

# What is the optimal (=highest?) loaded $Q$ for ERL linacs?

J. Knobloch, BESSY

## Overview

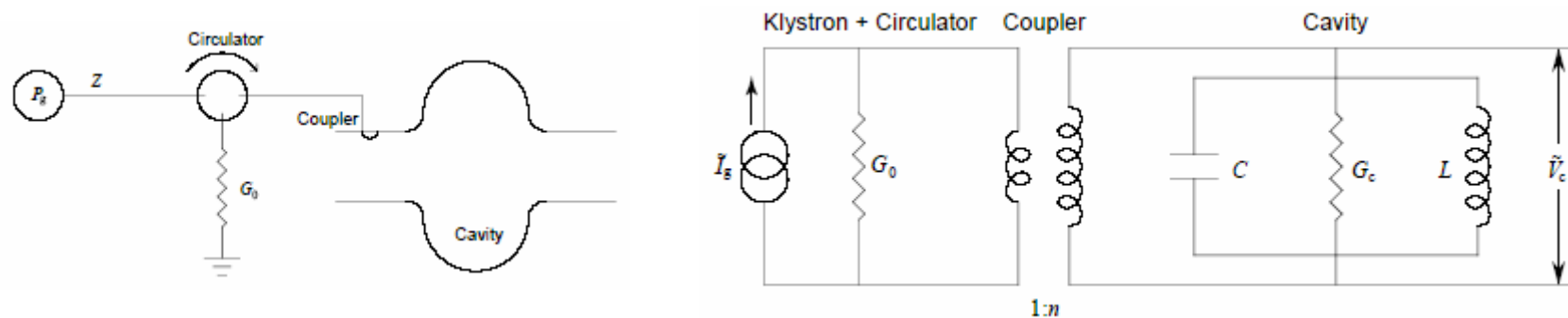
- Review of the basics: Coupling to superconducting cavities
- Impact of the real world: Beam loading, microphonics
- Optimizing the cavity loading in the real world
  - RF Control
  - Microphonics
  - Beam loading
  - RF Processing
- Present “state-of-the-art”
- Open questions the must be resolved for the design of future ERLs
- At what loading can we realistically expect to operate?

### *Note:*

- Whenever examples are given,
  - will assume we are using 9-cell TESLA cavities operating at 1.3 GHz
  - 20 MV/cavity
  - 5 GeV total linac energy

## Input coupler connects the transmitter/transmission line to the cavity

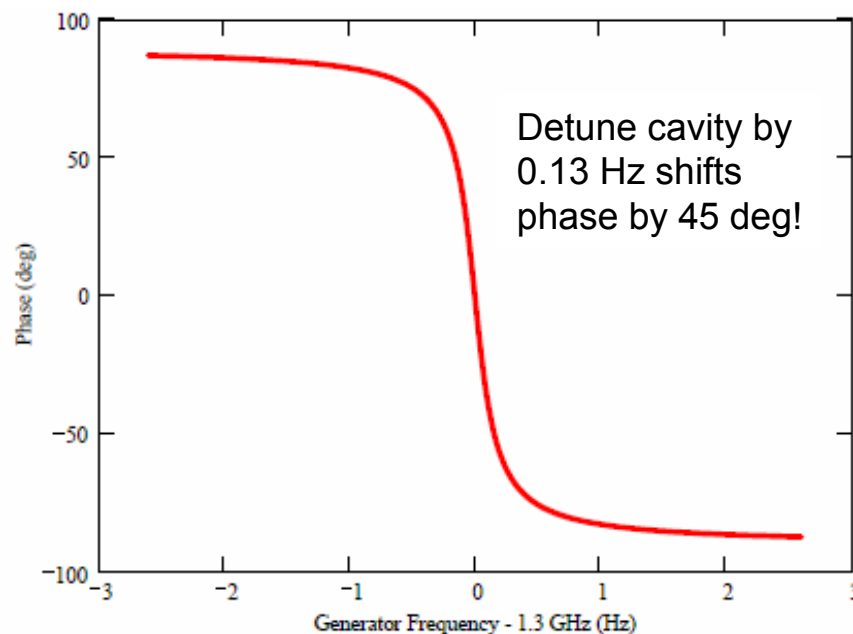
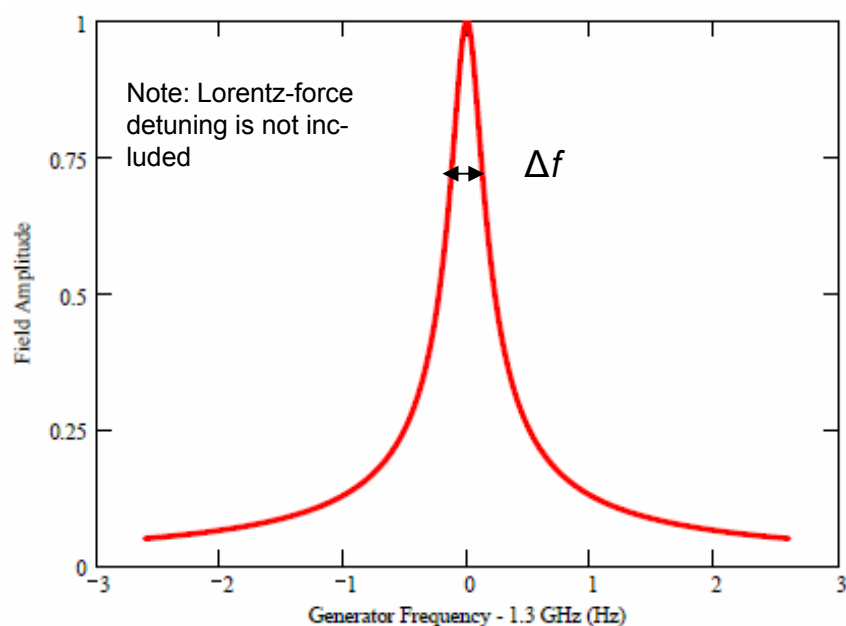
- Transmission-line impedance in the 50 Ohm range
- Cavity shunt impedance  $R/Q \times Q$  is in the  $10^{12}$  Ohms/cell range
- Strong mismatch between transmission line and cavity.
- A coupler serves as a “transformer” for impedance matching



- External coupling factor is defined as  $\beta = P_e/P_c = Q_0/Q_{ext}$
- Match is given if  $P_e = P_c$  or  $\beta = 1$

As with all oscillators, the cavity bandwidth is determined by the total losses

- Bandwidth of resonance =  $\Delta f = f_0/Q_L$  FWHM ( $Q_L = \omega U/P_{tot}$ ,  $Q_0/2$ )
- $\Delta f = 0.26$  Hz, at  $f_0 = 1.3$  GHz and  $Q_0 = 10^{10}$ 
  - need phase-lock loop to stay on resonance
- But in a linac, generator frequency must be fixed and can't follow the cavity → must stabilize the cavity field with generator power when cavity resonance drifts



## Beam loading

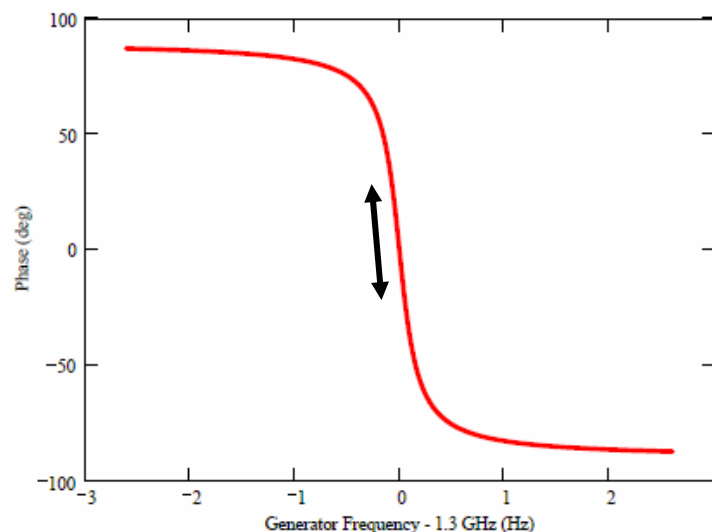
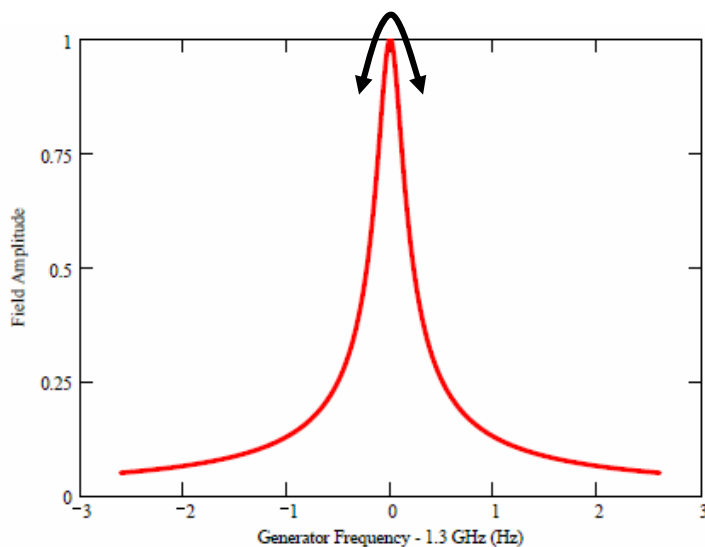
- Beam loading = additional “loss mechanism” in the cavity
- $P_b = I_b V \cos \varphi \rightarrow$  Total losses “in the cavity” are given by  $P_{bc} = P_b + P_c$
- $\rightarrow$  matching condition changes. Now transmission line is matched to the cavity when  $P_e = P_{bc}$
- Do the math to find for match (for heavy beam loading)  $Q_e \approx \frac{V}{I_b \cos \varphi (R/Q)}$
- Example: For XFEL beam current = 10 mA,  $V = 20$  MV
- $\rightarrow Q_e = 1.9 \times 10^6$ ,  $\Delta f = 680$  Hz
- Almost all power goes into the beam.  $P_b = 200$  kW  
 $\rightarrow$  XFEL/TESLA klystron provides 220 kW/cavity

## What happens when we shift the cavity frequency by $\delta f$ ?

- Klystron frequency is constant  $\rightarrow$  amplitude decreases and phase changes
- $\psi = \text{atan}(2 \delta f / \Delta f) \approx 2 \delta f / \Delta f \rightarrow V = V_0 \cos \psi \exp(i\psi)$
- To compensate, the klystron must provide additional power and change phase

- Required power to keep field constant 
$$P_g(\Delta f, \delta f) := \frac{V_c^2 \cdot \Delta f}{4 \cdot R_o Q \cdot f_0} \left[ \left( 1 + \frac{f_0}{\Delta f} \cdot \frac{R_o Q \cdot I_b \cdot V_c}{V_c^2} \right)^2 + 4 \left( \frac{\delta f}{\Delta f} \right)^2 \right]$$

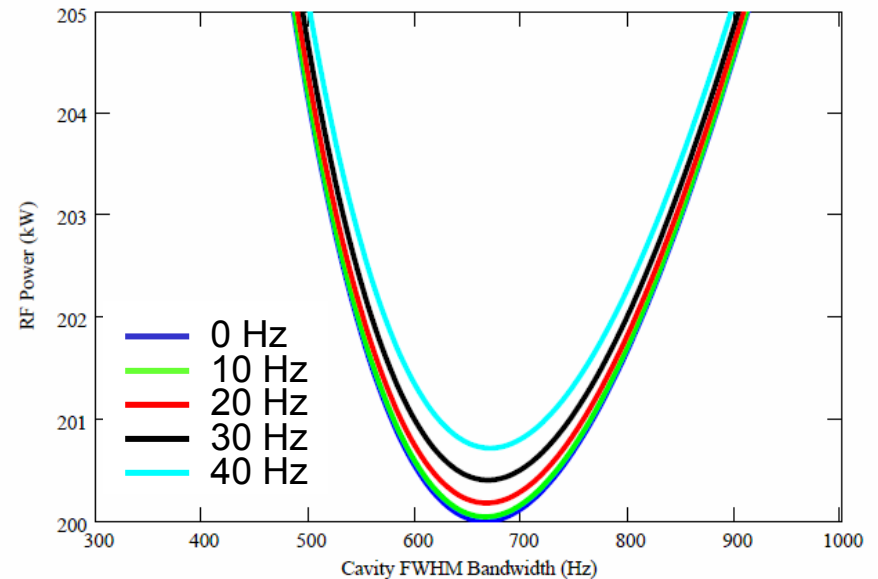
Beam loading
Detuning



## How much detuning can we expect in realistic modules?

Machine	$\sigma$ [Hz]	$6\sigma$ [Hz]	Comments
CEBAF	2.5 (average)	15 (average)	significant fluctuation between cavities
ELBE	1 (average)	6 (average)	
SNS	1 to 6	6 to 36	significant fluctuation between cavities
TJNAF FEL	0.6 to 1.3	3.6 to 7.8	center cavities more quiet
TTF	2 to 7 (pulsed)	12 to 42 (pulsed)	significant fluctuation between cavities

- Peak values at TTF around 40 Hz
- Impact on RF system is negligible and optimal coupling unchanged

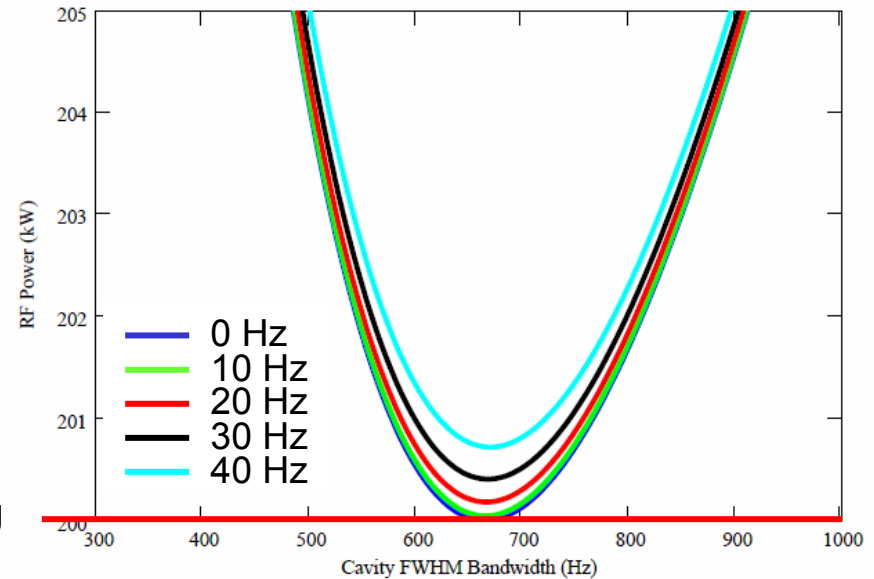


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- Impact on RF system is negligible and optimal coupling unchanged
- Why? Because  $\delta f \ll \Delta f$

Beam loading





## What stability levels can we expect out of our cavities?

- Assume we use a simple P-type controller with gain  $\kappa$
  - RMS detuning is of order  $\sigma_{\text{mic}} = 7 \text{ Hz}$
  - Change in cavity phase due to detuning =  $\delta\psi \approx 2 \delta f / \Delta f = 1.2 \text{ deg}$
  - Feedback reduces this to about  $\delta\psi / (1 + \kappa) = \mathbf{0.012 \text{ deg}}$  for  $\kappa = 100$
  - Resultant energy jitter is given by  $\sigma_E / E = \tan(\varphi_b) \times d\psi / (1 + \kappa) = \mathbf{3.7 \times 10^{-5}}$  for  $\varphi_b = 10 \text{ deg}$
  - Typically, require amplitude and phase stability in the range 0.02 to 0.1 deg and energy stability around  $10^{-4} - 10^{-3}$
- Microphonics impact beam quality only little (because bandwidth is large)

## What happens when the beam loading is zero (ERL main linac)?

- If no microphonics present, match is given by  $Q_L = Q_0/2$  and bandwidth  $< 1$  Hz

- *But with microphonics things are very different!*

- Power requirement is given by 
$$P_g = \frac{V^2 \Delta f f}{4 (R/Q)} (1 + 4 \delta f^2 / \Delta f^2)$$

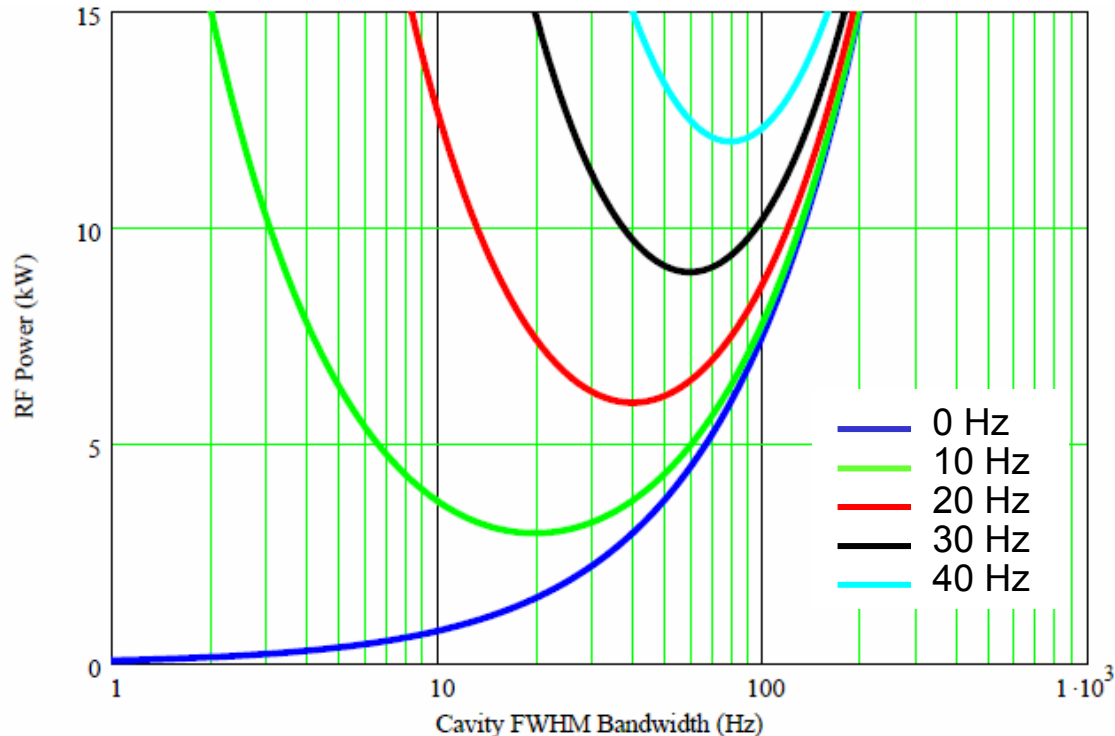
- “Matching” condition

$$\Delta f_{\text{opt}} = 2 \delta f_{\text{mic}}$$

- Minimum power is given by:

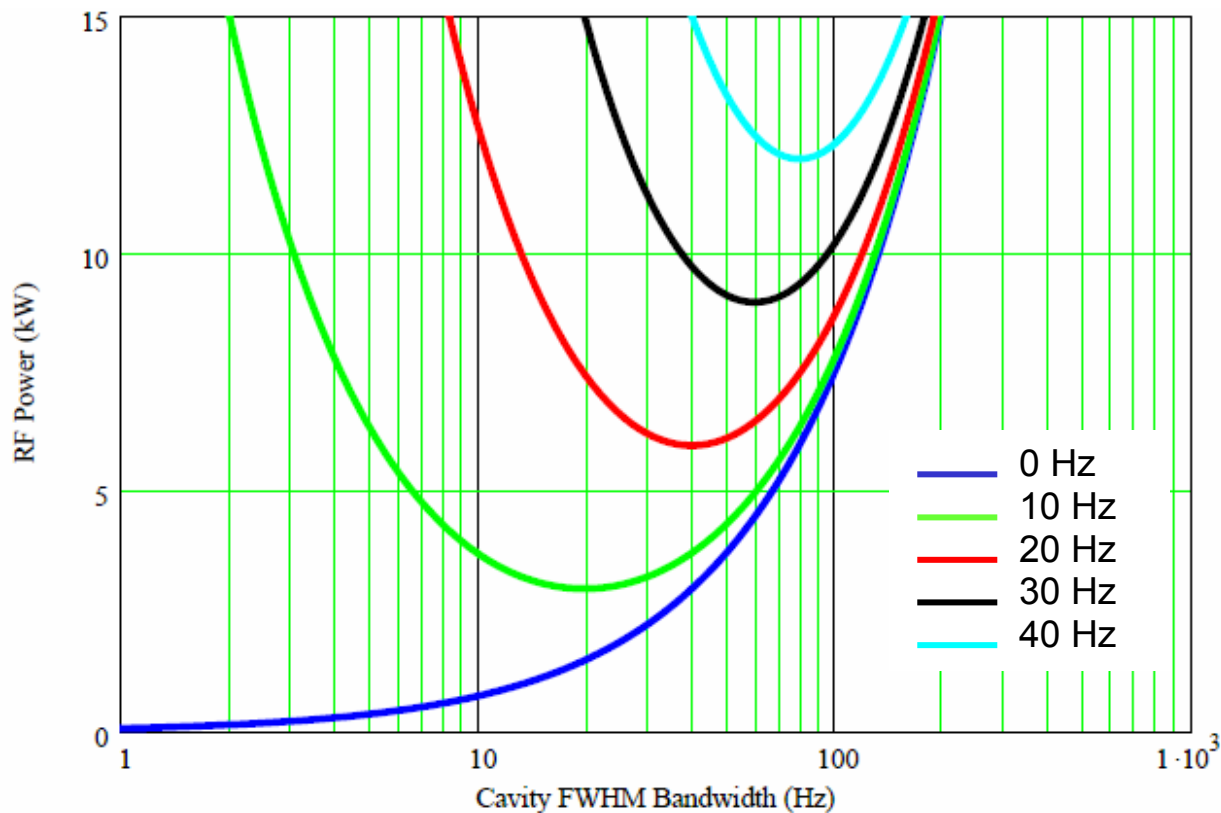
$$P_g = \frac{V^2 \delta f f}{(R/Q)}$$

- **THIS IS A BIG DEAL!**



## Consequence: Wasted RF power

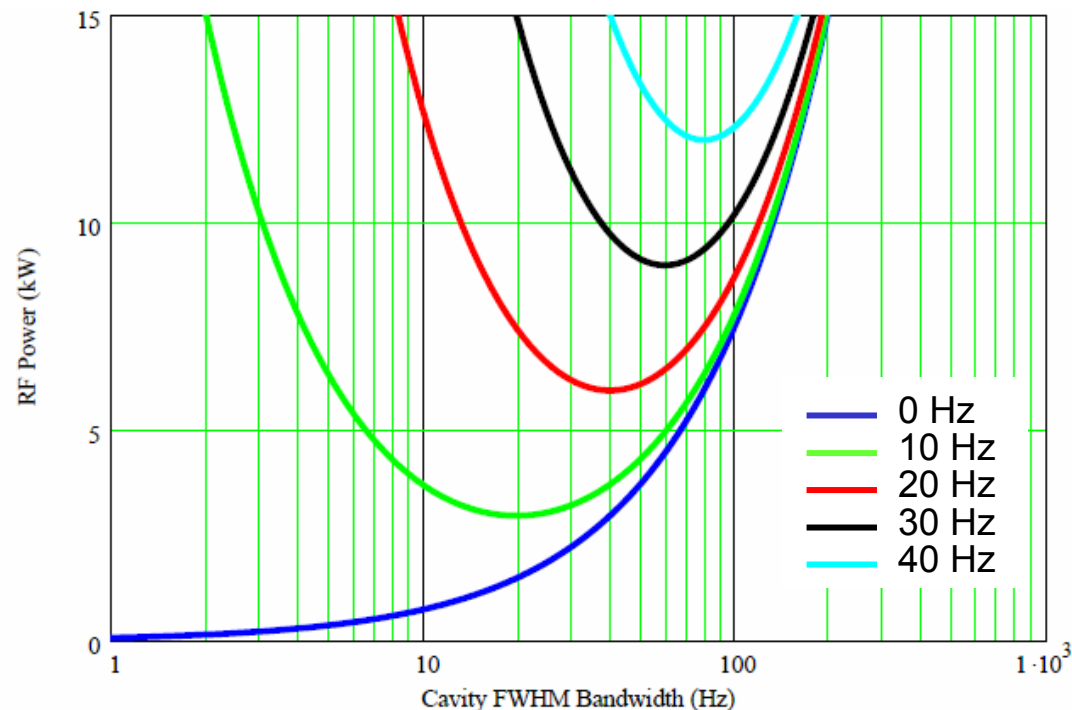
- If no microphonics present, power per cavity is around 30 W
- With 10 Hz RMS microphonics, power per cavity is 3 kW per cavity!
- That's of order 100x as much! But the whole point of an ERL is to save energy



## Matching condition determined by microphonics

- Value of optimal coupling factor is determined by microphonics
- But a priori don't know what microphonics to expect, may also change with time

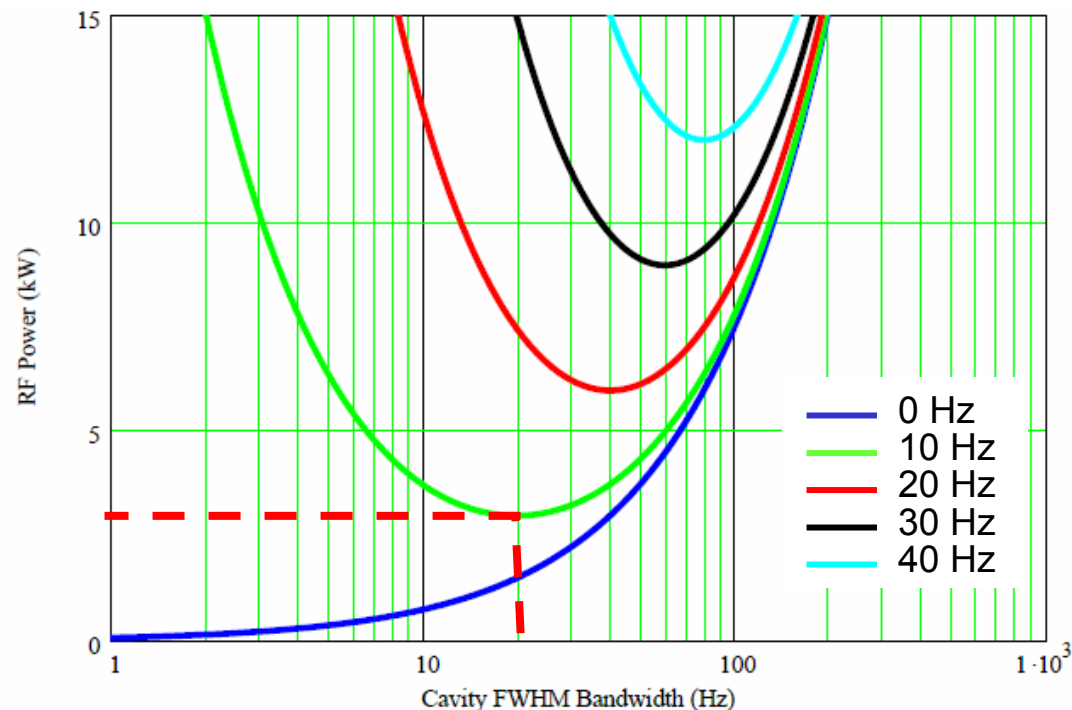
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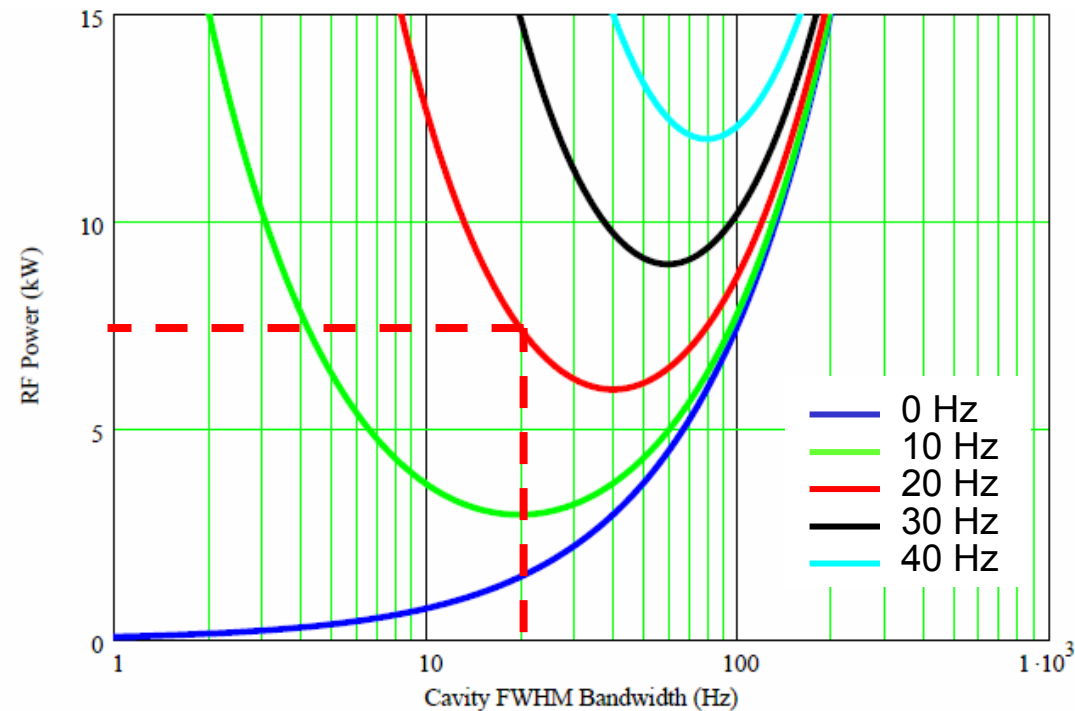
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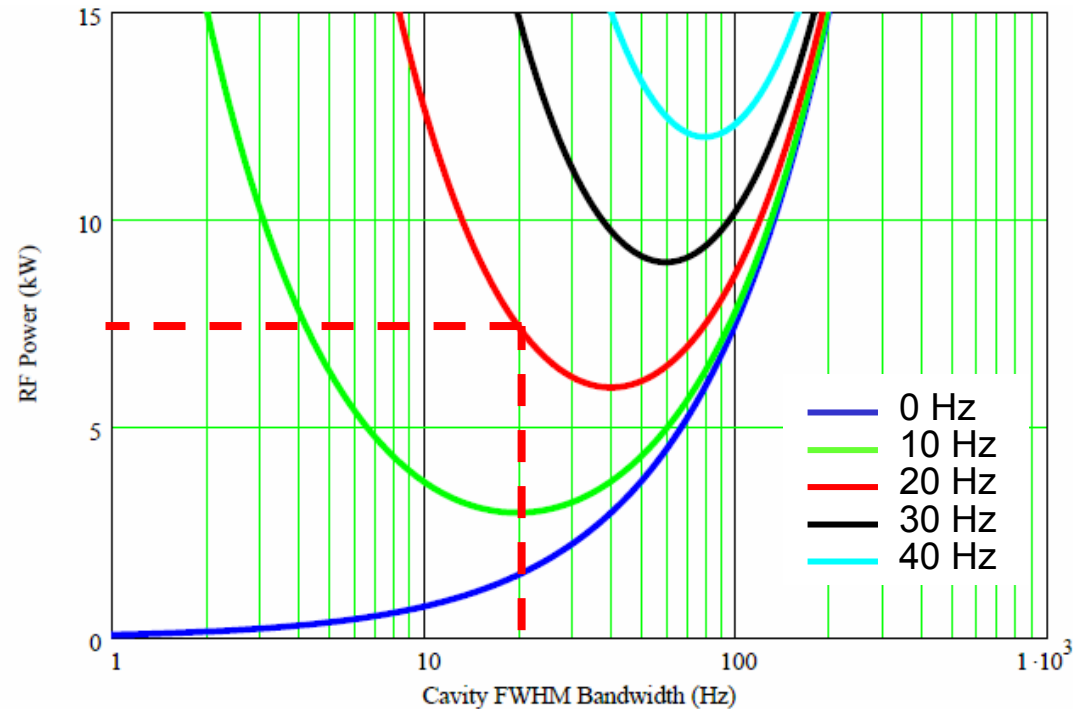
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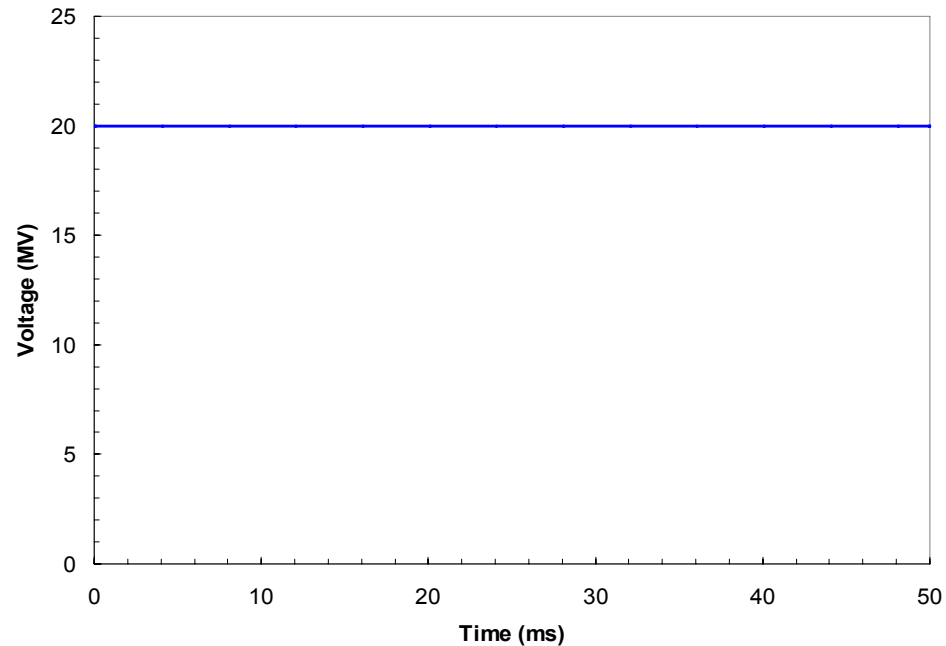
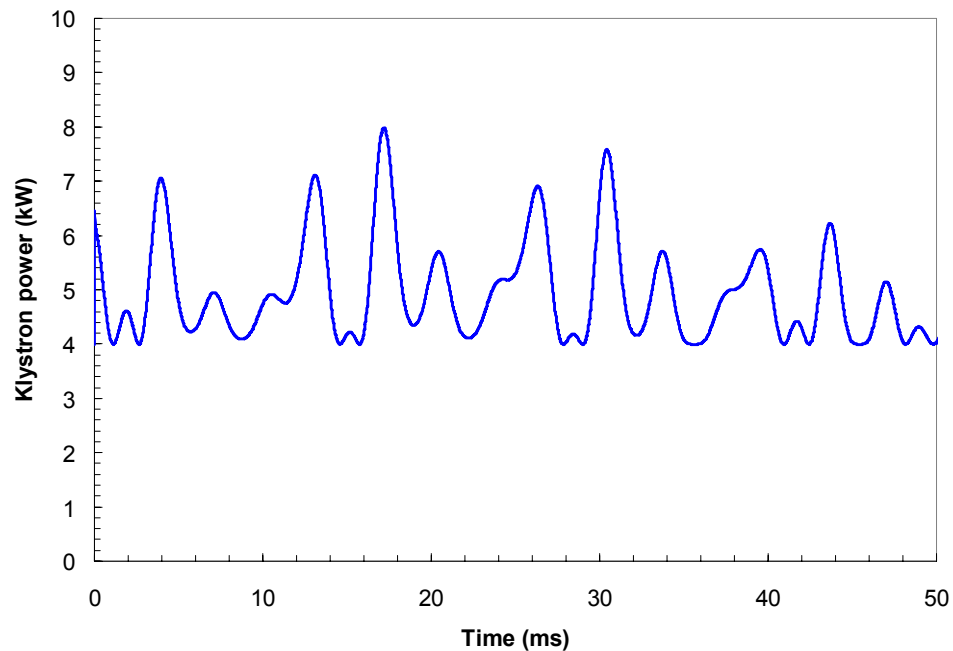
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- But a priori don't know what microphonics to expect, may also change with time
- E.g., if microphonics double from 10 to 20 Hz, power increases from 3 to 7.5 kW!
- PROBABLY ADJUSTABILITY OF COUPLING FACTOR WILL BE QUITE IMPORTANT TO OPTIMIZE CAVITY OPERATION

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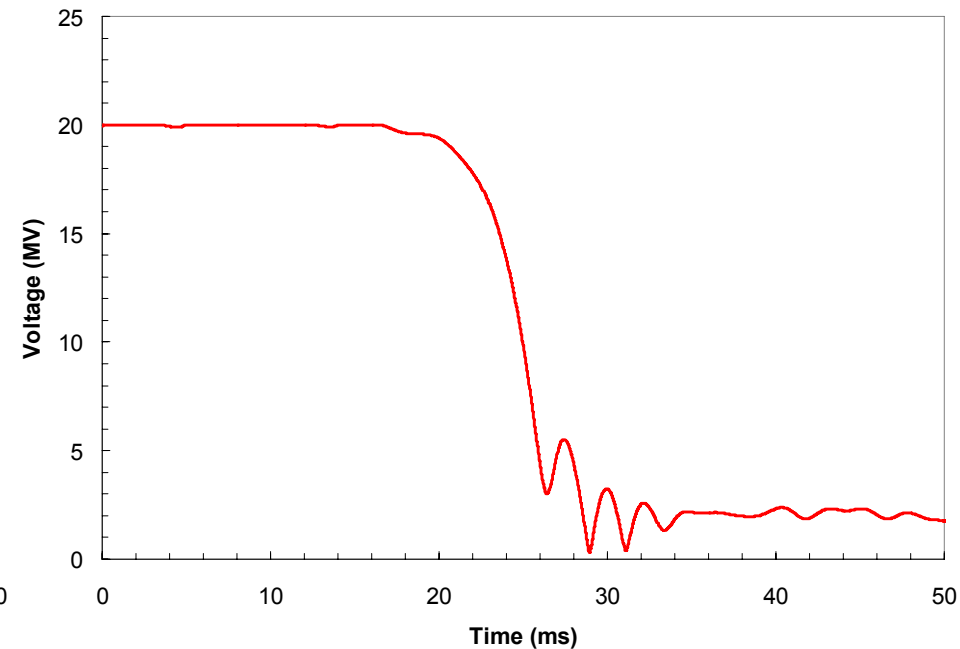
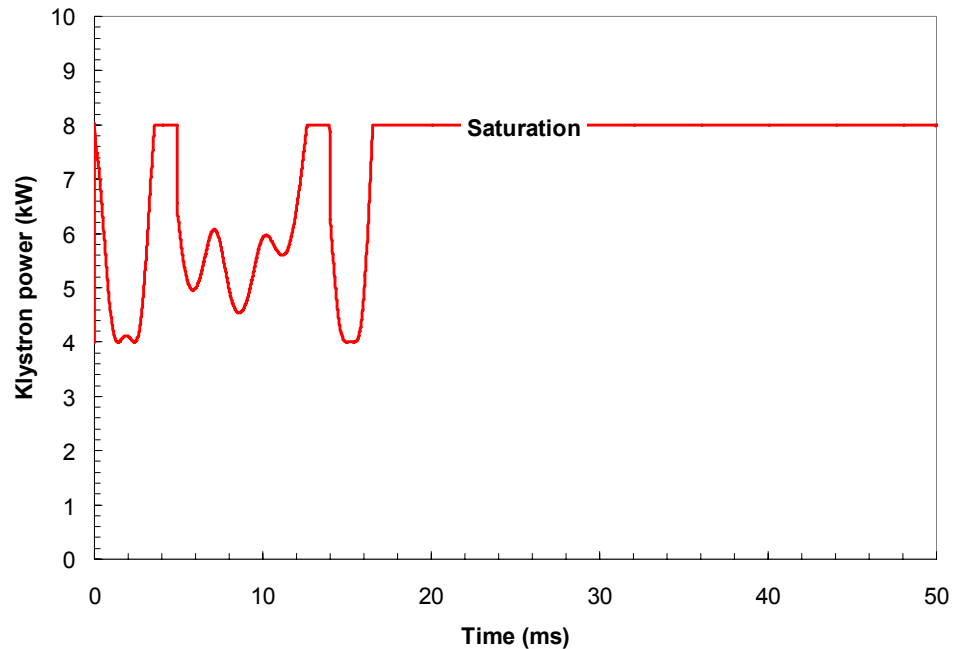
- To stabilize the cavity field we always need to have sufficient RF power available to compensate microphonics, otherwise cavity will likely trip (dangerous!)





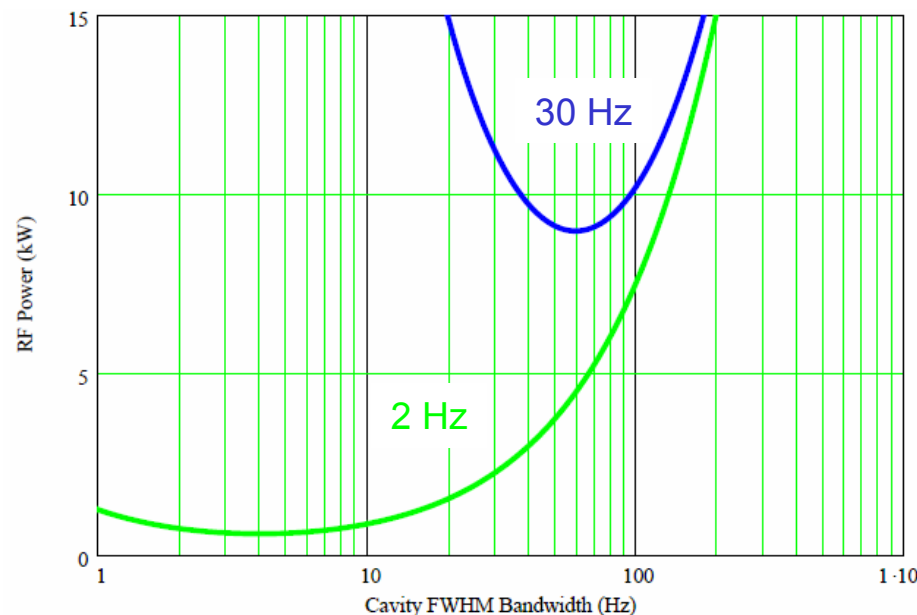
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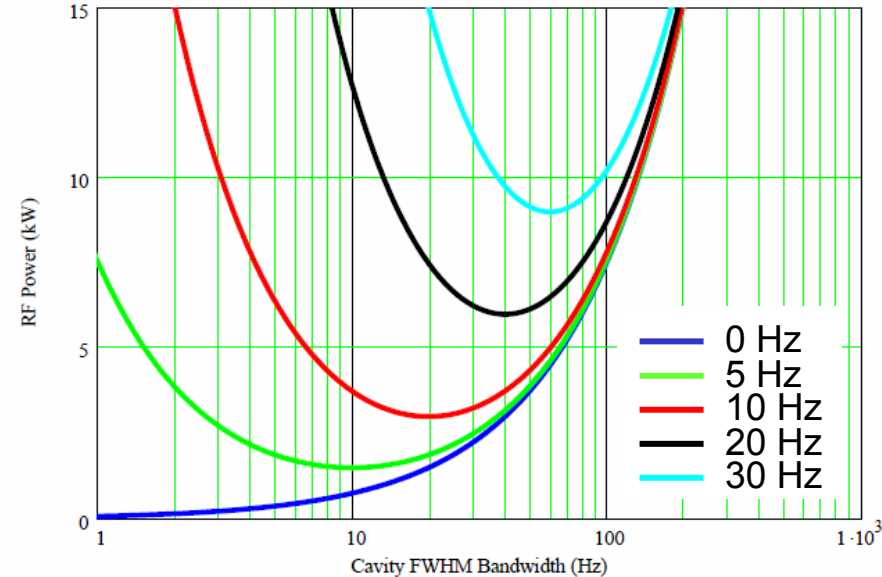
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- To stabilize the cavity field we always need to have sufficient RF power available to compensate microphonics, otherwise cavity will likely trip (dangerous!)
- **Peak microphonics determine RF power installation**
  - Capital investment and RF technology choice is driven by microphonics
- E.g., peak microphonics of 30 Hz.
  - Best we can do is 9 kW per cavity
  - Must use something like CPI klystron transmitter similar to CEBAF's at 140 k€/cavity (?)
- Let's dream and assume microphonics are only 2 Hz
  - Best we can do is 650 W → solid-state amplifier
  - much "nicer" RF system, easier maintained
  - Cost is about 50 k€/cavity (for 1 kW)
- But must check if we can operate at this narrow bandwidth!



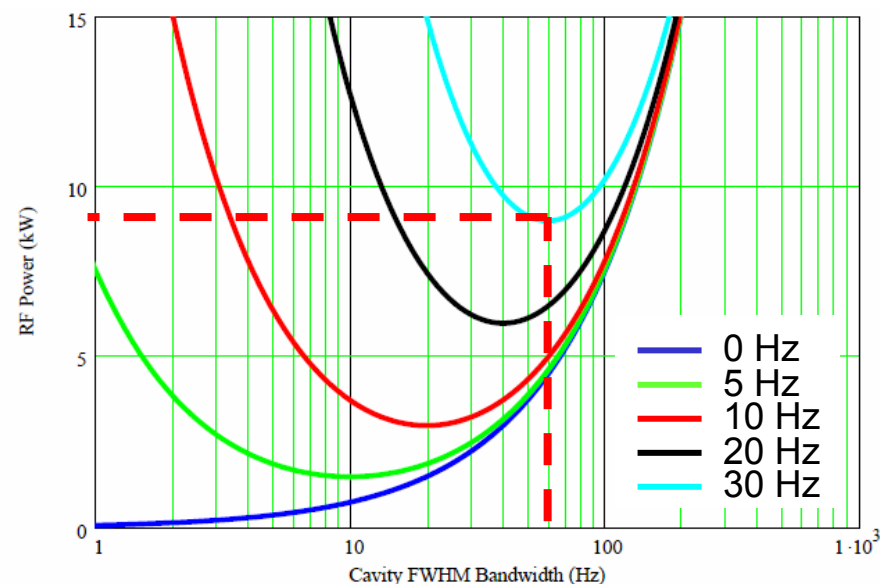
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- E.g., Microphonics are 5 Hz RMS and 30 Hz peak
- Try to minimize installed RF power  $\rightarrow$  optimize bandwidth for peak detuning ( $\Delta f = 60$  Hz)



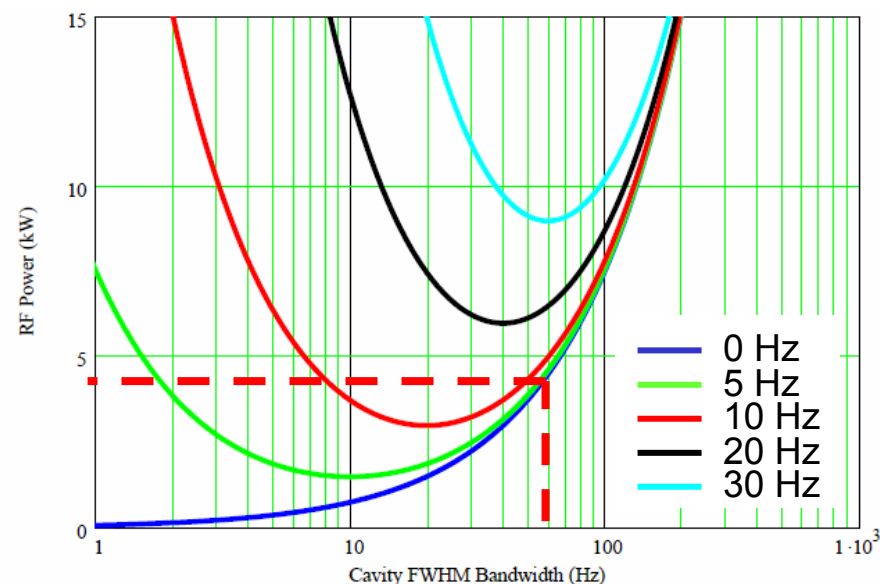
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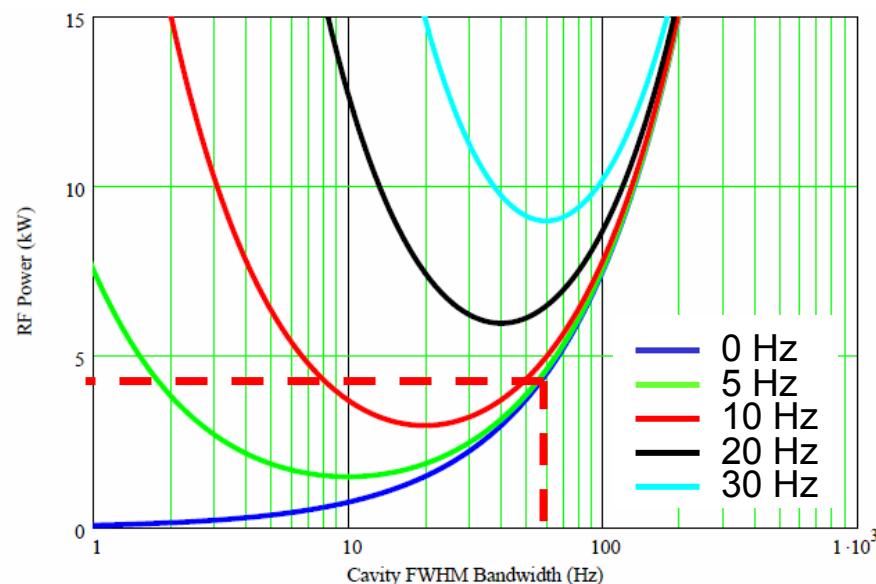
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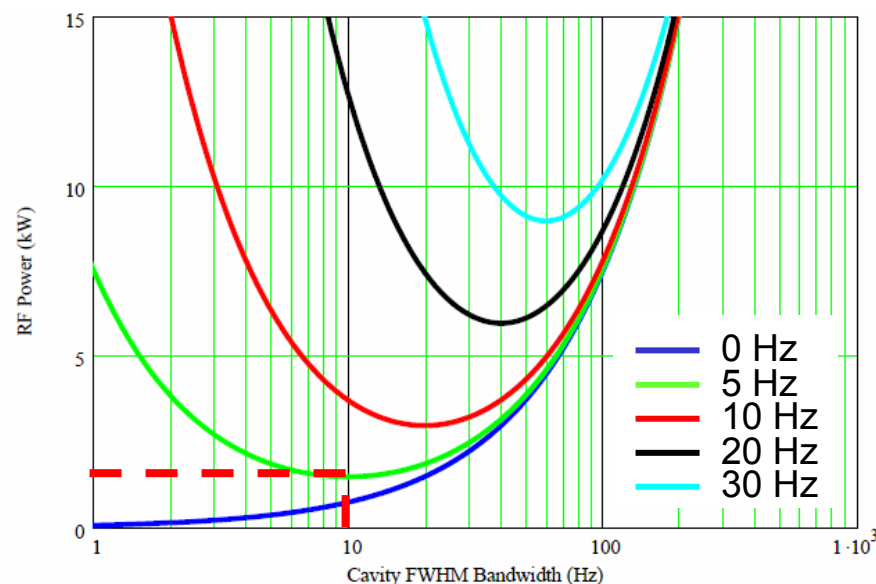
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- Waste a factor 3 in wall-plug power
- But other way around is even worse



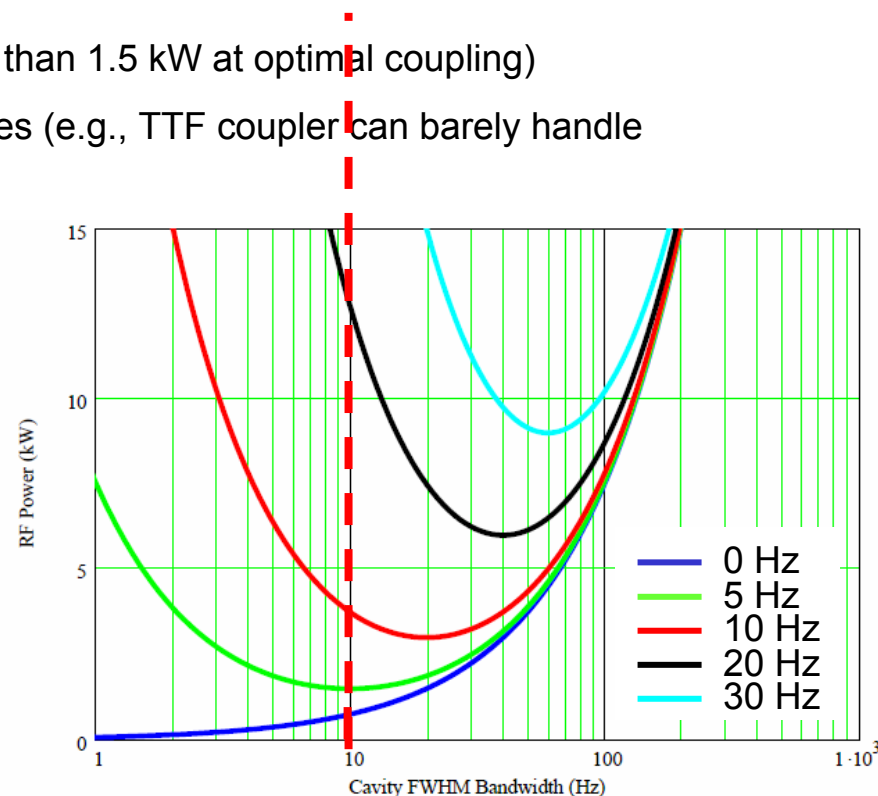
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  - CW load is 1.5 kW
  - Peak load is 28 kW!





### RF Stability and beam quality are impacted

- Again, assume peak microphonics around 30 Hz, average microphonics about 5 Hz.
- Bandwidth = 60 Hz
- 5 Hz RMS detuning results in a phase of nearly 10 deg.
- For RF feedback gain of 100, phase stability is about 0.1 deg
  - Probably not sufficient for most machines!
- What can we do? Increase the gain of the feedback to  $> 100$
- Where is the limit?
  - Stability of the feedback loop. Latency has a big impact.
    - For 60 Hz BW and 5  $\mu$ s latency, limit is about gain = 1400, for safety set maximum to 700
  - Pickup measurement: noise is multiplied by 2x feedback gain.
    - For N/S ratio at the 0.1% level, N/S noise level on klystron power is 100% if gain is 500
    - Question: How much noise do we have? How much klystron noise can we tolerate?

## How much feedback gain do we need for phase stability at the optimal loading?

- Assume we have measured the RMS microphonics:  $\sigma_{\text{mic}}$
- For how much peak microphonics should we budget the RF system?
  - Depends on how many trips/day we are willing to accept
  - Some papers quote  $\delta f_{\text{pk}} = 6 \sigma_{\text{mic}}$  to yield a few trips a day ← **is this OK, THIS MUST BE MEASURED!**
- Optimize the  $Q_L$  for the peak microphonics  $\Delta f = 2 \cdot 6 \sigma_{\text{mic}}$
- RMS phase error in the cavity will therefore be about  $(2 \cdot \sigma_{\text{mic}})/(\Delta f \cdot [1 + \kappa]) = 9.6 \text{ deg}/(1 + \kappa)$ 
  - If we need 0.02 deg phase stability then gain  $\kappa = 9.6 \text{ deg}/0.02 \text{ deg} = 475$
- Measurement noise must therefore be significantly less than 0.1%.
  - Assume pickup probe has  $Q_{\text{ext}} = 10^{12}$
  - Cavity field is 20 MV
  - Probe power is 390 mW, equivalent voltage is 4.4 V (at 50 Ohm)
  - Assume noise is 1 mV
  - Noise is around 0.02%
  - Operation with gain around 500 should be fine

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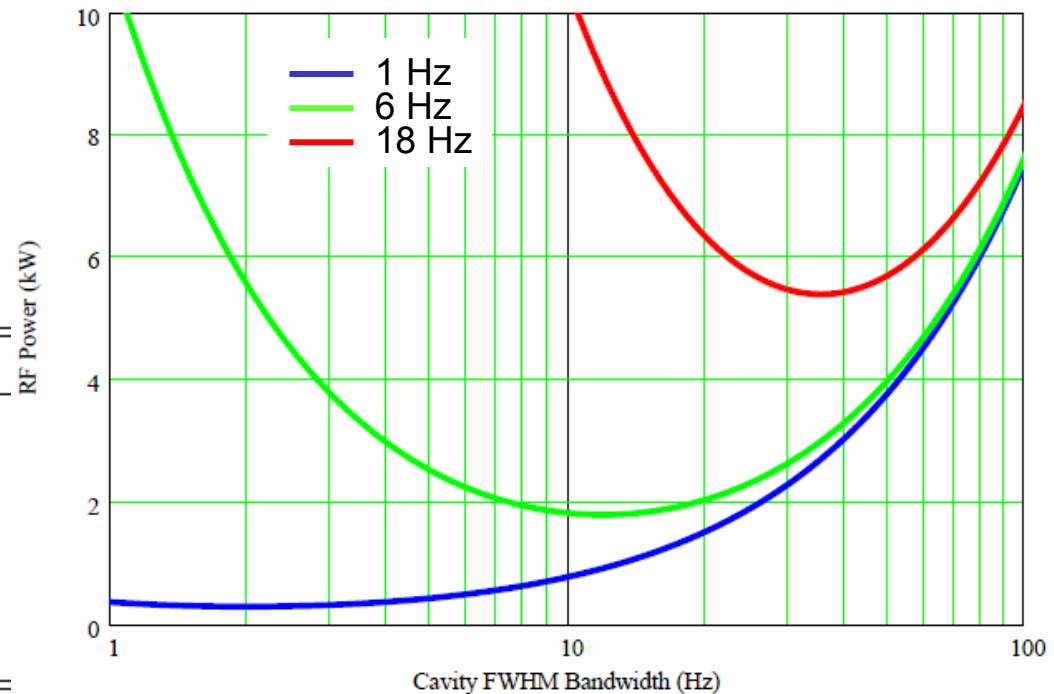
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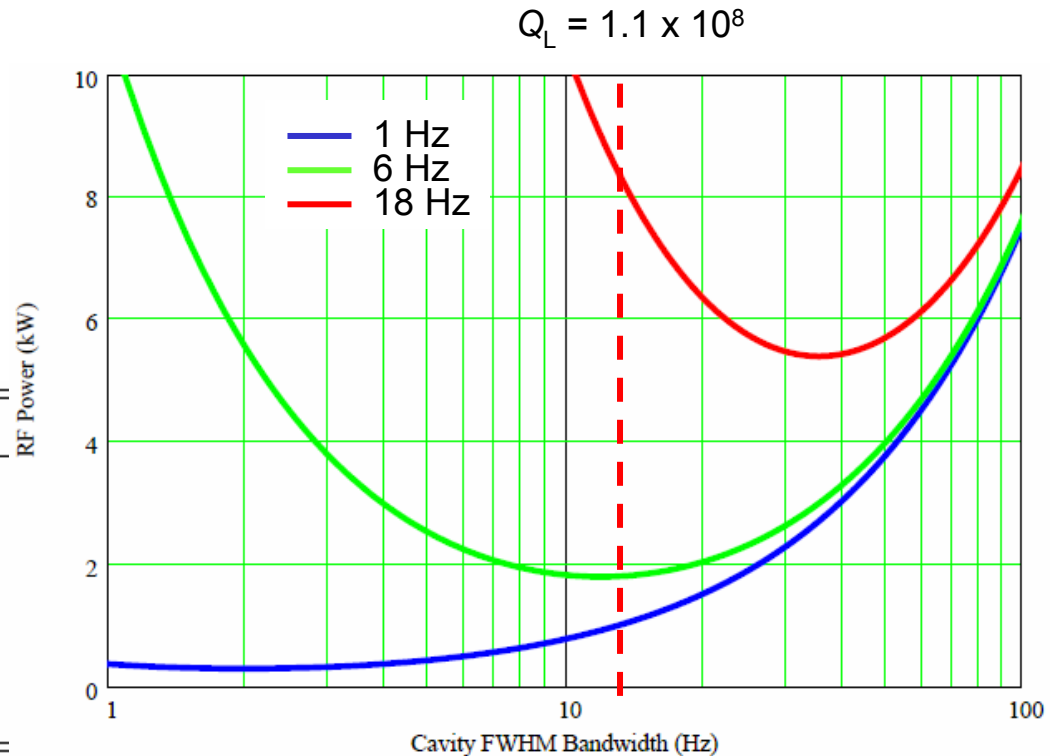
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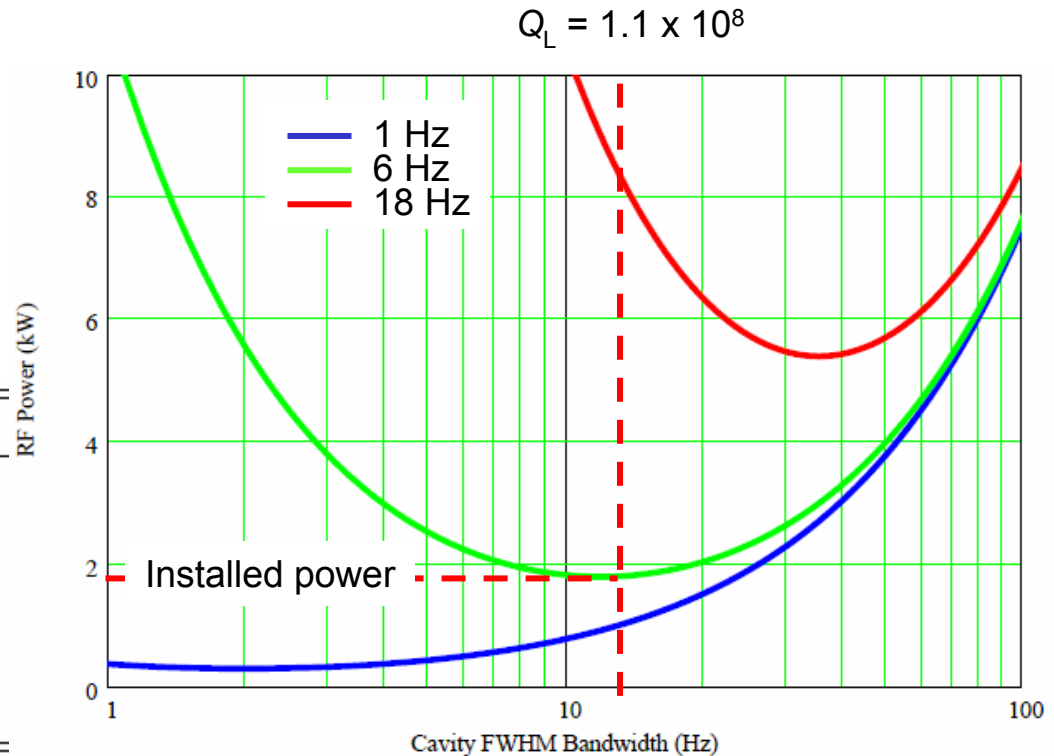
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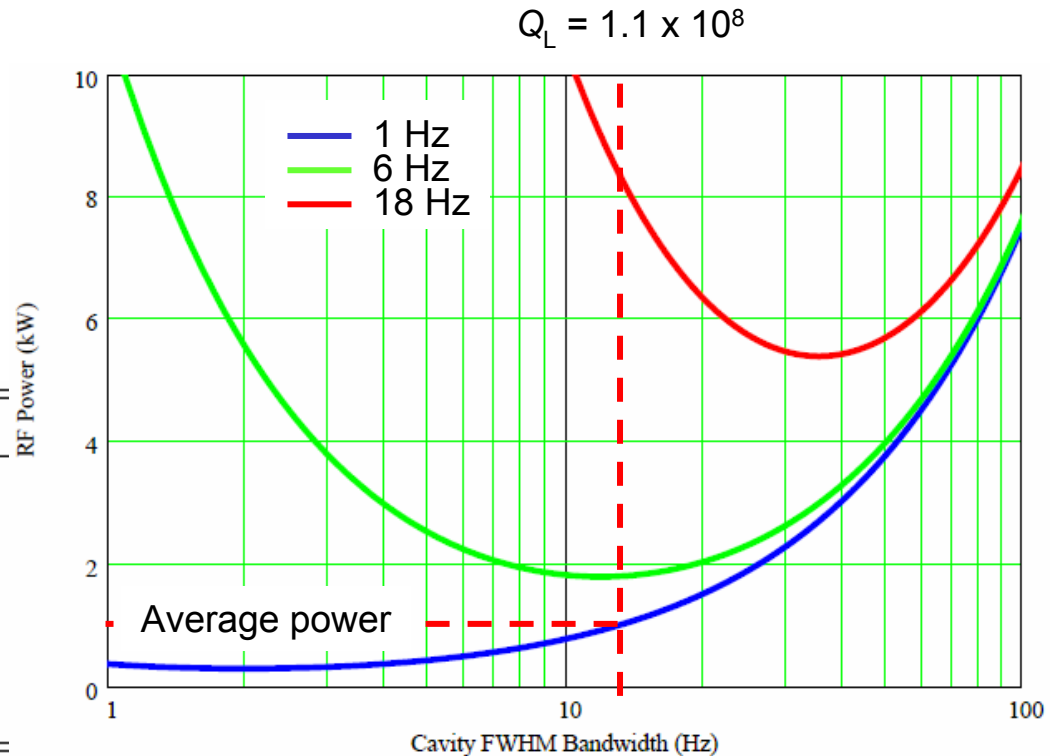
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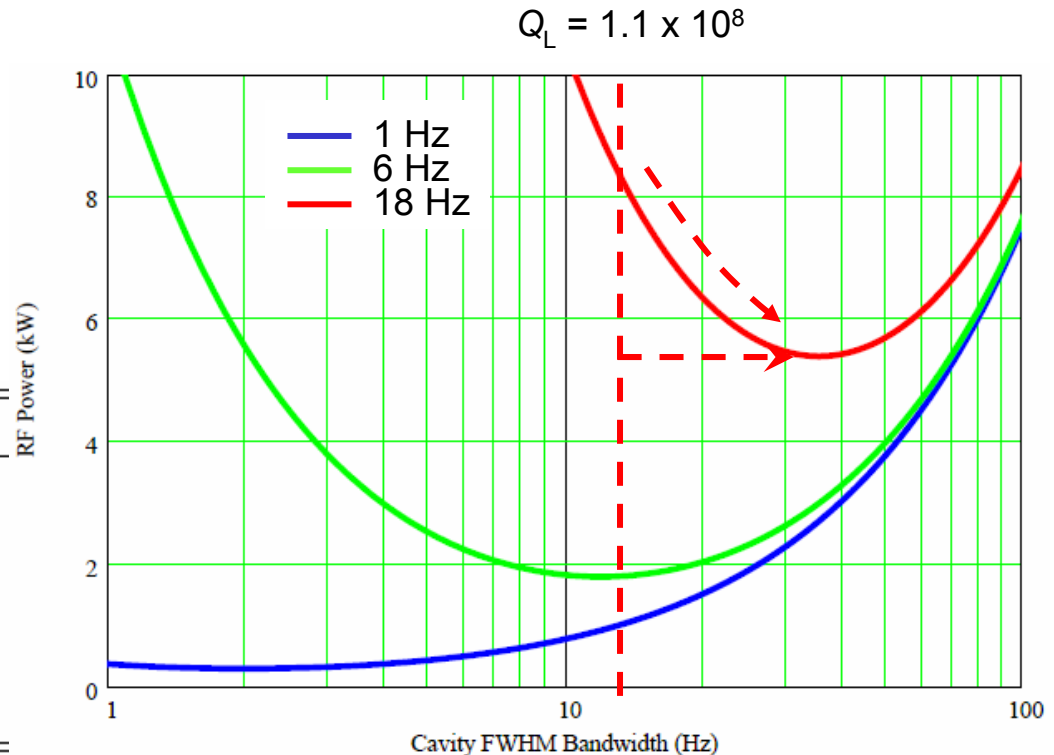
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- Installed RF power > 1.8 kW
- Average RF power = 930 W (OK)
- For safety, should perhaps allow for a factor 3 more microphonics = 18 Hz peak
- Then require 5.4 kW, *provided the coupler can be adjusted*

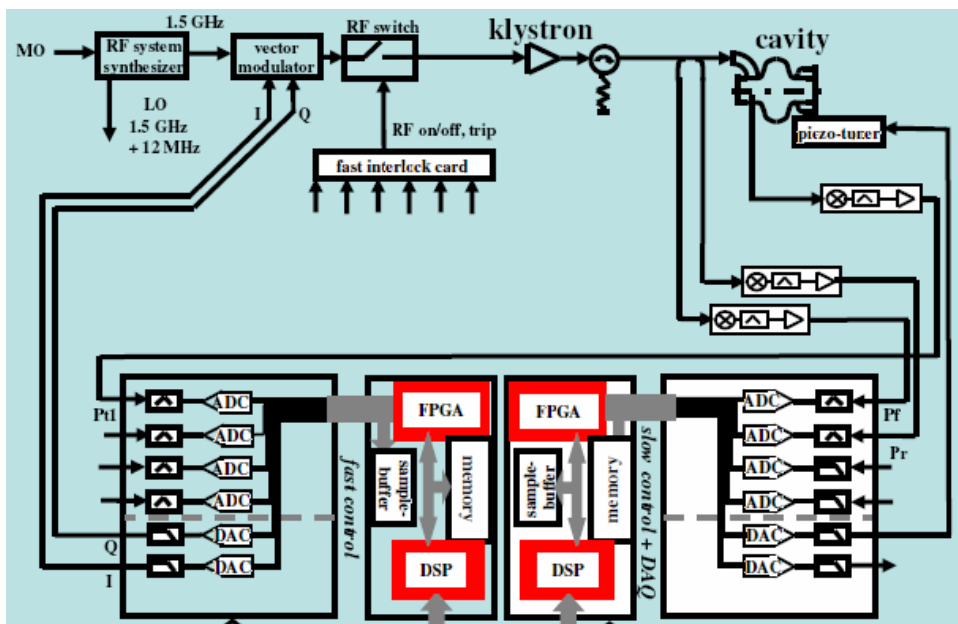
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## Can one control the RF Field? Measurements at JLAB FEL-ERL

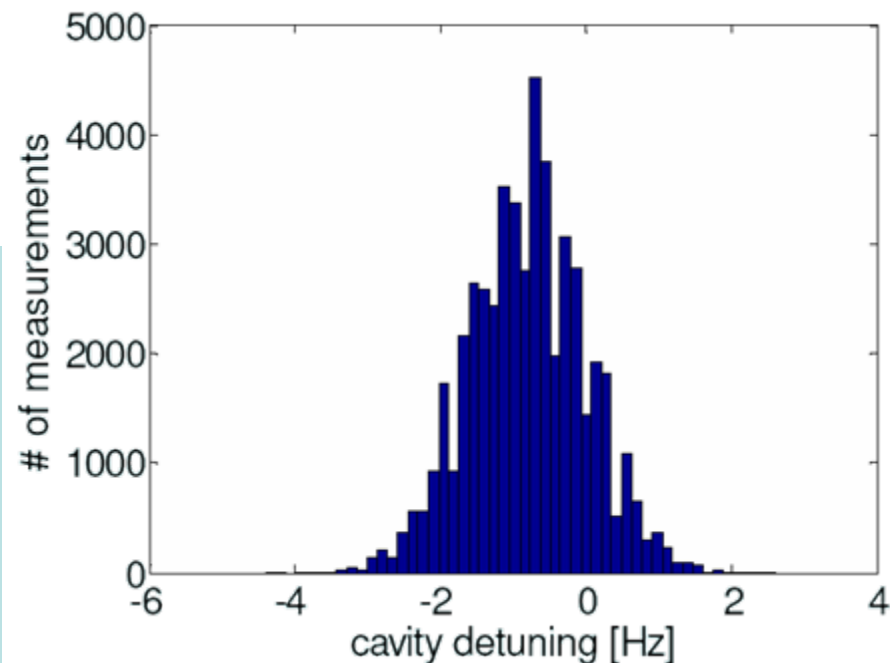
- Attempted RF control with Cornell digital control system at JLAB FEL with 5 mA (ERL)
- $Q_L = 1.2 \times 10^8 \rightarrow \Delta f = 12.5$  Hz, matched to peak microphonics
- Impressive results were achieved with amplitude and phase stability!!



M. Liepe et al., Cornell

Peak microphonics 6 Hz

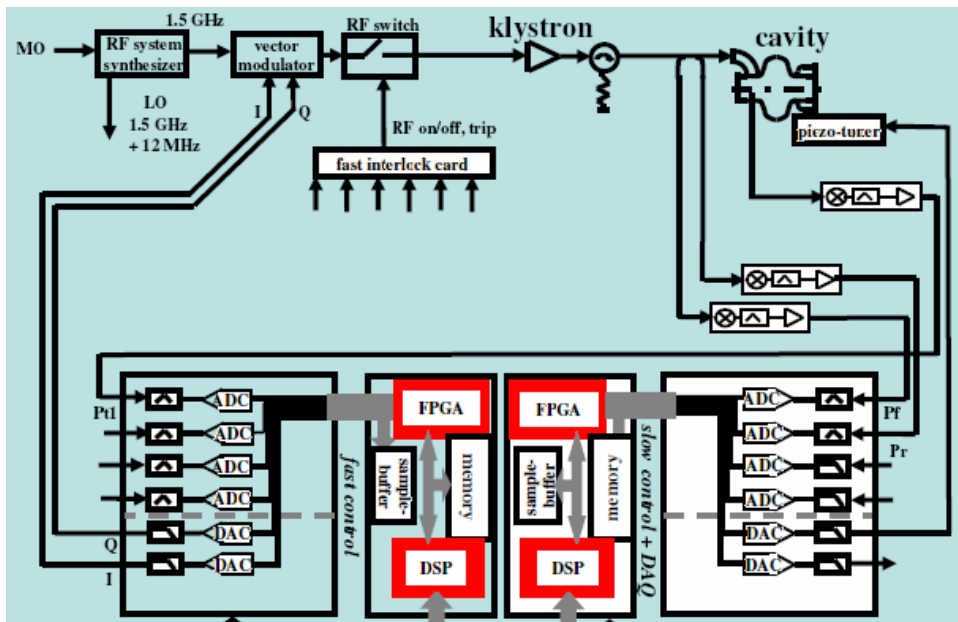
RMS microphonics 1 Hz



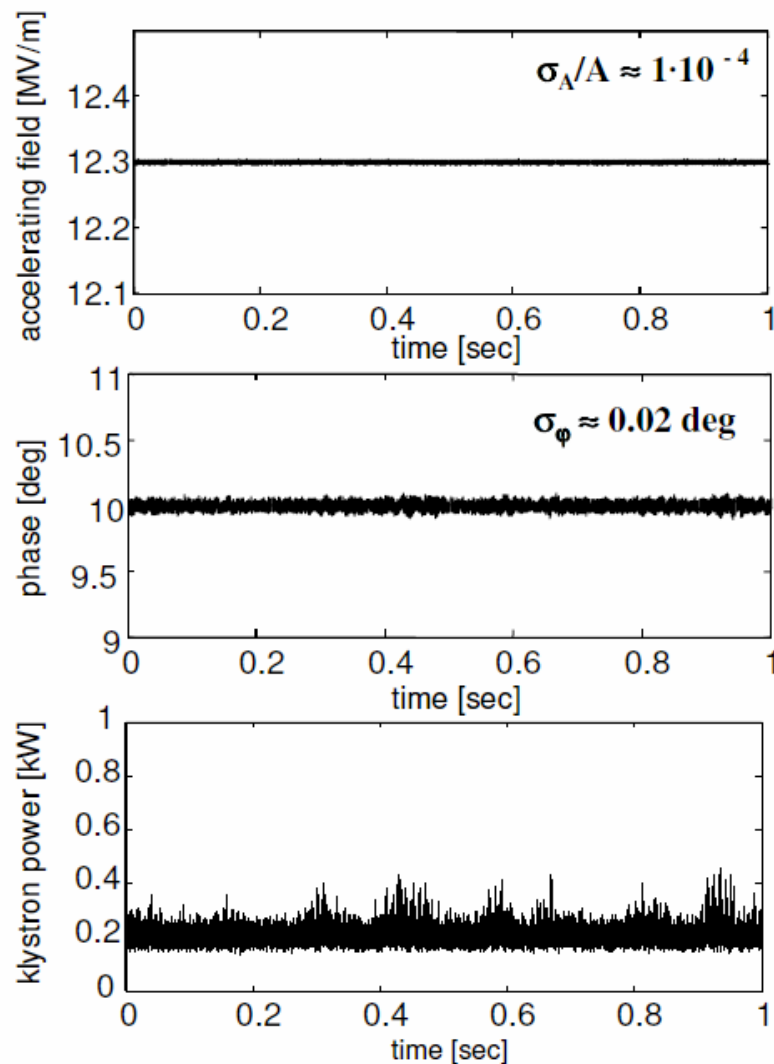
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## Can one control the RF Field? Measurements at JLAB FEL-ERL

- Attempted RF control with Cornell digital control system at JLAB FEL with 5 mA (ERL)
- $Q_L = 1.2 \times 10^8 \rightarrow \Delta f = 12.5$  Hz, matched to peak microphonics
- Impressive results were achieved with amplitude and phase stability!!
- Gain up to 600, limited by measurement noise

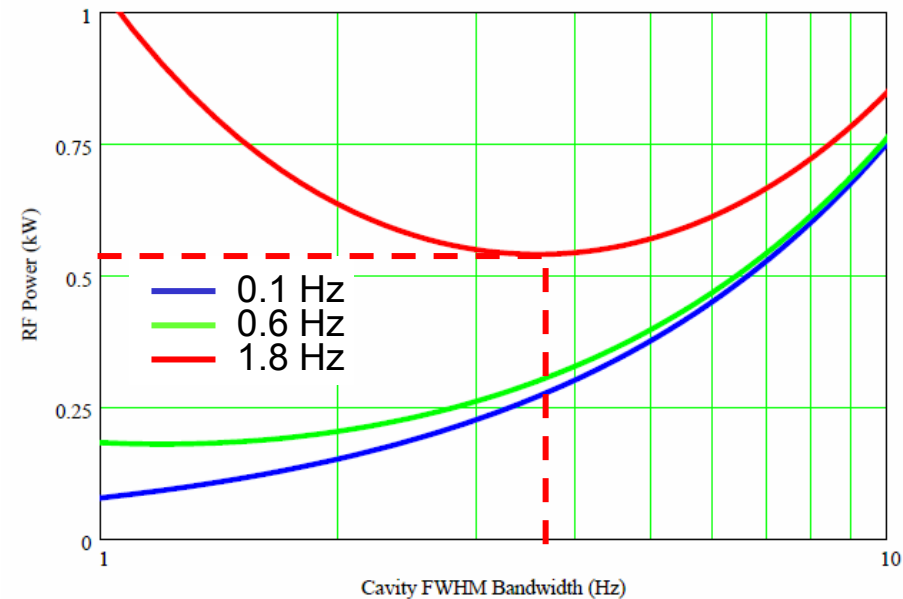


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## If we could dream, what bandwidth would we choose?

- We saw that the microphonics impact the layout/cost of the RF system significantly
- Scaling to 20 MV for TESLA cavities we still need about 5 kW of RF power (for safety, 18 Hz microphonics)
- This implies the use of klystron or IOT transmitters
- Very expensive → RF system is a cost driver
- Can get into the range of solid state amplifiers if microphonics can be reduced by a factor of 10
- $\Delta f = \text{around } 3.6 \text{ Hz}$ ,  $Q_L = \text{mid } 10^8$



## Until now we assumed that there is no beam

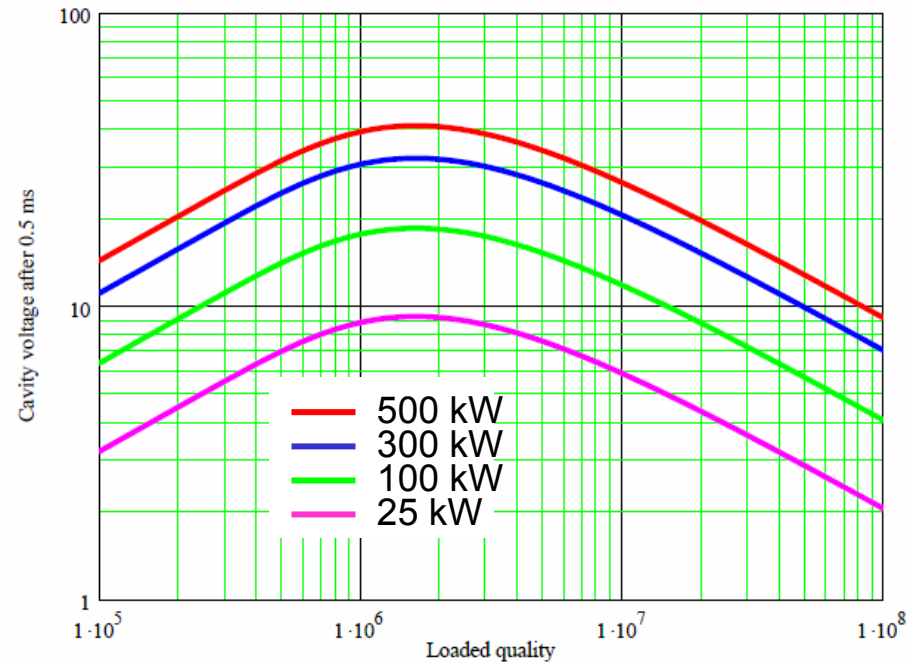
- This is not the case, only that the beam is compensated for by the recirculating beam
- But what happens when the compensation is not perfect?
- For example
  - Current (amplitude or phase) out of the gun varies
  - Beam phase of recirculated current varies, e.g., due to rf stability issues in the cavities combined with dispersive segments
- Uncompensated beam induces a voltage in the cavity
- The higher the loaded  $Q$ , the greater this voltage
- For example
  - Reinjection phase is off by only  $0.02 \text{ deg} = 0.35 \text{ mrad} = 43 \text{ fs!}$
  - Uncompensated current is  $0.175 \text{ mrad} \times 100 \text{ mA} = 35 \text{ }\mu\text{A}$
- If the bandwidth is very narrow, e.g.,  $\Delta f = 2.6 \text{ Hz}$  :  $V_b = R/Q \times Q_L \times I = 18 \text{ MV}$  at  $90 \text{ deg}$  to generator voltage!
- This is nearly the operating cavity voltage! Cavity phase is now nearly  $45 \text{ deg} \rightarrow Q_L = 5\text{E}8$  does not appear feasible
- Even when  $Q_L = 1\text{E}8$ , beam induced voltage would be  $3.6 \text{ MV}$ , which may prove limiting
- Beam stability issues will therefore play an important role in determining the optimal  $Q_L$

For light sources we need reliability → Possibility to RF condition is very desirable

- To condition field emitters, need to raise field quickly to high levels before a quench sets in
- Quench times are on the order of ms → Conditioning pulses must therefore be < 1 ms (say 0.5 ms)
- This is “incompatible” with the long time constants of narrow bandwidth ERL cavities

→ Another reason to use adjustable coupling

- Voltage versus time: 
$$V(t, P_f, Q_L) := 2 \cdot \sqrt{\frac{R}{Q} \cdot Q_L \cdot P_f} \left( 1 - \exp\left(\frac{-t \cdot \omega_0}{2Q_L}\right) \right)$$



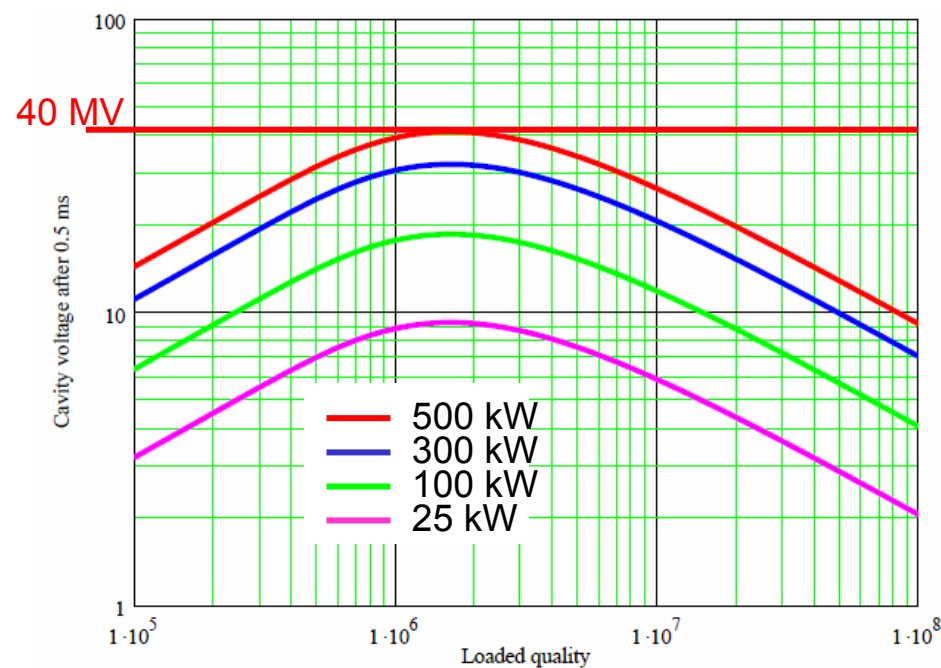
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- For FE-free cavity must pulse to  $2 \times V_c = 40 \text{ MV}$

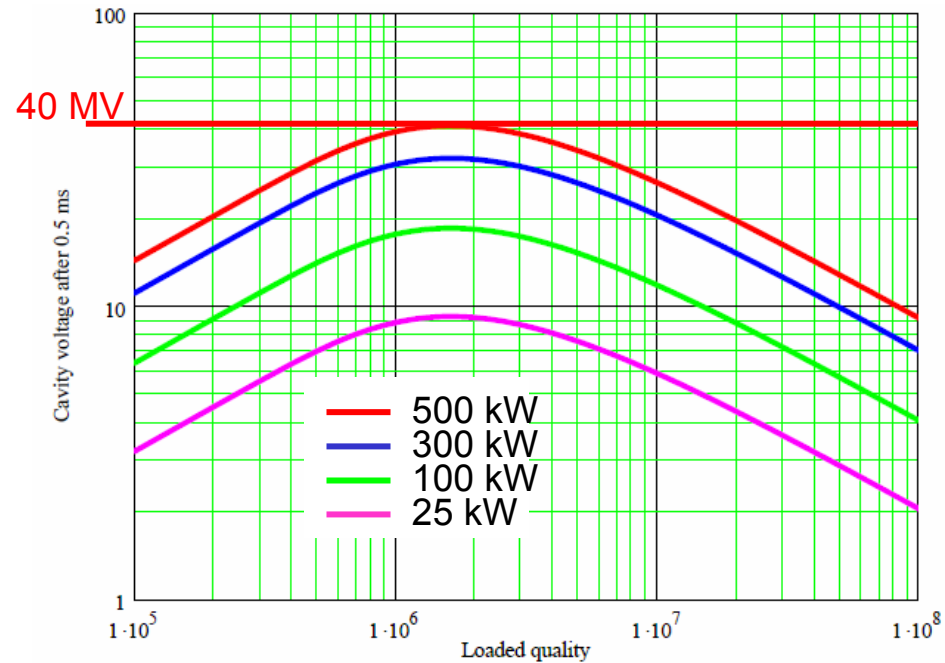
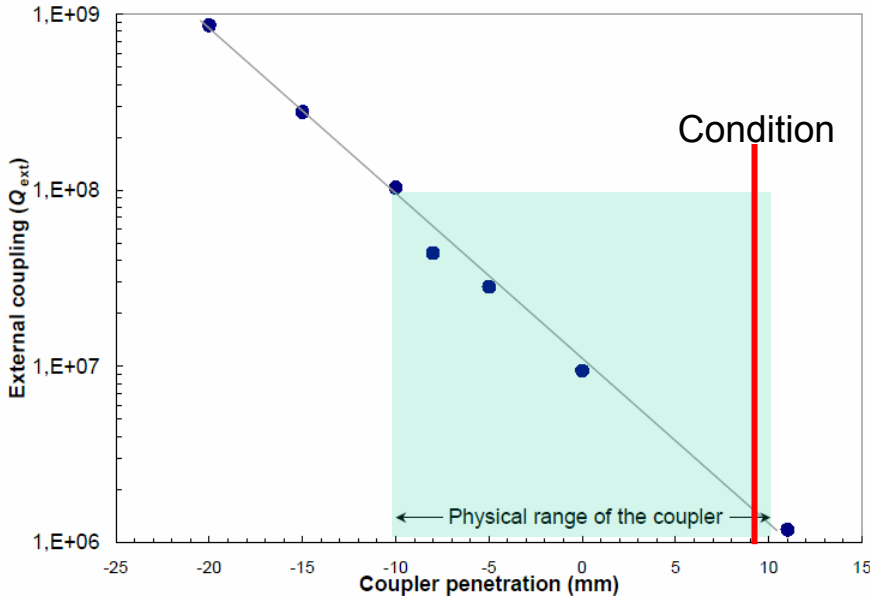


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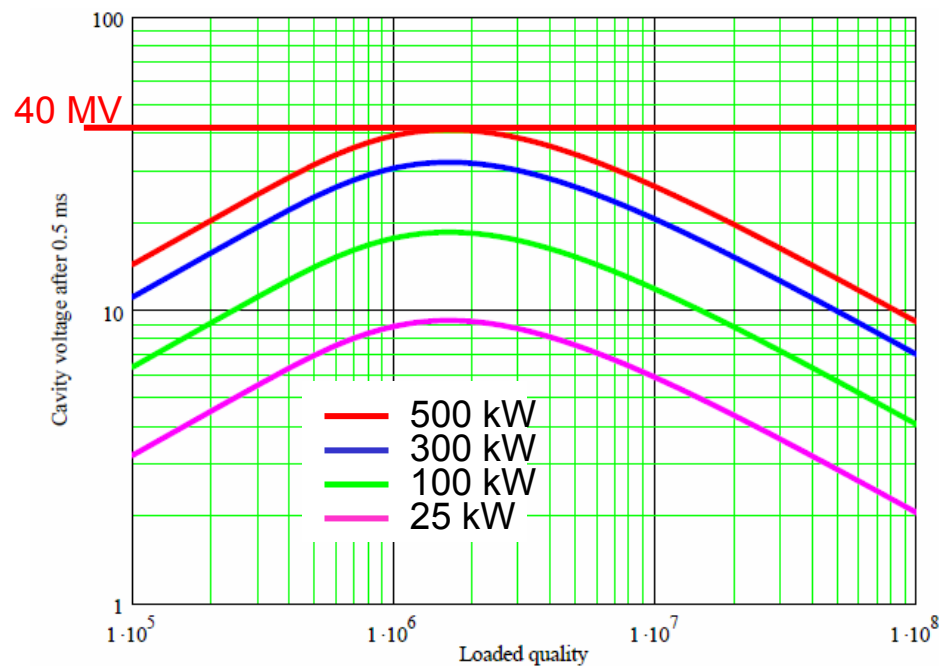
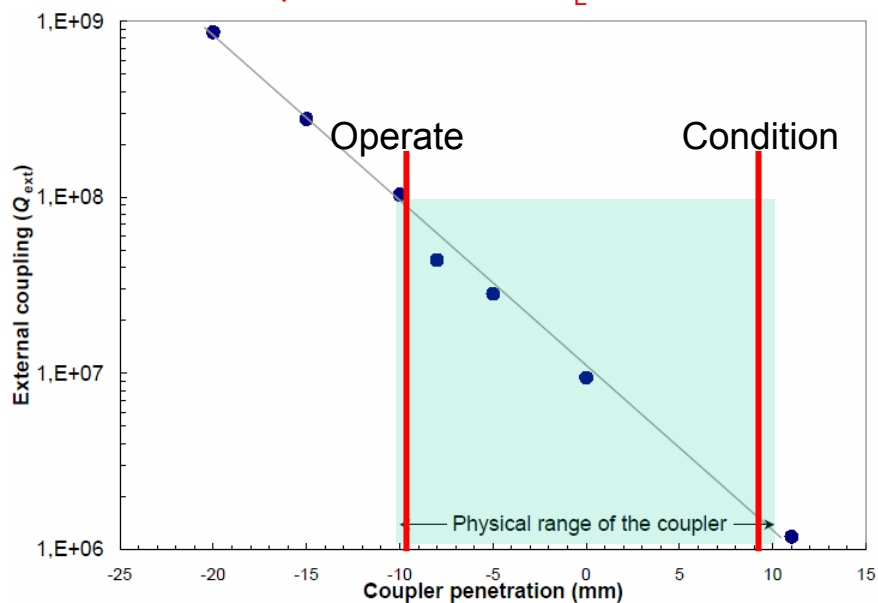
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→ With TTF coupler cannot use  $Q_L > \text{about } 10^8$





## Aspects that must be investigated whether high $Q_L$ operation is possible:

- How far can one reduce the microphonic detuning? For around  $Q_L = 10^8$ - $10^9$  require *peak* detuning between 1 and 10 Hz.
  - Investigate low-microphonic modules
  - Given measured RMS microphonics, what peak levels must we design for?
  - Stabilize the helium system down to the 0.01 mbar levels!
  - Use microphonic compensation. This is in its infancy, but promising.
- What spread in microphonics should one expect
  - Must allow for a safety factor when dimensioning RF system
  - At present, factors of 3 in microphonics are not unreasonable
  - Installed RF power must be greater by factor of 3 + coupler adjustability
- Can the RF field be stabilized down to the 0.01-0.02 deg and  $10^{-4}$  level?
  - Requires a high-gain system (500)
  - Cornell/JLAB measurements demonstrated this can be done at  $Q_L = 1.2 \times 10^8$  with a “quiet” module
  - Coupling optimized for  $\delta f_{pk} = 6 \sigma_{mic}$

3 Hz peak microphonics?  
→  $Q_L < 2E8$

→ Even if  $Q_L = 1E8$ , some cavities will need to run at  $Q_L = 3E7$ . RF system must be designed for this

→  $Q_L = 1.2E8$  demonstrated  
Even higher values may be possible provided low microphonics and low pickup noise

### Aspects that must be investigated whether high $Q_L$ operation is possible:

- How much uncompensated beam current can one expect in 100 mA ERLs? →  $Q_L < 1E8$ ?
- changes in beam loading may prove to limit the  $Q_L$ . Even  $Q_L = 10^8$  may be tough, but more measurements are needed
  
- How important is it to RF process the cavities? →  $Q_L < 1E8$ 
  - For light sources this may be a big reliability issue
  - For RF processing require  $Q_L$  values around  $10^6$
  - Coupling ranges of x 100 will be tough to achieve → Maximum  $Q_L$  would be  $10^8$
  
- **Given present status,  $Q_L$  values much above  $10^8$  do not appear feasible (??). Possibly one will have to stay below this.**