

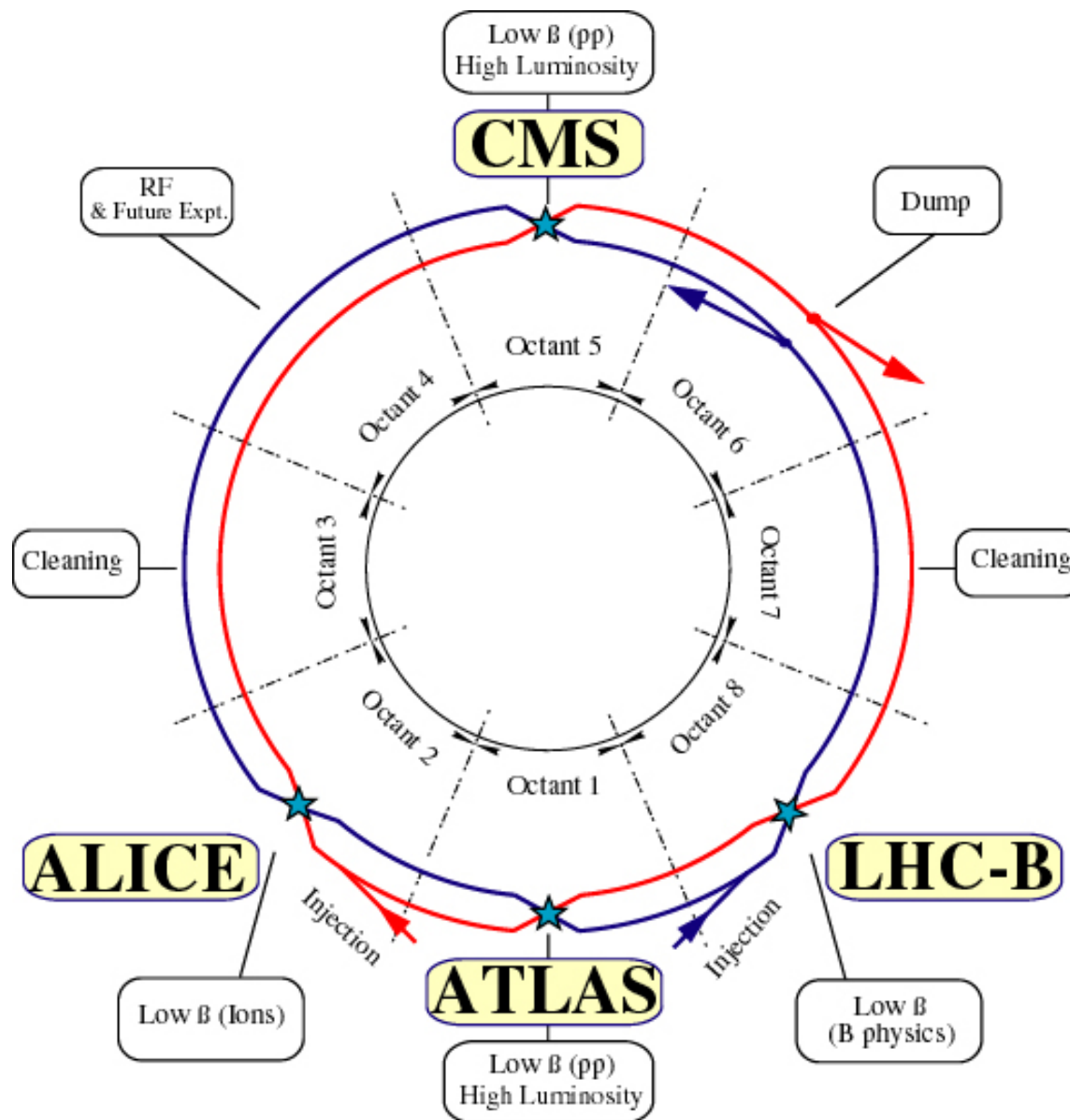
# LHC Upgrade Scenarios

Jean-Pierre Koutchouk,  
Frank Zimmermann

# outline

- 1) motivation
- 2) performance limits & new approaches
- 3) luminosity leveling
- 4) upgrade scenarios
- 5) conclusions & perspective

# Large Hadron Collider (LHC)



proton-proton  
and heavy-ion collider

next energy-frontier  
discovery machine

c.m. energy 14 TeV  
(7x Tevatron)

design luminosity  
 $10^{34} \text{ cm}^{-2}\text{s}^{-1}$   
(~100x Tevatron)

***LHC nominal luminosity was pushed in competition with SSC***

# motivation

The LHC is a significant public investment for pursuing the research in high-energy physics and the origin of the Universe.

Whatever can reasonably be done to extend its already ambitious physics reach needs to be prepared well in advance, keeping in mind the required development times for new concepts and/or technologies and the decision making process.

# physics reach, discovery potential, precision

Ellis, Gianotti, ADR

hep-ex/0112004+ few updates

Units are TeV (except  $W_L W_L$  reach)

ILdt correspond to 1 year of running at nominal luminosity for 1 experiment

PROCESS	LHC 14 TeV 100 fb <sup>-1</sup>	SLHC 14 TeV 1000 fb <sup>-1</sup>	DLHC 28 TeV 100 fb <sup>-1</sup>	VLHC 40 TeV 100 fb <sup>-1</sup>	VLHC 200 TeV 100 fb <sup>-1</sup>	ILC 0.8 TeV 500 fb <sup>-1</sup>	CLIC 5 TeV 1000 fb <sup>-1</sup>
Squarks	2.5	3	4	5	20	0.4	2.5
$W_L W_L$	2 $\sigma$	4 $\sigma$	4.5 $\sigma$	7 $\sigma$	18 $\sigma$	6 $\sigma$	90 $\sigma$
Z'	5	6	8	11	35	8 <sup>†</sup>	30 <sup>†</sup>
Extra-dim ( $\delta=2$ )	9	12	15	25	65	5-8.5 <sup>†</sup>	30-55 <sup>†</sup>
$q^*$	6.5	7.5	9.5	13	75	0.8	5
Lcompositeness	30	40	40	50	100	100	400
TGC ( $\lambda_\gamma$ )	0.0014	0.0006	0.0008		0.0003	0.0004	0.00008

† indirect reach  
(from precision measurements)

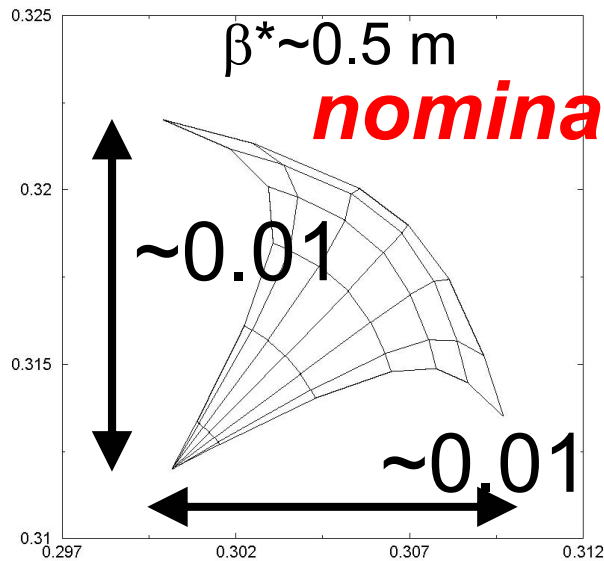
Approximate mass reach machines:  
 $\sqrt{s} = 14 \text{ TeV}, L=10^{34} \text{ (LHC)}$  : up to  $\approx 6.5 \text{ TeV}$   
 $\sqrt{s} = 14 \text{ TeV}, L=10^{35} \text{ (SLHC)}$  : up to  $\approx 8 \text{ TeV}$   
 $\sqrt{s} = 28 \text{ TeV}, L=10^{34}$  : up to  $\approx 10 \text{ TeV}$

## Physics motivations for future CERN accelerators.

Albert De Roeck, John R. Ellis, Fabiola Gianotti. CERN-TH-2001-023, Dec 2001. 14pp. Prepared for the CERN Scientific Policy Committee in September 2001, and presented to the CERN Council in December

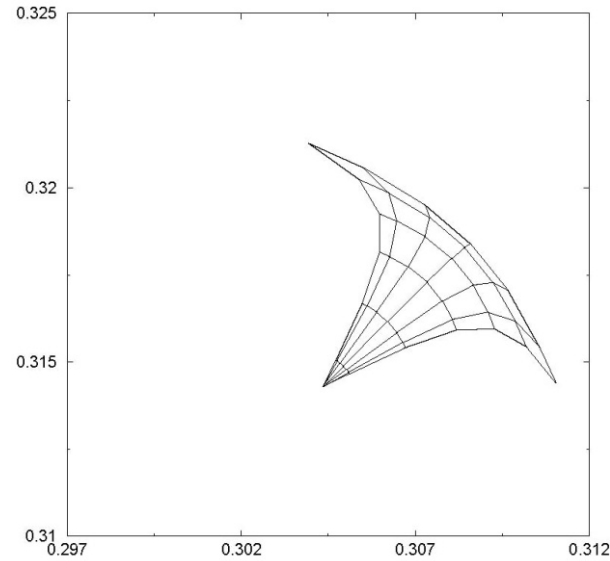
# upgrade to 'ultimate' in original design

[from F. Ruggiero *et al*, 2001 upgrade feasibility study, LHC Project Report 626]

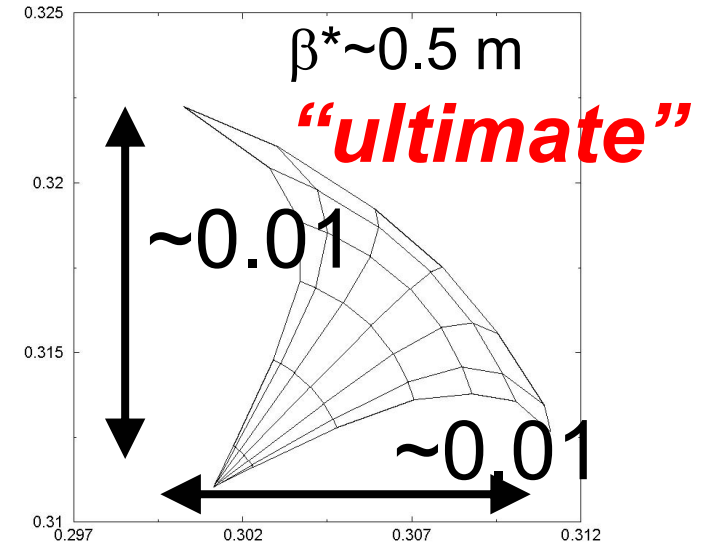


nominal tune footprint  
up to  $6\sigma$  with 4 IPs & nom.  
intensity  $N_b = 1.15 \times 10^{11}$

$$L = 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$



tune footprint up to  $6\sigma$   
with nominal intensity  
and 2 IPs



tune footprint up to  $6\sigma$   
with 2 IPs at ultimate  
intensity  $N_b = 1.7 \times 10^{11}$

$$L = 2.3 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$

**note: beam-beam tune-shift limit  $\Delta Q \sim 0.01$  is conservative estimate from the SPS; for  $\Delta Q \sim 0.015$  “ultimate luminosity” may be reached with collisions in all 3 IPs**

“To qualitatively modify the LHC physics reach, the integrated luminosity per year should be increased by a factor 10.

Although this ambition would have been unconceivable at the time of the LHC design, the deeper theoretical understanding of the machine combined with experimental observations at existing hadron colliders seem to show that this ambition is not anymore purely speculative.”

Jean-Pierre Koutchouk

**luminosity**  $L = \left( \frac{\beta_r \gamma_r f_{rev}}{4\pi} \right) \frac{k_b N_p}{\beta^*} \left[ \left( \frac{N_p}{\epsilon_N} \right) F(\Phi_P) \right]$

**form factor**

$F(\Phi_P) \approx \frac{1}{\sqrt{1 + (\Phi_P/2)^2}}$  depends strongly on  $\beta^*$  and  $\epsilon_N$

$\sigma^* = \sqrt{\beta^* \frac{\epsilon_N}{\beta_r \gamma_r}}$  rms IP beam size

$d^* = \sqrt{\frac{1}{\beta^*} \frac{\epsilon_N}{\beta_r \gamma_r}}$  rms IP divergence

$\Phi_P = \theta_c \left( \frac{\sigma_s}{\sigma^*} \right)$  Piwinski i angle

$\theta_c \approx a d^* \left( 0.7 + 0.3 b \sqrt{\tilde{k}_b \tilde{N}_p / \tilde{\epsilon}_N} \right)$  minimum crossing angle for LR-BB

**total beam-beam tune shift for 2 IPs**

$\Delta Q_{bb} \approx \frac{r_p}{2\pi} \left[ \left( \frac{N_p}{\epsilon_N} \right) F(\Phi_P) \right] < \Delta \hat{Q}_{bb}$

**w x&y crossing**

**b-b tune shift decreases with crossing angle**

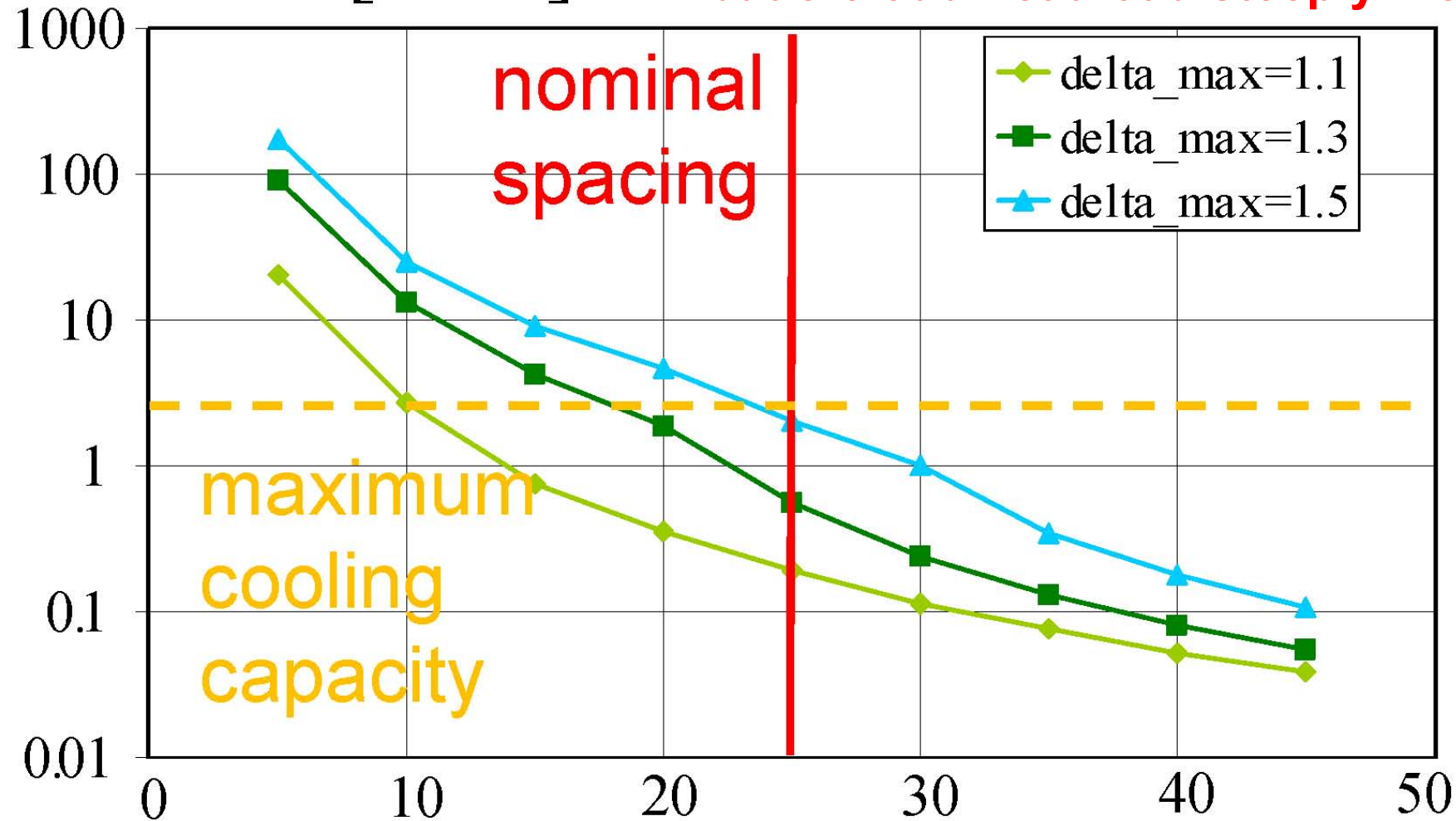


*feasibility and impact of modifying each parameter in the luminosity equation while keeping the others at their nominal values*

# # bunches $k_b$

no increase in bb tune shift  
no increase in event multiplicity  
**but e-cloud heat load steeply increases**

heat load [W/m]



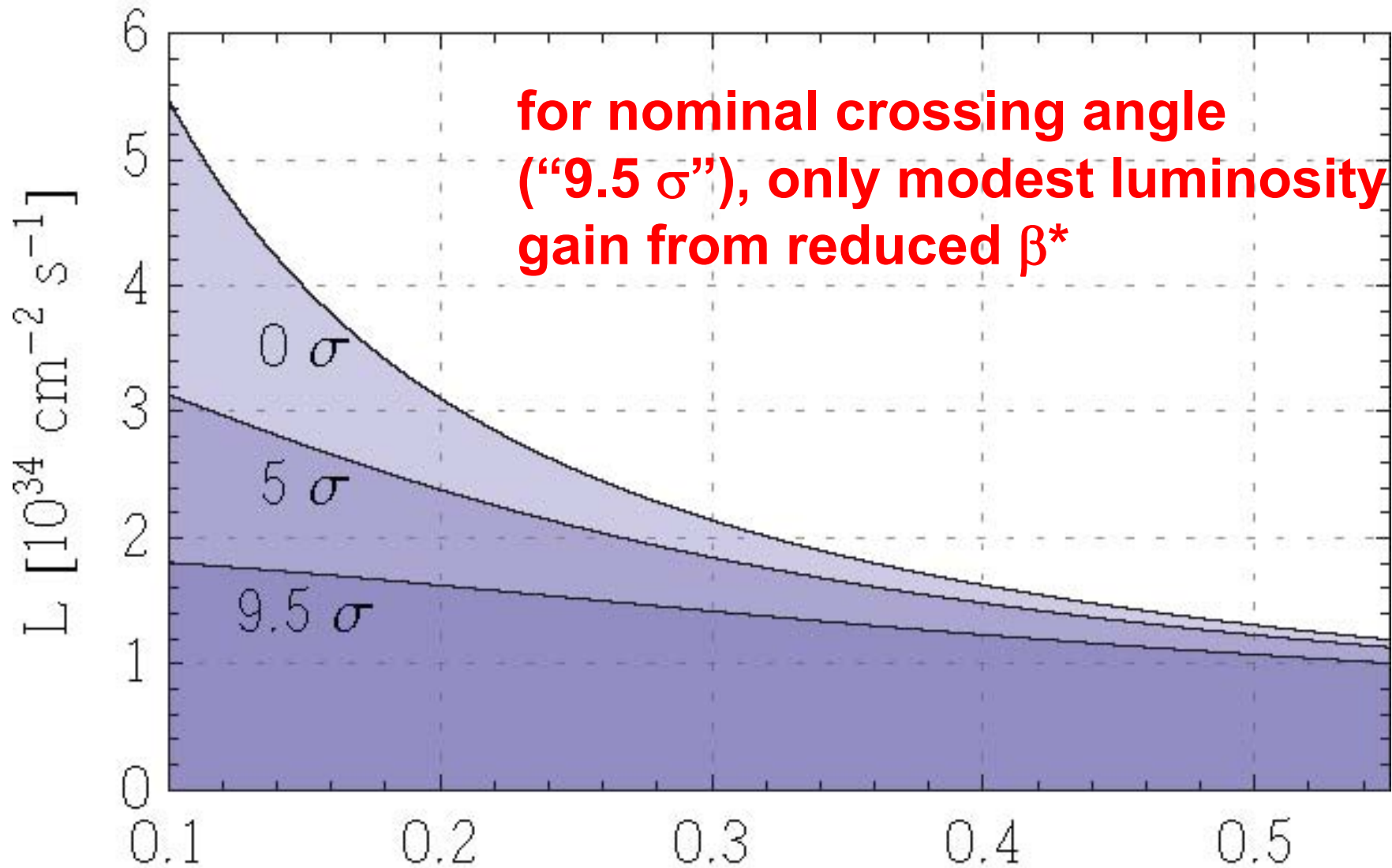
bunch spacing [ns]

**excluded unless economical remedy for LHC can be found**

# bunch charge $N_b$

- limited by LHC injectors (PS Booster, PS, SPS)
  - space charge, electron cloud
- present injectors deliver nominal  $1.15 \times 10^{11}$  ppb, upgrade scenarios assume up to  $4.9 \times 10^{11}$
- LHC luminosity lifetime dominated by consumption in  $pp$  collisions
- **it is essential to reach maximum bunch charge allowed by LHC without being constrained by the injectors**

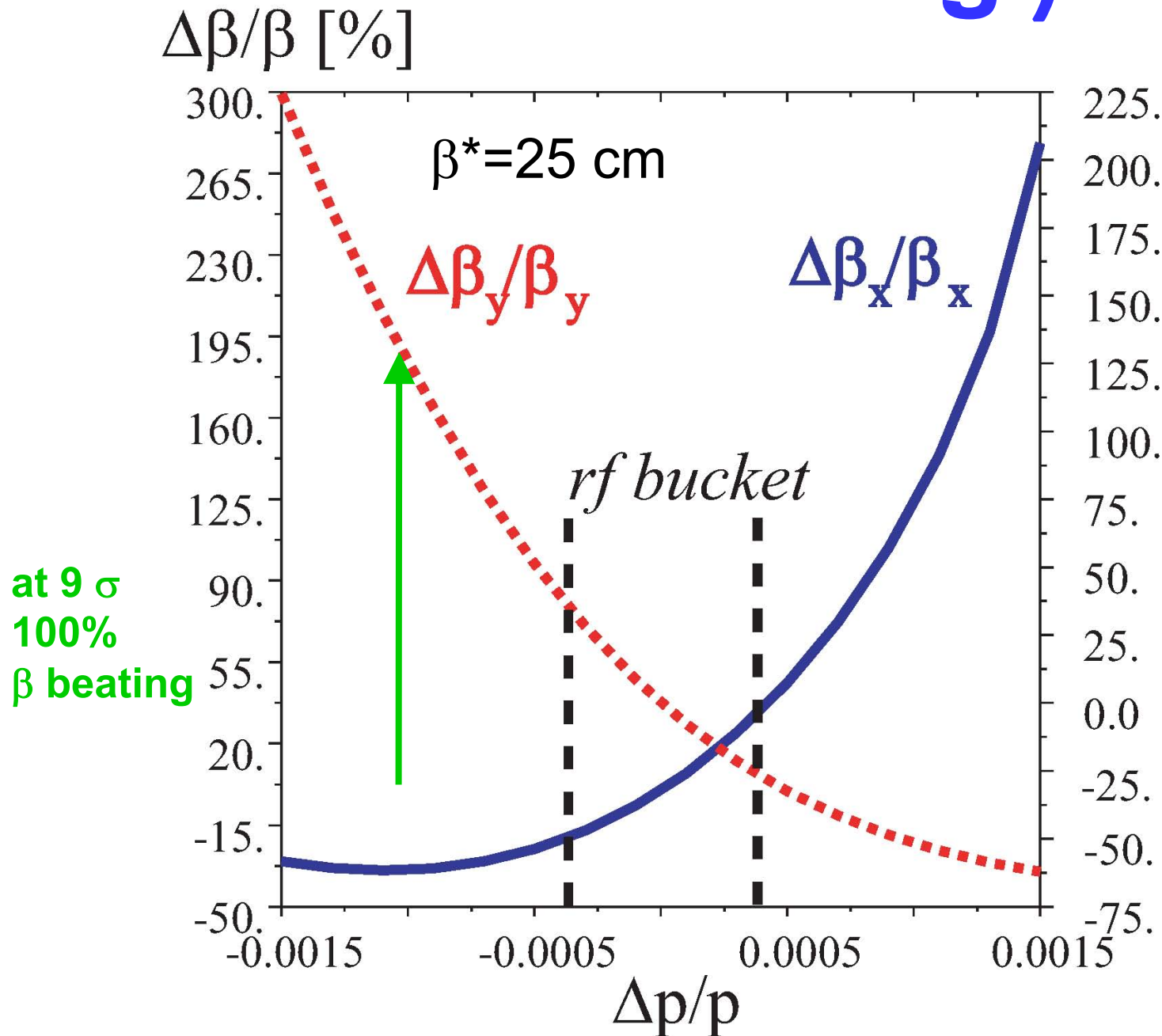
# IP focusing $\beta^* - 1$



$\beta^*$  [m],  $N_b=1.15 \cdot 10^{11}$ ,  $n_b=2808$

**G. Sterbini**

# IP focusing $\beta^*$ - 2



higher-order  
chromatic  
effects affect  
momentum  
collimation

**S. Fartoukh**

# IP focusing $\beta^*$ - 3

if off-momentum beta beating can be corrected  
or the collimation be made more robust:

- ultimate  $\beta^* \sim 15$  cm for  $l^* = \pm 23$  m
- ultimate  $\beta^* \sim 11$  cm for  $l^* = \pm 13$  m

with  $Nb_3Sn$  magnet technology

limited by linear chromaticity correction

# emittance $\varepsilon$

initially considered as parameter only in conjunction with new higher-energy SPS ; recently two new proposals

## **(1) lowering the emittance**

R. Garoby

- leaves form factor  $F$  unchanged
- **gain proportional to emittance decrease**
- limited by beam-beam tune shift & IBS
- favorable at injection, robust collimation

## **(2) increasing the emittance**

S. Fartoukh

- 2x3 increase during LHC ramp (SPS example)
- inject max. charge permitted by heat&stabil.

$N_{b,max} \sim 2.3 \times 10^{11} \rightarrow$  **factor 3 gain in  $L$ ;**

**larger aperture in final focus needed**

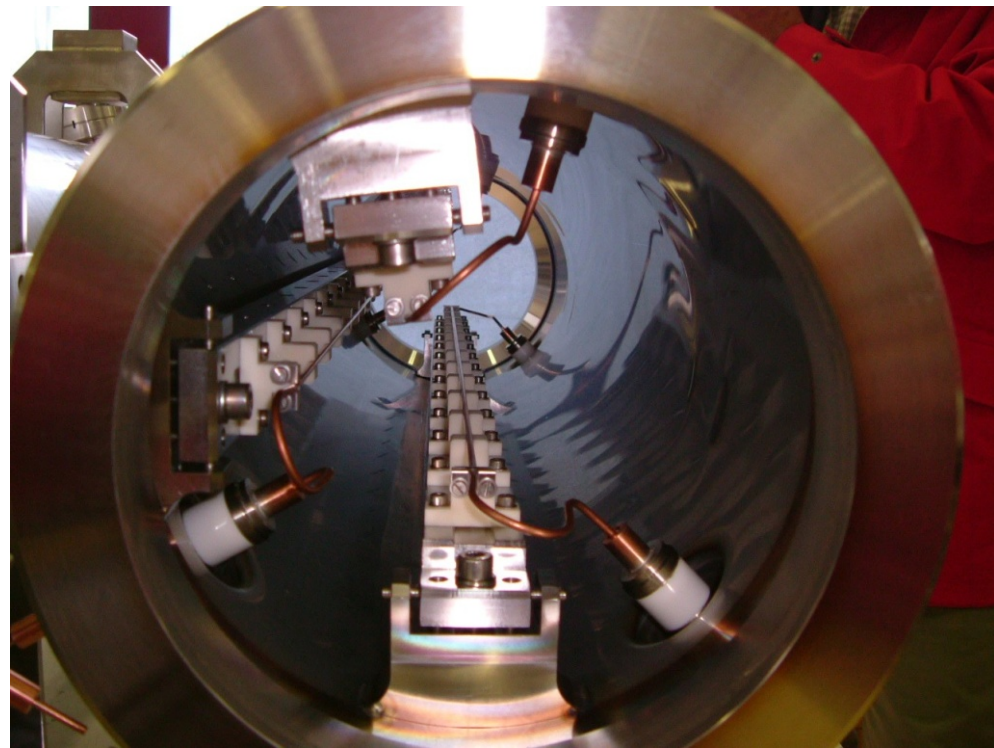
# collision schemes

*address drop in  $F$  for smaller  $\beta^*$*

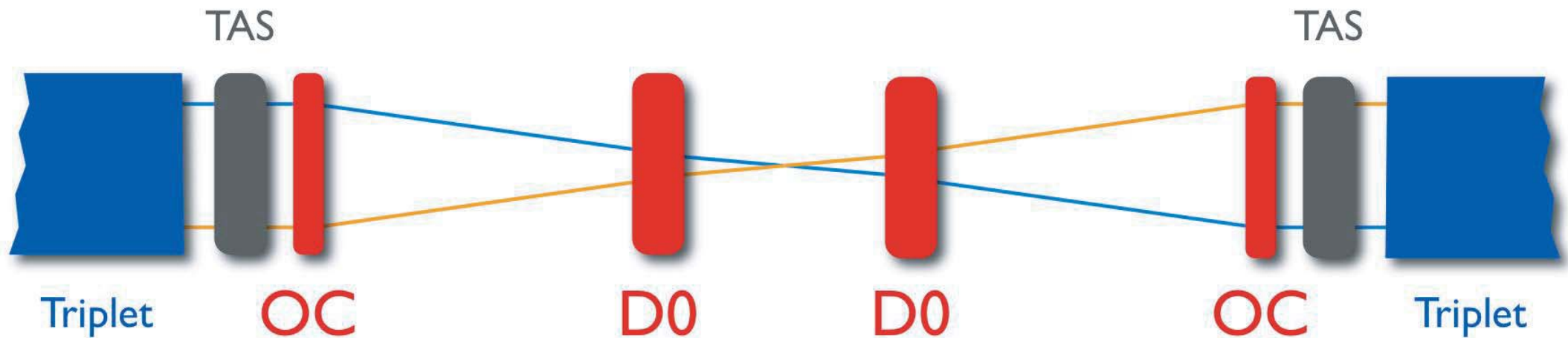
- **long-range beam-beam compensation**
  - robust in simulations, effective in SPS beam experiments
  - allows for reduced crossing angle
- **“Large Piwinski Angle” (LPA) scheme**
  - exploits concomitant drop in beam-beam tune shift to increase the bunch charge
- **“Early Separation (ES) scheme**
  - aims at decoupling IP crossing angle from beam-beam separation in common sections by installing dipoles inside the detectors
  - dynamical control of crossing angle → simple leveling
- **crab crossing**
  - similar effect as ES, no magnets inside detector
  - under test at KEKB



*photo of  
prototype  
LR beam-beam  
compensator  
in the SPS*



*layout of early separation scheme*



**G. Sterbini**

# heat load from collision debris

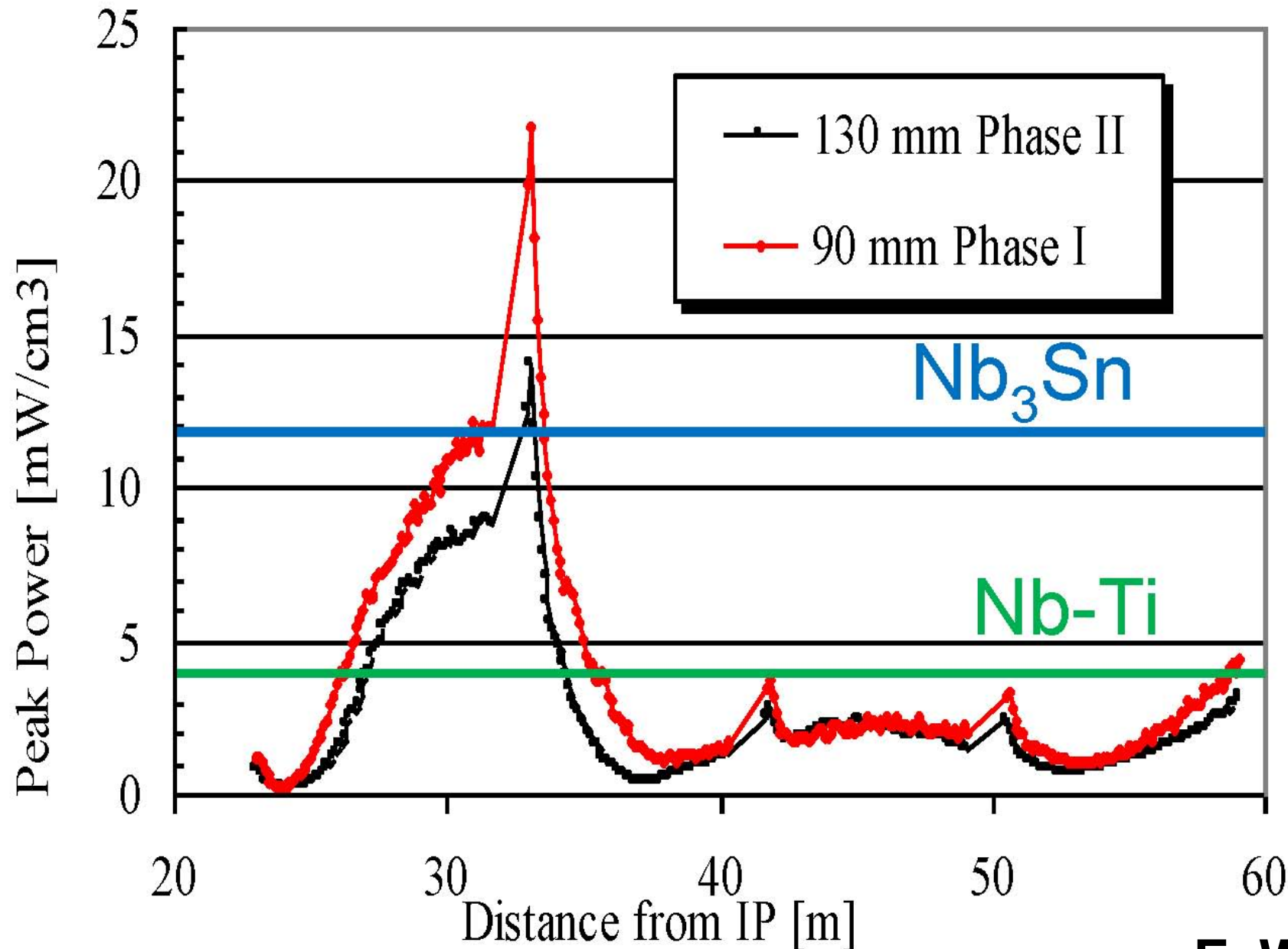
10-fold increase in luminosity would bring the nominal triplets well above their **quench limit** and reduce their **lifetimes** to below 1 year

LHC upgrade requires drastic actions

ongoing studies clarify importance of parameters like **quadrupole inner aperture and length**, and the efficiency of inner shielding from stainless steel or tungsten

larger aperture and shorter triplets made possible by  **$Nb_3Sn$  technology** are an advantage;  $Nb_3Sn$  can accept 3 times higher heat deposition than  $NbTi$

# peak power deposition in the coil



# machine protection

**energy stored in each nominal LHC beam = 400 MJ  
(100x Tevatron)**

upgrade requires further increase by factor 2-3; appears moderate compared with step from Tevatron to nominal

**tighter beam control** required

**irregular asynchronous beam dump** requires provisions to be included in **next collimation phase**

**beam dump upgrade for higher intensity**: reduced carbon density; increased sweep length of dilution system

***no fundamental obstacle***

# luminosity leveling

expected very fast decay of luminosity (few hours)  
dominated by proton burn off in collisions

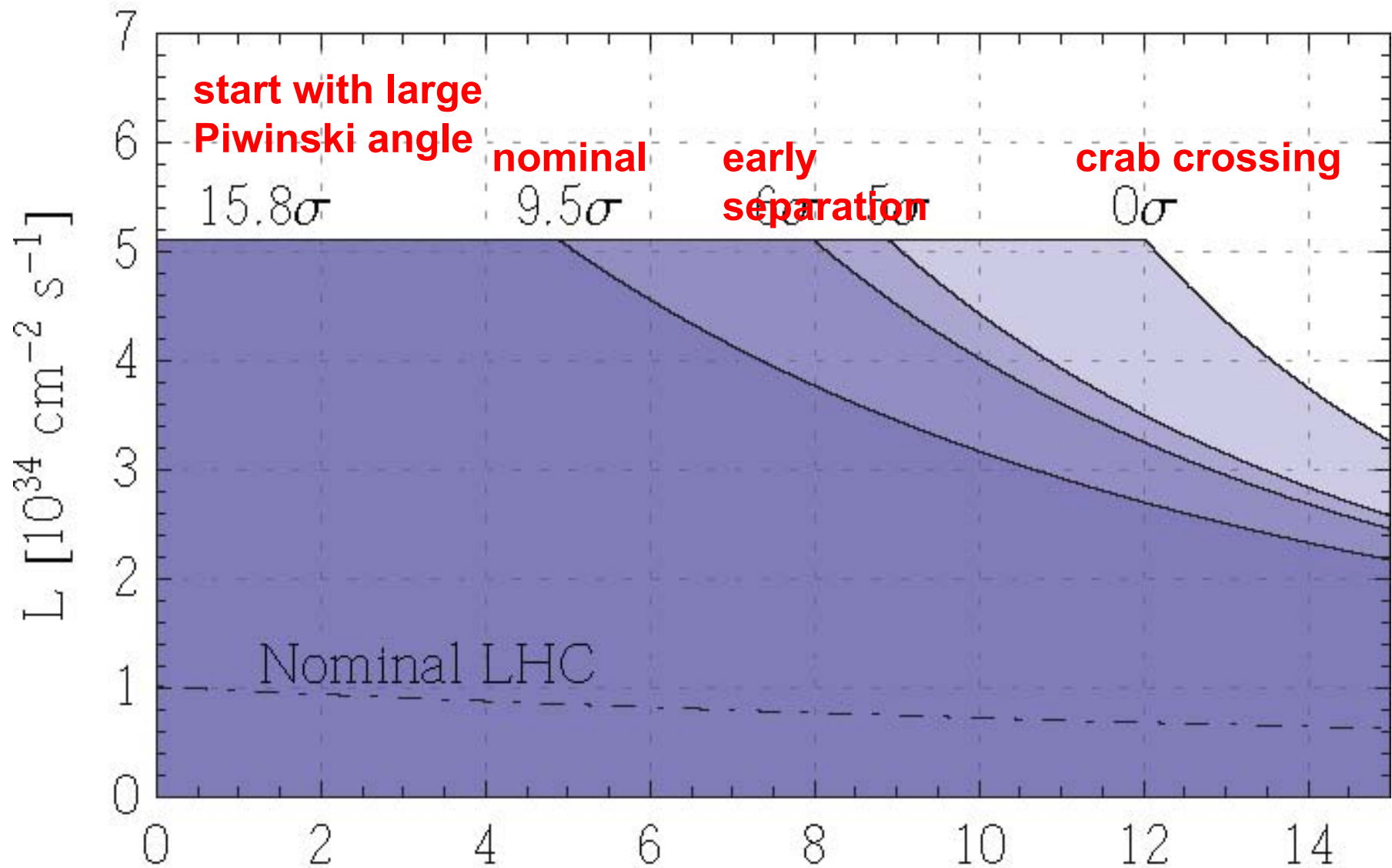
luminosity leveling become powerful strategy to reduce  
event pile up in the detector & peak power deposited  
in IR magnets

**leveling with crossing angle has distinct advantages:**

- increased average luminosity if beam current not limited
- operational simplicity

**natural option for early separation or crab cavities**

# luminosity leveling with crossing angle

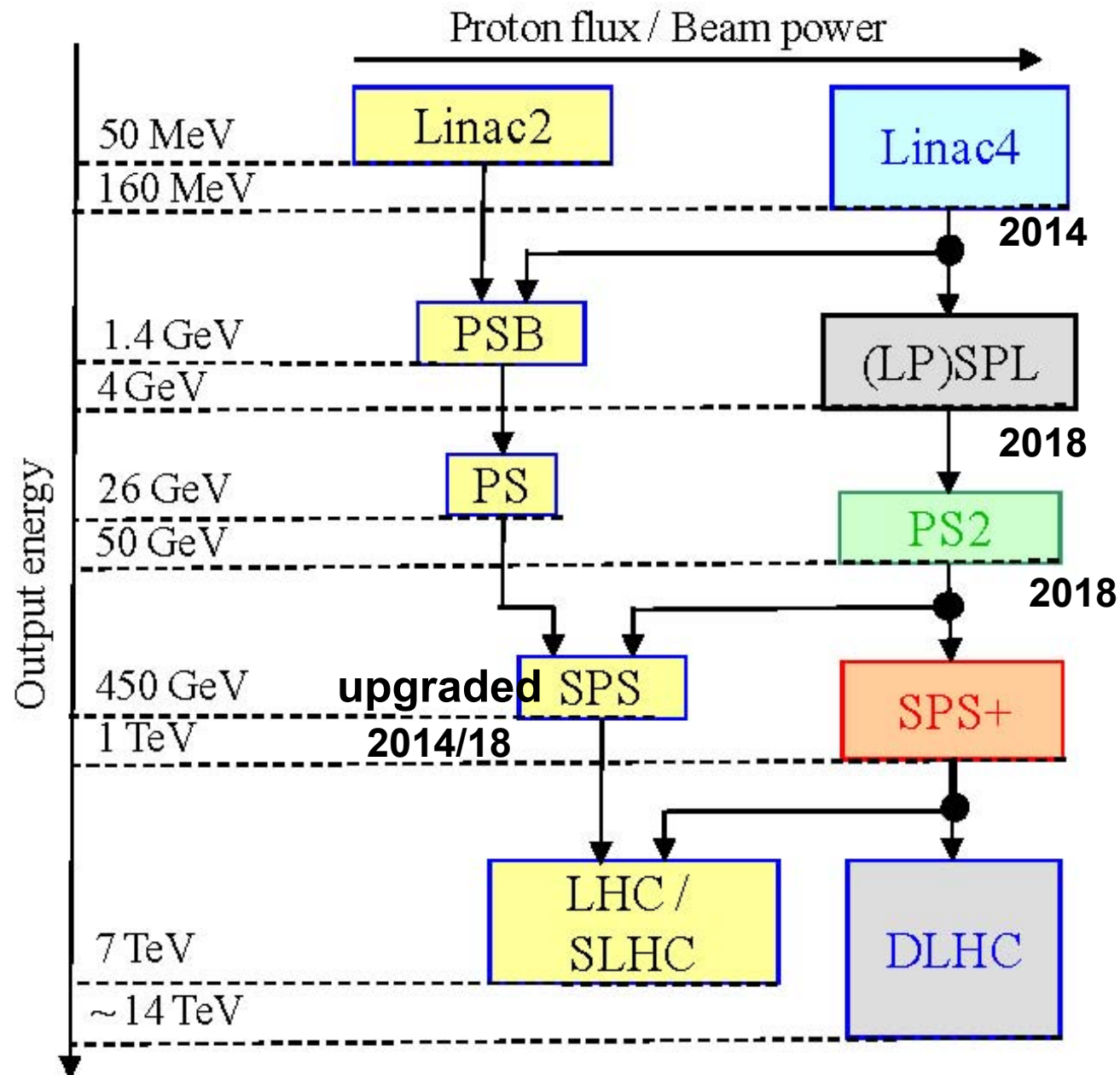


$t$  [h],  $N_b=2.5 \cdot 10^{11}$ ,  $n_b=2808$ ,  $\beta^*=0.15\text{m}$

**G. Sterbini**



# CERN complex upgrade strategy



new injectors:  
**increased reliability**  
&  
**superior beam parameters**

**synchronized with LHC IR upgrades:**

phase I: 2014  
phase II: 2018

# implementation plan for Upgrade Phase I

- **new Nb-Ti quadrupole triplets** with larger aperture, new separation dipoles, new front quadrupole absorber (TAS)
- may allow reaching  $\beta^* \sim 0.25$  m in IP1 and 5
- beam from **new Linac4**, readily providing the “ultimate” bunch charge  $N_b \sim 1.7 \times 10^{11}$
- should be completed by **2014**



# implementation plan for Upgrade Phase II

- **two new injector accelerators: SPL and PS2**, providing 2x ultimate beam brightness at 25 ns bunch spacing
- new interaction region; promising option: **Nb<sub>3</sub>Sn triplet** with larger aperture providing  $\beta^* \sim 15$  cm
- **complementary measures**: long-range beam-beam compensation, crab cavities?, dipoles inside detector?, “electron lenses”??
- realized around **2018**

# example Phase-II scenarios

- **early separation (ES)**

$\beta^* \sim 0.1$  m, 25 ns,  $N_b = 1.7 \times 10^{11}$ ,  
detector embedded dipoles

- **full crab crossing (FCC)**

$\beta^* \sim 0.1$  m, 25 ns,  $N_b = 1.7 \times 10^{11}$ ,  
local and/or global crab cavities

- **large Piwinski angle (LPA)**

$\beta^* \sim 0.25$  m, 50 ns,  $N_b = 4.9 \times 10^{11}$ ,  
“flat” intense bunches

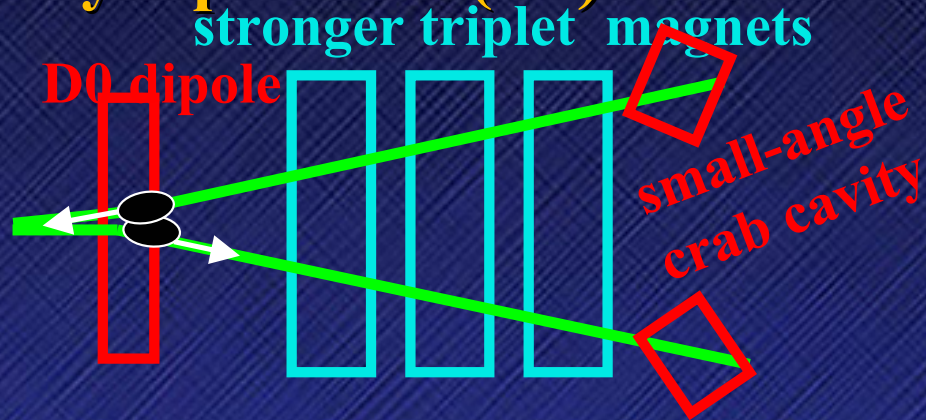
- **low emittance (LE)**

$\beta^* \sim 0.1$  m, 25 ns,  $\gamma\varepsilon \sim 1-2 \mu\text{m}$ ,  $N_b = 1.7 \times 10^{11}$



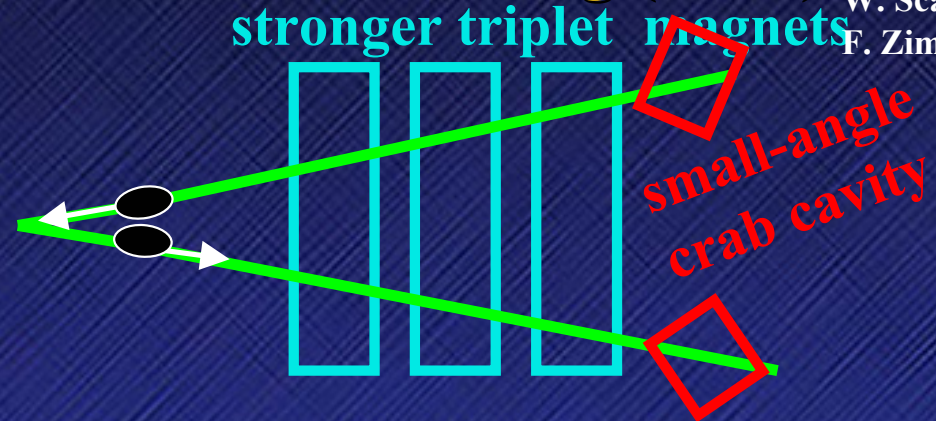
# example phase-II IR layouts

## early separation (ES) J.-P. Koutchouk



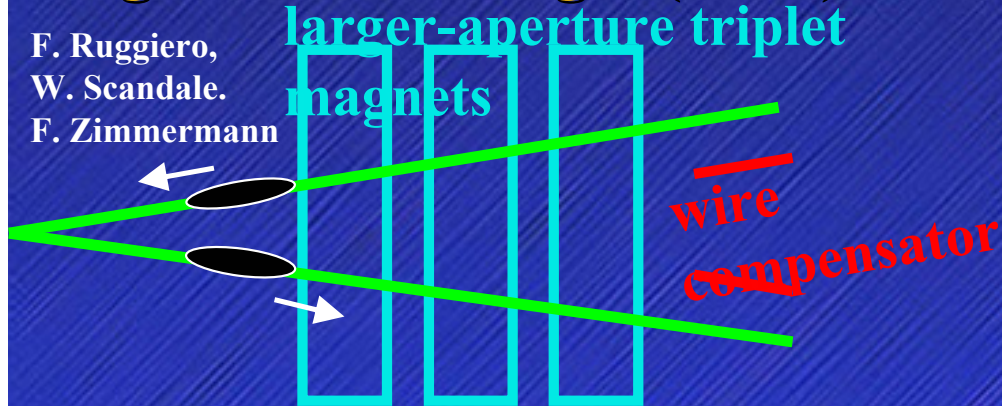
- early-separation dipoles in side detectors , crab cavities  
→ hardware inside ATLAS & CMS detectors,  
first hadron crab cavities; off- $\delta$   $\beta$

## full crab crossing (FCC) L. Evans, W. Scandale, F. Zimmermann



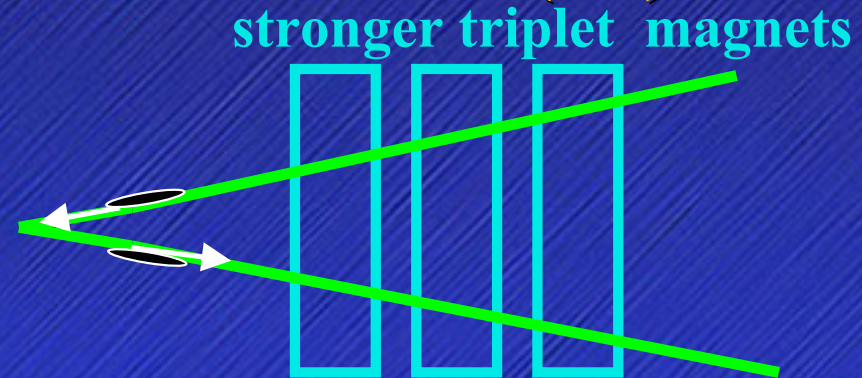
- crab cavities with 60% higher voltage  
→ first hadron crab cavities, off- $\delta$   $\beta$ -beat

## large Piwinski angle (LPA) F. Ruggiero, W. Scandale, F. Zimmermann



- long-range beam-beam wire compensation  
→ novel operating regime for hadron colliders,  
beam generation

## low emittance (LE) R. Garoby

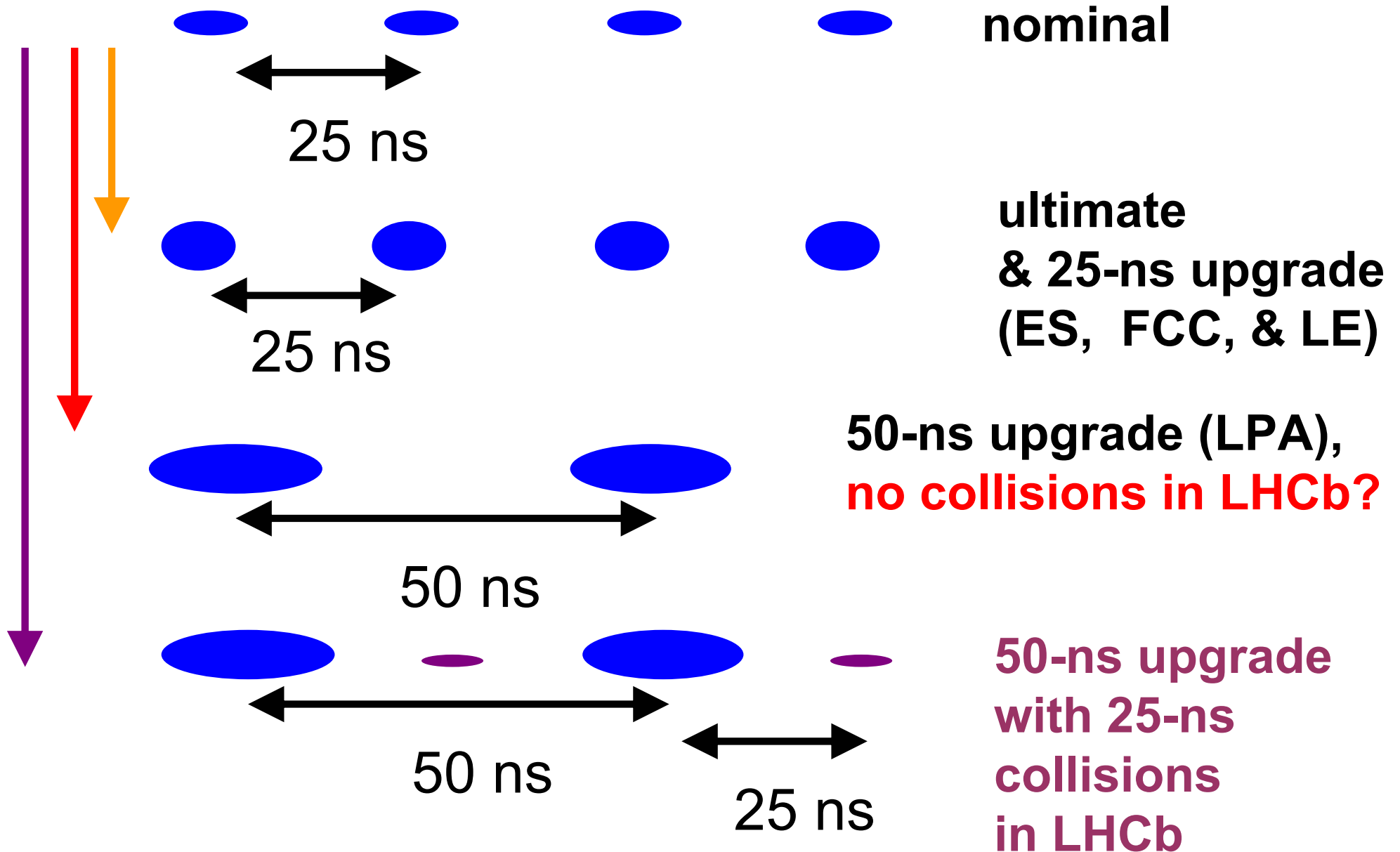


- smaller transverse emittance  
→ constraint on new injectors, off- $\delta$   $\beta$ -beat

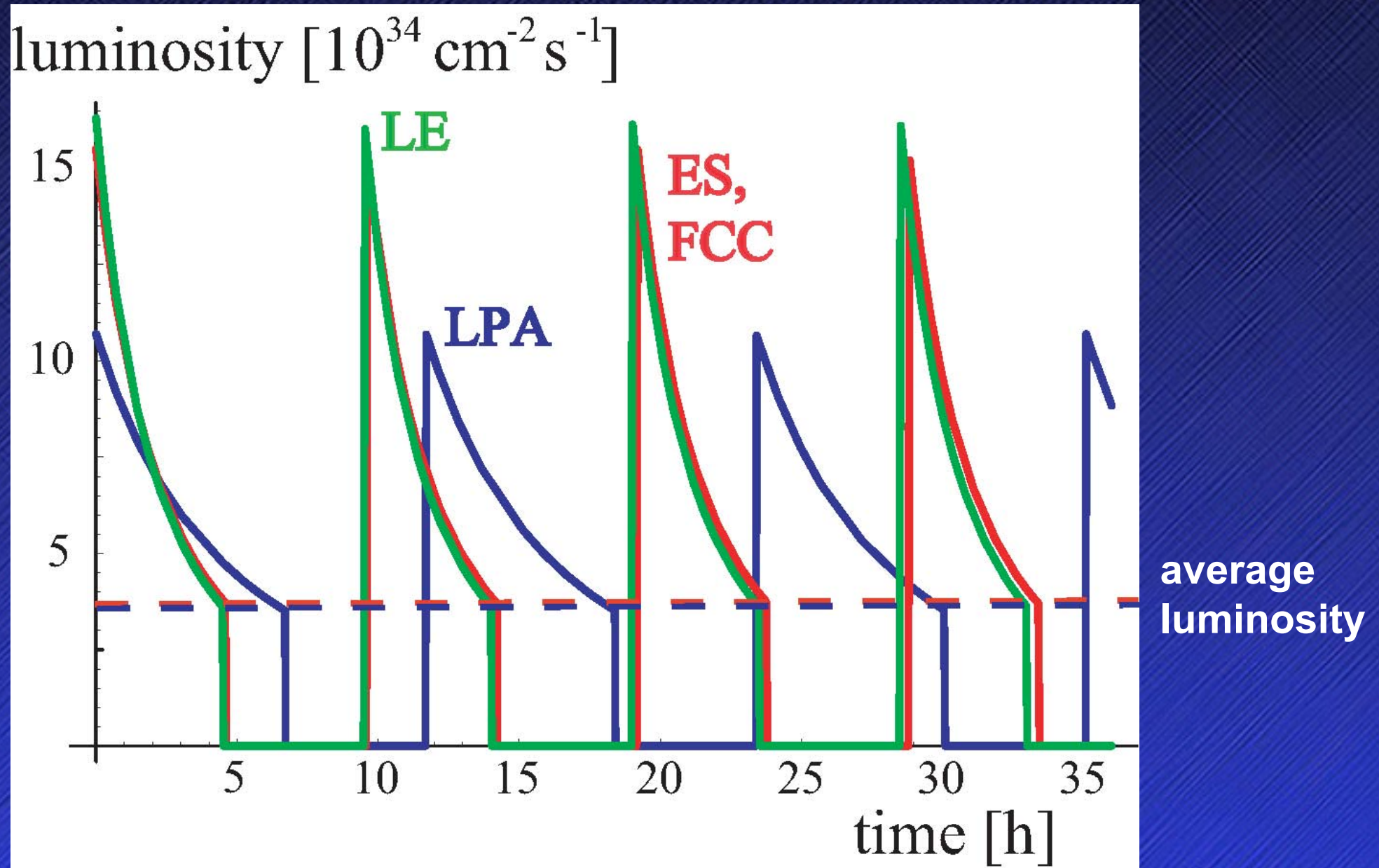


parameter	symbol	nominal	ultimate	ES	FCC	LE	LPA
transverse emittance	$\varepsilon$ [ $\mu\text{m}$ ]	3.75	3.75	3.75	3.75	1.0	3.75
protons per bunch	$N_b$ [ $10^{11}$ ]	1.15	1.7	1.7	1.7	1.7	4.9
bunch spacing	$\Delta t$ [ns]	25	25	25	25	25	50
beam current	I [A]	0.58	0.86	0.86	0.86	0.86	1.22
longitudinal profile		Gauss	Gauss	Gauss	Gauss	Gauss	Flat
rms bunch length	$\sigma_z$ [cm]	7.55	7.55	7.55	7.55	7.55	11.8
beta* at IP1&5	$\beta^*$ [m]	0.55	0.5	0.08	0.08	0.1	0.25
full crossing angle	$\theta_c$ [ $\mu\text{rad}$ ]	285	315	0	0	311	381
Piwinski parameter	$\phi = \theta_c \sigma_z / (2 * \sigma_x^*)$	0.64	0.75	0	0	3.2	2.0
geometric reduction		1.0	1.0	0.86	0.86	0.30	0.99
peak luminosity	$L$ [ $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ]	1	2.3	15.5	15.5	16.3	10.7
peak events per #ing		19	44	294	294	309	403
initial lumi lifetime	$\tau_L$ [h]	22	14	2.2	2.2	2.0	4.5
effective luminosity ( $T_{\text{turnaround}}=10 \text{ h}$ )	$L_{\text{eff}}$ [ $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ]	0.46	0.91	2.4	2.4	2.5	2.5
	$T_{\text{run,opt}}$ [h]	21.2	17.0	6.6	6.6	6.4	9.5
effective luminosity ( $T_{\text{turnaround}}=5 \text{ h}$ )	$L_{\text{eff}}$ [ $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ]	0.56	1.15	3.6	3.6	3.7	3.5
	$T_{\text{run,opt}}$ [h]	15.0	12.0	4.6	4.6	4.5	6.7
e-c heat SEY=1.4(1.3)	P [W/m]	1.1 (0.4)	1.04(0.6)	1.0 (0.6)	1.0 (0.6)	1.0 (0.6)	0.4 (0.1)
SR heat load 4.6-20 K	$P_{\text{SR}}$ [W/m]	0.17	0.25	0.25	0.25	0.25	0.36
image current heat	$P_{\text{IC}}$ [W/m]	0.15	0.33	0.33	0.33	0.33	0.78
gas-s. 100 h (10 h) $\tau_b$	$P_{\text{gas}}$ [W/m]	0.04 (0.4)	0.06 (0.6)	0.06 (0.56)	0.06 (0.56)	0.06 (0.56)	0.09 (0.9)
extent luminous region	$\sigma_1$ [cm]	4.5	4.3	3.7	3.7	1.6	5.3
comment		nominal	ultimate	D0 + crab	crab		wire comp.

# upgrade bunch patterns



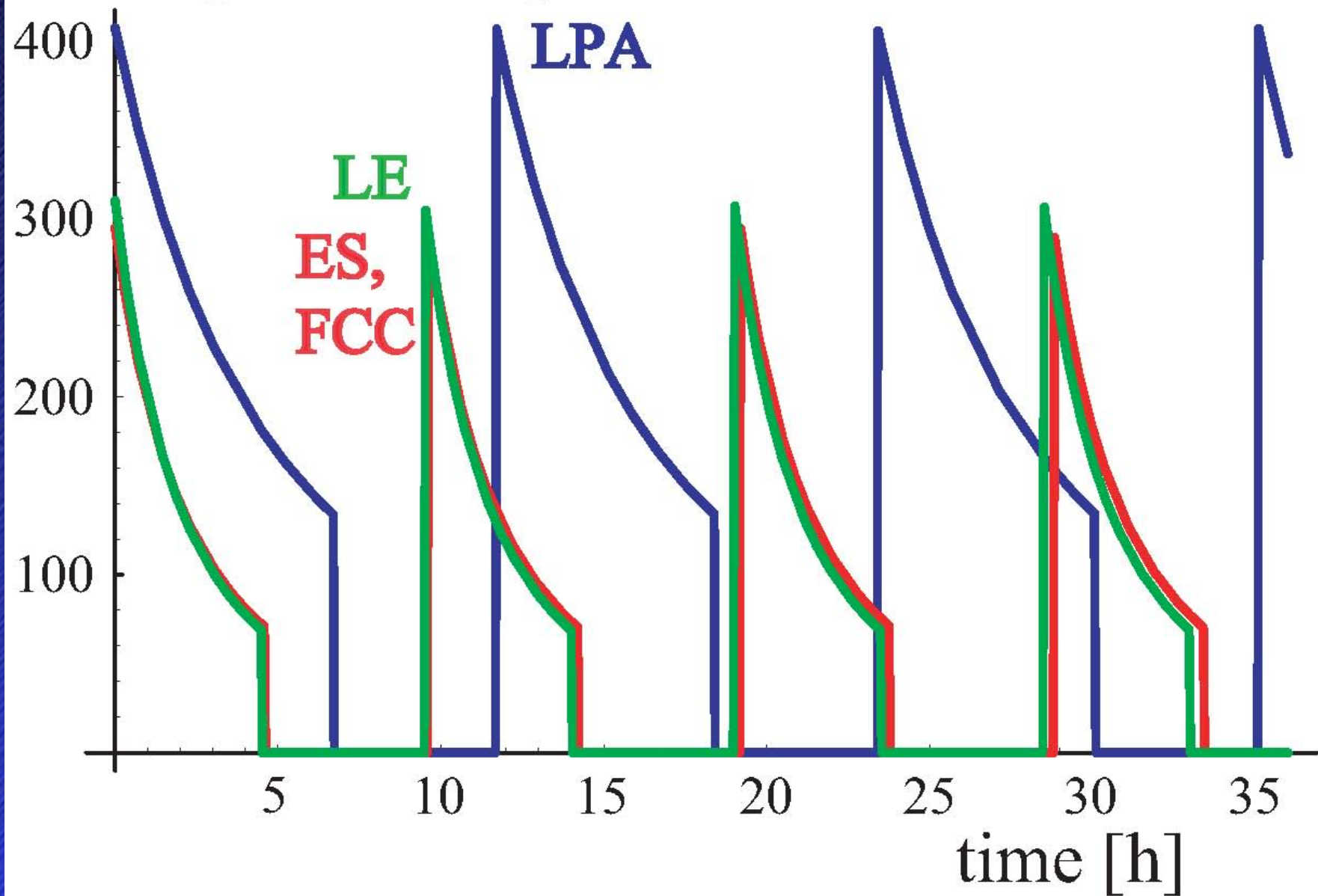
# luminosity evolution



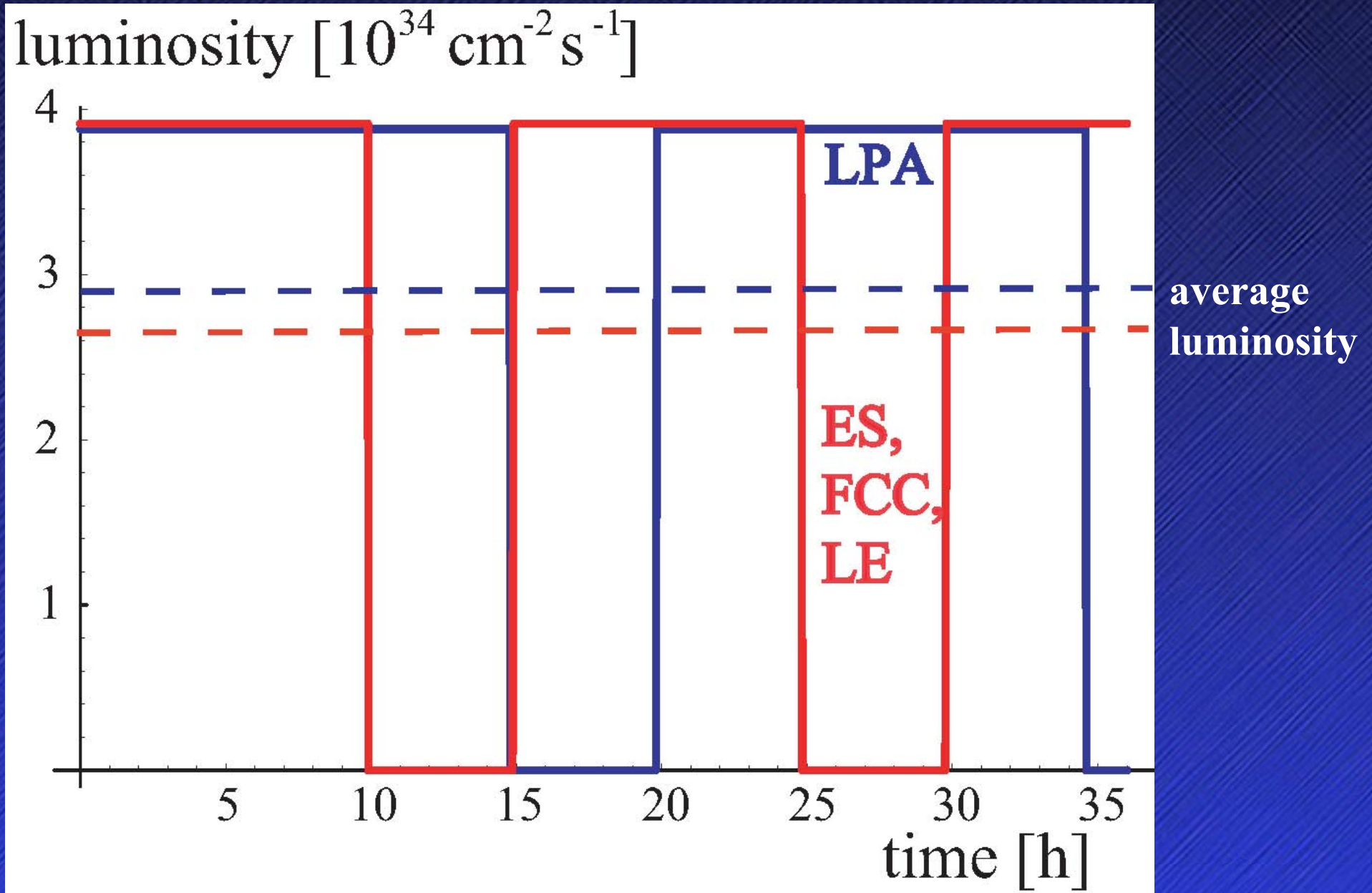


# event pile up

events per crossing

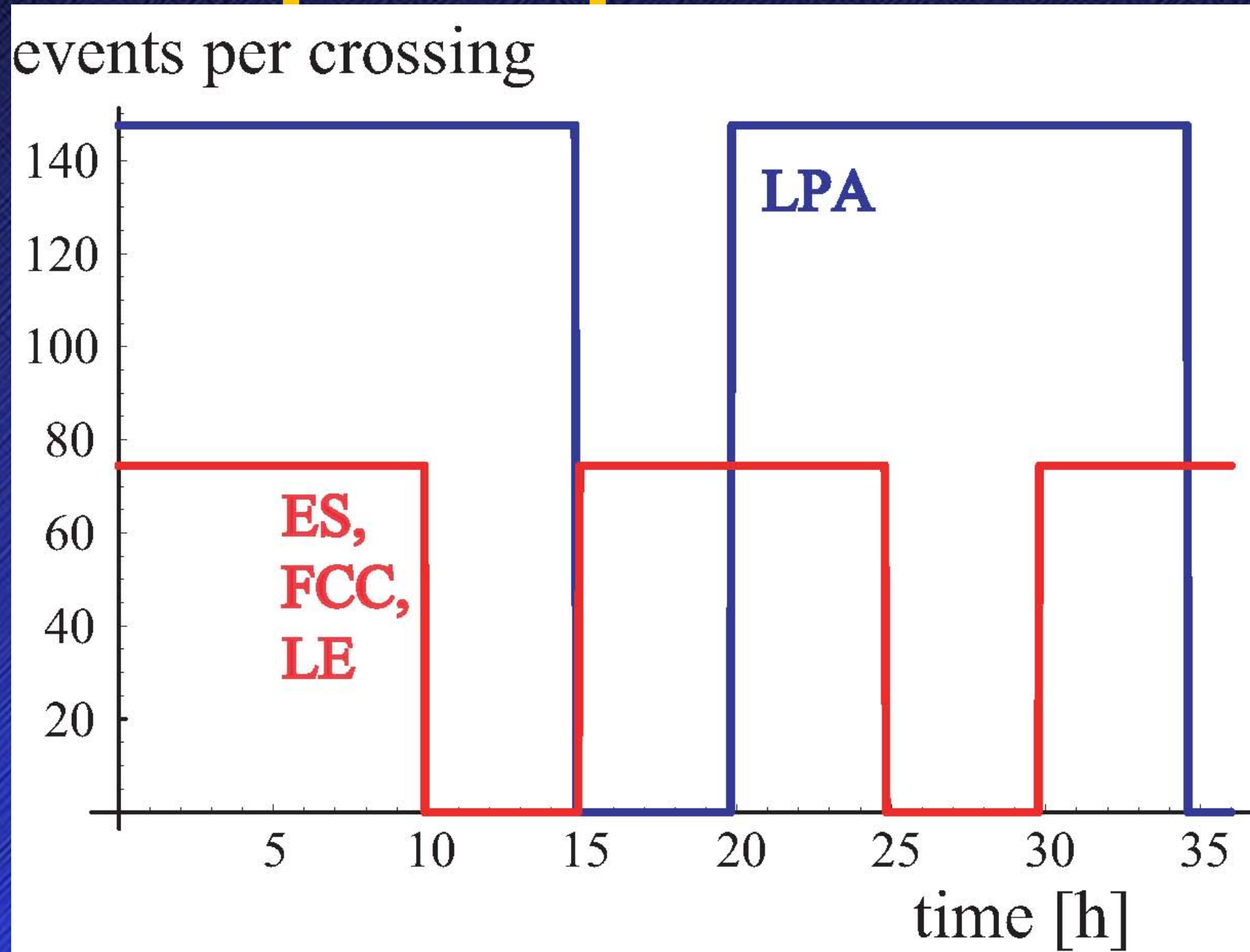


# luminosity with leveling





# event pile up with leveling



# conclusions

**several LHC upgrade schemes** could raise peak & average **luminosity 10x beyond nominal**.

**larger-aperture Nb<sub>3</sub>Sn triplet quadrupoles** benefit all options → risk mitigation & safe upgrade approach.

**rejuvenation of CERN injector complex** will lead to beams of **higher brightness**, improve overall **reliability**, minimize turnaround time, raise **integrated luminosity**, and increase **flexibility**.

**concomitant upgrade of the LHC collimation system** appears critical, whether for larger beam current or larger transverse density.

**luminosity leveling** becomes powerful strategy

# acknowledgements

many **accelerator physicists**  
and **engineers** contributed to  
LHC upgrade studies  
since 2001

environment set by **CARE-HHH**  
and **US-LARP** was especially  
Profitable

special thanks to **R. Assmann,**  
**P. Chiggiato, S. Fartoukh,**  
**E. Métral, E. Shaposhnikova,**  
**G. Sterbini,** who helped putting  
together this summary

