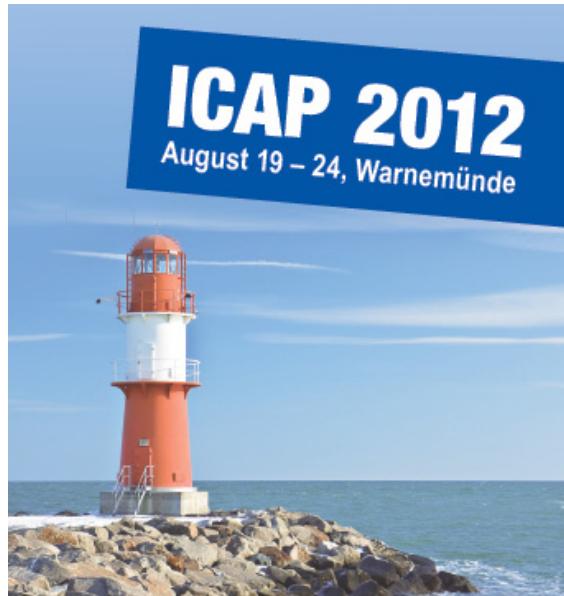
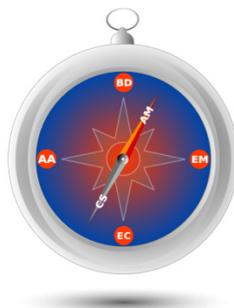




# Coherent electron cooling simulations for parameters of the BNL proof-of-principle experiment



George I. Bell<sup>†</sup>

D. L. Bruhwiler<sup>,</sup>, B.T. Schwartz,<sup>†</sup>  
I. Pogorelov<sup>†</sup>, S. Webb<sup>†</sup>  
V.N. Litvinenko,<sup>%</sup> G. Wang<sup>%</sup>,  
Y. Hao<sup>%</sup> and S. Reiche<sup>#</sup>

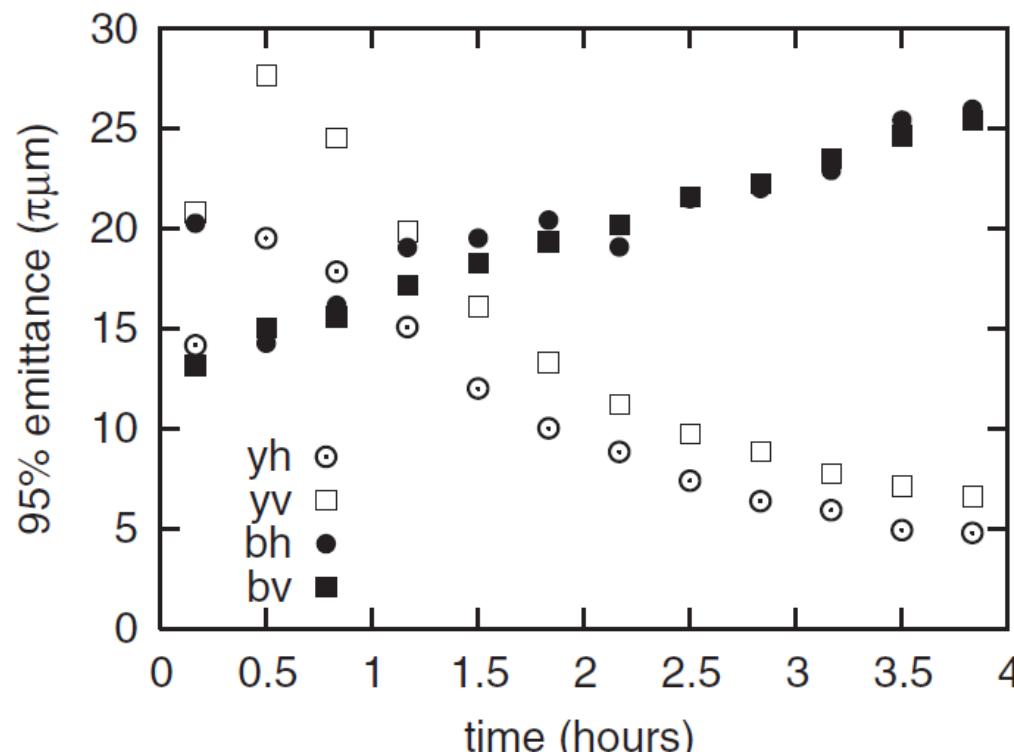


# Outline

- The need for cooling of hadron beams
- Electron cooling cartoon
- What is Coherent Electron Cooling (CEC)?
  - Modulator
  - FEL
  - Kicker
- Simulation of the three CEC components
- Dynamical friction → beam cooling
- Summary and future work

# hadron beam cooling

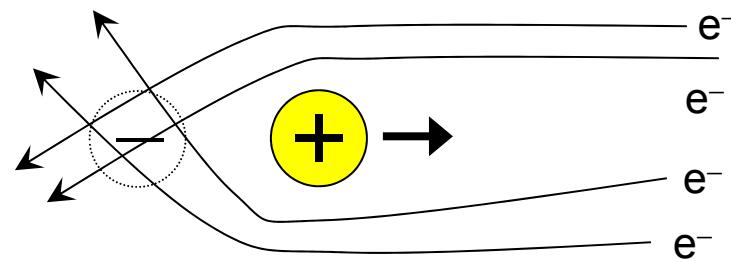
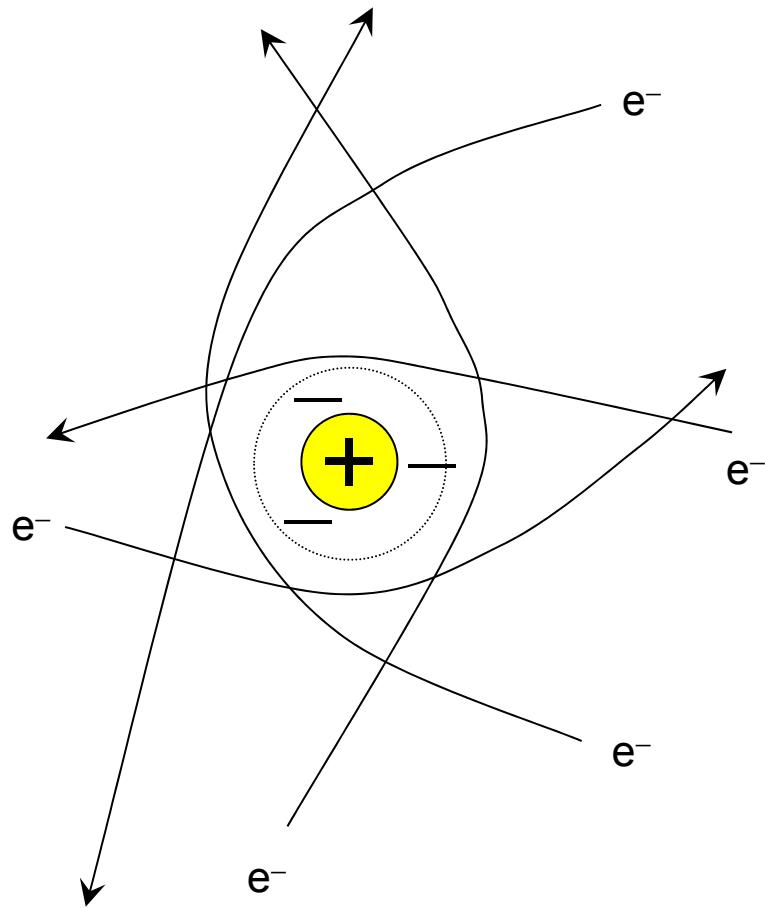
- Many mechanisms can degrade hadron beams in storage rings
  - Intra-beam scattering
  - Beam-beam collisions
  - Scattering off neutral particles
  - Electron cloud



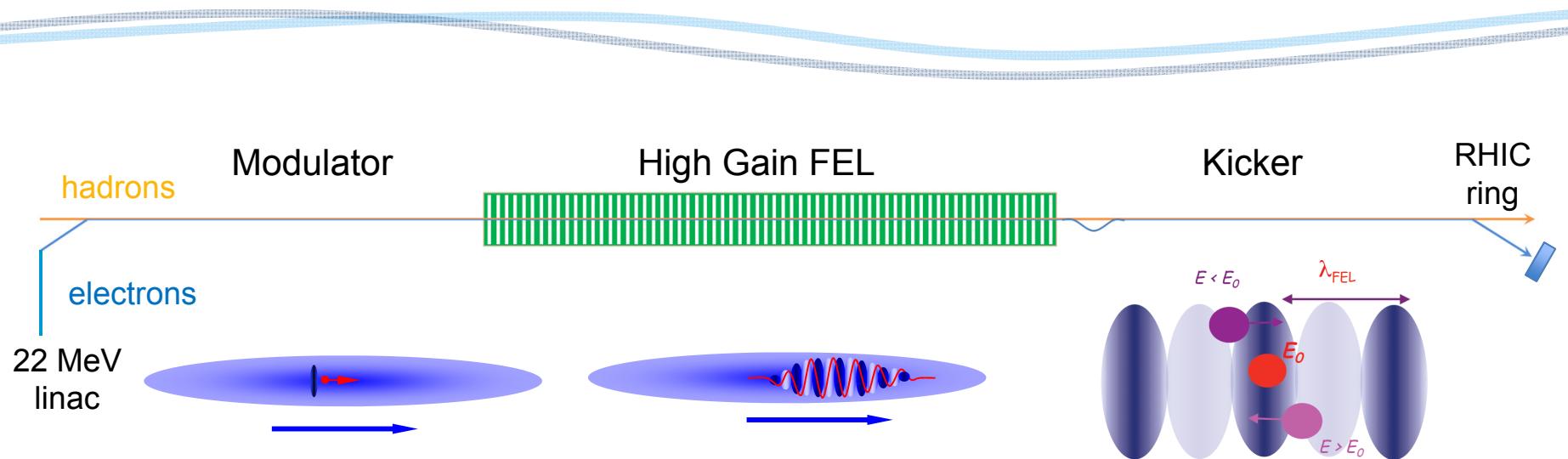
Stochastic  
cooling  
success!

From: Blaskiewicz,  
Brennan & Mernick,  
Phys. Rev. Lett. **105**,  
094801 (2010)

# Electron Cooling Mechanism

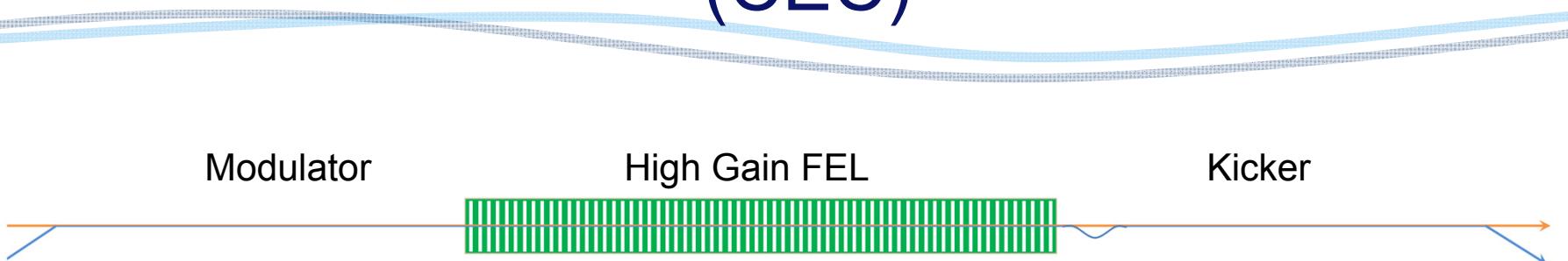


# Schematic of the BNL Coherent Electron Cooler (Proof-of-Principle)



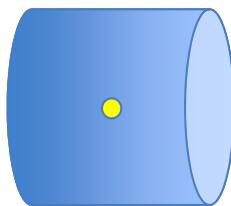
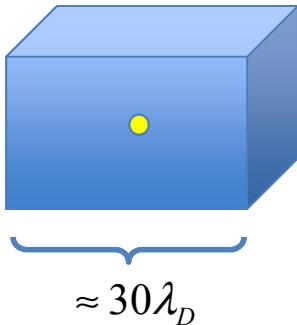
Litvinenko & Derbenev, “Coherent Electron Cooling,” Phys. Rev. Lett. 102, 114801 (2009).

# Modeling Coherent Electron Cooling (CEC)



Section	Code	Frame
Modulator	Vorpal Delta-f PIC	Beam
FEL	Genesis: linear regime, no saturation, ions ignored	Lab
Kicker	Vorpal PIC	Beam

# Hierarchy of Modulator Models



- Uniform electron density, infinite plasma, Lorentzian velocity distribution, non-isotropic
  - Exact solution found by *G. Wang and M. Blaskiewicz, Phys Rev E 78, 026413 (2008)*.
- Same except Gaussian velocity distribution
- Electron density decreases with radius, external focusing, beam is in equilibrium
- Electron density defined by Twiss parameters, quadrupole focusing, beam is not an equilibrium solution

$$f(\vec{x}, \vec{v}, t) = f_0(\vec{x}, \vec{v}, t) + \delta f(\vec{x}, \vec{v}, t)$$

$f_0(\vec{x}, \vec{v}, t)$   
bulk beam  
(known solution)
 $\delta f(\vec{x}, \vec{v}, t)$   
perturbation

# CEC Proof-of-Principal experimental parameters

Table 1. Main beam parameters for CeC experiment

Parameter	
Species in RHIC	Au ions, 40 GeV/u
Number of particles in bucket	$10^9$
Electron energy	21.8 MeV
Charge per e-bunch	0.5-1 nC
Rep-rate	78.3 kHz
Average e-beam current	0.078 mA
Electron beam power	1.7 kW

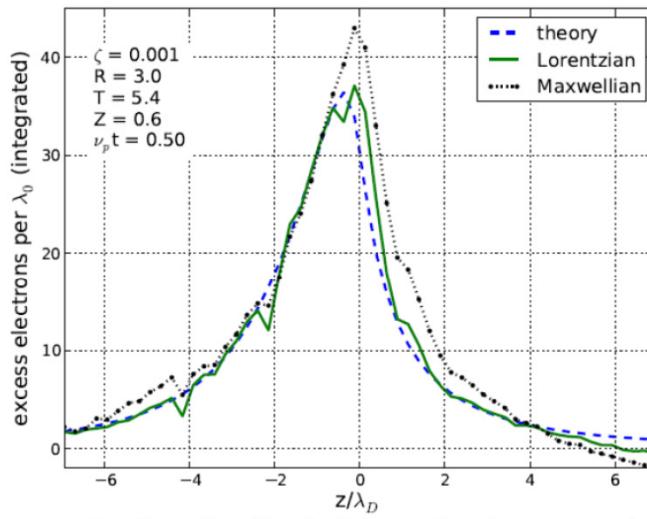
Table 2. Electron beam and FEL parameters

e-beam	
RMS Energy Spread	$\leq 1 \times 10^{-3}$
Normalized Emittance	$\leq 5 \mu\text{m}\cdot\text{rad}$
Peak Current	60-100 A
FEL	
Wiggler Length	7 m
Wiggler Period	0.04 m
Wiggler Strength, $a_w$	0.437
FEL Wavelength	13 $\mu\text{m}$

Ref: Litvinenko & Pinayev, "White Paper: Coherent Electron Cooling Experiment at I�2", Dec. 27, 2011

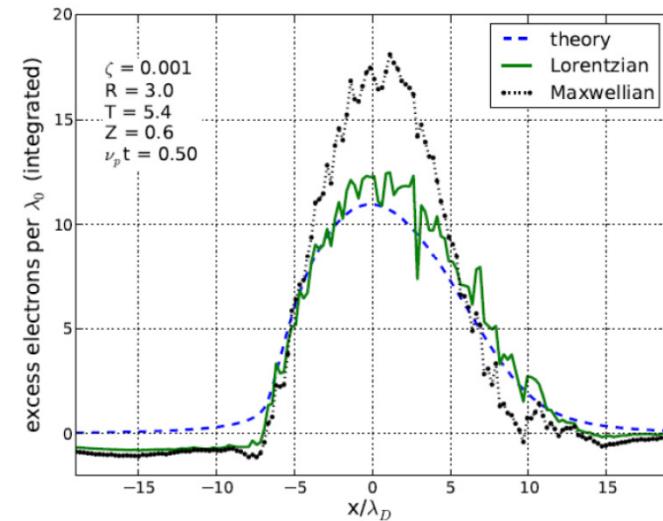
# Modulator simulations are successfully validated.

Drifting ion simulations agree w/ theory [7]



**Figure 3:** Longitudinal charge density perturbation of a plasma in the vicinity of a moving  $\text{Au}^{+79}$  ion.

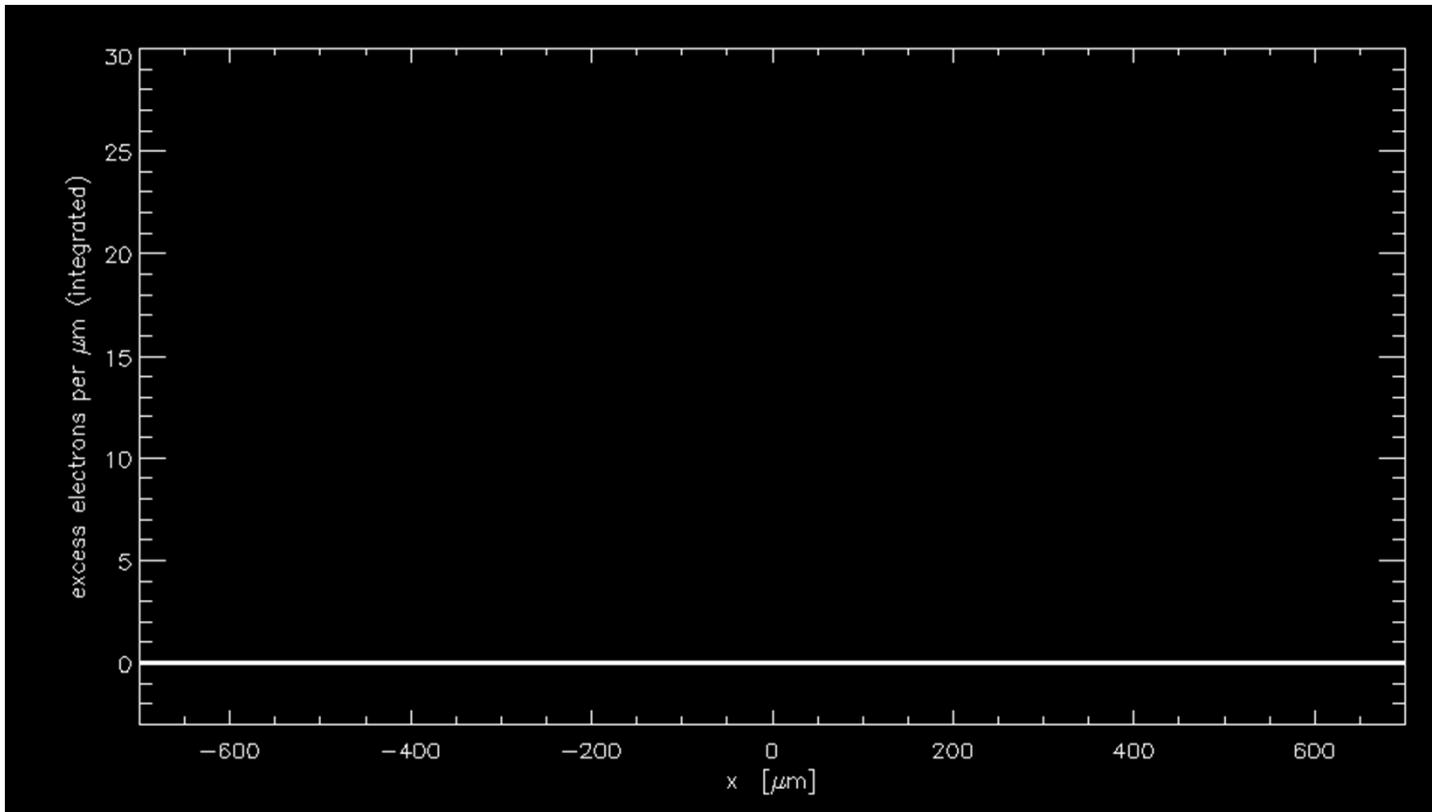
Large transverse drift velocity yields strongly perturbed wakes over many Debye lengths



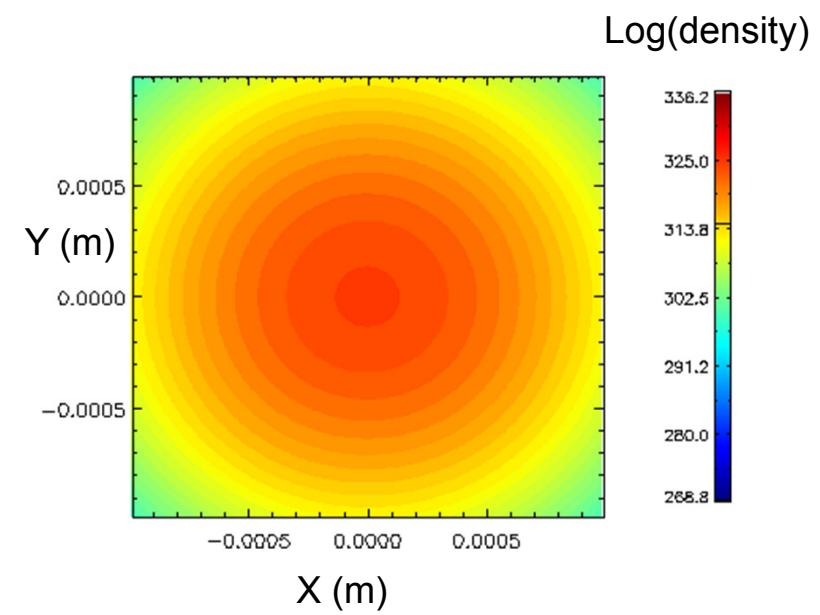
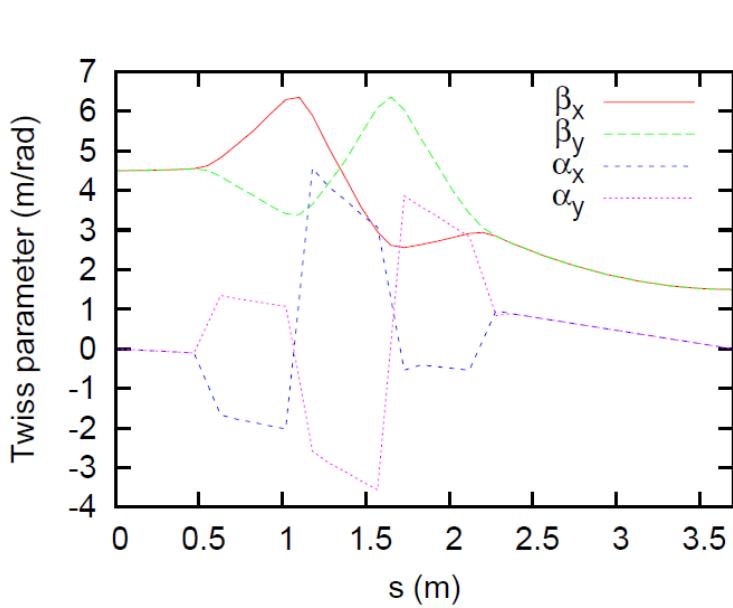
**Figure 4:** Transverse charge density perturbation of a plasma in the vicinity of a moving  $\text{Au}^{+79}$  ion.

# Evolution of a density perturbation (theory vs. simulation)

Dashed curve – Wang and Blaskiewicz theory  
Solid curve – Vorpal simulation



# A beam defined in terms of Twiss parameters



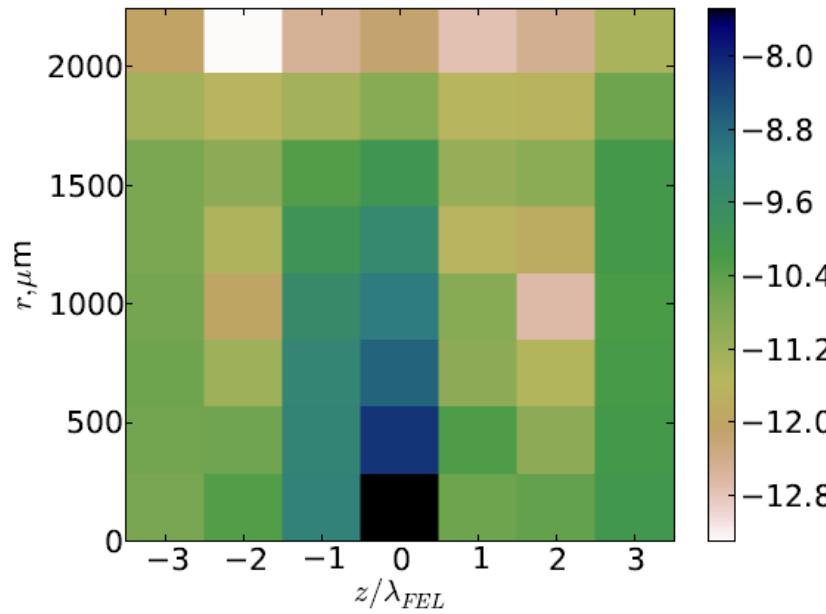
# Coupling modulator results to FEL simulations; being explored with multiple approaches



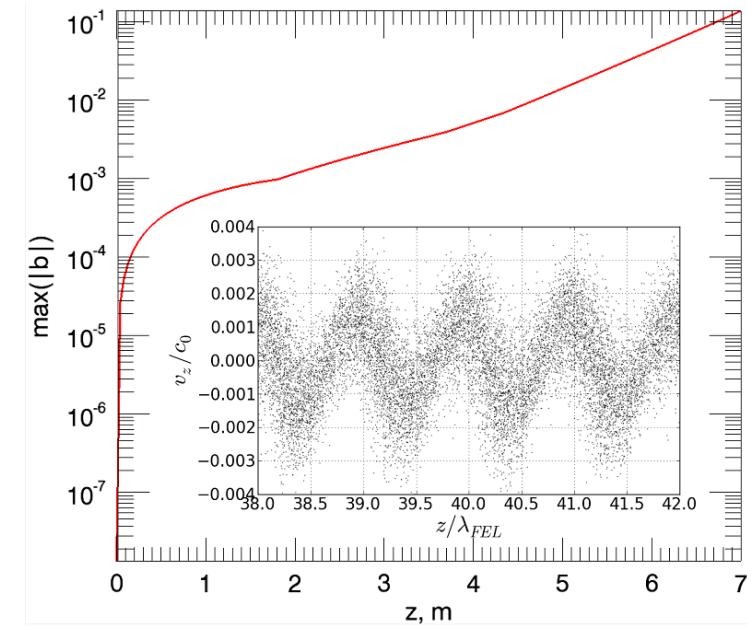
3D modulator simulations  
via  $\delta f$  PIC

3D simulations of the high-gain  
SASE FEL amplifier

# Growth of bunching along FEL for CEC PoP parameters



Magnitude of electron beam bunching coefficients  $b$ , plotted as  $\log_{10}(|b|)$ , in the vicinity of a shielded ion located at  $z=0$  with positive velocity  $v_z$ .



Maximum value of electron beam bunching magnitude as a function of beam's position in a free-electron laser. Inset: Modulation of electron longitudinal velocities (beam frame) at the end of the FEL.

# Coupling modulator results to FEL simulations

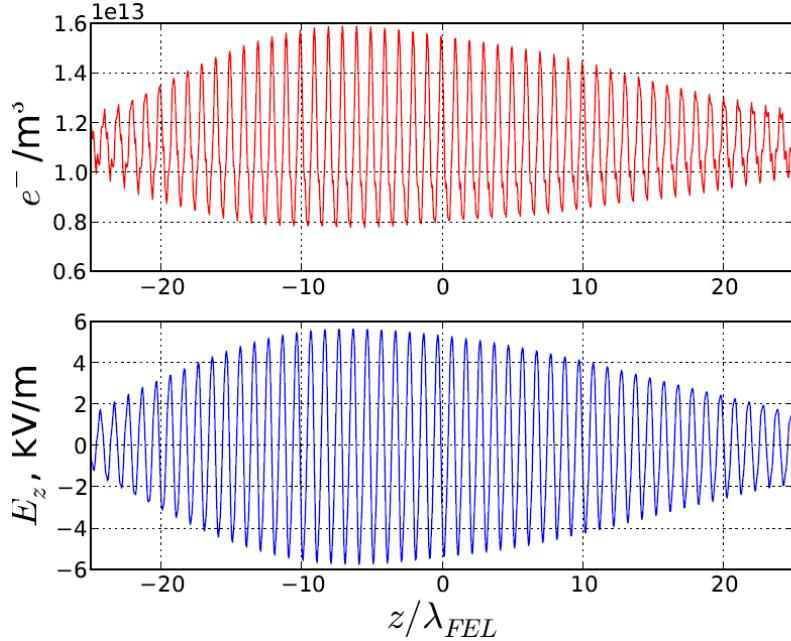
GENESIS I.3



VORPAL

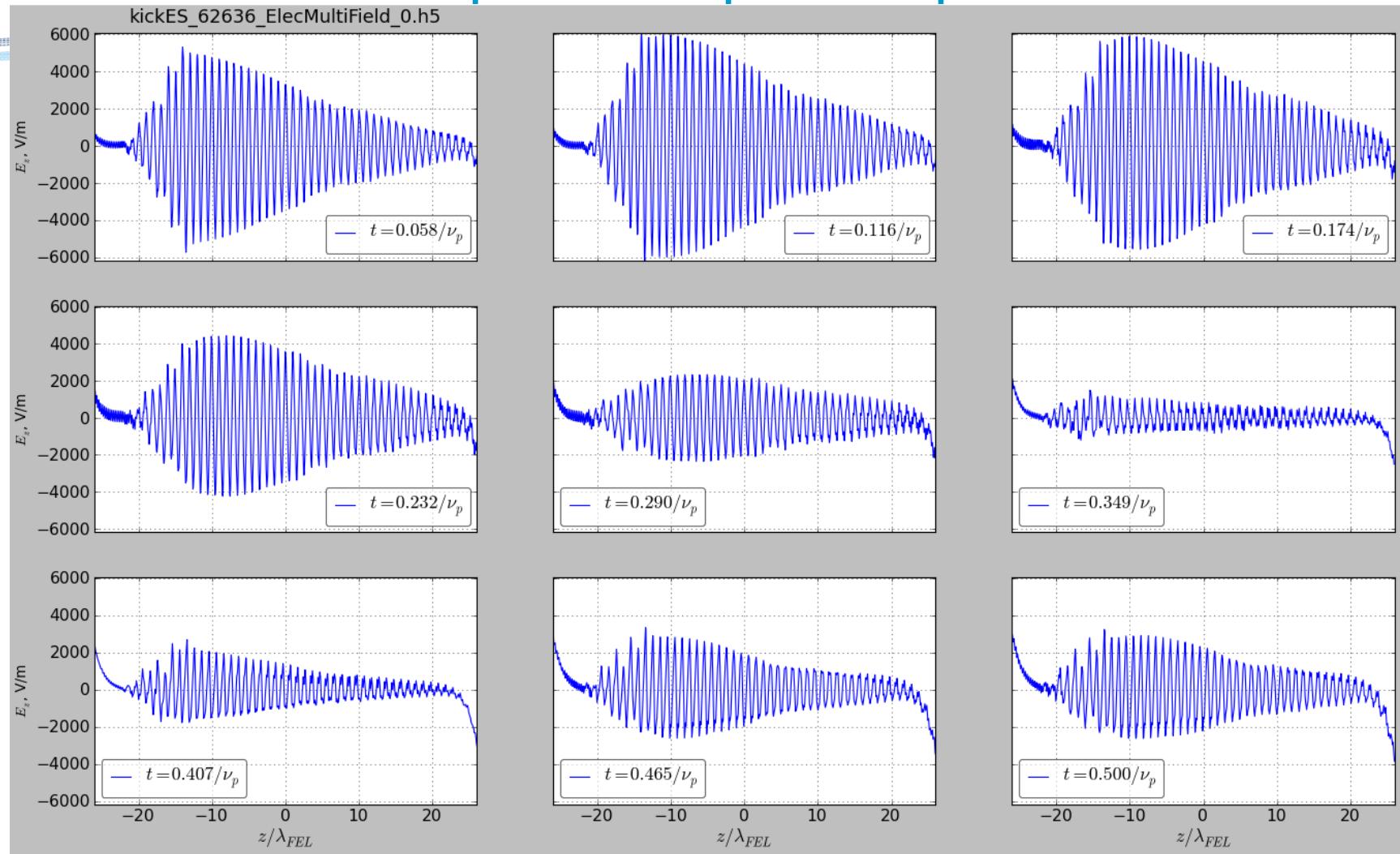


3D simulations of the high-gain  
SASE FEL amplifier

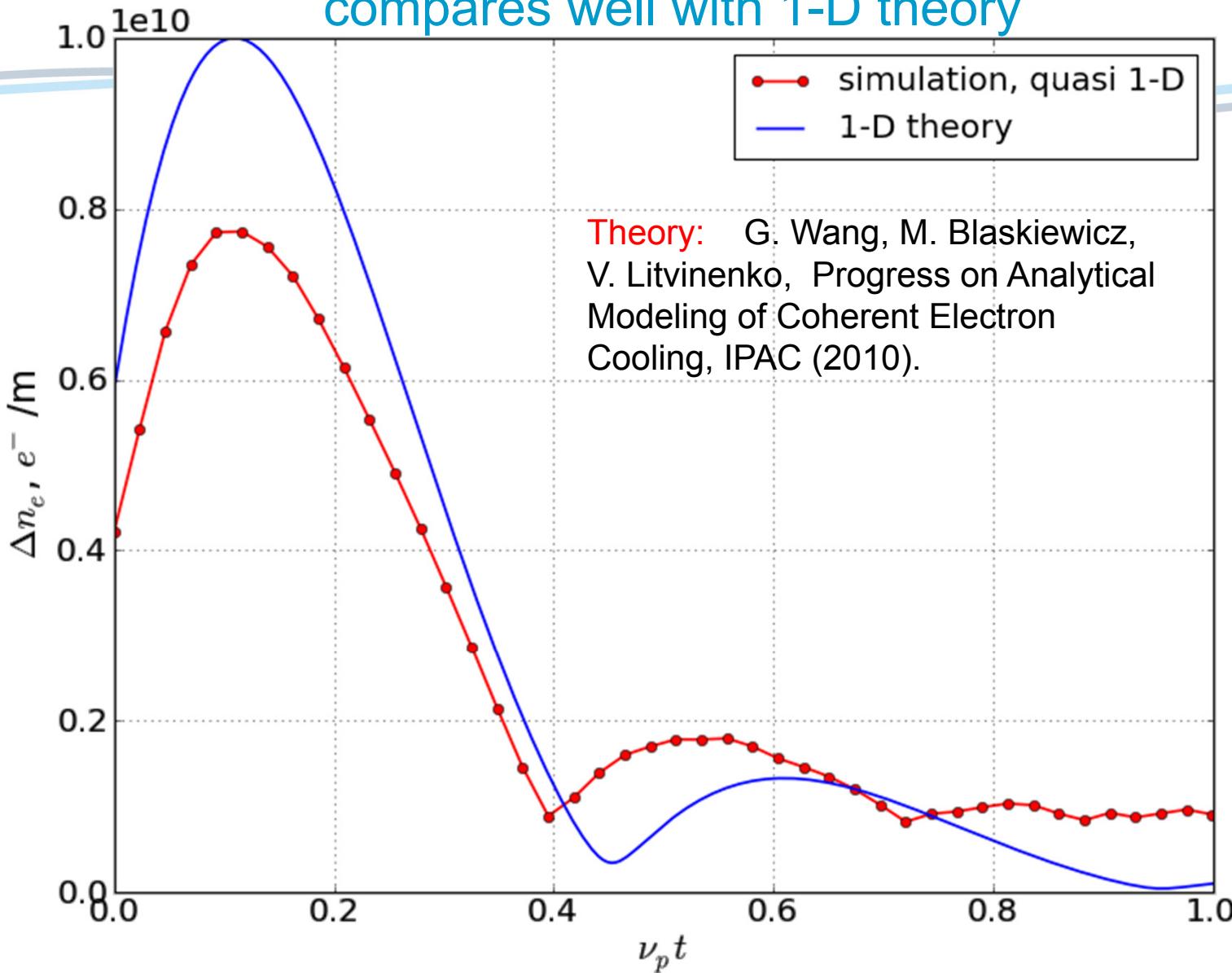


3D kicker simulations via  
electrostatic PIC (beam frame)

# Electric fields in kicker computed in Vorpal for $\frac{1}{2}$ plasma period



# Electron density modulation compares well with 1-D theory



# Dynamical friction → beam cooling

- Cooling plus diffusion
  - Cooling kick is obtained by starting with the shielding wake and running through FEL and kicker  
 $|E^c| = 3.91 \text{ kV/m}$
  - Diffusive kick is obtained by starting with noise and running through FEL and kicker  
 $|E^i| = 3.24 \text{ kV/m}$
- The cumulative effect of cooling and diffusive kicks over many CEC passages
  - Stochastic cooling: cooling time estimate: 1.5 hours  
D. Möhl, Nucl. Instr. Meth. A, **391**, 164-71 (1997)
  - CEC: cooling time estimate: 6 seconds!!  
BUT, this assumes we can cool all ions in a bunch at the same time, which is not the case.

# Summary and Next Steps

- We have simulated the passage of ions through all three CEC stages
  - Modulator calculations are the most computationally intensive
  - Modulator can now be simulated with realistic beam profiles, including beam focusing
  - Simulations agree with analytical estimates
    - In the modulator (Wang-Blaskiewicz, 2008)
    - In the kicker (Wang-Blaskiewicz-Litvinenko, 2010)
- Next Steps
  - Run many 3D delta-f PIC simulations with different velocities and positions
  - Insert parameterized kicks into BETACOOL, which can then simulate beam cooling

# Acknowledgments



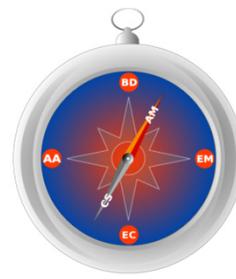
We thank I. Ben-Zvi, A. Fedotov, M. Blaskiewicz, A. Herschkowitz and other members of the BNL Collider Accelerator Department for many useful discussions.

We thank D. Smithe and T. Austin for assistance with the δf PIC algorithm and other members of the VORPAL development team for assistance and useful discussions.

Work at Tech-X is partially supported by the US DOE Office of Science, Office of Nuclear Physics under SBIR grant No.'s DE-FG02-08ER85182 and DE-SC0000835. Partial funding is provided by the DOE SciDAC-2 program (via the ComPASS project) under grant DE-FC02-07ER41499.

We used computational resources of NERSC, BNL and Tech-X.

*ComPASS – Community Petascale Project for Accelerator Science and Simulation*



Boulder, Colorado USA



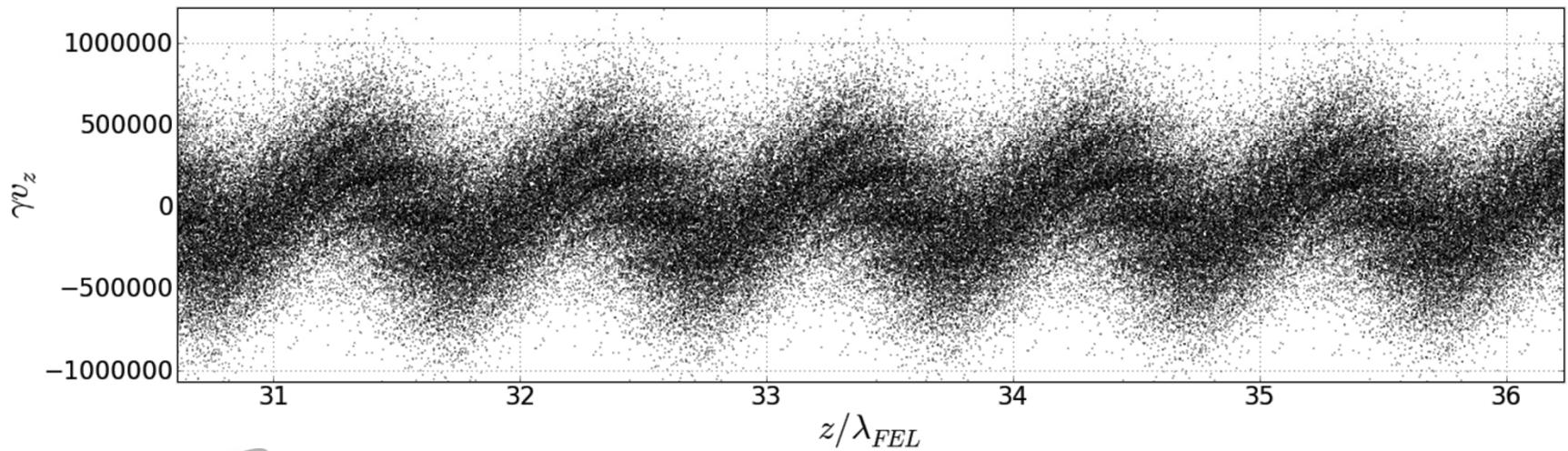
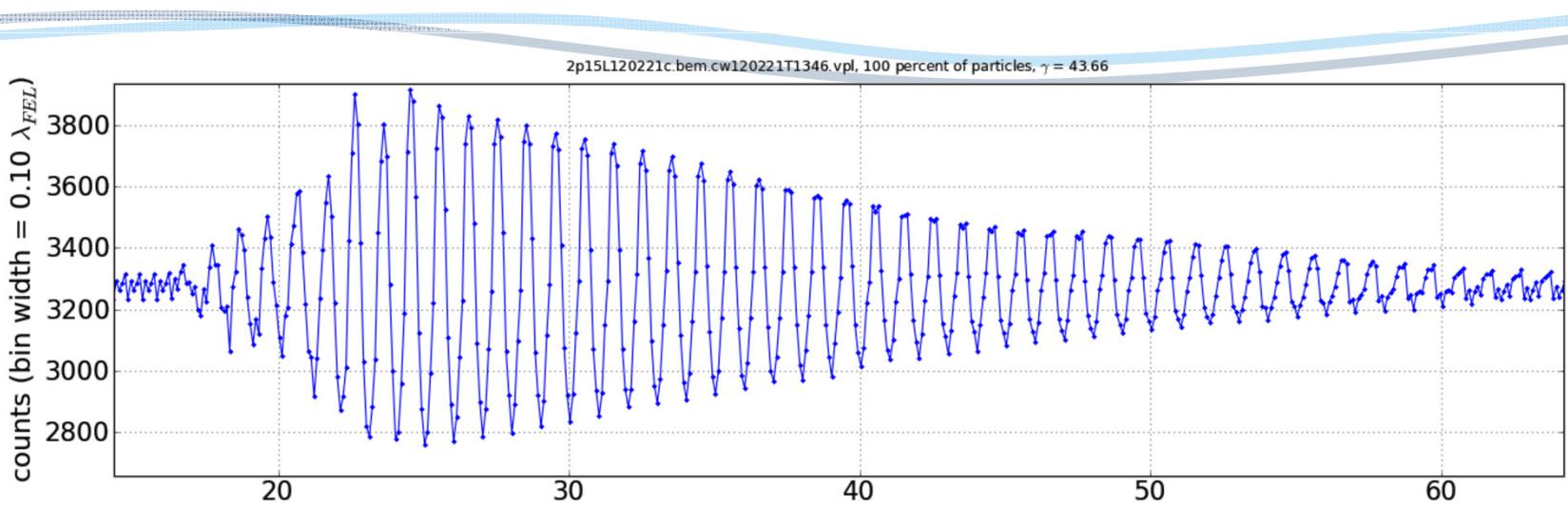


# Extra Slides



**TECH-→**  
SIMULATIONS EMPOWERING  
YOUR INNOVATIONS

# Electron density & $\gamma$ modulation at FEL output



# Overview

- All relevant dynamics in a CeC system is linear
  - modulator
    - 3D anisotropic Debye shielding of each ion (beam-frame Debye length  $\approx$  lab frame FEL wavelength)
    - the coherent density/velocity wake is typically smaller than shot noise
    - there will be other non-coherent perturbations (details of real e- beam with moderate space charge)
  - FEL amplifier
    - high-gain FEL operates in SASE mode; very high-frequency amplifier is critical for success
    - wiggler is kept short enough to avoid saturation  $\rightarrow$  linear density modulation, velocity perturbations
    - amplified noise plus signal from nearby ions  $\gg$  coherent signal for each ion (as for stochastic cooling)
  - kicker
    - ion responds to fields of amplified electron density perturbation  $\rightarrow$  effective velocity drag
    - linear perturbations of the beam-frame “plasma” evolve for  $\sim 0.5$  plasma periods
- Role of theory and simulation
  - the entire system is amenable to theoretical calculations
    - many nice papers by V. Litvinenko, Y. Derbenev, G. Wang, Y. Hao, M. Blaskiewicz, S. Webb, others...
    - the subtle coherent/resonant dynamics is assumed to be additive with noise (as for stochastic cooling)
  - simulations are being used to understand 3D and non-idealized effects
    - subtlety of the dynamics is numerically challenging; requires use of special algorithms
    - noise is largely understood, so we suppress/ignore noise and simulate only coherent effects
    - coupling between the three systems is challenging

# VORPAL simulations of the modulator: validation against theory for a simple case

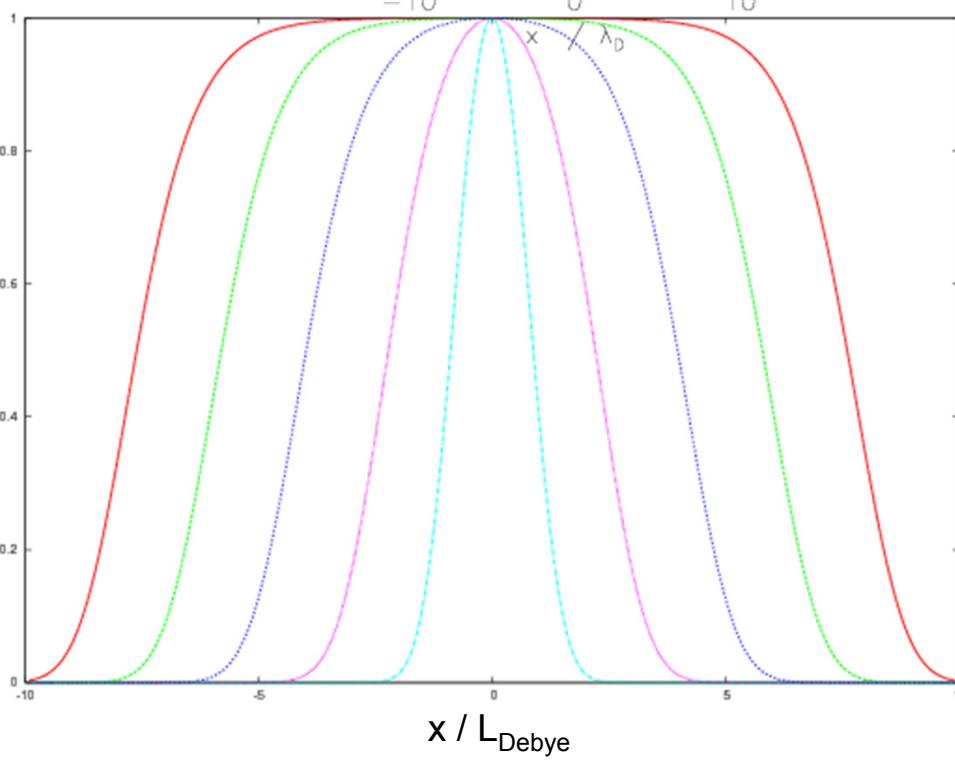
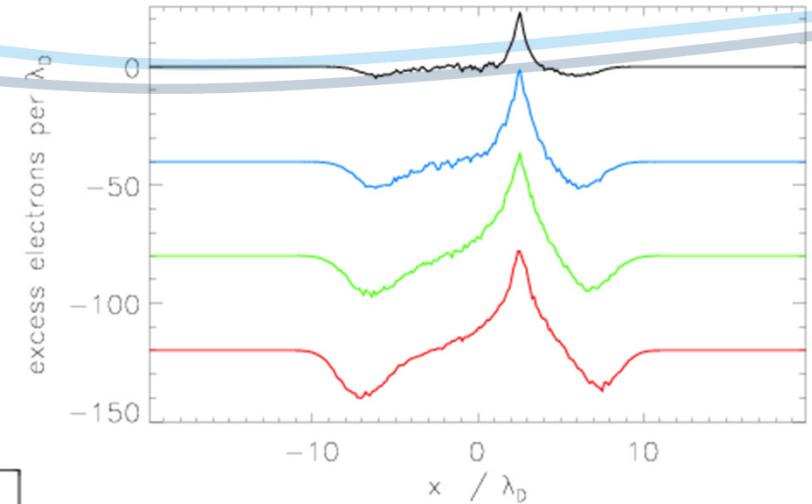
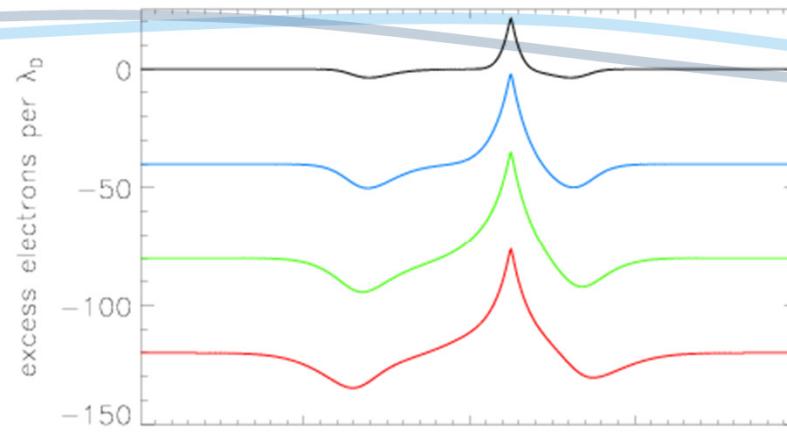
- Analytic results for e- density perturbations

G. Wang and M. Blaskiewicz, *Phys Rev E* **78**, 026413 (2008).

$$\delta n(\mathbf{x}, t) = \frac{Zn_o \omega_p^3}{\pi^2 \sigma_{vx} \sigma_{vy} \sigma_{vz}} \int_0^{\omega_p t} \frac{\tau \sin(\tau) d\tau}{\left( \tau^2 + \left( (\mathbf{x} - \mathbf{v}_{th,x} \tau / \omega_p) / r_{Dx} \right)^2 + \left( (\mathbf{y} - \mathbf{v}_{th,y} \tau / \omega_p) / r_{Dy} \right)^2 + \left( (\mathbf{z} - \mathbf{v}_{th,z} \tau / \omega_p) / r_{Dz} \right)^2 \right)^2}$$

- theory makes certain assumptions:
  - single ion, with arbitrary velocity
  - uniform e- density; *anisotropic* temperature
    - Lorentzian velocity distribution
  - linear plasma response; *fully 3D*
- Dynamic response extends over many  $\lambda_D$  and  $1/\omega_{pe}$ 
  - initial ptcl boundary conditions are important

# Vlasov compares well with $\delta f$ PIC (single ion in 1D beam with space charge)



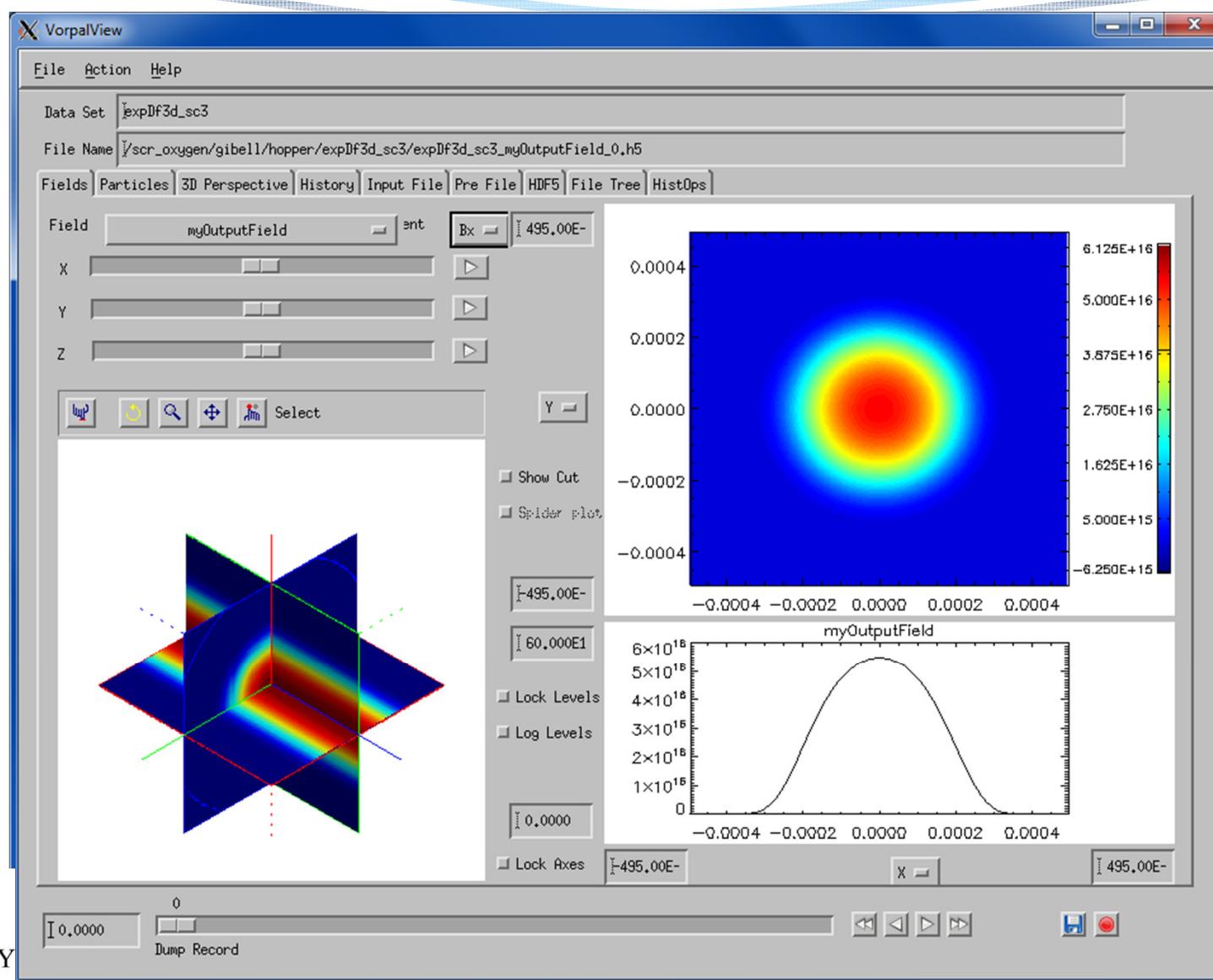
Black: 1/8 plasma period  
 Blue: 1/4 plasma period  
 Green: 3/8 plasma period  
 Red: 1/2 plasma period

$$\frac{E'_{ext}}{E'_{sc}} = 1.0001 \quad 1.001 \quad 1.01 \quad 1.1 \quad 2.0$$

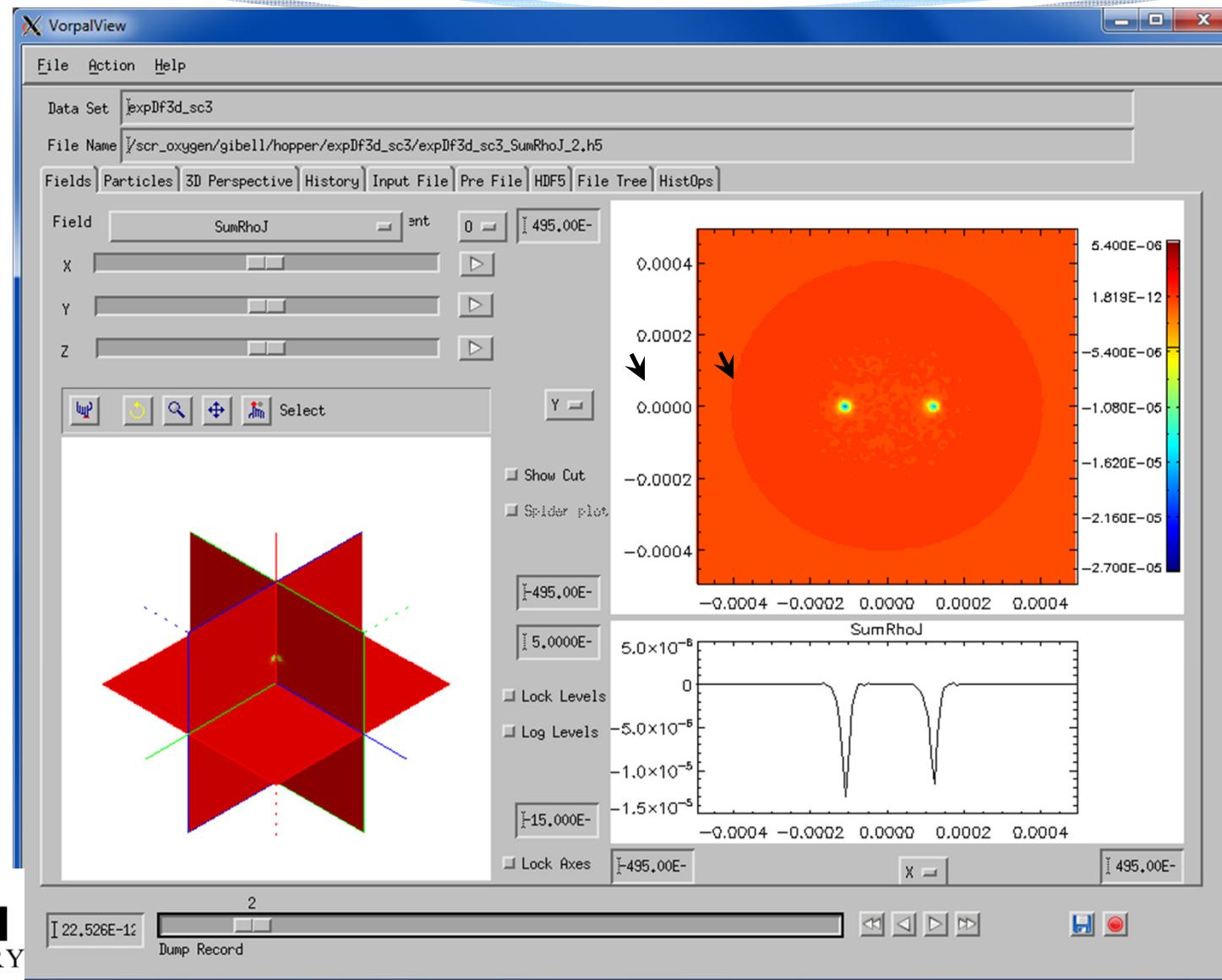
# 3D Delta-f simulations

- Equilibrium beam has radial symmetry transversely, with a linear focusing field  $E_{ext} = E_0' r$
- In z we model a thin slice of the beam, 0.88 mm thick
- The equilibrium beam has uniform density in z, and Gaussian in r
- Beam width is 0.6mm (artificially narrow to keep the domain reasonably small)
- Field solve is periodic in z, and Dirichlet in x and y

# 3D Delta-f Beam Simulation



# Two ions moving longitudinally



# 3D $\delta f$ Simulations of the Modulator, with two ions moving longitudinally

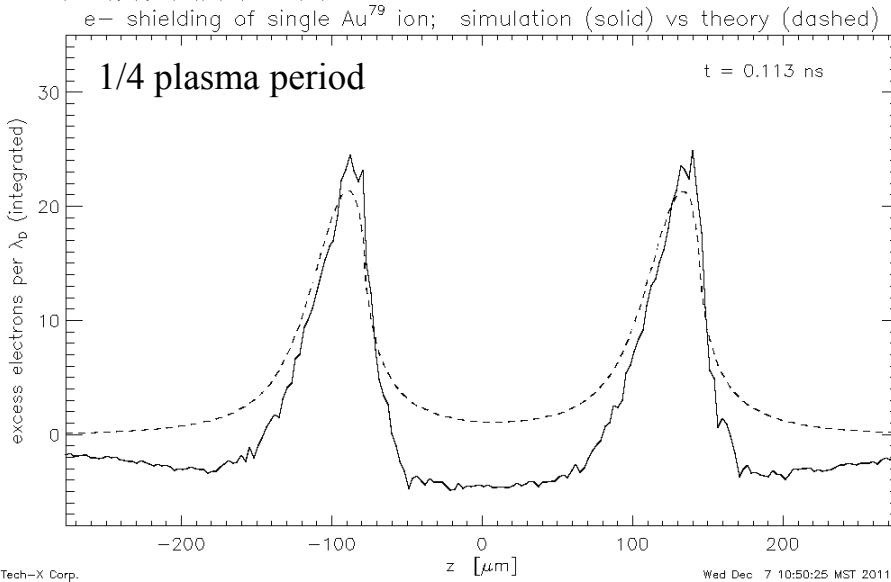
3D Simulations include:

- Entire beam (0.6mm in diameter)
- Equilibrium maintained by external focusing
- Gaussian velocity distrib.

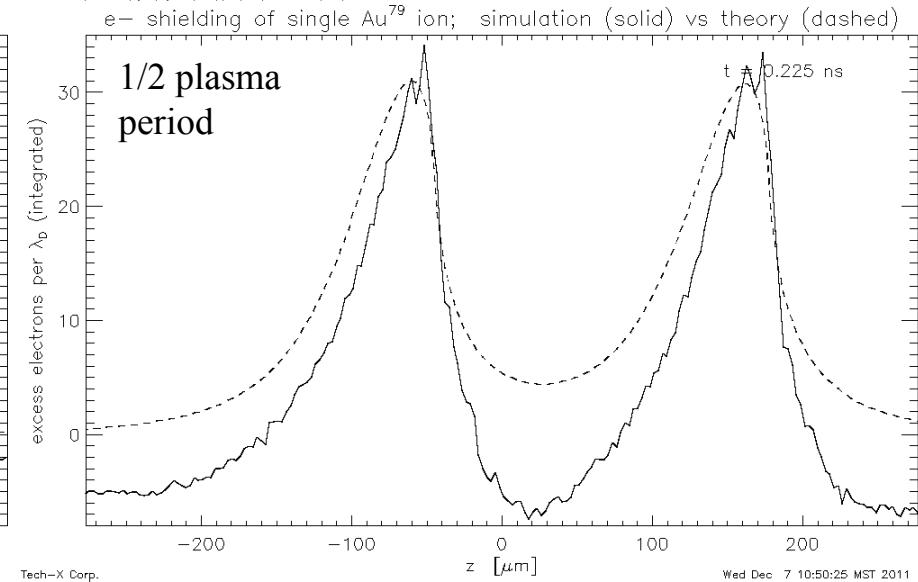
Theory is from Wang and Blaskiewicz

- Constant e- density (out to infinity)
- No external fields
- kappa-2 (Lorentzian squared) velocity distrib.

Data file: /scr\_oxygen/gibell/hopper/expDf3d\_sc7/expDf3d\_sc7\_SumRhoJ\_10.h5



Data file: /scr\_oxygen/gibell/hopper/expDf3d\_sc7/expDf3d\_sc7\_SumRhoJ\_20.h5



Tech-X Corp.

Wed Dec 7 10:50:25 MST 2011

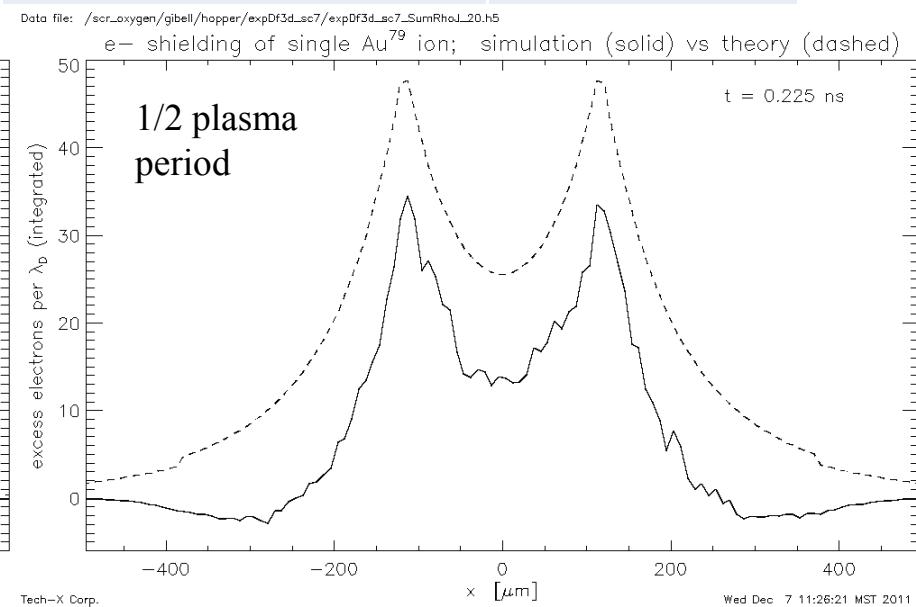
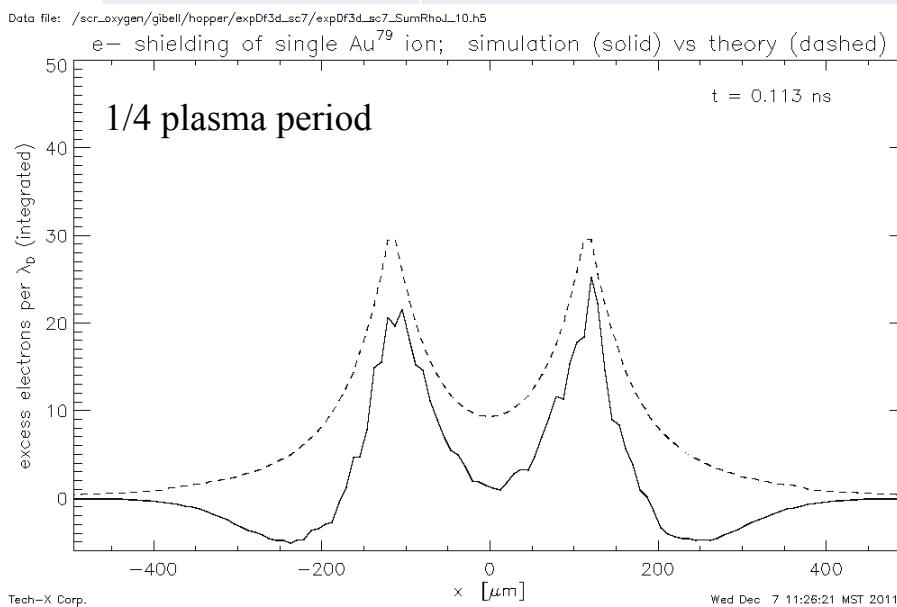
Tech-X Corp.

Wed Dec 7 10:50:25 MST 2011

Longitudinal variation of the density is shown

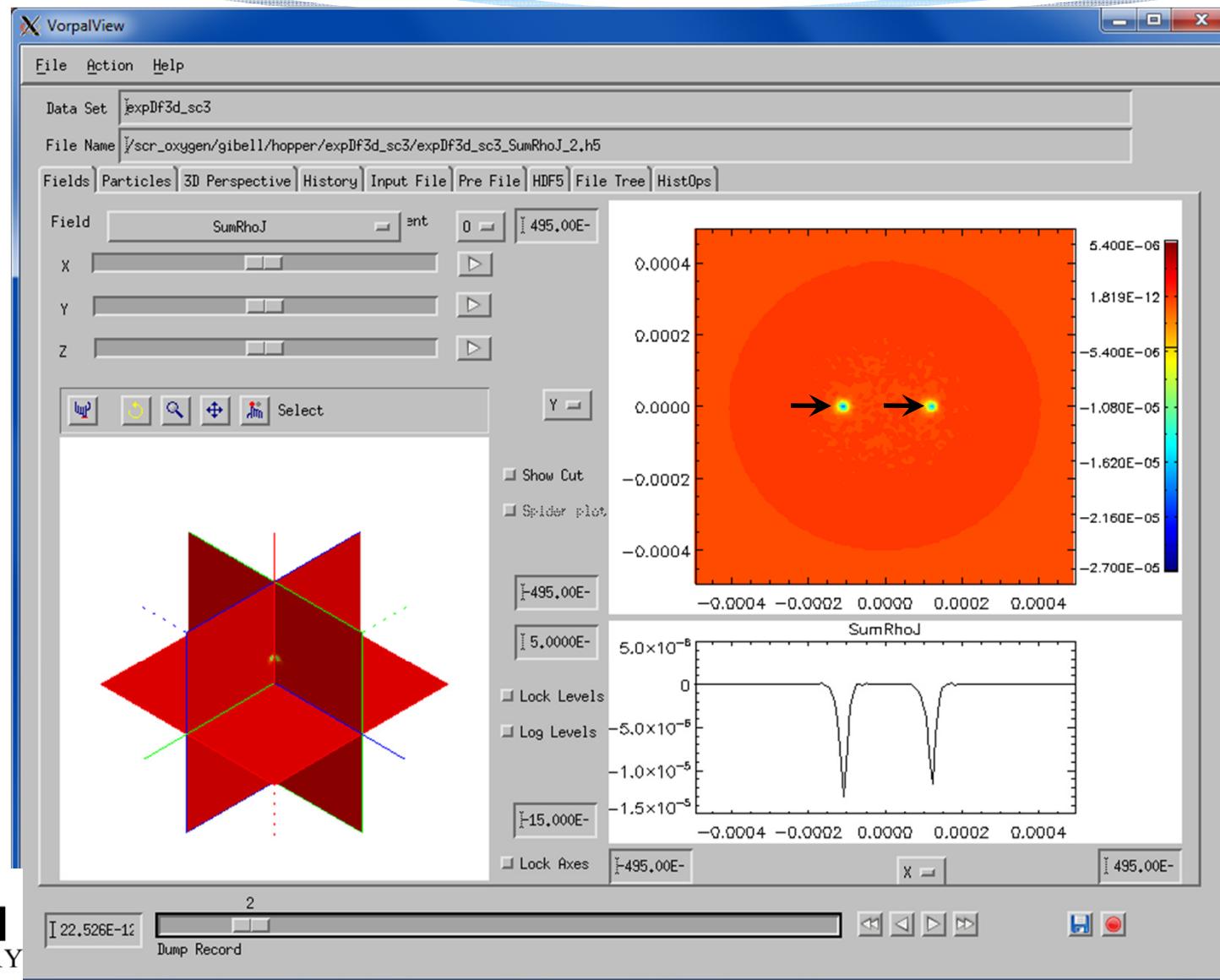
# 3D $\delta f$ Simulations of the Modulator, with two ions moving longitudinally

Parameter	Value	Parameter	Value
Density	$5.48 \times 10^{16} \text{ e-/m}^3$	Debye x,y	$66\mu$
Density at ion	82% of peak	Debye z	$22\mu$
Plasma Freq.	$1.32 \times 10^{10} \text{ rad/sec}$	R = Debye x / Debye z	3
Beam radius	$0.3\text{mm} = 300\mu$		



Transverse variation of the density is shown  
e- beam is artificially narrow

# Two ions moving transversely



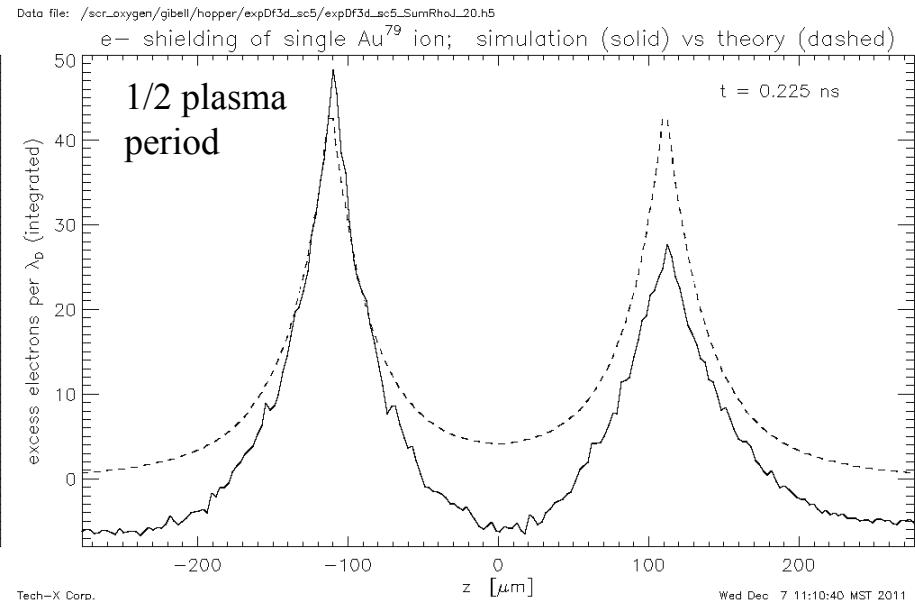
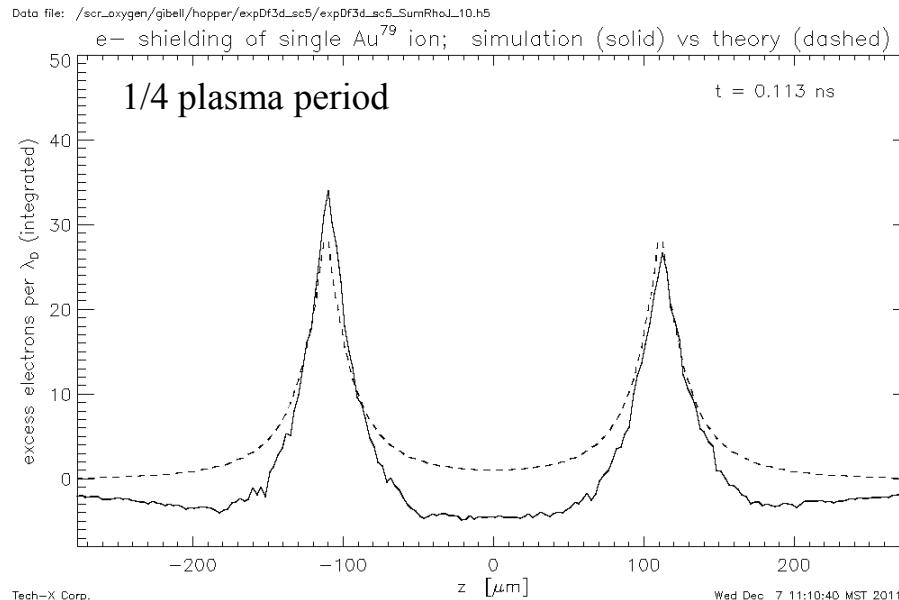
# 3D $\delta f$ Simulations of the Modulator, with two ions moving transversely

3D Simulations include:

- Entire beam (0.6mm in diameter)
- Equilibrium maintained by external focusing
- Gaussian velocity distrib.

Theory is from Wang and Blaskiewicz

- Constant e- density (out to infinity)
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- kappa-2 (Lorentzian squared) velocity distrib.

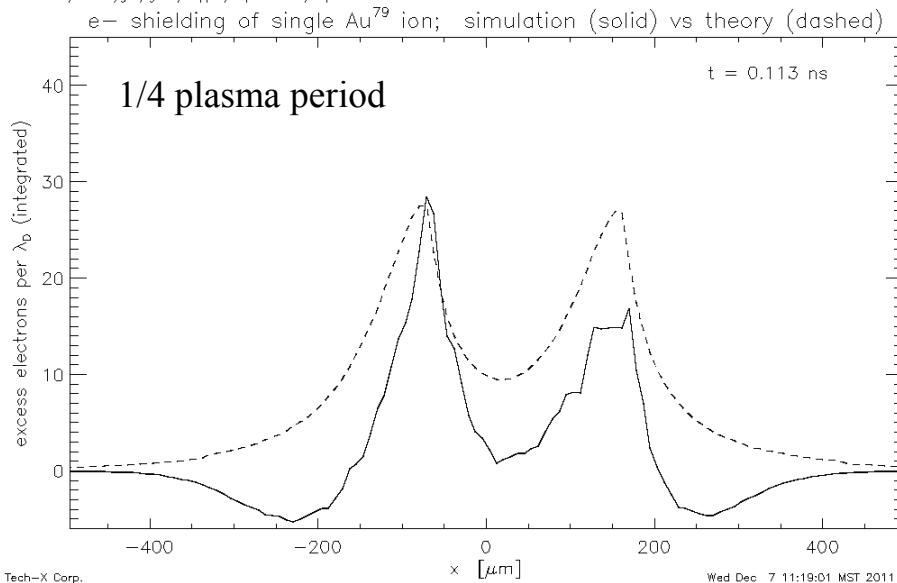


Longitudinal variation of the density is shown

# 3D $\delta f$ Simulations of the Modulator, with two ions moving transversely

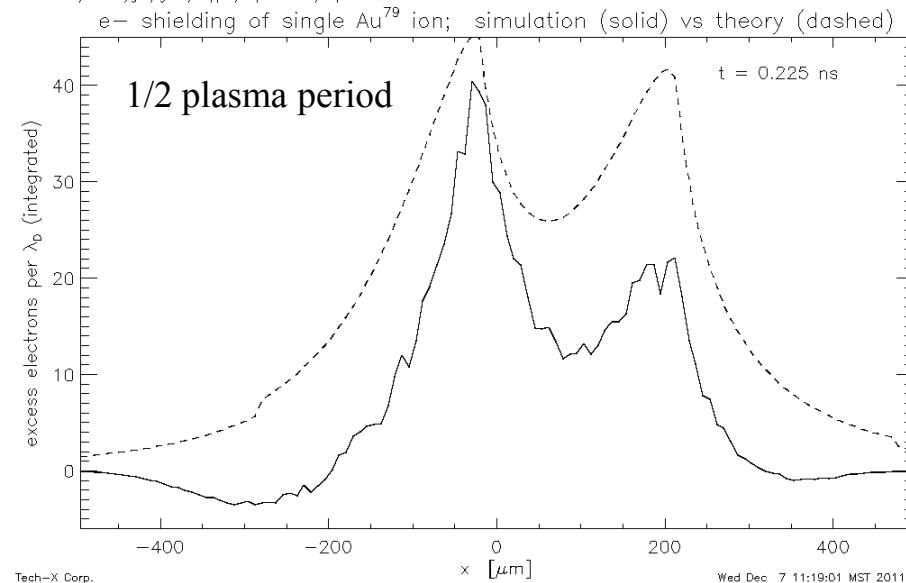
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Data file: /scr\_oxygen/gibell/hopper/expDf3d\_sc5/expDf3d\_sc5\_SumRhoJ\_10.h5



Tech-X Corp.

Data file: /scr\_oxygen/gibell/hopper/expDf3d\_sc5/expDf3d\_sc5\_SumRhoJ\_20.h5



Tech-X Corp.

Transverse variation of the density is shown  
e- beam is artificially narrow

# Addition of finite ‘bunching parameters’ to FEL quiet start particles

- Convert  $\delta f$  macro-particles to constant weight GENESIS particles
- GENESIS reads particle file
  - No coherent response to electron perturbations
  - Must define bunching coefficients and phases
- Get longitudinal bunching parameters from electron ponderomotive phases

Definition of bunching parameters:

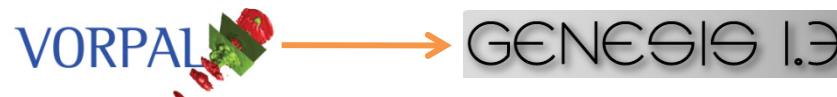
$$b = \frac{1}{N} \sum_{j=1}^N e^{-i\theta_j}$$

McNeil and Robb, *J. Phys. D: Appl. Phys.* **31**, 371 (1998).

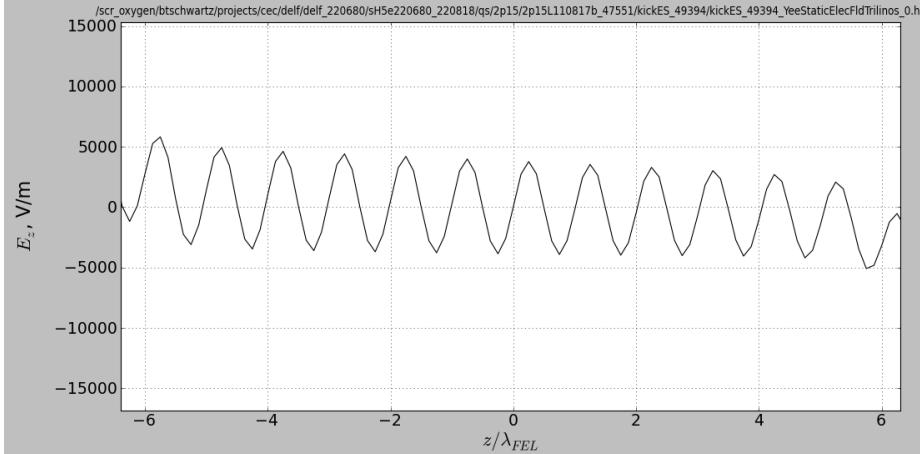
$$\theta = (k_{FEL} + k_u) * z - ct * k_{FEL} \text{ (pond. phase)}$$

- GENESIS divides slices of width  $\lambda_{FEL}$
- Must specify bunching  $b$  for each slice
- GENESIS modifies phase of each ptcl:

$$\theta' = \theta - 2 * |b| / \sin(\theta - \arg\{b\})$$



# Kicker E-fields are solved via the Poisson equation & advanced w/ standard PIC



## Input parameters

- kicker of length:  $l_k = 3 \text{ m}$
- relative energy spread:  $\delta\gamma_{i-\text{rel}} = \Delta\mathcal{E}/\mathcal{E}_k^{\text{ion}} = 3.4 \times 10^{-4}$
- relative energy correction per turn:  $g = eZl_kE_{\max}^c/\Delta\mathcal{E} = 1.7 \times 10^{-4}$
- electron beam transition energy:  $\gamma_t = 23$
- distance from kicker to modulator (pickup):  $L_{kp}=3834 \text{ m (RHIC)}$

## Cooling time<sup>†</sup>

phase slip factor:  $\eta = |\gamma_t^{-2} + \gamma^{-2}|$

mixing rate, cooling:  $\tilde{M}^{-1} = 2\delta\nu(l_k/c)\eta \cdot \delta\gamma_{i-\text{rel}}$

mixing rate, heating:  $M^{-1} = 2\delta\nu(L_{kp}/c)\eta \cdot \delta\gamma_{i-\text{rel}}$

$$\tau^{-1} = \frac{\Delta\nu}{N_i} [2g(1 - \tilde{M}^{-2}) - g^2(M + U/Z^2)]$$

- run FEL w/ bunching from ion, no shotnoise  $\rightarrow$  coherent  $E_z = 3.7 \text{ kV/m}$
- run FEL w/ shot noise  $\rightarrow$  incoherent  $E_z = 14.3 \text{ kV/m}$

D.Möhl, The status of stochastic cooling. *Nucl. Instrum. Methods A*, 391(1):164 -- 171, 1997.