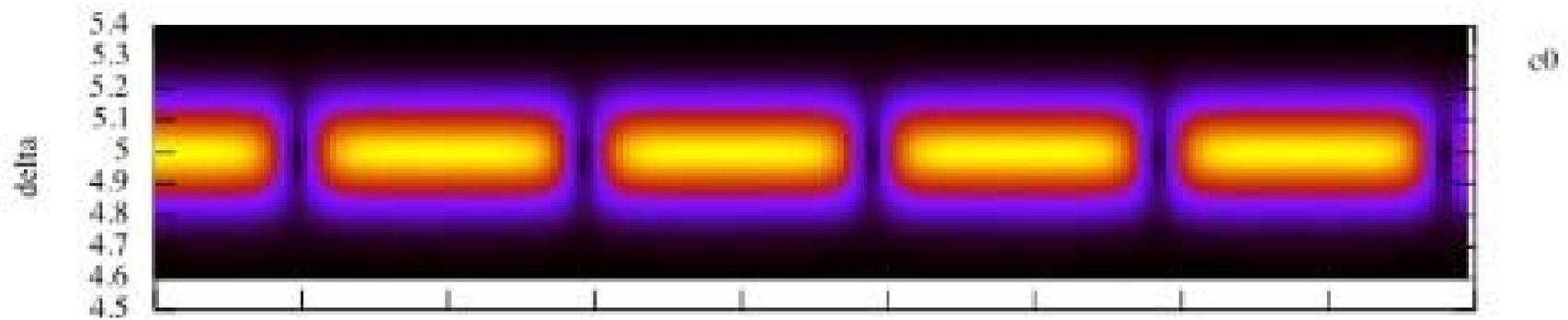
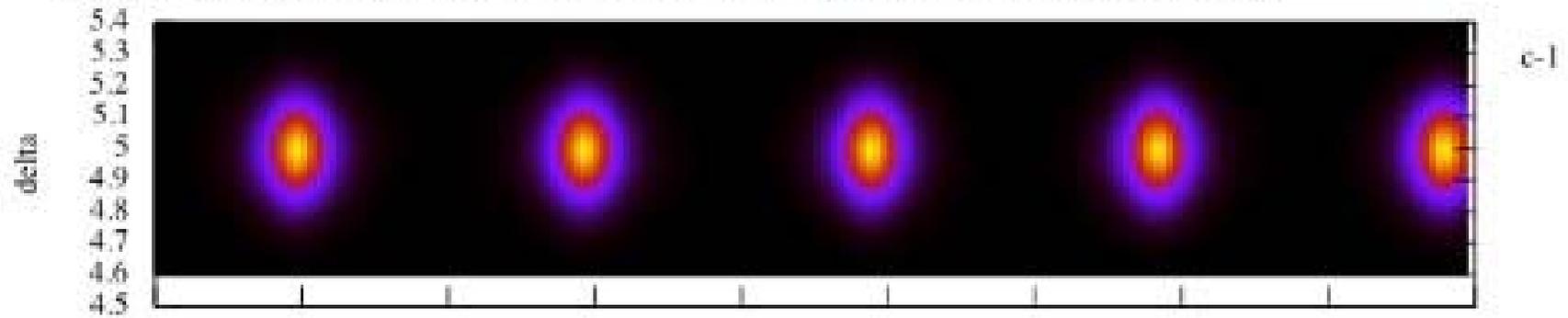
in the quantum limit  $\bar{\rho} < 1$

$$\text{max gain} = \frac{\sqrt{\bar{\rho}}}{L_g} \left[ \sqrt{4 + \sigma'^2} - \sigma' \right]$$

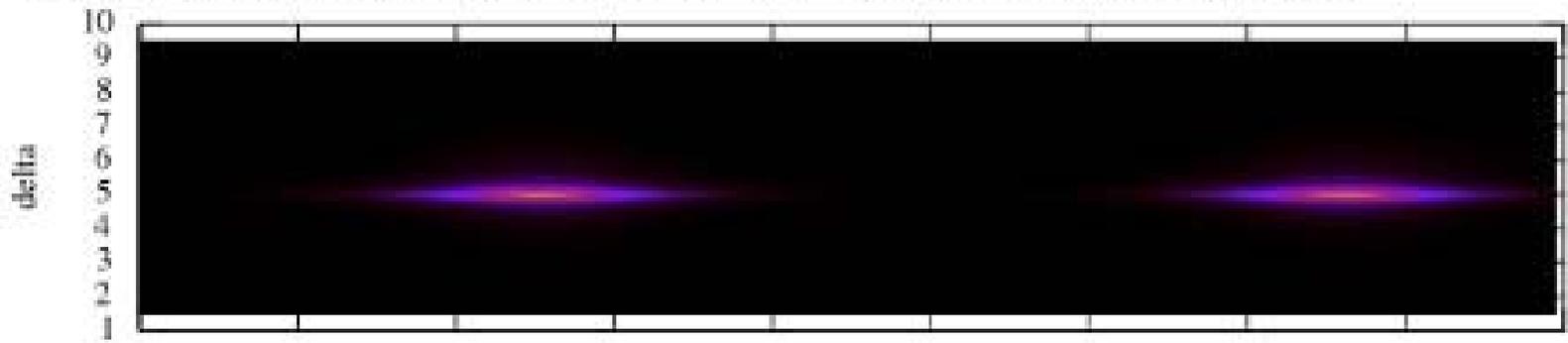
$$\sigma' = \frac{\sigma}{\sqrt{\bar{\rho}}} = \frac{1}{\sqrt{\bar{\rho}}} \left( \frac{\delta\gamma_0}{\rho\gamma_0} \right)$$



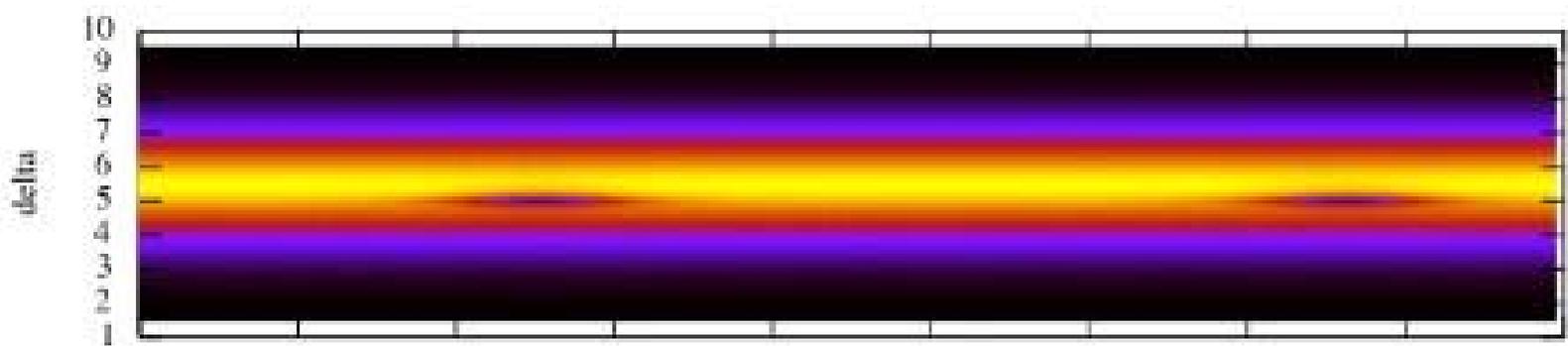
Steady state + energy spread:  $\rho_{\text{bar}}=0.1, \delta_{\text{bar}}=5, \Delta=0.1$



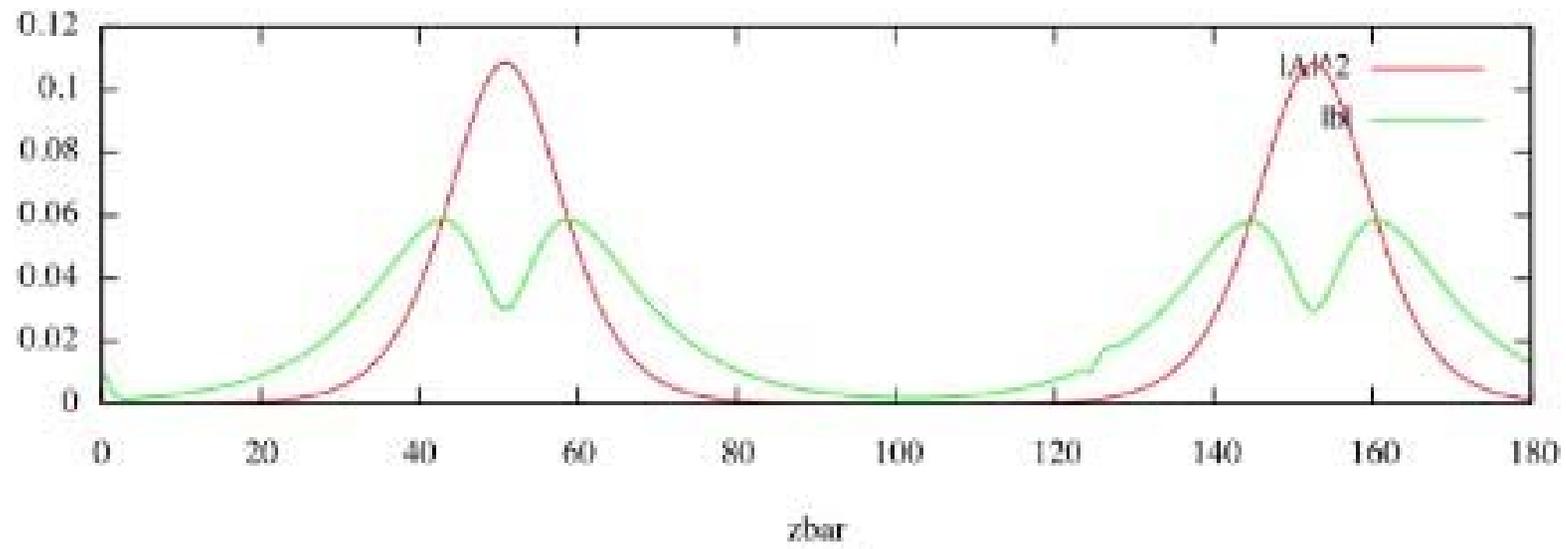
Steady state + energy spread:  $\rho_{\text{hbar}}=0.1, \Delta_{\text{bar}}=5.5, \Delta=1$



c-1

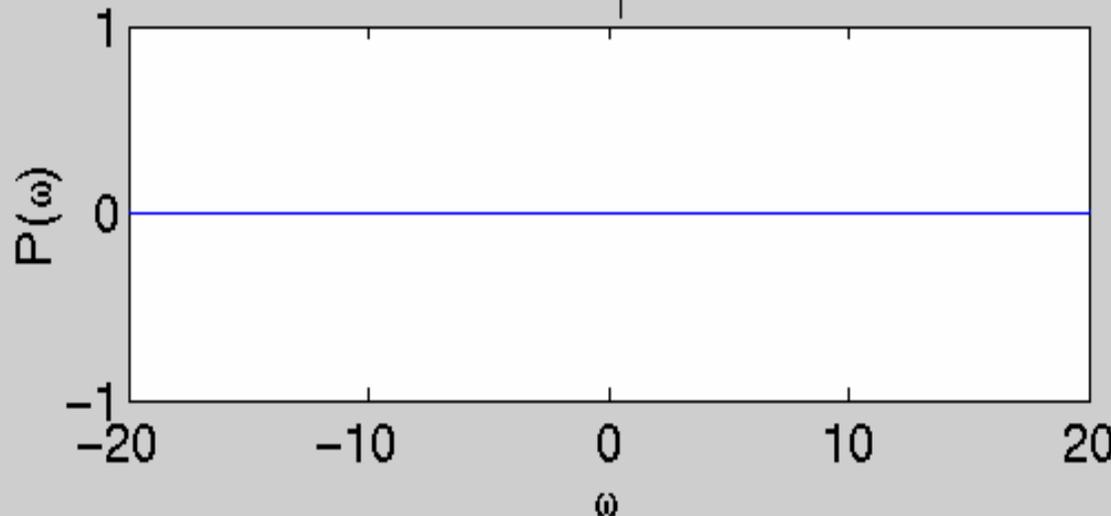
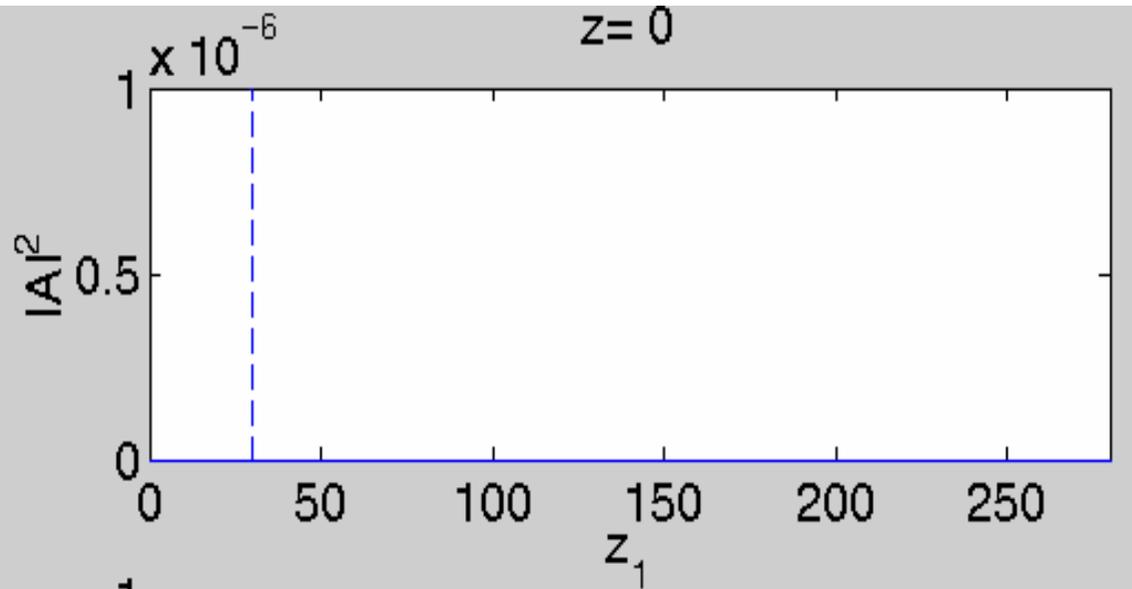


c0



**QUANTUM SASE** with spread ( $\bar{\rho}=0.1$  and  $\sigma=1$ )

$$\left( \frac{\delta\gamma_0}{\gamma_0} = \rho \right)$$



coherence is preserved if:

$$mc\delta\gamma_0 < \hbar k$$

$$\left( \sigma \ll \frac{1}{\bar{\rho}} \right)$$

but gain is reduced if

$$\frac{\delta\gamma_0}{\gamma_0} \geq \rho\sqrt{\bar{\rho}}$$

# CONCLUSIONS

- The new **QUANTUM** regime of SASE-FEL could generate x-ray radiation with a much narrower spectrum than classical SASE
- Our quantum propagation model describes both classical ( $\bar{\rho} \gg 1$ ) and quantum ( $\bar{\rho} < 1$ ) SASE mode operation.
- In the quantum regime FELs behaves as two-level system (Laser), with multiple lines in the spectrum.
- We are working to extend the **1D Quantum FEL** model into a full **3D model**, with an e.m. wiggler (**QFEL project**).