

# Direct (Under) Sampling vs. Analog Downconversion for BPM Electronics

*Manfred Wendt*  
CERN

International Beam Instrumentation Conference  
Monterey, California, USA September 14–18, 2014

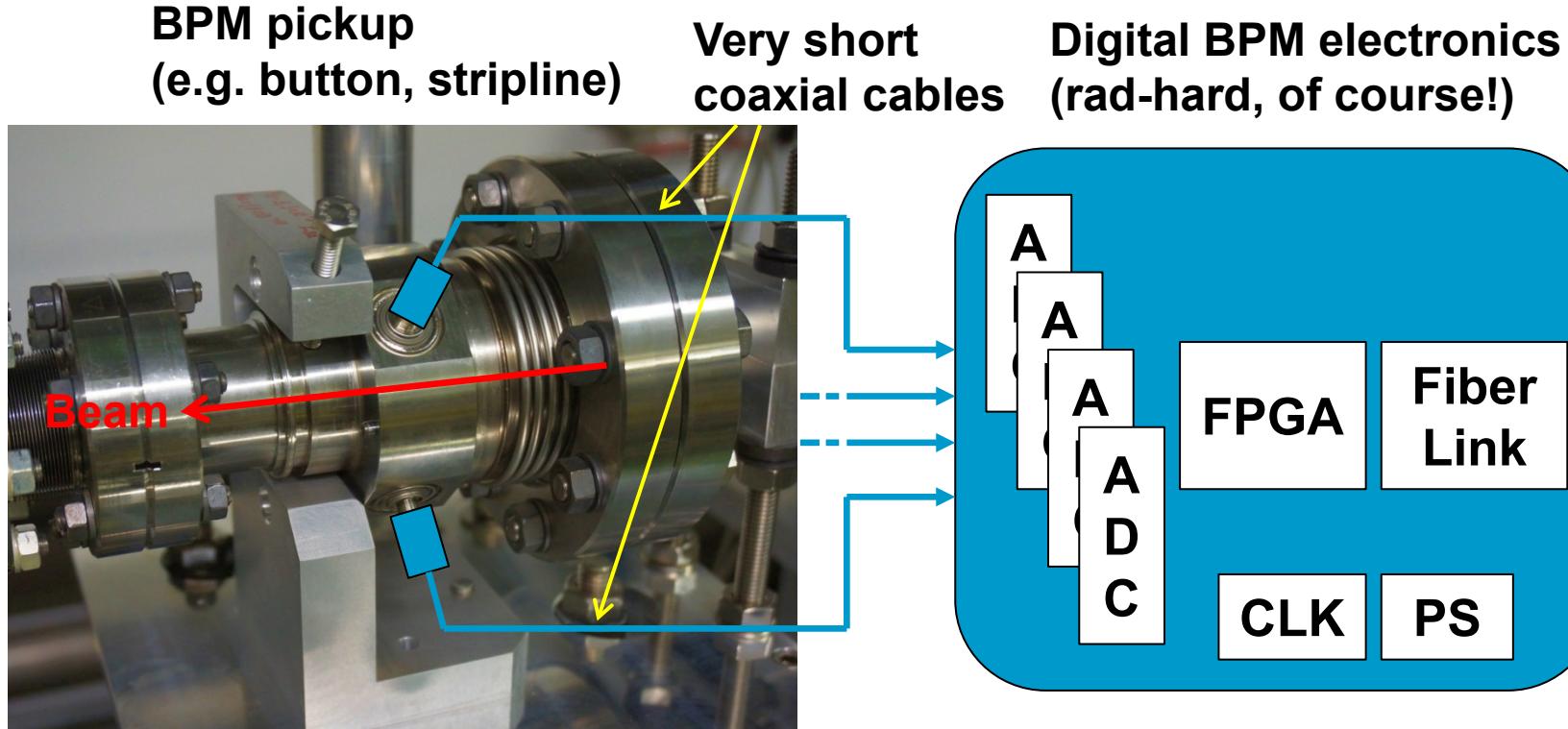


# Contents

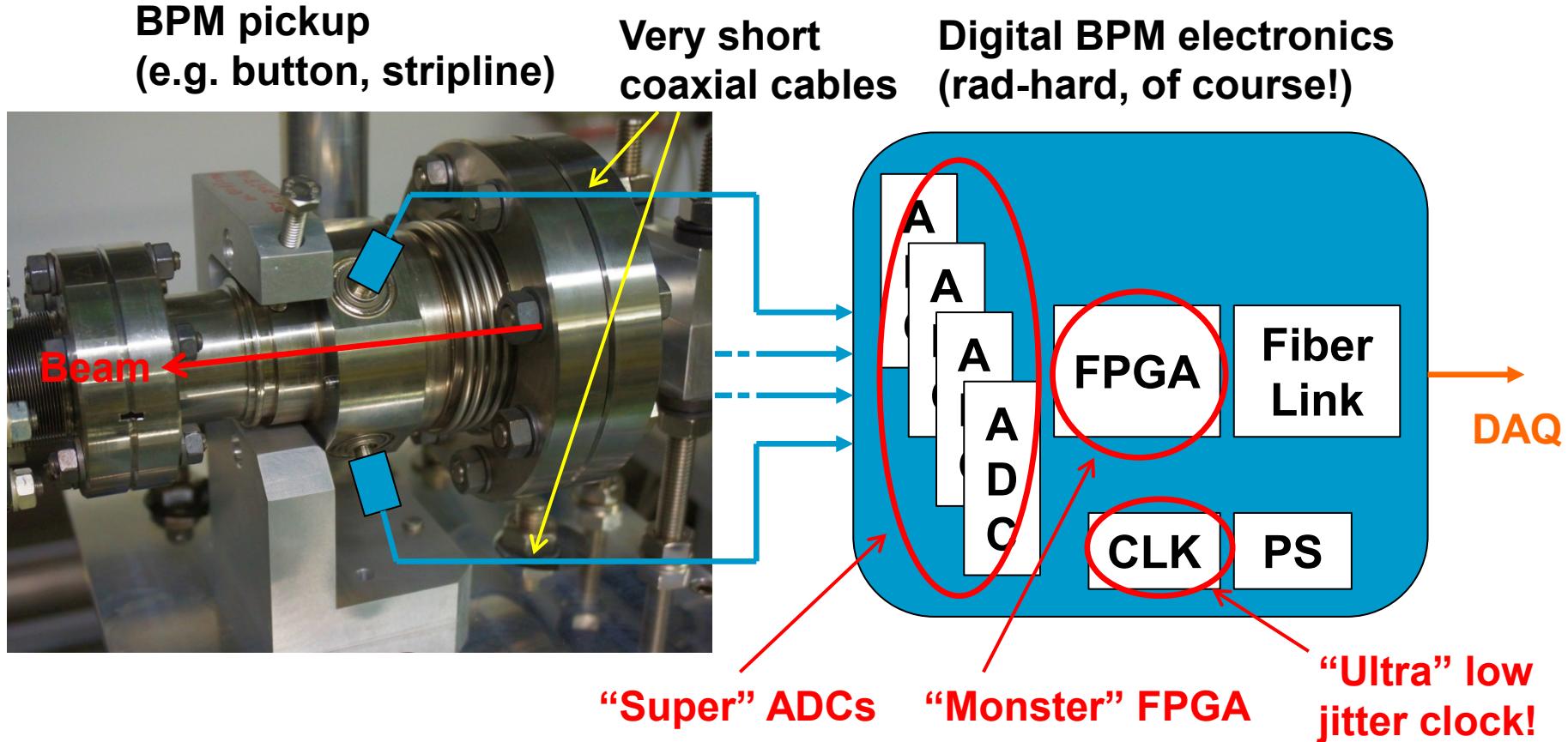
---

- **Introduction**
- **BPM Signals**
  - broadband BPM pickups (button & stripline BPMs)
  - resonant BPM pickups (cavity BPMs)
- **BPM Signal Processing**
  - Objectives
  - Pure analog BPM example: Heterodyne receiver
  - Analog/digital processing of BPM electrode signals
  - Examples and performance
- **Summary**

# The Ideal BPM Read-out Electronics!?



# The Ideal BPM Read-out Electronics!?



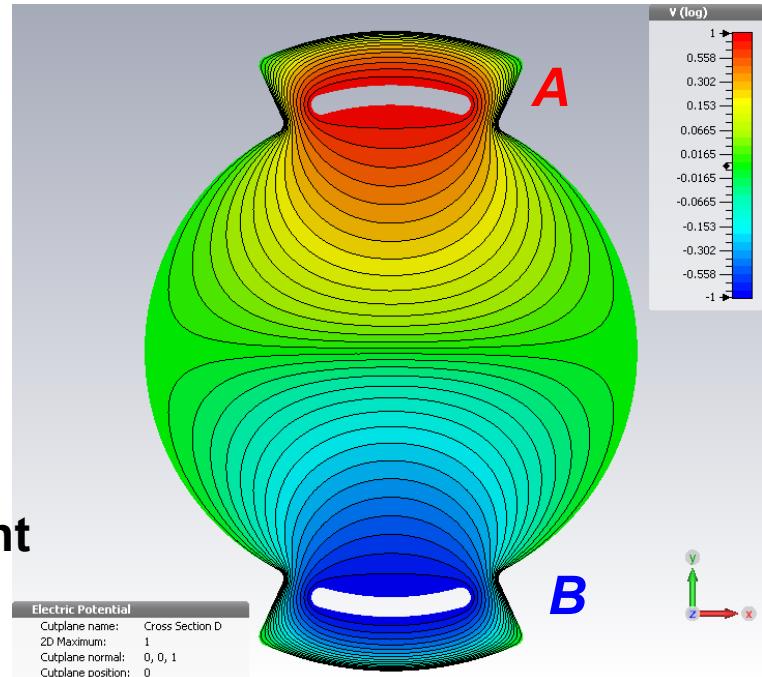
- Time multiplexing of the BPM electrode signals:
  - Interleaving BPM electrode signals by different cable delays
  - Requires only a single read-out channel!

# BPM Pickup

- The BPM pickup detects the beam positions by means of:
  - identifying asymmetries of the signal amplitudes from symmetrically arranged electrodes A & B: norm. beam position  $\propto \frac{A - B}{A + B}$
  - Detecting dipole-like eigenmodes of a beam excited, passive resonator: narrowband pickups, e.g. cavity BPM
- BPM electrode transfer impedance:

$$V_{elec}(x, y, \omega) = s(x, y, \omega) Z(\omega) I_{beam}(\omega)$$

- The beam displacement or sensitivity function  $s(x, y)$  is frequency independent for broadband pickups



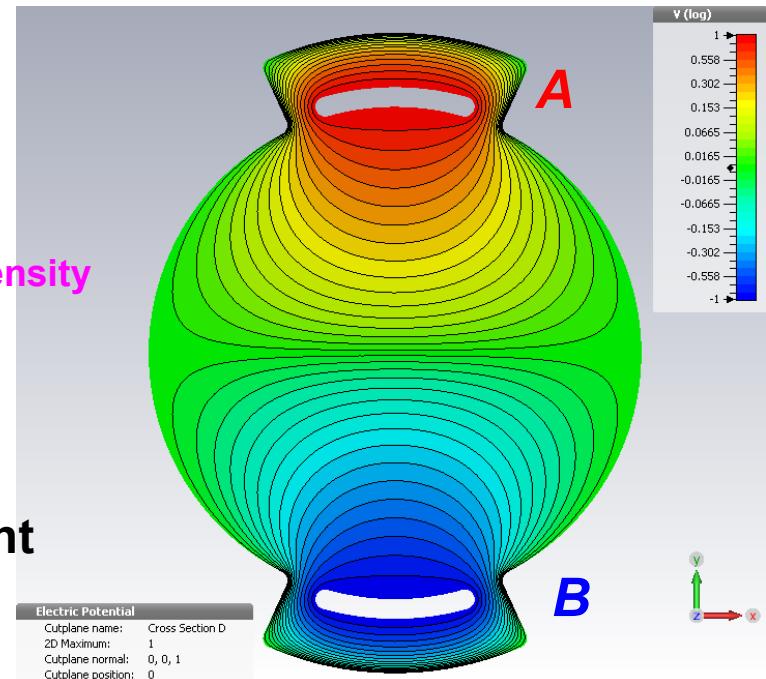
# BPM Pickup

- The BPM pickup detects the beam positions by means of:
  - identifying asymmetries of the signal amplitudes from symmetrically arranged electrodes A & B: norm. beam position  $\propto \frac{A - B}{A + B}$
  - Detecting dipole-like eigenmodes of a beam excited, passive resonator: narrowband pickups, e.g. cavity BPM
- BPM electrode transfer impedance:

$$V_{elec}(x, y, \omega) = s(x, y, \omega) Z(\omega) I_{beam}(\omega)$$

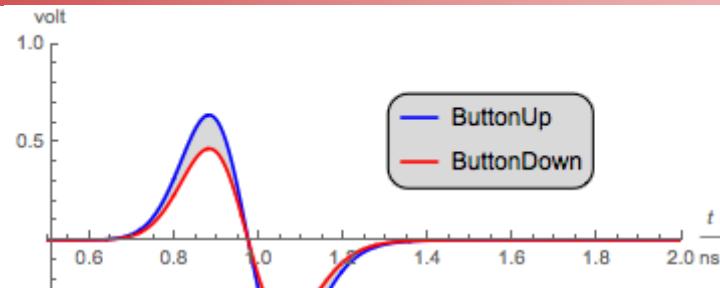
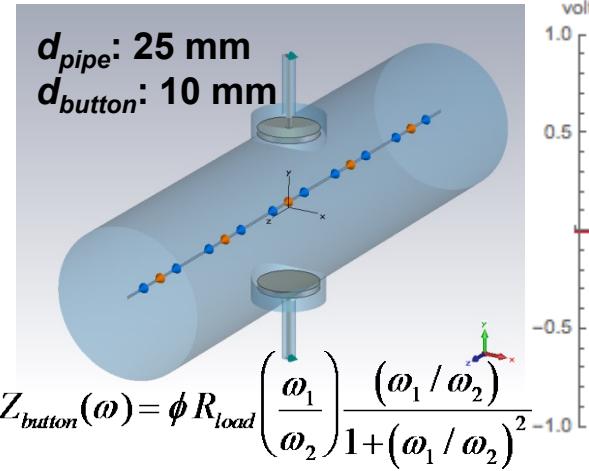
beam position  
 only for resonant pickups

Frequency depending coupling impedance of the BPM electrode  
 beam intensity

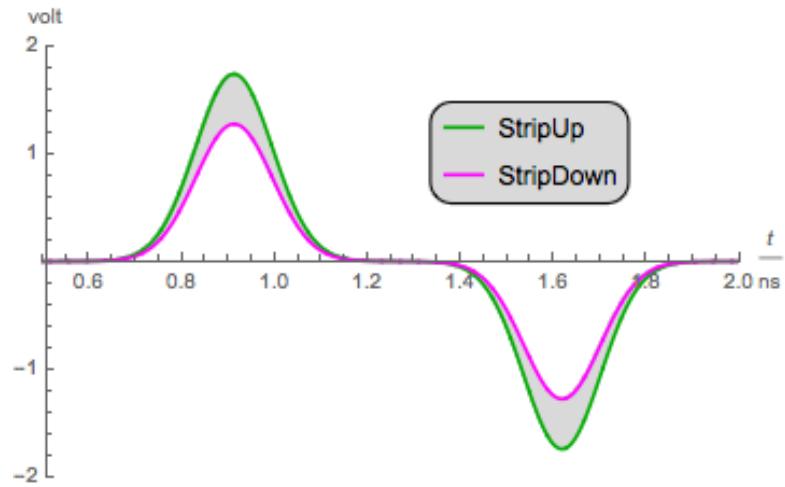
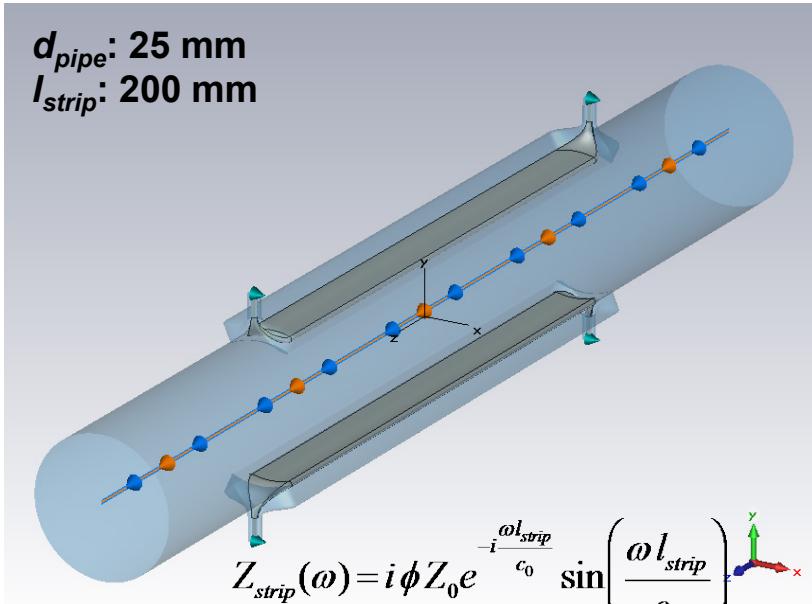


- The beam displacement or sensitivity function  $s(x, y)$  is frequency independent for broadband pickups

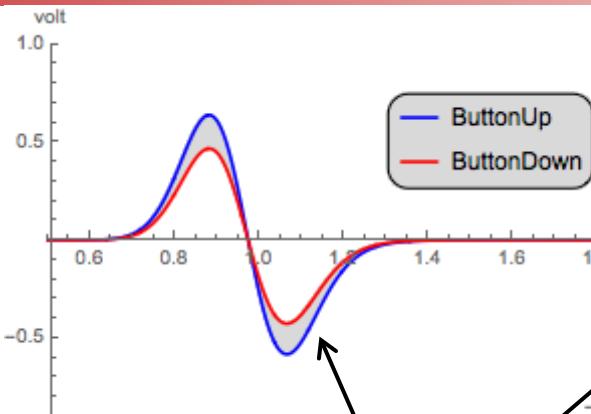
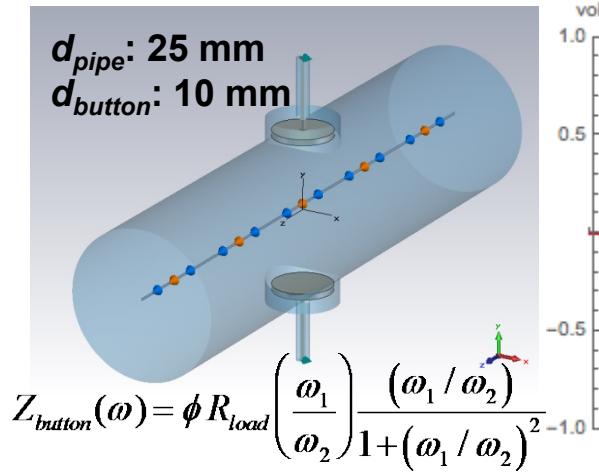
# Broadband BPM Signals



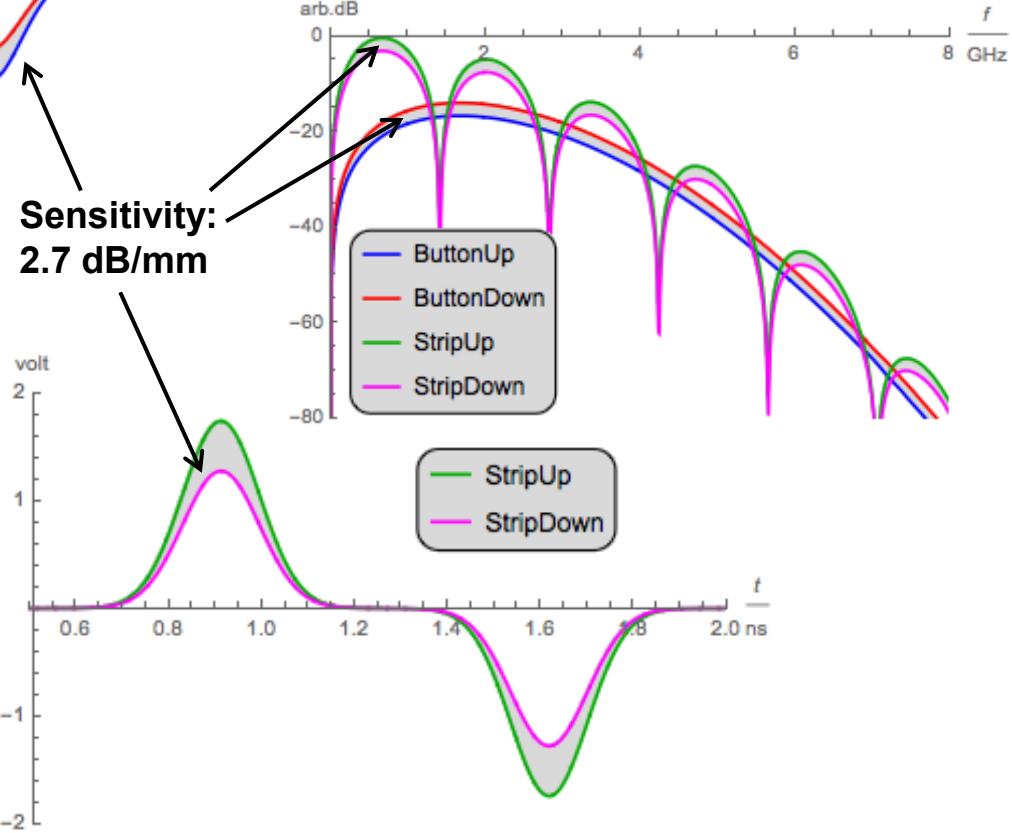
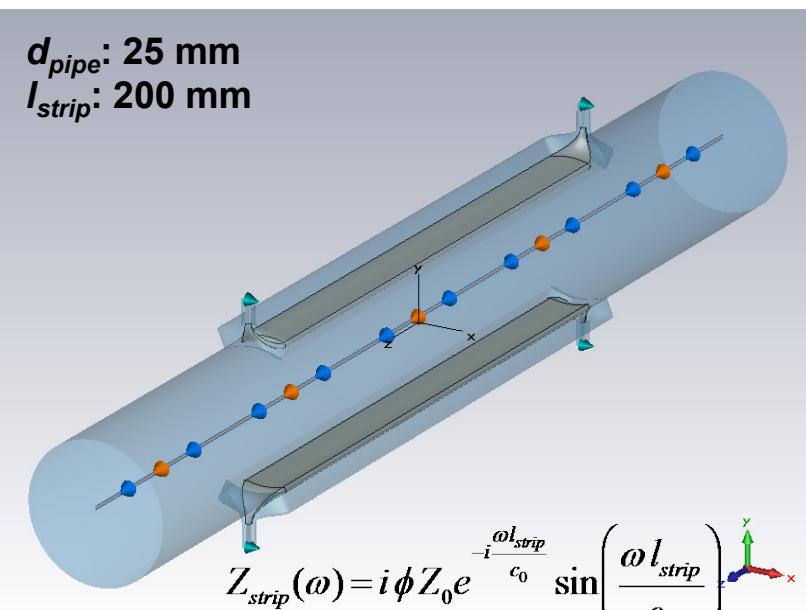
- **Gaussian bunch:**
  - $n=1E10$ ,  $\sigma=25\text{mm}$ ,  $v=c_0$
  - vertical offset=1mm
- **BPM sensitivity:**
  - e.g.: 2.7 dB / mm



# Broadband BPM Signals



- **Gaussian bunch:**
  - $n=1E10$ ,  $\sigma=25\text{mm}$ ,  $v=c_0$
  - vertical offset=1mm
- **BPM sensitivity:**
  - e.g.: 2.7 dB / mm



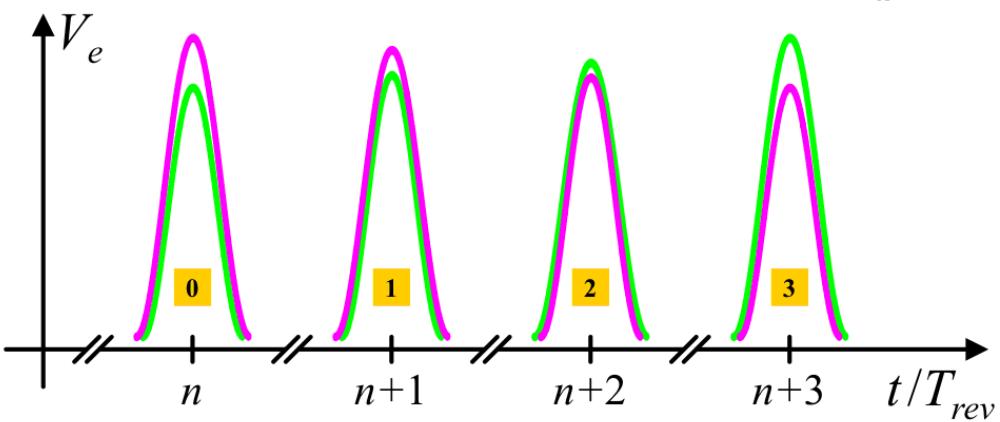
# BPM Signals (cont.)

- Raw broadband BPM bunch signals are short in time
  - Single bunch response nsec or sub-nsec pulse signals
  - The beam position information is amplitude modulated (AM) on the large (common mode) beam intensity signal!
- In ring accelerators, the beam position varies on a turn-by-turn basis, the signal spectrum is related to important machine parameters
  - Dipole moment spectrum of a single bunch: (simplistic case)

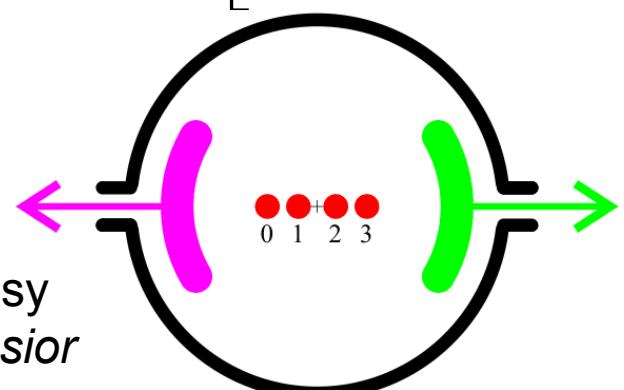
courtesy R. Siemann

$$Z(\omega)I_{beam}(\omega)=D(\omega)=\omega_{rev}A_0Q \sum_{n=-\infty}^{+\infty} \delta\left[\omega - \left(n\omega_{rev} + \omega_\beta\right)\right] \exp\left[-\frac{(\omega - \omega_\beta - \omega_\xi)^2 \sigma_\tau^2}{2}\right]$$

betatron frequency

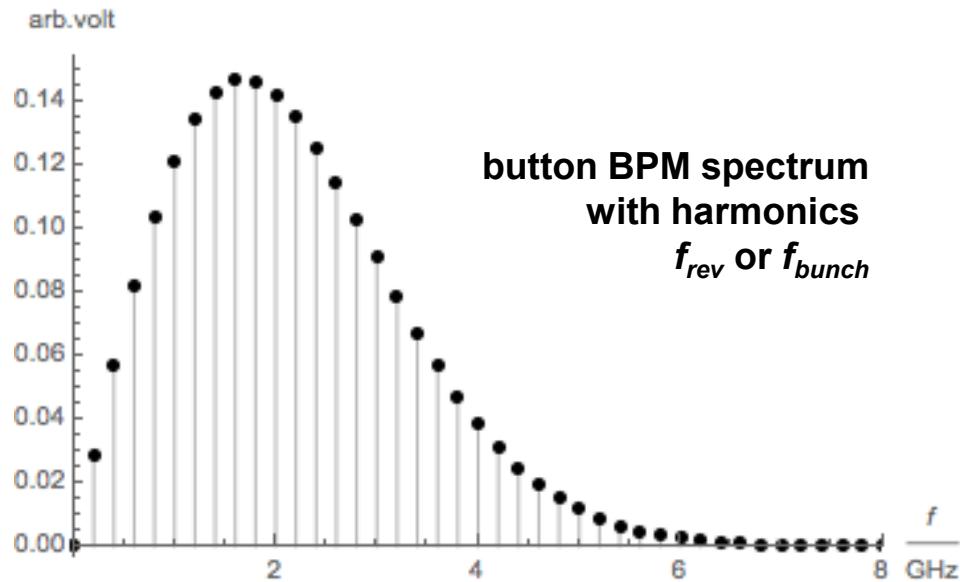


courtesy  
M. Gasior



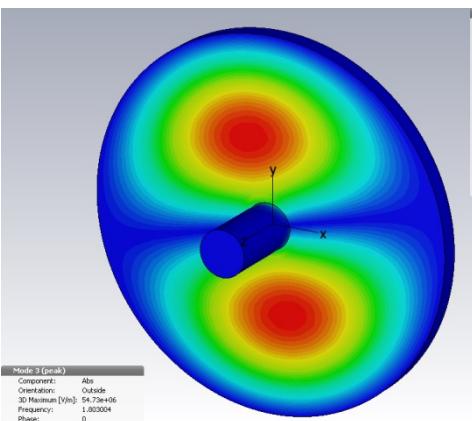
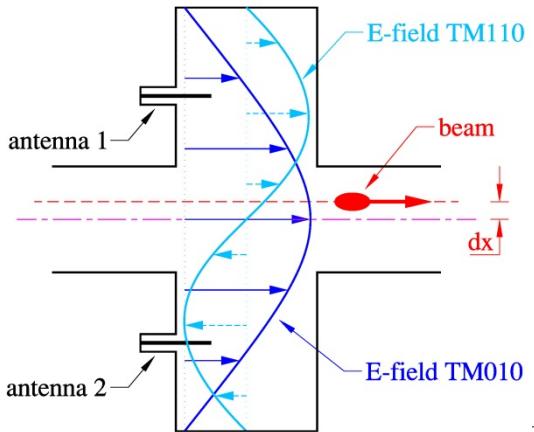
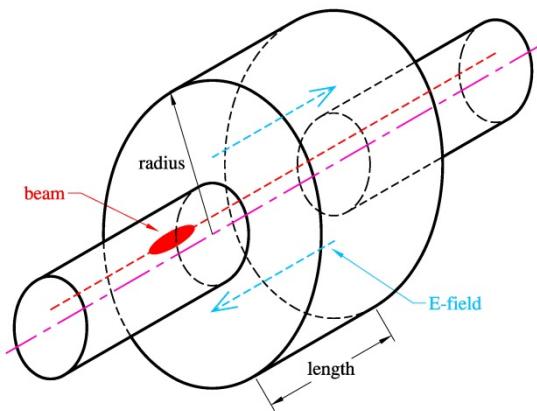
# BPM Signals (cont.)

- The beam formatting defines the signal spectrum
  - E.g.  $f_{rev}$ ,  $f_{bunch}$  in circular or linear accelerators

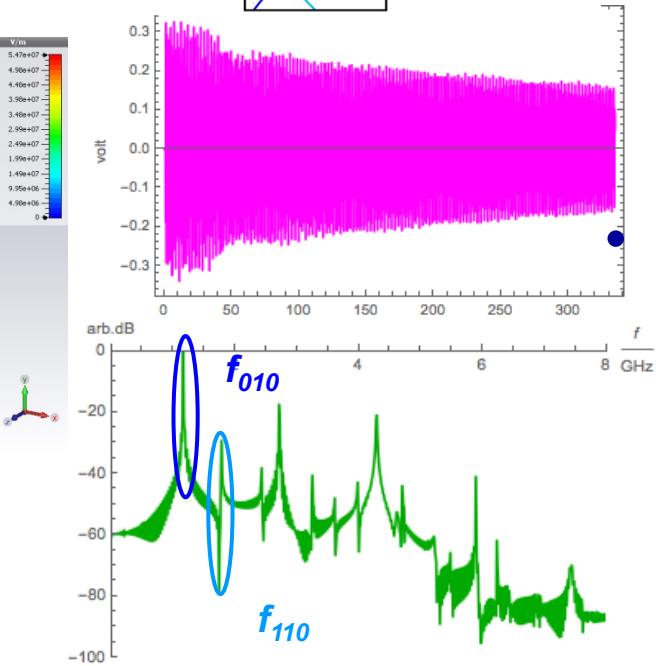


- The position information of broadband BPMs in frequency independent!
  - The broad spectral response of the BPM can be band limited without compromising the position detection!

# Resonant BPM Pickups



$d_{\text{pipe}}$ : 25 mm  
 $d_{\text{cav}}$ : 200 mm  
 $l_{\text{cav}}$ : 10 mm



- “Pill-box” has eigenmodes

at:

$$f_{mnp} = \frac{1}{2\pi\sqrt{\mu_0\epsilon_0}} \sqrt{\left(\frac{j_{mn}}{R}\right)^2 + \left(\frac{p\pi}{l}\right)^2}$$

- Beam couples to:

$$E_z = C J_1\left(\frac{j_{11}r}{R}\right) e^{iot} \cos\varphi$$

dipole ( $\text{TM}_{110}$ ) and monopole ( $\text{TM}_{010}$ ) & other modes

Common mode ( $\text{TM}_{010}$ ) frequency discrimination

Decaying RF signal response

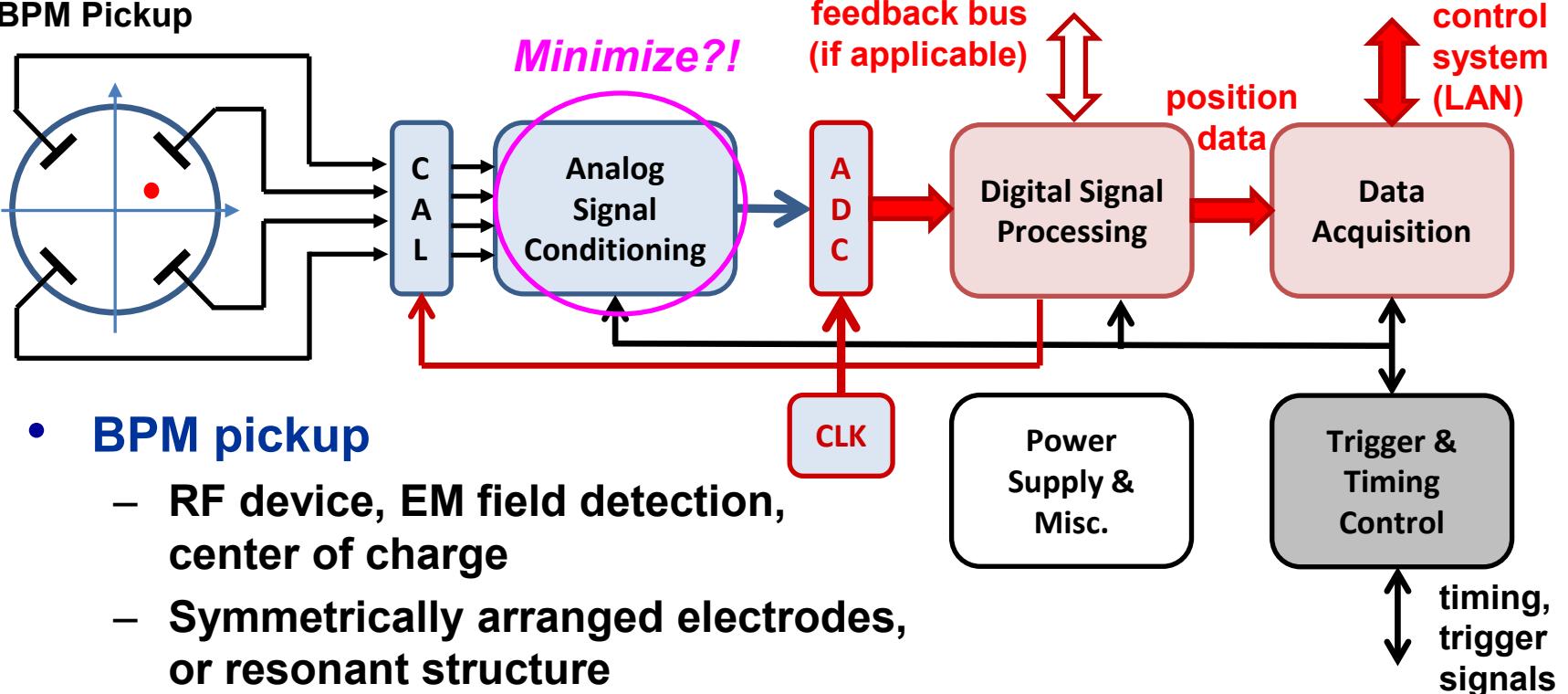
- Position signal:  $\text{TM}_{110}$ 
  - Requires normalization
- Intensity signal:  $\text{TM}_{010}$

# Signal Processing & Normalization

- Extract the beam position information from the electrode signals:  
Normalization
  - Analog using  $\Delta$ - $\Sigma$  or 90°-hybrids, followed by filters, amplifiers mixers and other elements, or logarithmic amplifiers.
  - Digital, performing the math on individual digitized electrode signals.
- Decimation / processing of broadband signals
  - BPM data often is not required on a bunch-by-bunch basis
    - Exception: Fast feedback processors
    - Default: Turn-by-turn and “narrowband” beam positions
  - Filters, amplifiers, mixers and demodulators in analog and digital to decimate broadband signals to the necessary level.
- Other aspects
  - Generate calibration / test signals
  - Correct for non-linearities of the beam position response of the BPM
  - Synchronization of turn-by-turn data
  - Optimization on the BPM system level to minimize cable expenses.
  - BPM signals keep other very useful information other than that based on the beam displacement, e.g.
    - Beam intensity, beam phase (timing)

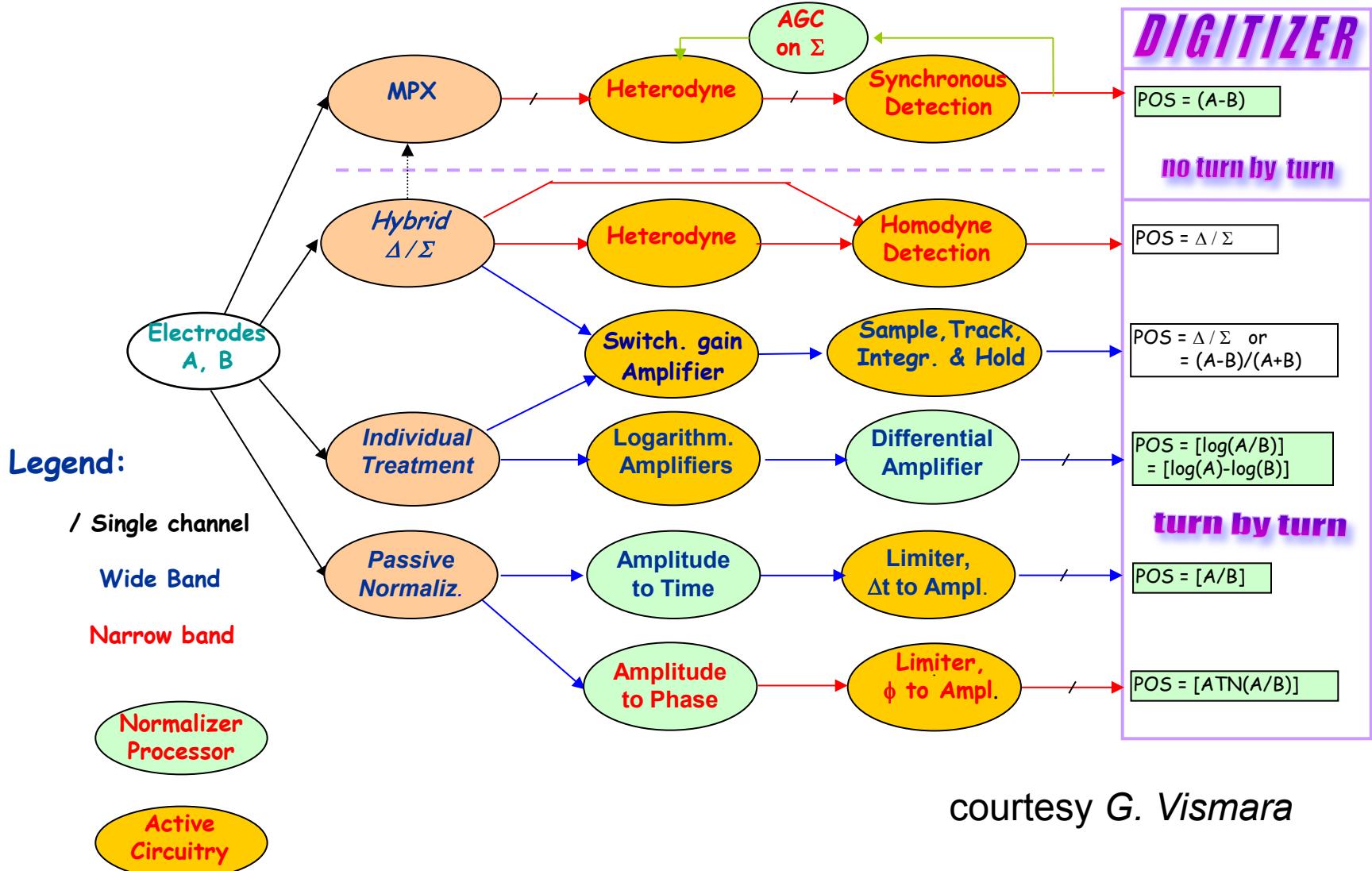
# BPM Building Blocks

BPM Pickup



- **BPM pickup**
  - RF device, EM field detection, center of charge
  - Symmetrically arranged electrodes, or resonant structure
- **Read-out electronics**
  - Analog signal conditioning
  - Signal sampling (ADC)
  - Digital signal processing
- Data acquisition and control system interface
- Trigger, CLK & timing signals
- Provides calibration signals or other drift compensation methods

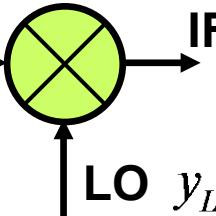
# Analog Signal Processing Options



courtesy G. Vismara

# The RF Mixer as Downconverter

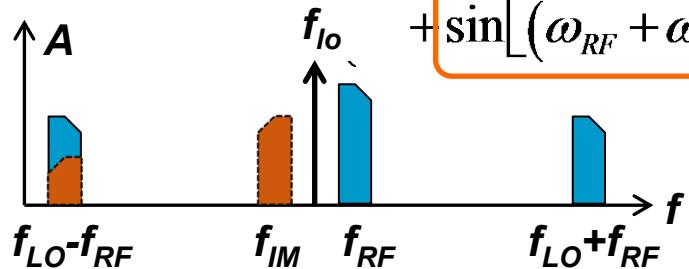
$$y_{RF}(t) = A_{RF} \sin(\omega_{RF} t + \varphi_{RF}) \quad \text{RF} \rightarrow \text{IF} \quad y_{IF}(t) = y_{RF}(t) y_{LO}(t)$$



- Ideal mixer:**  $f_{IF} = f_{RF} \pm f_{LO}$

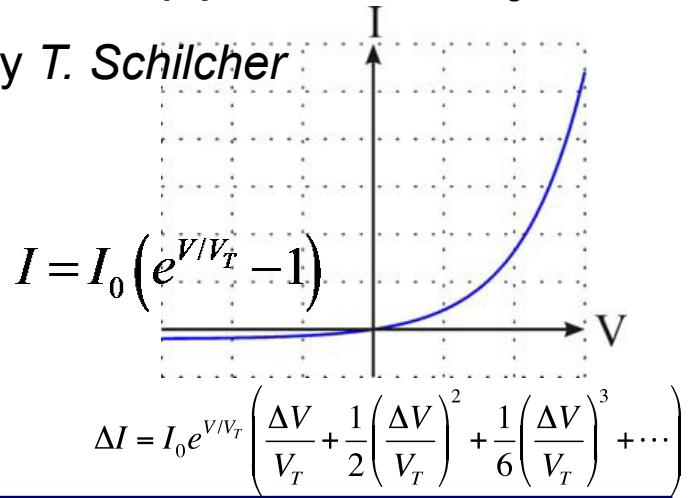
$$y_{IF}(t) = \frac{1}{2} A_{LO} A_{RF} \left\{ \sin[(\omega_{RF} - \omega_{LO})t + (\varphi_{RF} - \varphi_{LO})] \right\} \quad \text{upper sideband}$$

$$+ \sin[(\omega_{RF} + \omega_{LO})t + (\varphi_{RF} + \varphi_{LO})] \quad \text{lower sideband}$$

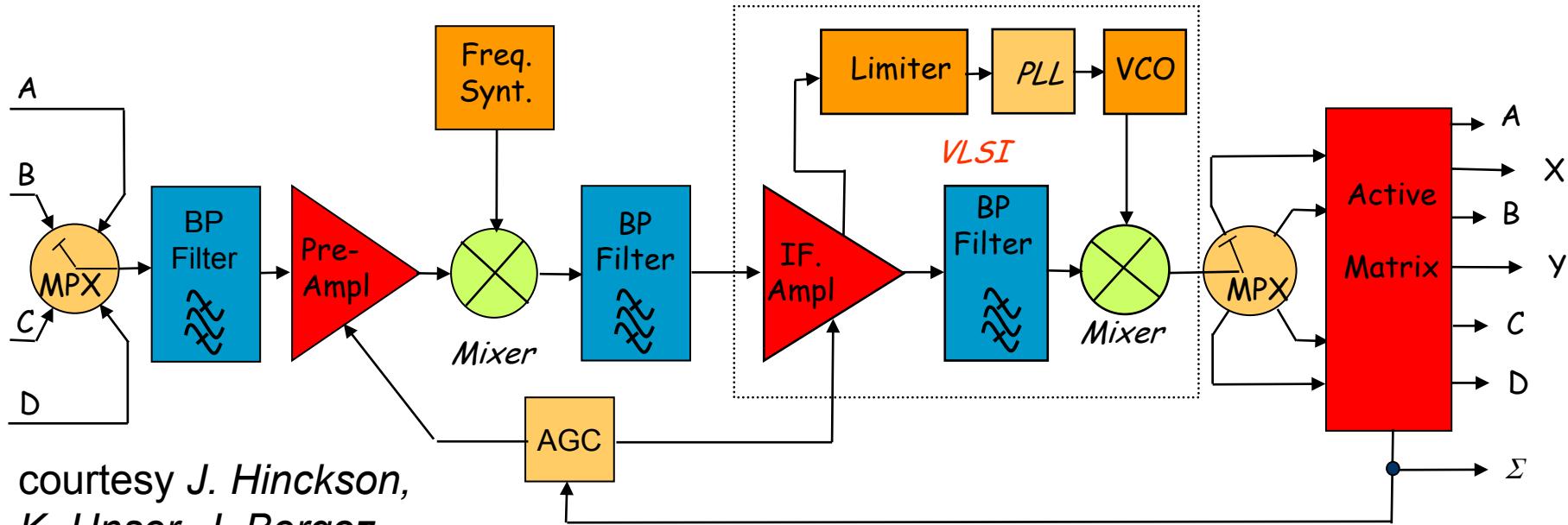


- Frequency conversion**
  - $f_{RF} > f_{LO}$ : heterodyne receiver
  - $f_{RF} = f_{LO}$ : homodyne, demodulator
- Real mixer:**  $f_{IF} = m f_{RF} \pm n f_{LO}$
- Image frequency:**  $f_{IM} = f_{LO} - f_{IF}$

$I = f(V)$  of a Schottky diode  
courtesy T. Schilcher



# Example: MPX Heterodyne Receiver

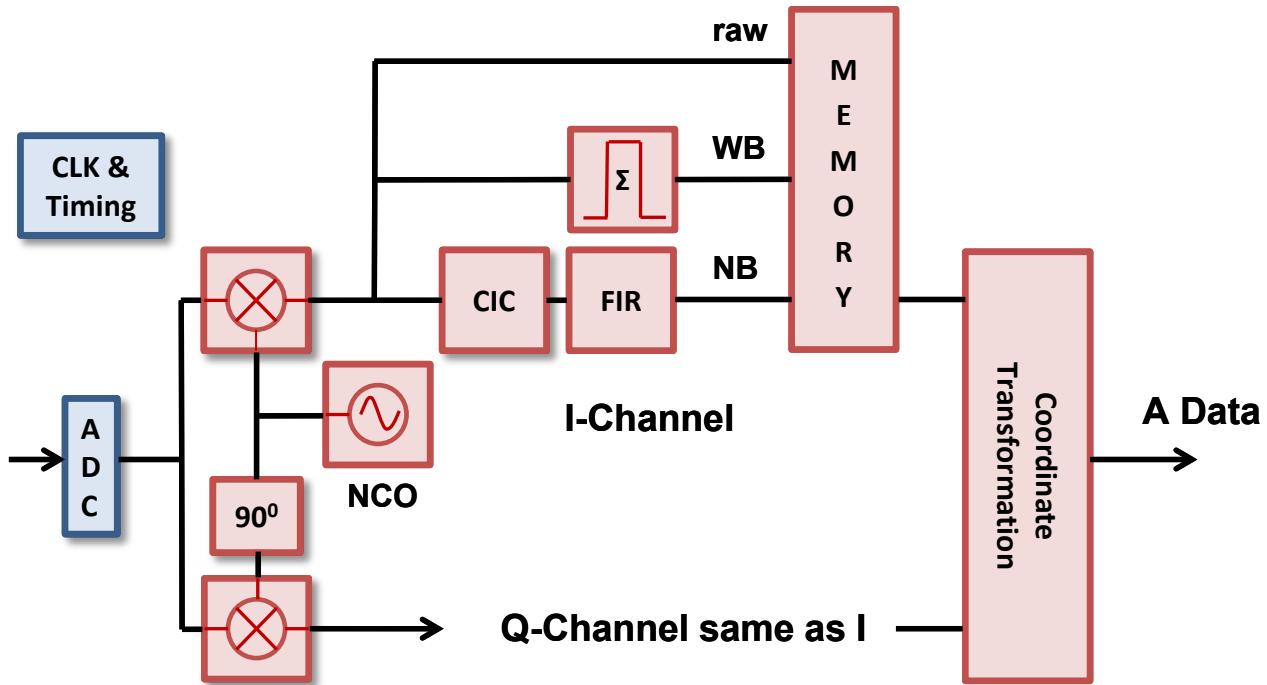
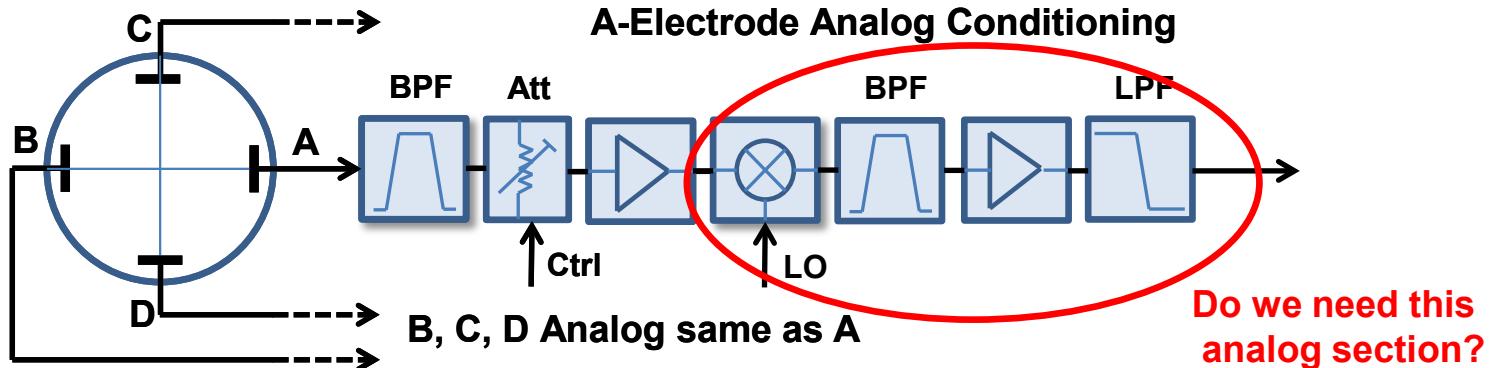


- **Analog BPM signal processing schemas typically:**
  - Normalize and demodulate (downconversion to baseband) the BPM signals **BEFORE** digitalization.
  - Substantially reduces the performance requirements for the ADC
    - **Resolution, dynamic range, bandwidth, sampling rate**

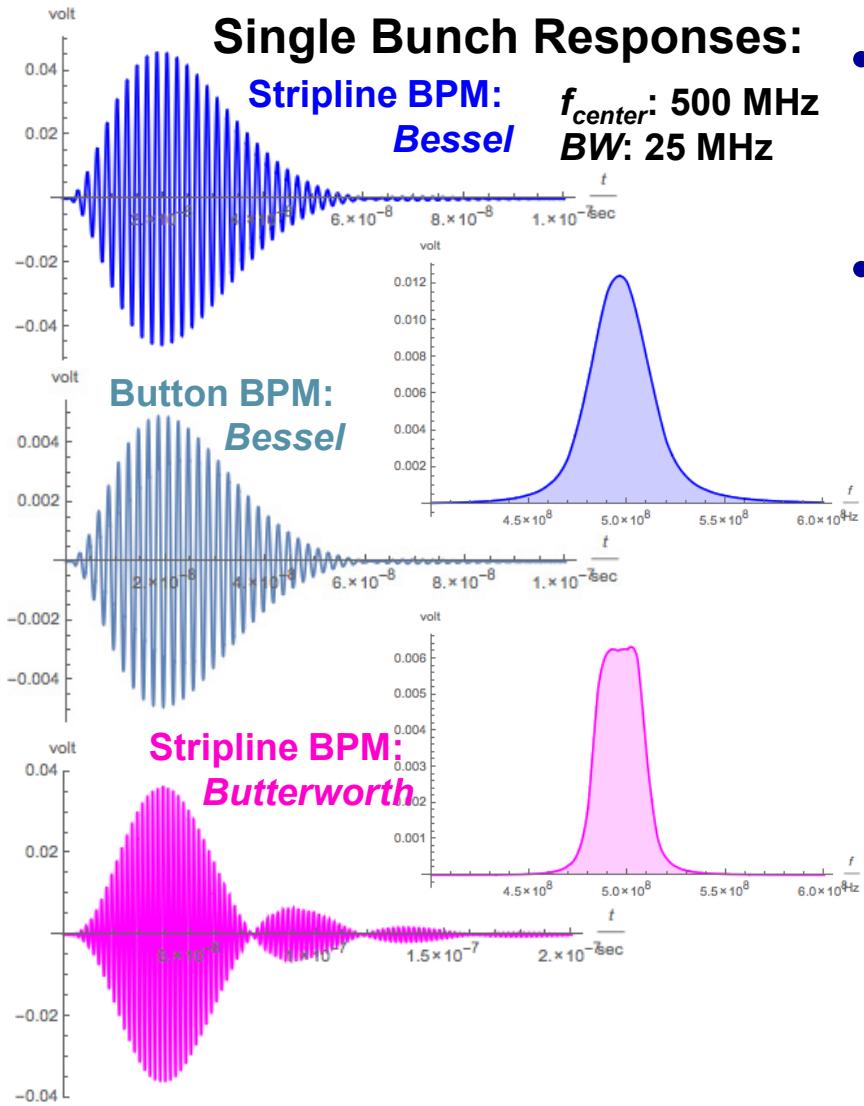
# Digital BPM Signal Processing

- Why digital signal processing?
  - Better reproducibility of the beam position measurement
    - Robust to environmental conditions,  
e.g. temperature, humidity, (radiation?)
    - No slow aging and/or drift effects of components
    - Deterministic, no noise or statistical effects on the position information
  - Flexibility
    - Modification of FPGA firmware, control registers or DAQ software to adapt to different beam conditions or operation requirements
  - Performance
    - Often better performance,  
e.g. higher resolution and stability compared to analog solutions
    - No analog equivalent of digital filters and signal processing elements.
- BUT: Digital is not automatically better than analog!
  - Latency of pipeline ADCs (FB applications)
  - Quantization and CLK jitter effects, dynamic range & bandwidth limits
  - Digital BPM solutions tend to be much more complex than some analog signal processing BPM systems
    - Manpower, costs, development time

# BPM Read-out Electronics

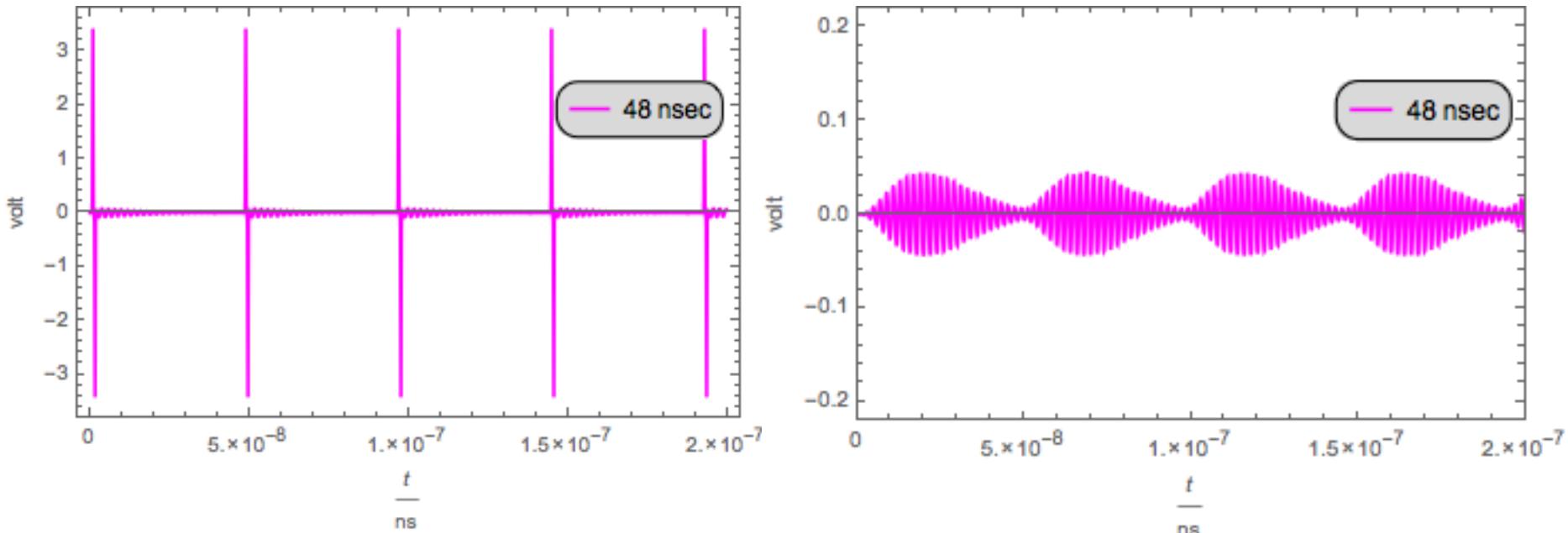


# “Ringing” Bandpass-Filter (BPF)



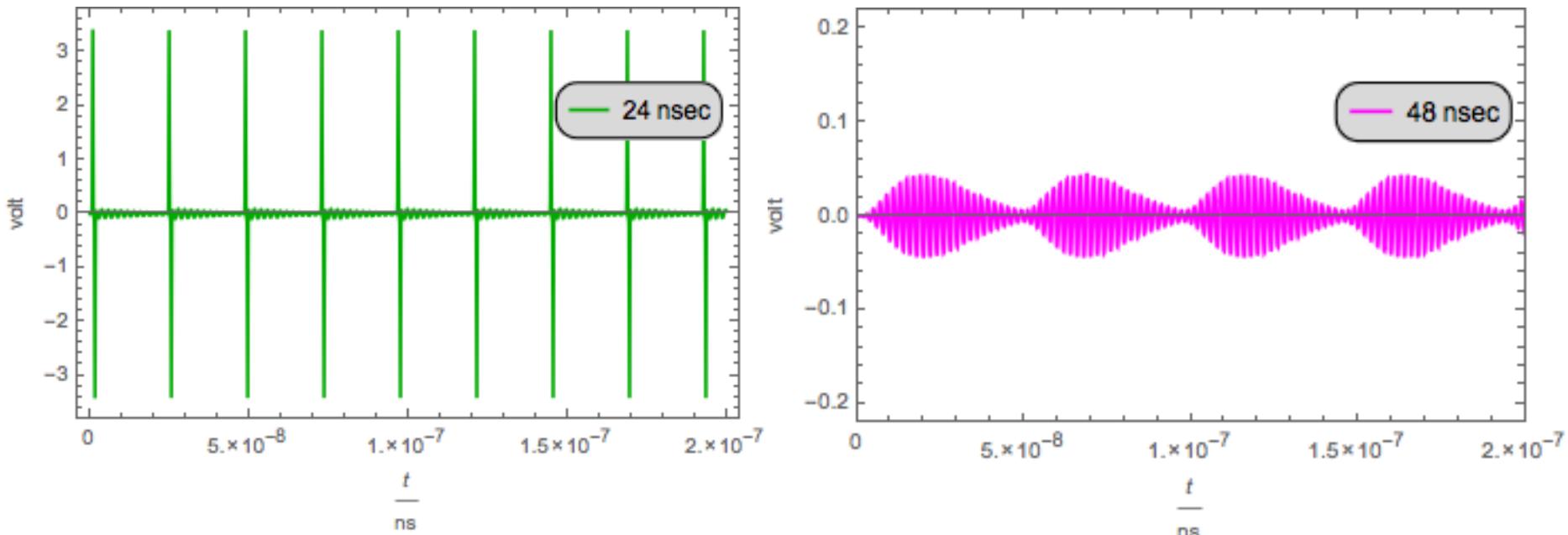
- **BPM electrode signal energy is highly time compressed**
  - **Most of the time: “0 volt”!**
- **A “ringing” bandpass filter “stretches” the signal**
  - **Passive RF BPF**
    - **Matched pairs!**
  - $f_{center}$  matched to  $f_{rev}$  or  $f_{bunch}$ 
    - **Quasi sinusoidal waveform**
  - Reduces output signal level
    - **Narrow BW: longer ringing, lower signal level**
  - Linear group delay designs
    - **Minimize envelope ringing**
    - **Bessel, Gaussian, time domain designs**

# “Ringing” BPF & Multi-Bunches



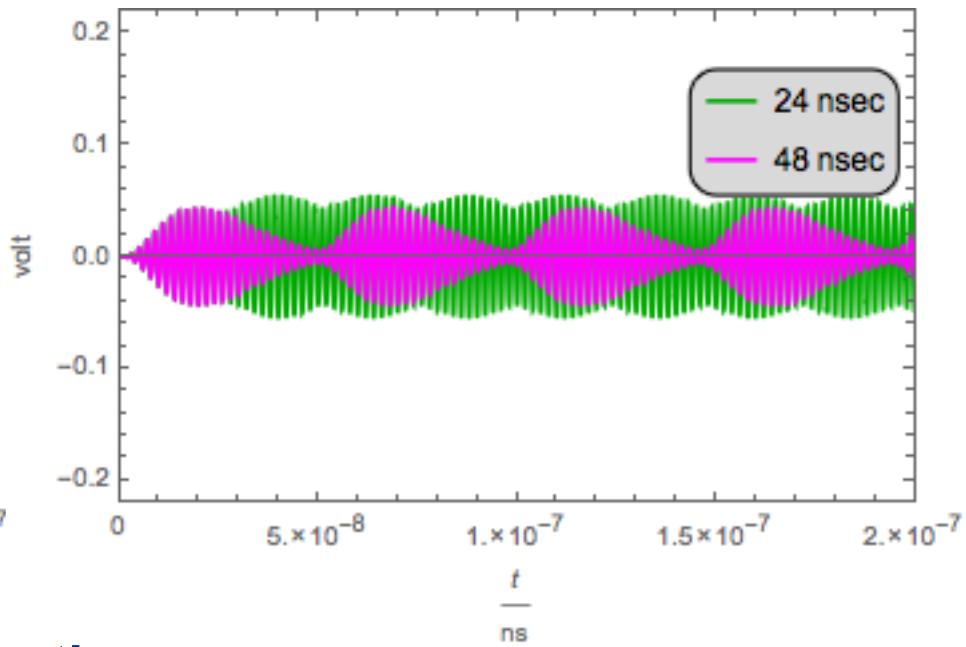
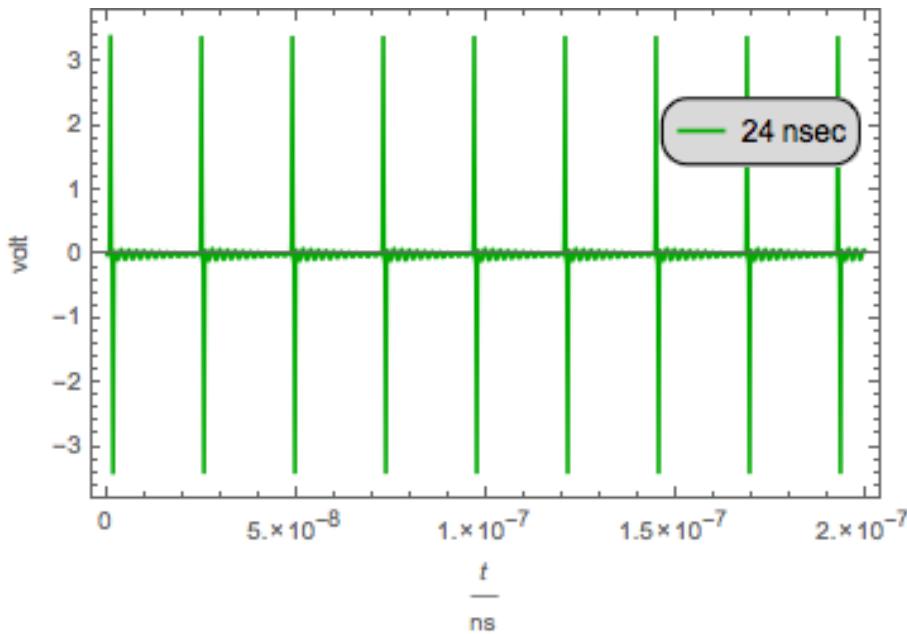
- **Bunch spacing < BPF ringing time:**
  - Superposition of single bunch BPF responses
  - More continuous “ringing”, smearing of SB responses
- **Bunch spacing < BPF rise time**
  - Constructive signal pile-up effect
    - Output signal level increases linear with decreasing bunch spacing

# “Ringing” BPF & Multi-Bunches



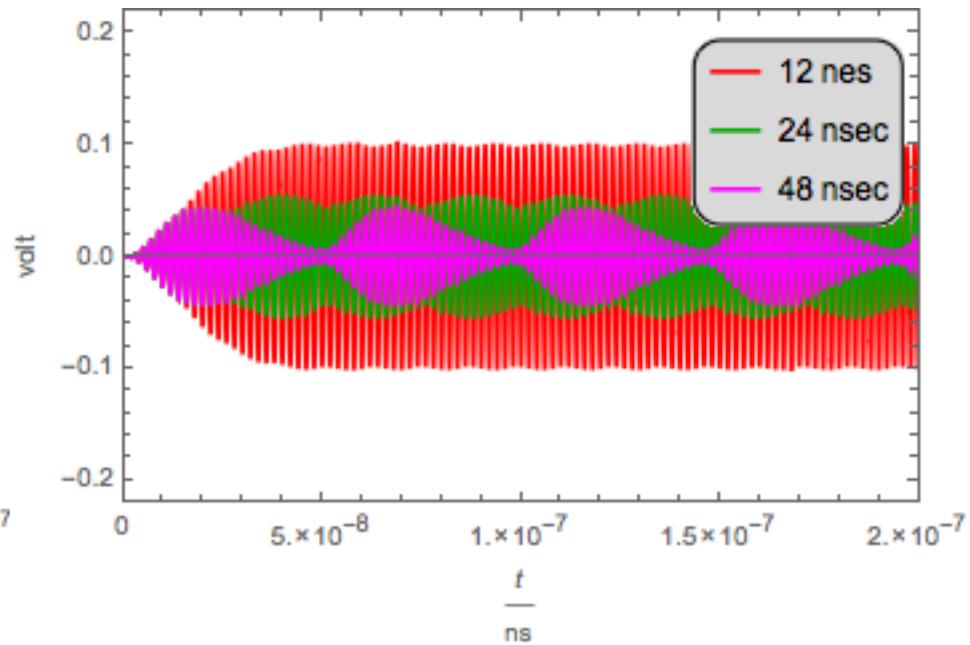
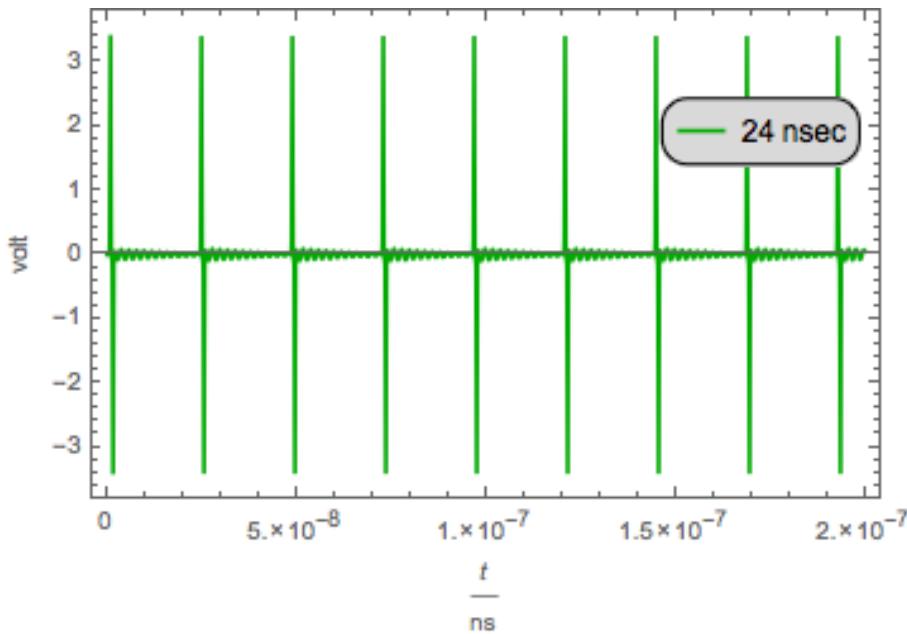
- **Bunch spacing < BPF ringing time:**
  - Superposition of single bunch BPF responses
  - More continuous “ringing”, smearing of SB responses
- **Bunch spacing < BPF rise time**
  - Constructive signal pile-up effect
    - Output signal level increases linear with decreasing bunch spacing

# “Ringing” BPF & Multi-Bunches



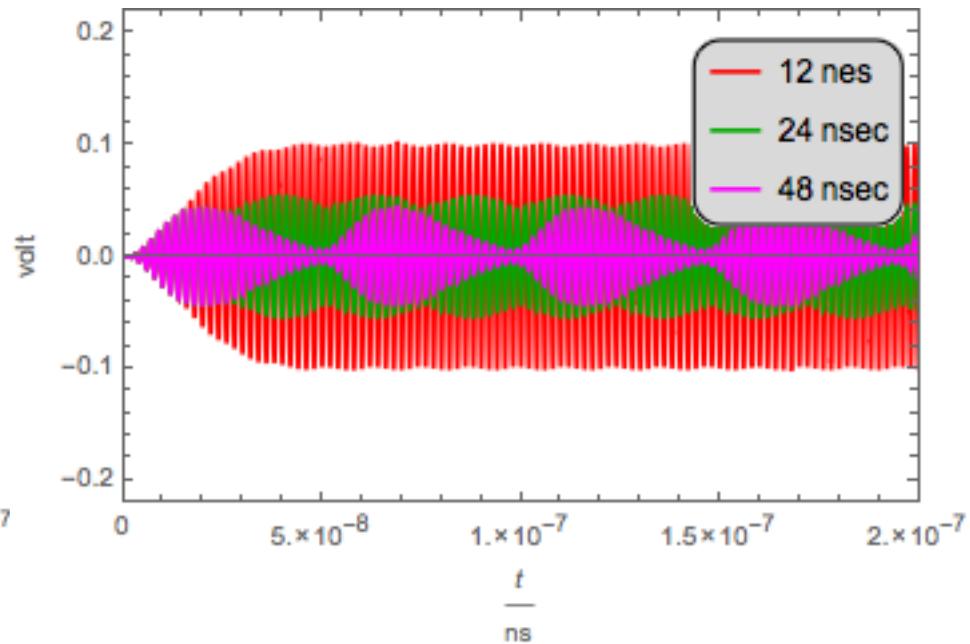
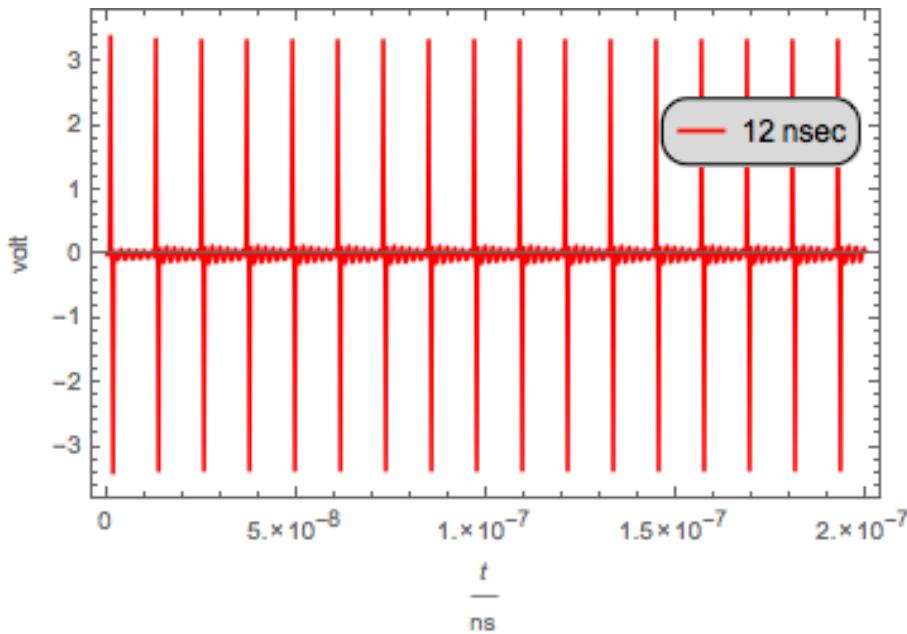
- **Bunch spacing < BPF ringing time:**
  - Superposition of single bunch BPF responses
  - More continuous “ringing”, smearing of SB responses
- **Bunch spacing < BPF rise time**
  - Constructive signal pile-up effect
    - Output signal level increases linear with decreasing bunch spacing

# “Ringing” BPF & Multi-Bunches



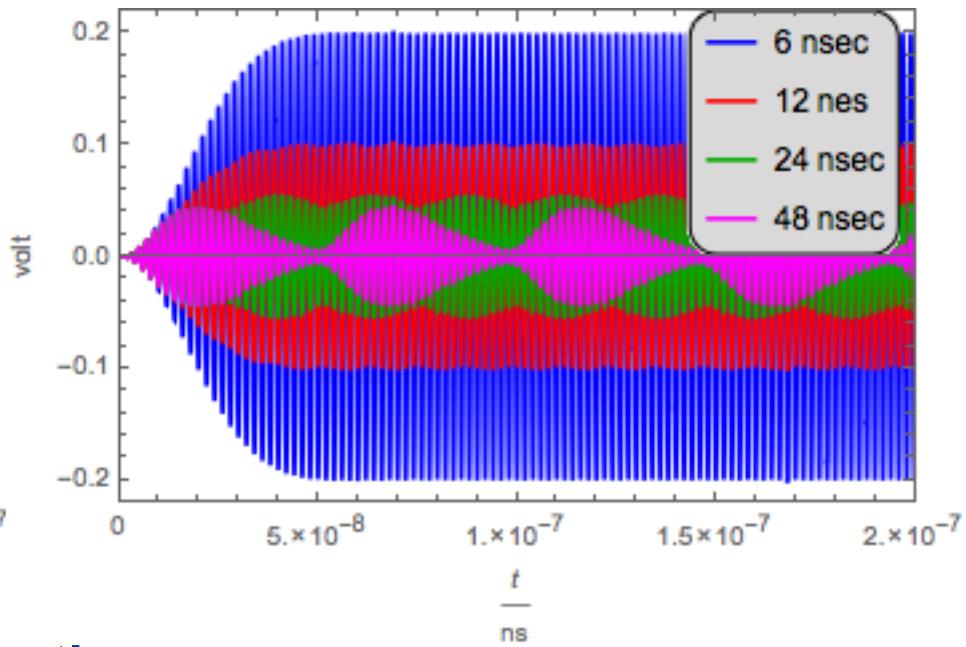
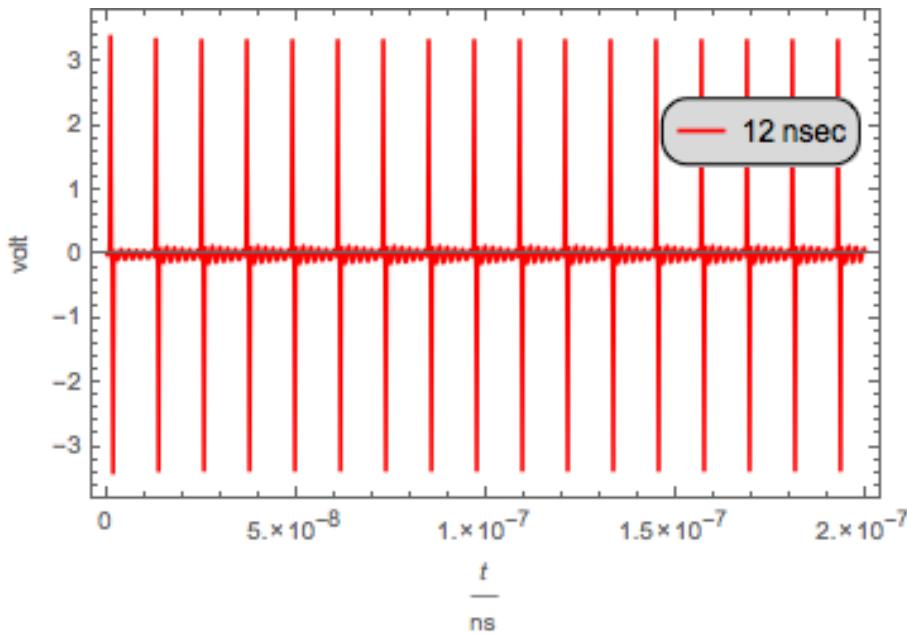
- **Bunch spacing < BPF ringing time:**
  - Superposition of single bunch BPF responses
  - More continuous “ringing”, smearing of SB responses
- **Bunch spacing < BPF rise time**
  - Constructive signal pile-up effect
    - Output signal level increases linear with decreasing bunch spacing

# “Ringing” BPF & Multi-Bunches



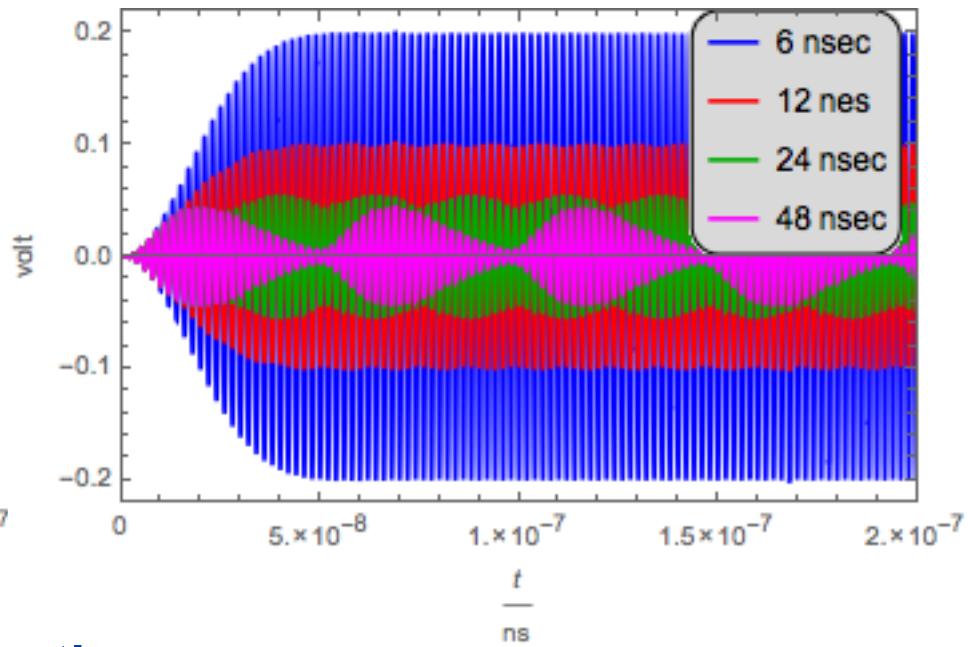
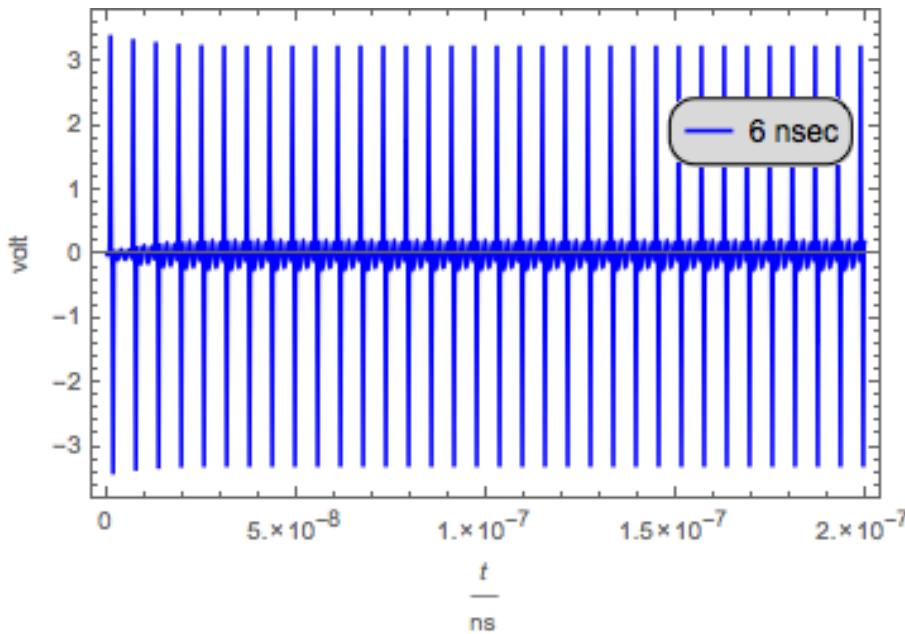
- **Bunch spacing < BPF ringing time:**
  - Superposition of single bunch BPF responses
  - More continuous “ringing”, smearing of SB responses
- **Bunch spacing < BPF rise time**
  - Constructive signal pile-up effect
    - Output signal level increases linear with decreasing bunch spacing

# “Ringing” BPF & Multi-Bunches



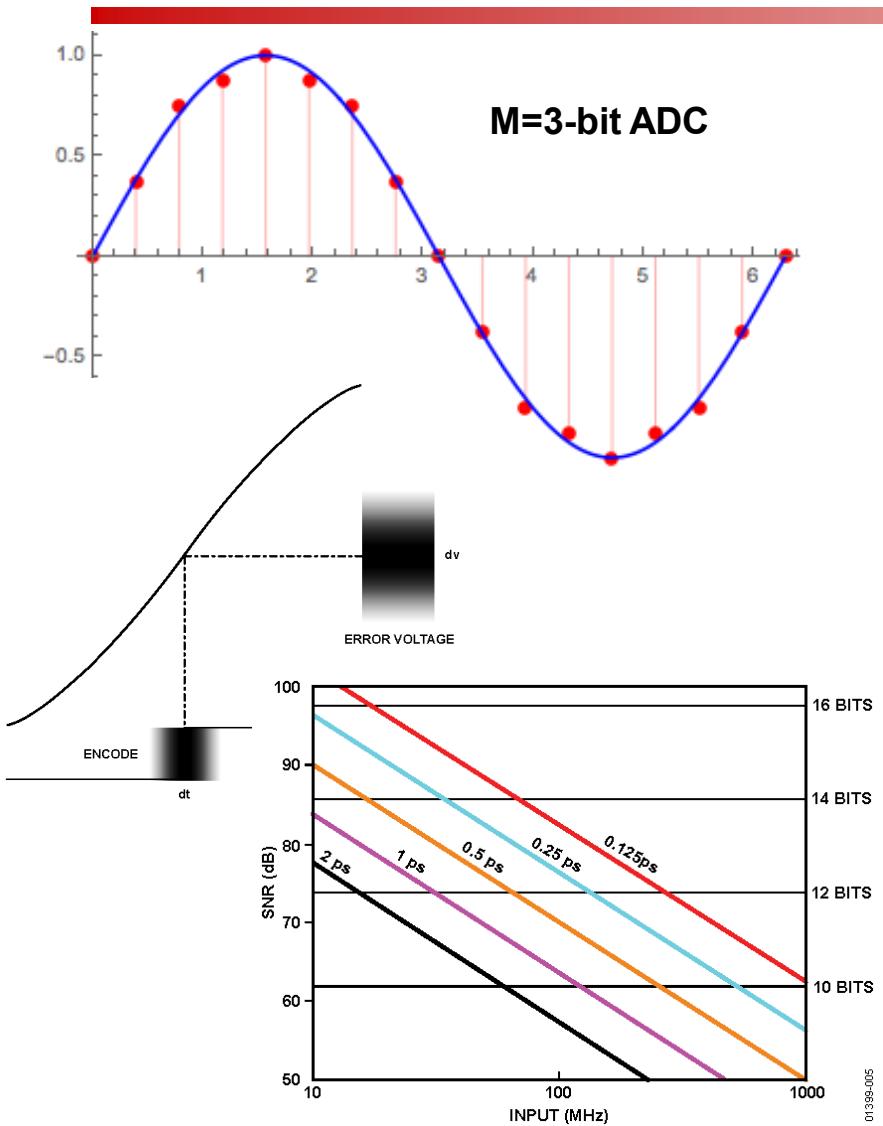
- **Bunch spacing < BPF ringing time:**
  - Superposition of single bunch BPF responses
  - More continuous “ringing”, smearing of SB responses
- **Bunch spacing < BPF rise time**
  - Constructive signal pile-up effect
    - Output signal level increases linear with decreasing bunch spacing

# “Ringing” BPF & Multi-Bunches



- **Bunch spacing < BPF ringing time:**
  - Superposition of single bunch BPF responses
  - More continuous “ringing”, smearing of SB responses
- **Bunch spacing < BPF rise time**
  - Constructive signal pile-up effect
    - Output signal level increases linear with decreasing bunch spacing

# Analog Digital Converter



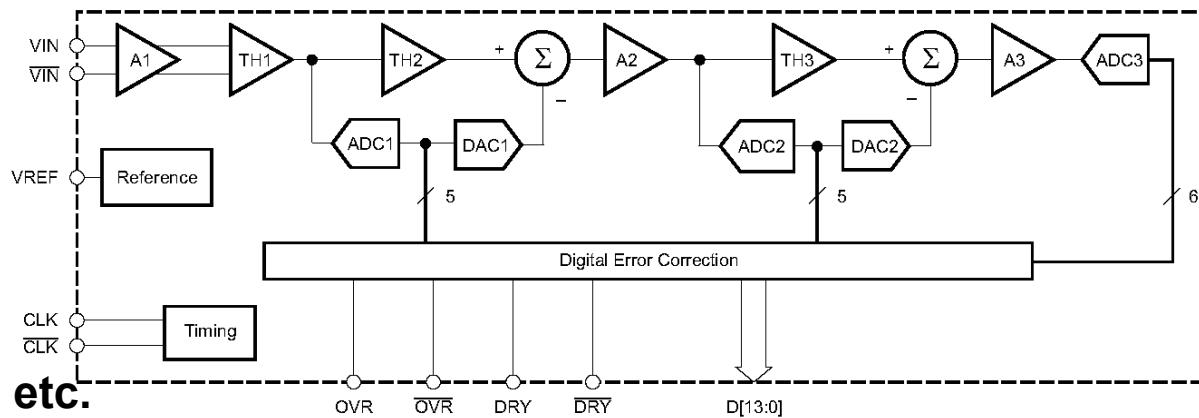
- **Quantization of the continuous input waveform at equidistant spaced time samples**
  - Digital data is discrete in amplitude and time
- **LSB voltage (resolution)**  $Q = \frac{V_{FSR}}{2^M}$ 
  - E.g.  $61 \mu\text{V}$  (14-bit),  $15 \mu\text{V}$  (16-bit) @ 1 volt  $V_{FSR}$
- **Quantization error (dynamic range)**  $SQNR = 20 \log_{10}(2^M)$ 
  - E.g. 84 dB (14-bit), 96 dB (16 bit)
- **SNR limit due to aperture jitter**  $SNR = -20 \log_{10}(2\pi f t_a)$ 
  - E.g. 62 dB@500 MHz, 0.25 psec (equivalent to EOB=10.3)

# ADC Technology

	Type	Res. [bit]	Ch.	Power [W]	$f_s$ (max) [MSPS]	BW [MHz]	SNR @ $f_{in}$ [dB @ MHz]
AD	AD9652	16	2	2.2	310	485	72 @ 170
AD	AD9680	14	2	3.3	1000	2000	67 @ 170
LT	LTM9013*	14	2	2.6	310	300*	62 @ 150
TI	ADC16DX370	16	2	1.8	370	800	69 @ 150
TI	ADS5474-SP	14	1	2.5	400	1280	70 @ 230

\* has an analog I-Q mixer integrated,  $0.7 \text{ GHz} < f_{in} < 4 \text{ GHz}$

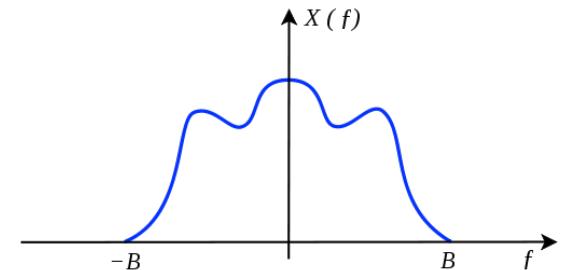
- **Dual Channel**
  - I-Q sampling with separate ADCs
- **Pipeline architecture**
  - Continuous CLK
  - Data latency
- **A-D mixed designs**
  - Mixers, gain, filters, etc.



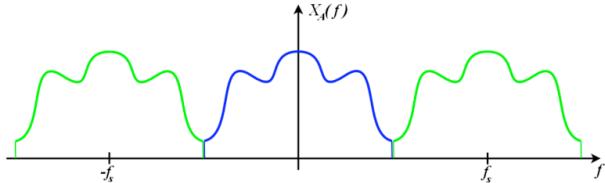
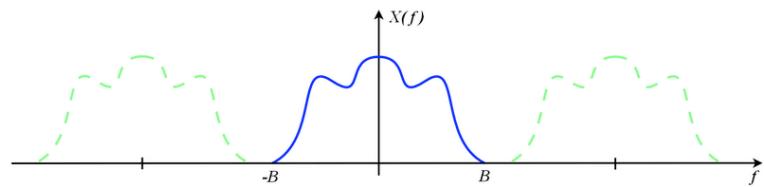
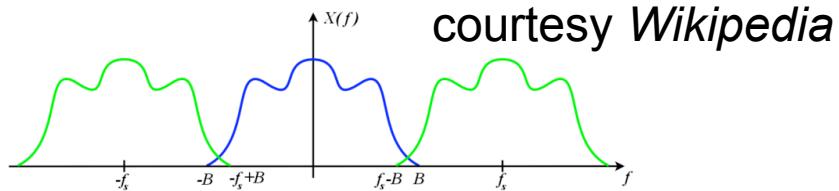
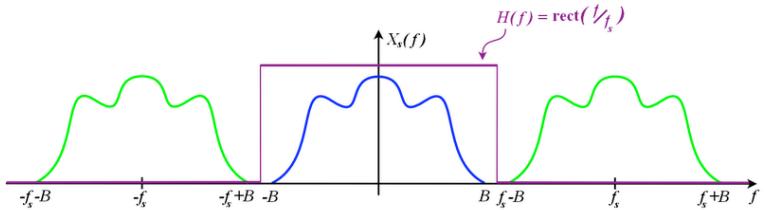
# Sampling Theory

- A band limited signal  $x(t)$  with  $B=f_{\max}$  can be reconstructed if
  - Nyquist-Shannon theorem
  - The exact reconstruction of  $x(t)$  by  $x_n=x(nT)$ :

$$x(t) = \sum_{n=-\infty}^{+\infty} x_n \frac{\sin \pi(2f_{\max}t - n)}{\pi(2f_{\max}t - n)} = \sum_{n=-\infty}^{+\infty} x_n \operatorname{sinc} \frac{t - nT}{T}$$



- Aliasing of a sampled sin-function
  - Samples can be interpreted by  $f_{\text{alias}}(N) = |f - Nf_s|$

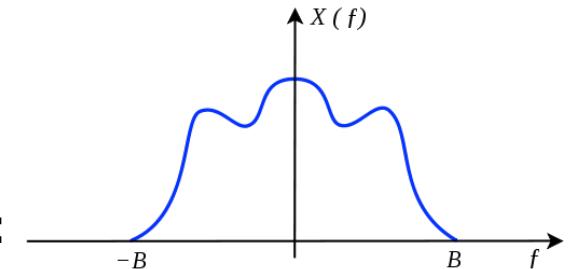


# Sampling Theory

- A band limited signal  $x(t)$  with  $B=f_{\max}$  can be reconstructed if
  - Nyquist-Shannon theorem
  - The exact reconstruction of  $x(t)$  by  $x_n=x(nT)$ :

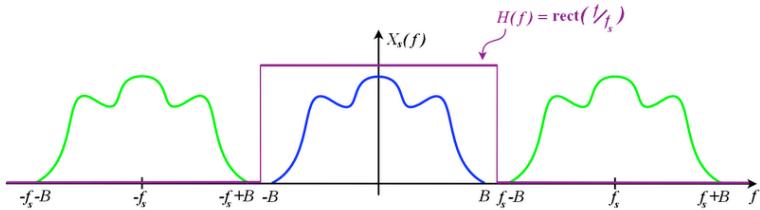
$$f_s \geq 2 f_{\max}$$

$$x(t) = \sum_{n=-\infty}^{+\infty} x_n \frac{\sin \pi(2f_{\max}t - n)}{\pi(2f_{\max}t - n)} = \sum_{n=-\infty}^{+\infty} x_n \operatorname{sinc} \frac{t - nT}{T}$$

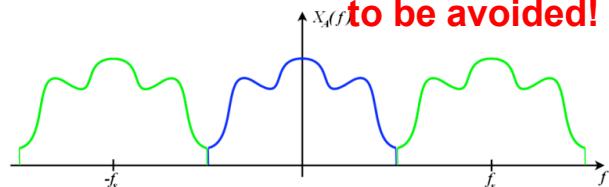
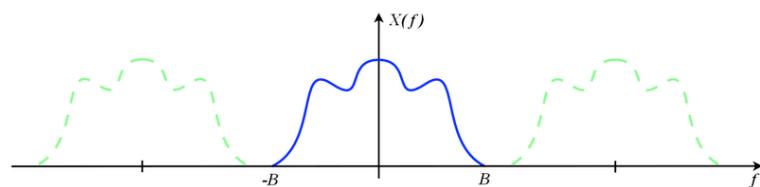
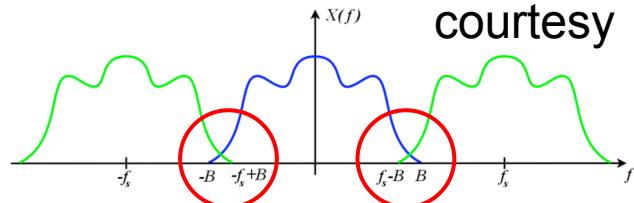


- Aliasing of a sampled sin-function

- Samples can be interpreted by  $f_{\text{alias}}(N) = |f - Nf_s|$



courtesy Wikipedia



# Bandpass or Undersampling

- A bandpass signal  $f_{lo}=A$ ,  $f_{hi}=A+B$  is down-converted to baseband
  - The sampling frequency has to satisfy:

$$\frac{2f_{hi}}{n} \leq f_s \leq \frac{2f_{lo}}{n-1}$$

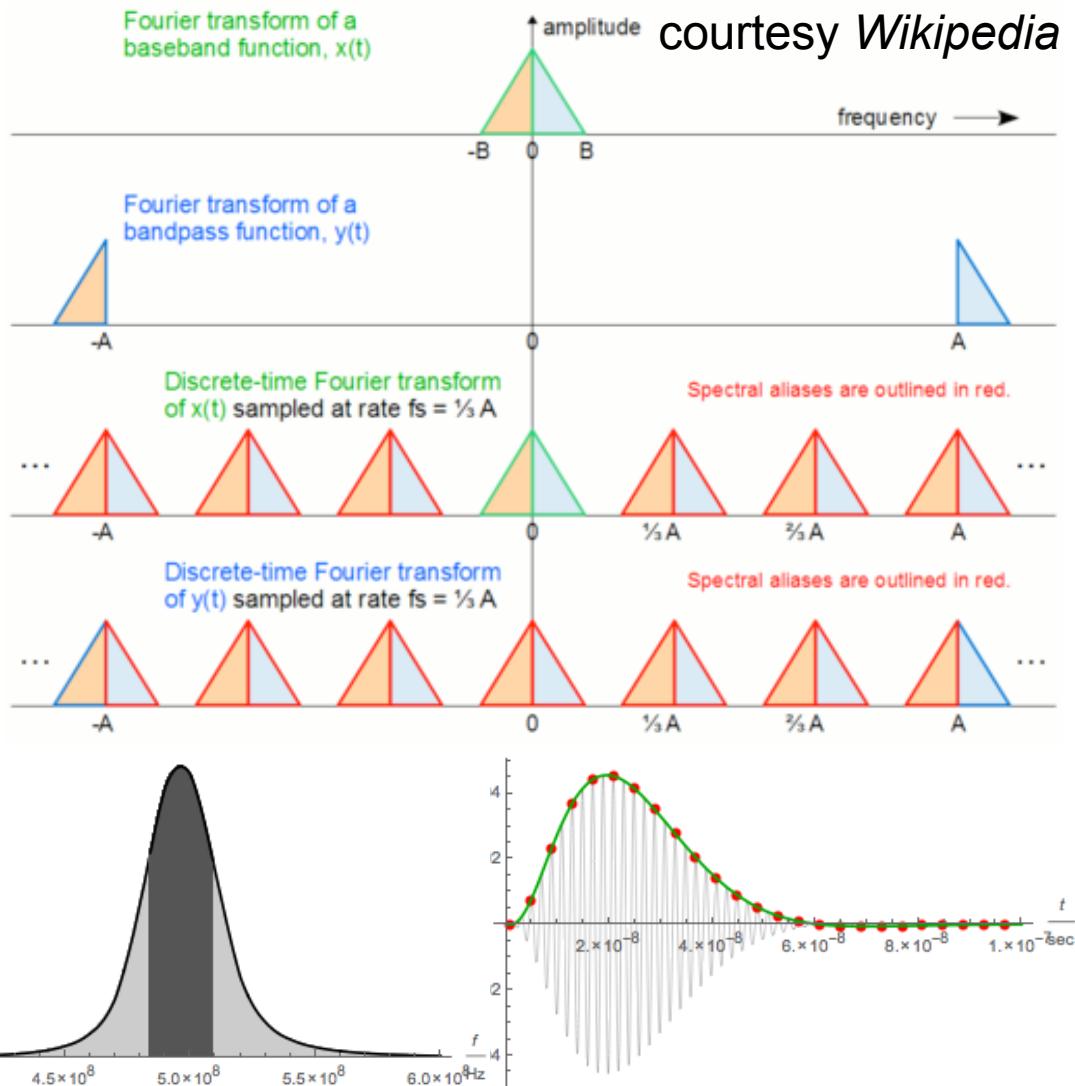
with:  $1 \leq n \leq \left| \frac{f_{hi}}{f_{hi} - f_{lo}} \right|$

- Digital down-conversion (DDC) of BPM signals

- BPM  $\rightarrow$  BPF (Bessel)

➤  $f_{center}$ : ~500 MHz  
     BW (3 dB): 25 MHz

➤  $T=4$  ns,  $f_s=200$  MHz  
     ( $f_{hi}/f_{lo}=550/450$  MHz,  $n=5.5$ )



# Bandpass or Undersampling

- A bandpass signal  $f_{lo}=A$ ,  $f_{hi}=A+B$  is down-converted to baseband

- The sampling frequency has to satisfy:

$$\frac{2f_{hi}}{n} \leq f_s \leq \frac{2f_{lo}}{n-1}$$

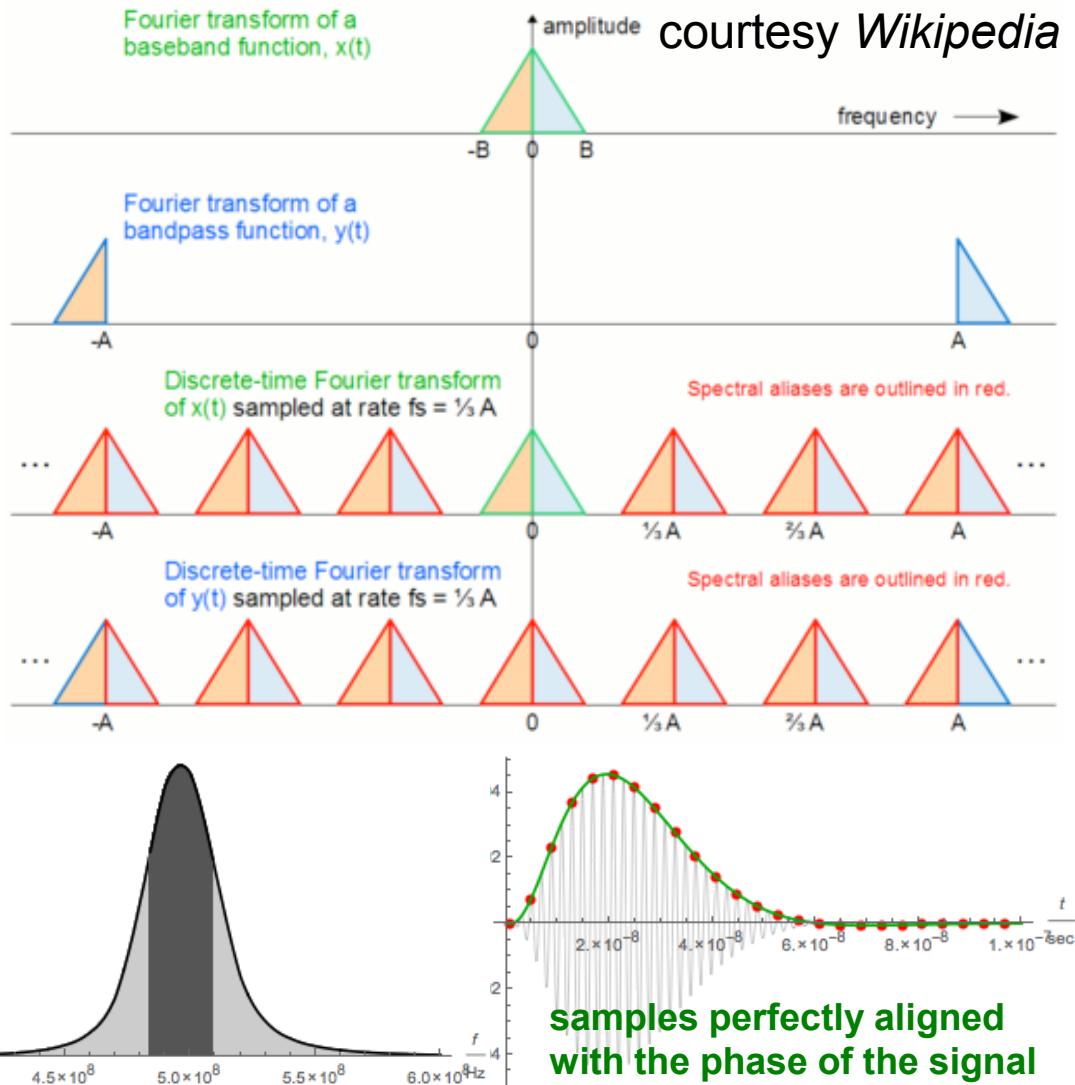
with:  $1 \leq n \leq \left| \frac{f_{hi}}{f_{hi} - f_{lo}} \right|$

- Digital down-conversion (DDC) of BPM signals

- BPM  $\rightarrow$  BPF (Bessel)

➤  $f_{center}$ : ~500 MHz  
 BW (3 dB): 25 MHz

➤  $T=4$  ns,  $f_s=200$  MHz  
 $(f_{hi}/f_{lo}=550/450$  MHz,  $n=5.5$ )



# Bandpass or Undersampling

- A bandpass signal  $f_{lo}=A$ ,  $f_{hi}=A+B$  is down-converted to baseband
  - The sampling frequency has to satisfy:

$$\frac{2f_{hi}}{n} \leq f_s \leq \frac{2f_{lo}}{n-1}$$

with:  $1 \leq n \leq \left| \frac{f_{hi}}{f_{hi}-f_{lo}} \right| \right|$

- Digital down-conversion (DDC) of BPM signals

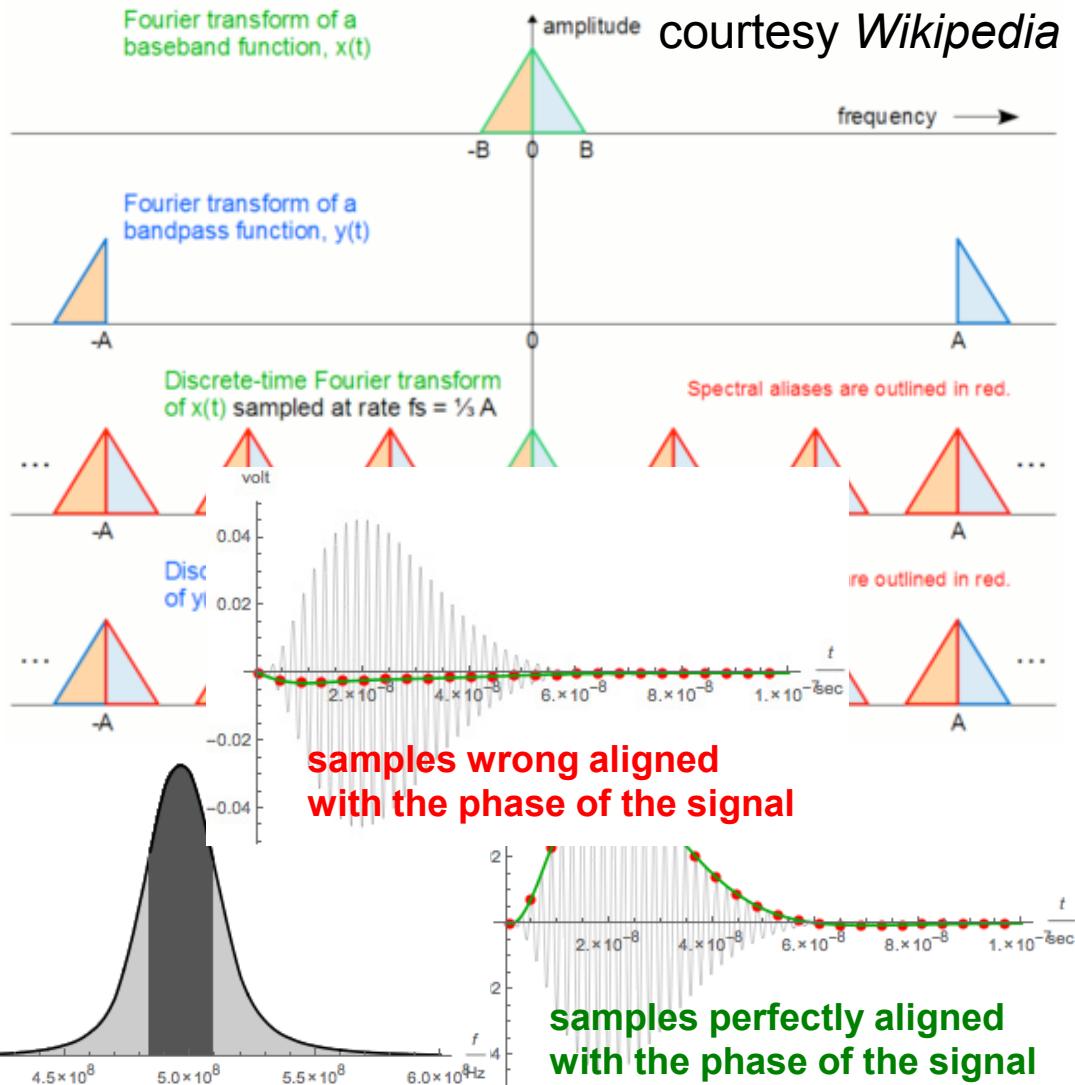
- BPM  $\rightarrow$  BPF (Bessel)

➤  $f_{center}$ : ~500 MHz

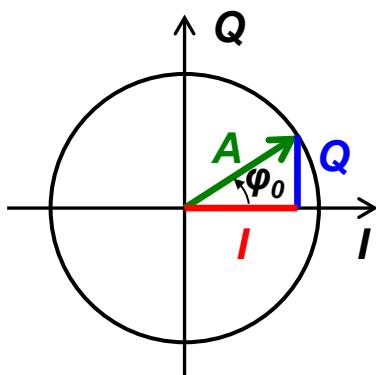
$BW$  (3 dB): 25 MHz

➤  $T=4$  ns,  $f_s=200$  MHz

( $f_{hi}/f_{lo}=550/450$  MHz,  $n=5.5$ )



# I-Q Sampling



- **Vector representation of sinusoidal signals:**
  - Phasor rotating counter-clockwise (pos. freq.)

$$y(t) = A \sin(\omega t + \varphi_0)$$

$$y(t) = \underbrace{A \cos \varphi_0}_{=:I} \sin \omega t + \underbrace{A \sin \varphi_0}_{=:Q} \cos \omega t$$

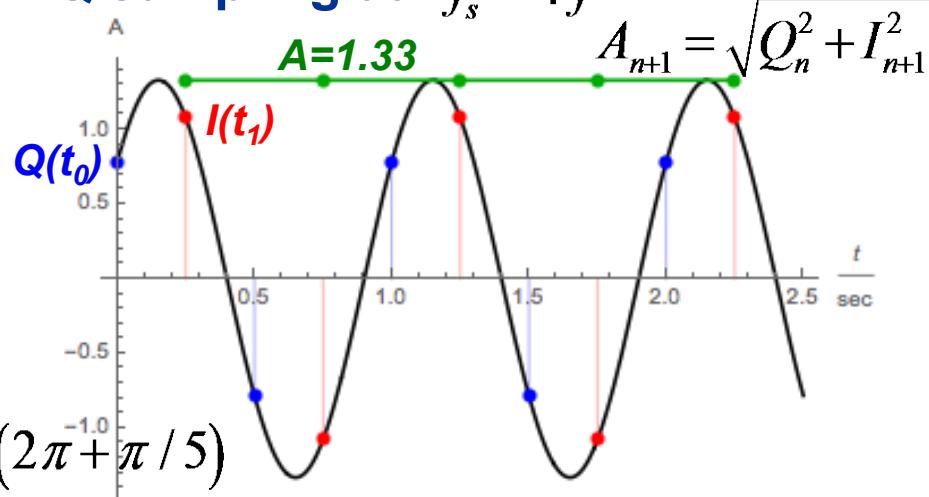
**I: in-phase component    Q: quadrature-phase component**

$$y(t) = I \sin \omega t + Q \cos \omega t$$

$$I = A \cos \varphi_0 \quad A = \sqrt{I^2 + Q^2}$$

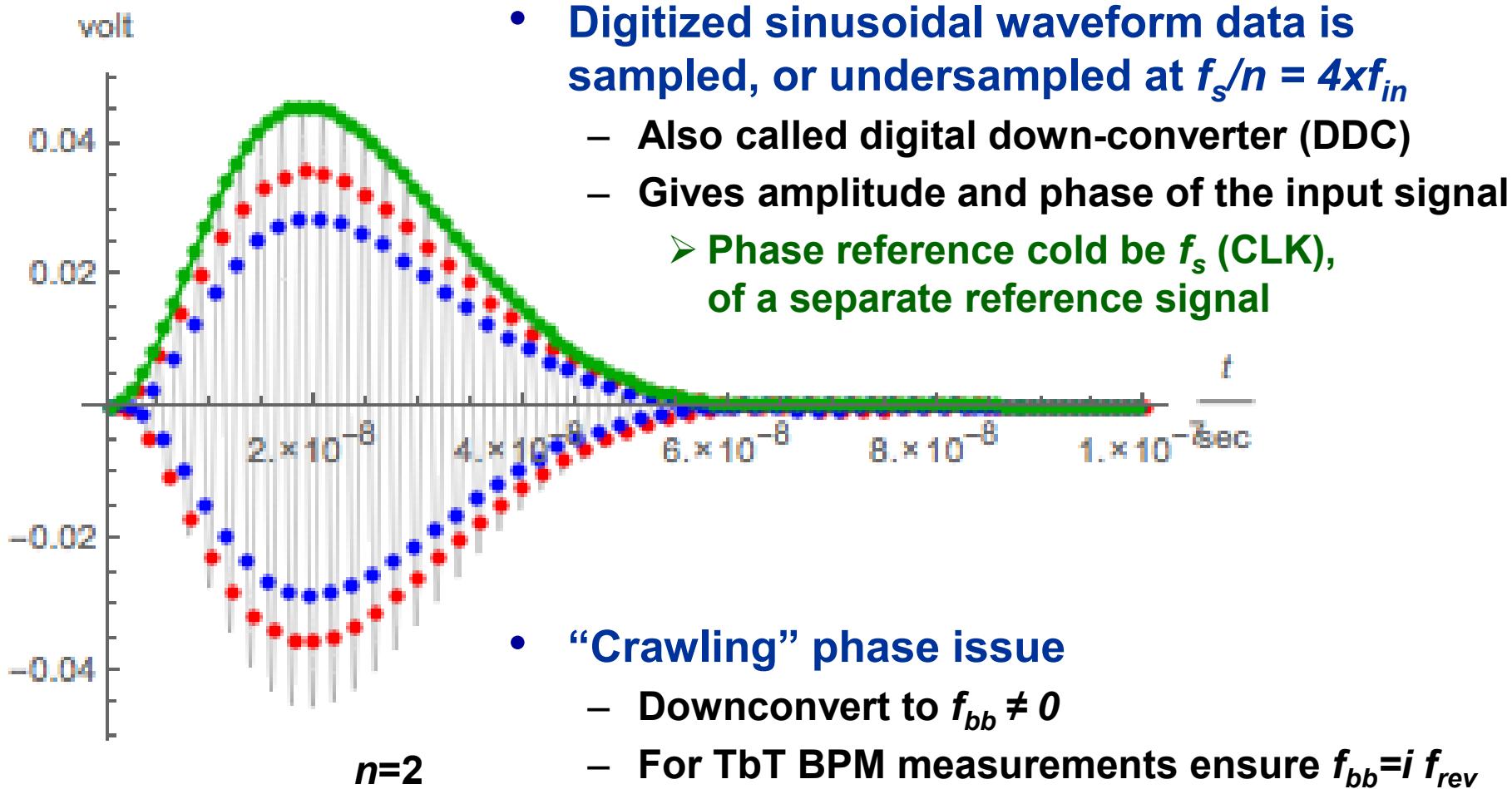
$$Q = A \sin \varphi_0 \quad \varphi_0 = \arctan\left(\frac{Q}{I}\right)$$

- **I-Q sampling at:**  $f_s = 4f$



$$y(t) = 1.33 \sin(2\pi t + \pi/5)$$

# I-Q Demodulation of BPM Signals



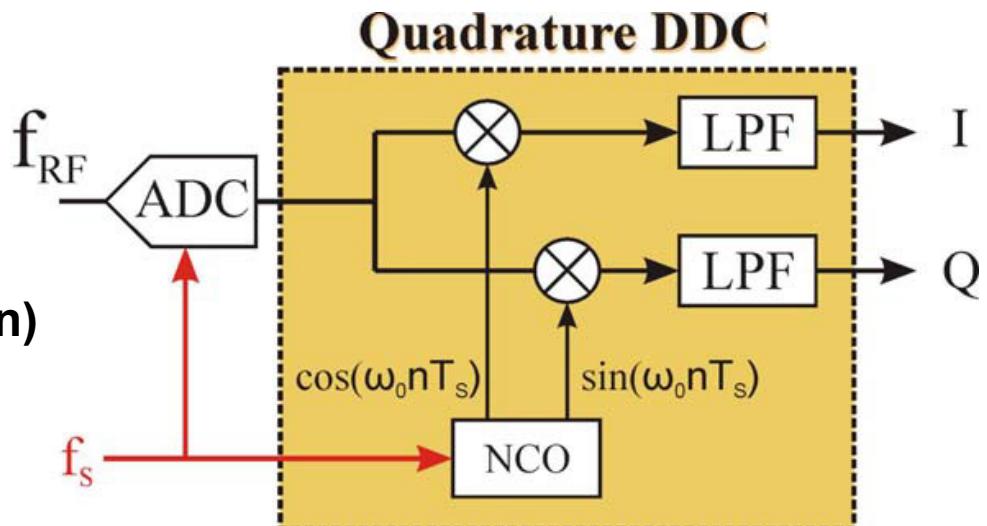
# Digital Down-Converter

- **Goals**

- Convert the band limited RF-signal to baseband (demodulation)
- Data reduction (decimation)

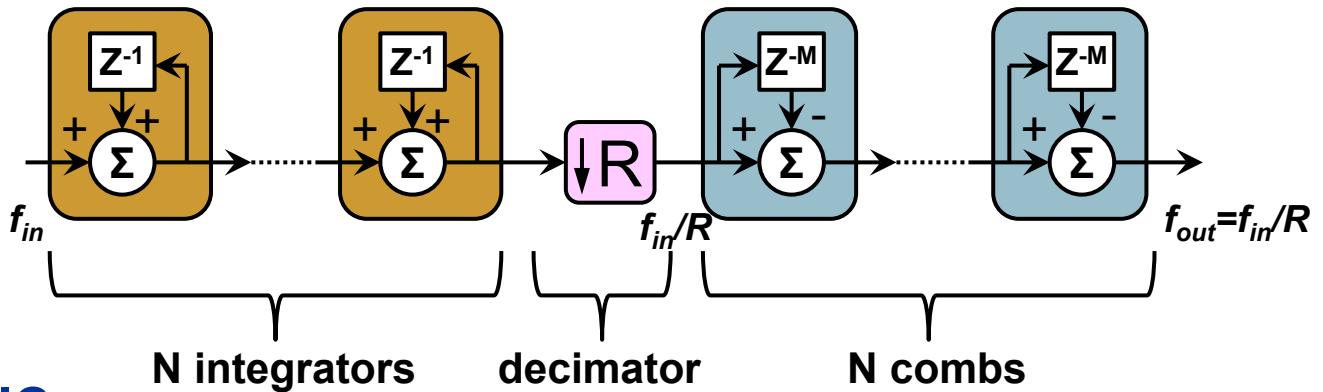
- **DDC Building blocks:**

- ADC
  - Single fast ADC (oversampling)
- Local oscillator
  - Numerically controlled oscillator (NCO) based on a direct digital frequency synthesizer (DDS)
- Digital mixers (“ideal” multipliers)
- Decimating low pass (anti alias) filters
  - Filtering and data decimation.
  - Implemented as CIC and/or FIR filters



courtesy T. Schilcher

# Cascaded Integrator Comb Filter (CIC)



- **Decimating CIC**
  - Boxcar filter (anti aliasing)
    - non-recursive moving average filter
  - Decimator
    - Data rate reduction
  - Comb filter
    - Recursive running-sum
- **Economical implementation**
  - No multiplier, minimum storage requirements

$$\begin{aligned} H(z) &= H_I^N(z)H_C^N(z) \\ &= \frac{1}{(1-z^{-1})^N} (1-z^{-RM})^N \\ &= \left( \sum_{k=0}^{RM-1} z^{-k} \right)^N \end{aligned}$$

FIR filter (stable)

The transfer function  $H(z)$  is derived as the product of the individual stages. The first stage is a non-recursive moving average filter, represented by the orange circle. The second stage is a recursive running-sum filter, represented by the blue circle. The overall transfer function is a FIR filter, represented by the equation at the bottom.

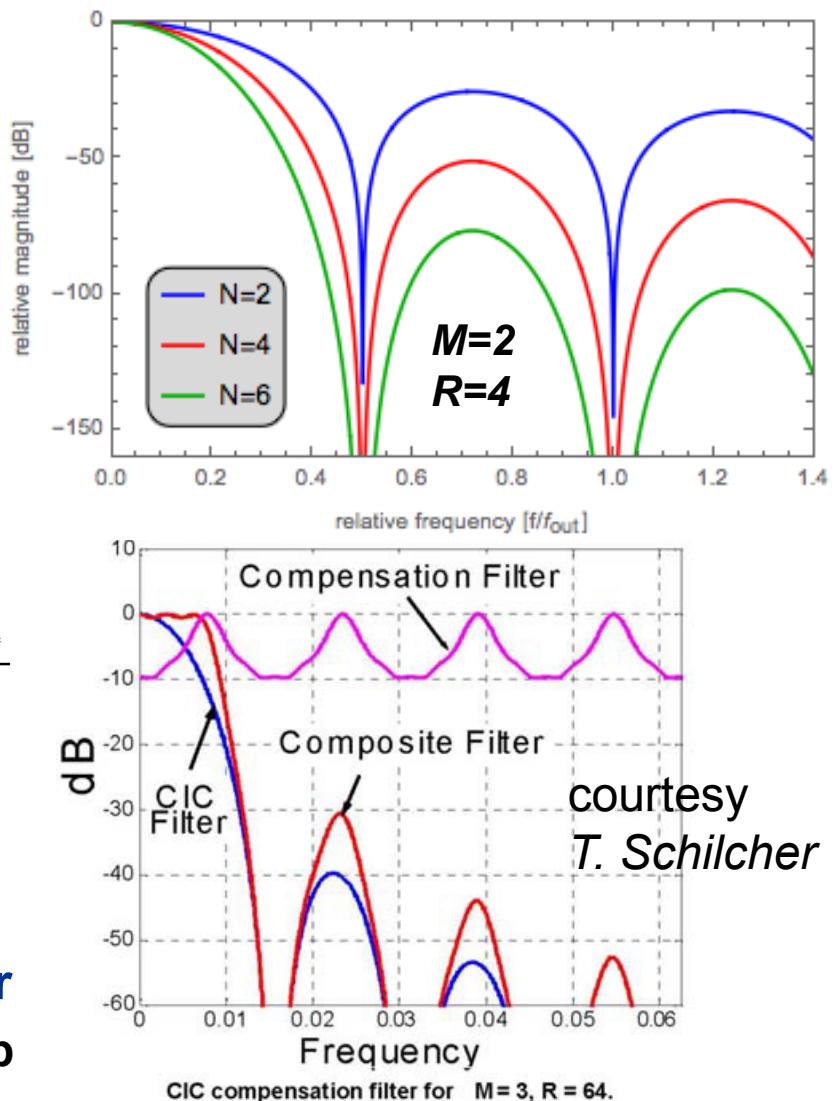
# CIC Filter (cont.)

- CIC frequency response**

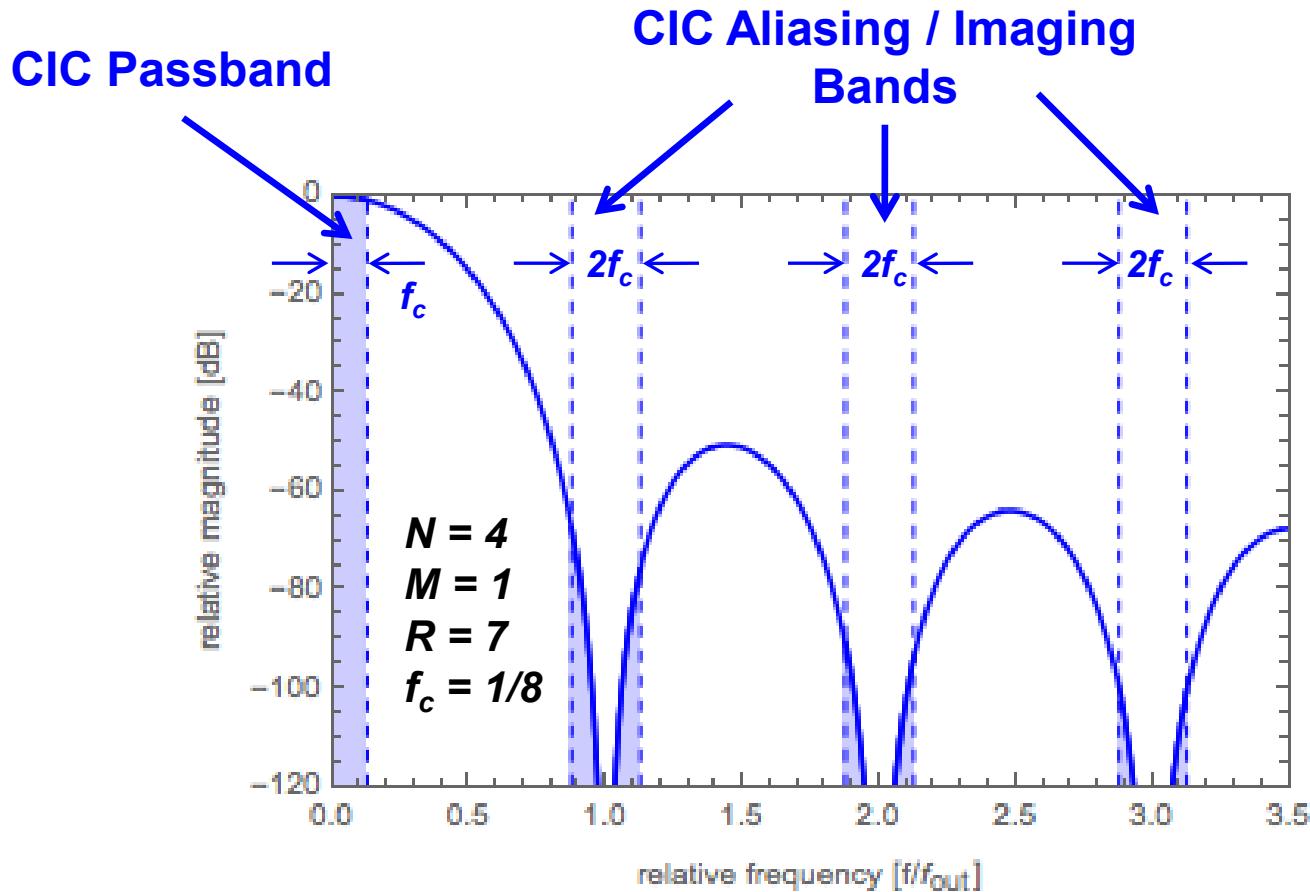
$$H(f) = \left( \frac{\sin \pi M \frac{f}{f_{out}}}{\sin \frac{\pi}{R} \frac{f}{f_{out}}} \right)^N$$

- With respect to the output frequency:  $f_{out} = \frac{f_{in}}{R}$
- $M$ : differential delay, determines the location of the zeros:  $f_0 = k \frac{f_{out}}{M}$
- $N$ : number of CIC stages
- $R$ : decimation ratio
  - Has little influence on the filter response

- CIC plus FIR compensation filter**
  - Compensate CIC passband drop



# CIC Aliasing – Imaging



courtesy  
E. Hogenauer

- CIC aliasing / imaging bands are around:  $(i - f_c) \leq f \leq (i + f_c)$

# Signal/Noise & Theoretical Resolution Limit



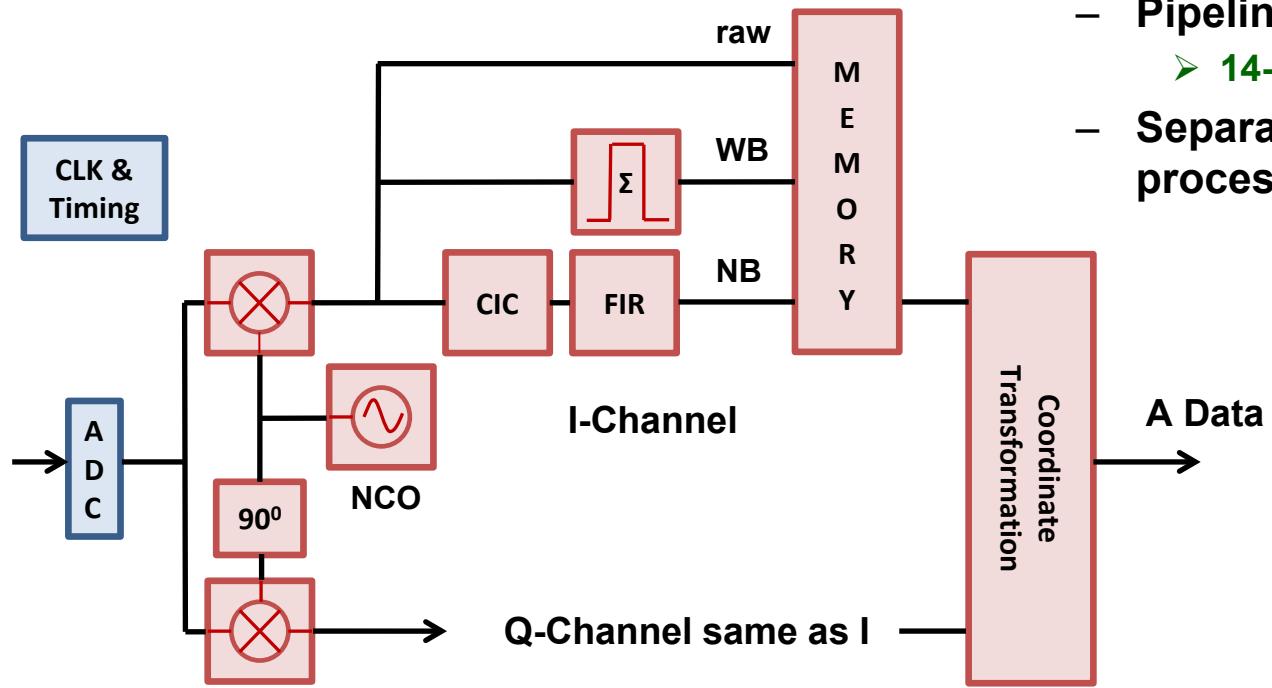
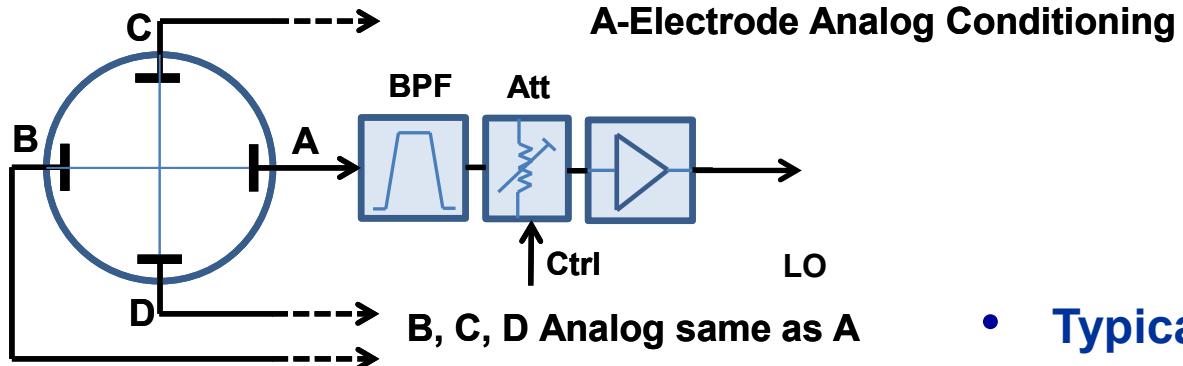
- Minimum noise voltage at the 1<sup>st</sup> gain stage:  
– With the stripline BPM and Bessel BPF example:  
 $R = 50 \Omega, \Delta f = 25 \text{ MHz} \rightarrow v_{noise} = 4.55 \mu\text{V} (-93.83 \text{ dBm})$
- Signal-to-noise ratio:  
– Where  $\Delta v$  is the change of the voltage signal at the 1<sup>st</sup> gain stage due to the change of the beam position ( $\Delta x, \Delta y$ ).  
– Consider a signal level  $v \approx 22.3 \text{ mV} (-20 \text{ dBm})$ 
  - Bessel BPF output signal of the stripline BPM example
  - $22.3 \text{ mV} / 4.55 \mu\text{V} \approx 4900$  (73.8 dB) would be the required dynamic range to resolve the theoretical resolution limit of the BPM
    - Under the given beam conditions, e.g.  $n=1e10$ ,  $\sigma=25\text{mm}$ , single bunch, etc.
    - The equivalent BPM resolution limit would be:  $\Delta x=\Delta y=0.66\mu\text{m}$  (assuming a sensitivity of  $\sim 2.7\text{dB/mm}$ )

$$S/N = \frac{\Delta v}{v_{noise}}$$

# S/N & BPM Resolution (cont.)

- **Factors which reduce the S/N**
  - Insertion losses of cables, connectors, filters, couplers, etc.
    - Typically sum to 3...6 dB
  - Noise figure of the 1<sup>st</sup> amplifier, typically 1...2 dB
  - The usable S/N needs to be >0 dB,  
e.g. 2.3 dB is sometimes used as lowest limit. (*HP SA definition*)
  - For the given example the single bunch / single turn resolution limit reduces by ~10 dB (~3x): 2...3  $\mu\text{m}$
- **Factors to improve the BPM resolution**
  - Increase the signal level
    - Increase BPM electrode-to-beam coupling,  
e.g. larger electrodes
    - Higher beam intensity
  - Increase the measurement time, apply statistics
    - Reduce the filter bandwidth (S/N improves with  $1/\sqrt{\text{BW}}$ )
    - Increase the number of samples (S/N improves with  $\sqrt{n}$ )

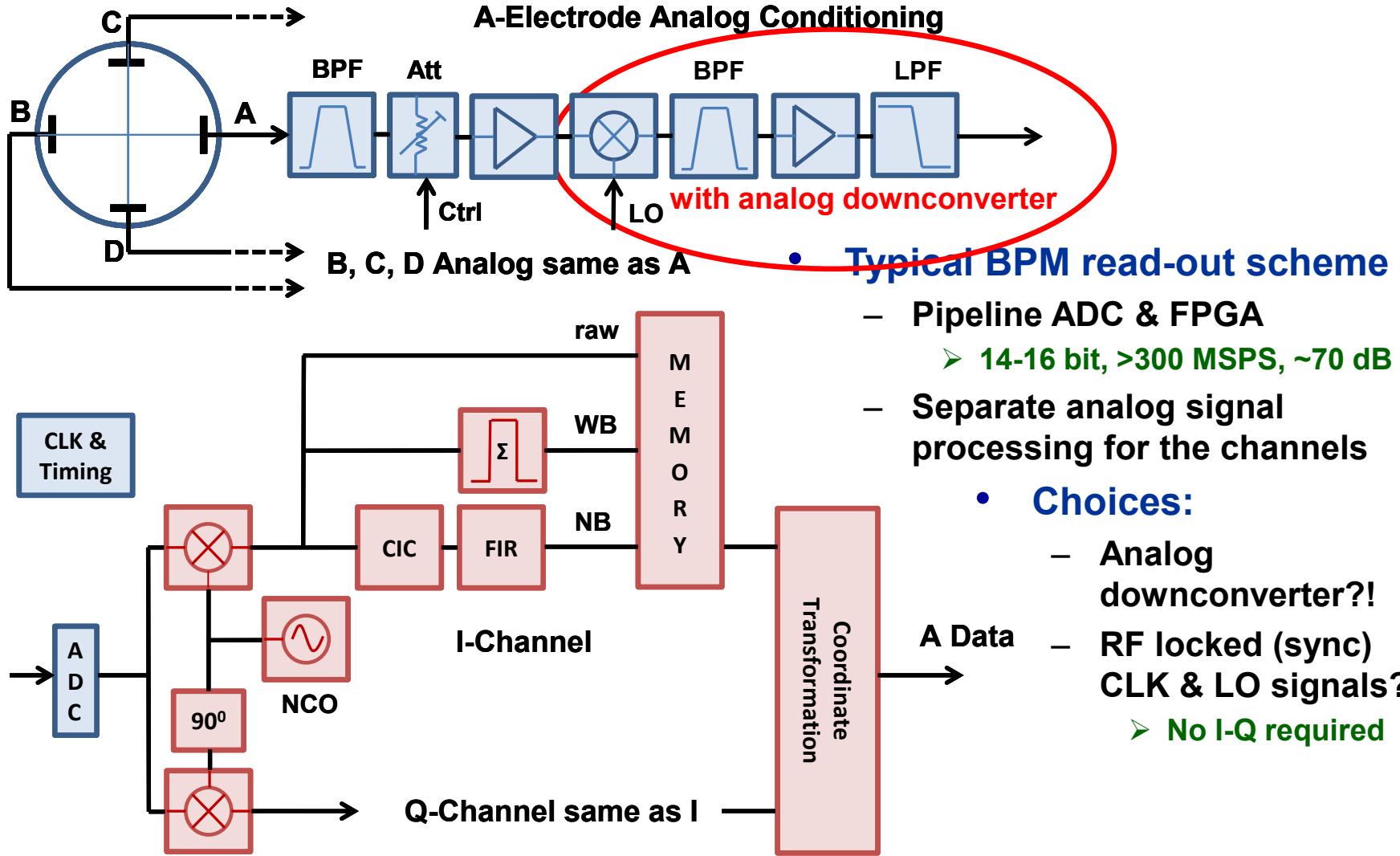
# BPM Read-out Electronics



- **Typical BPM read-out scheme**

- Pipeline ADC & FPGA
  - 14-16 bit, >300 MSPS, ~70 dB S/N
- Separate analog signal processing for the channels

# BPM Read-out Electronics

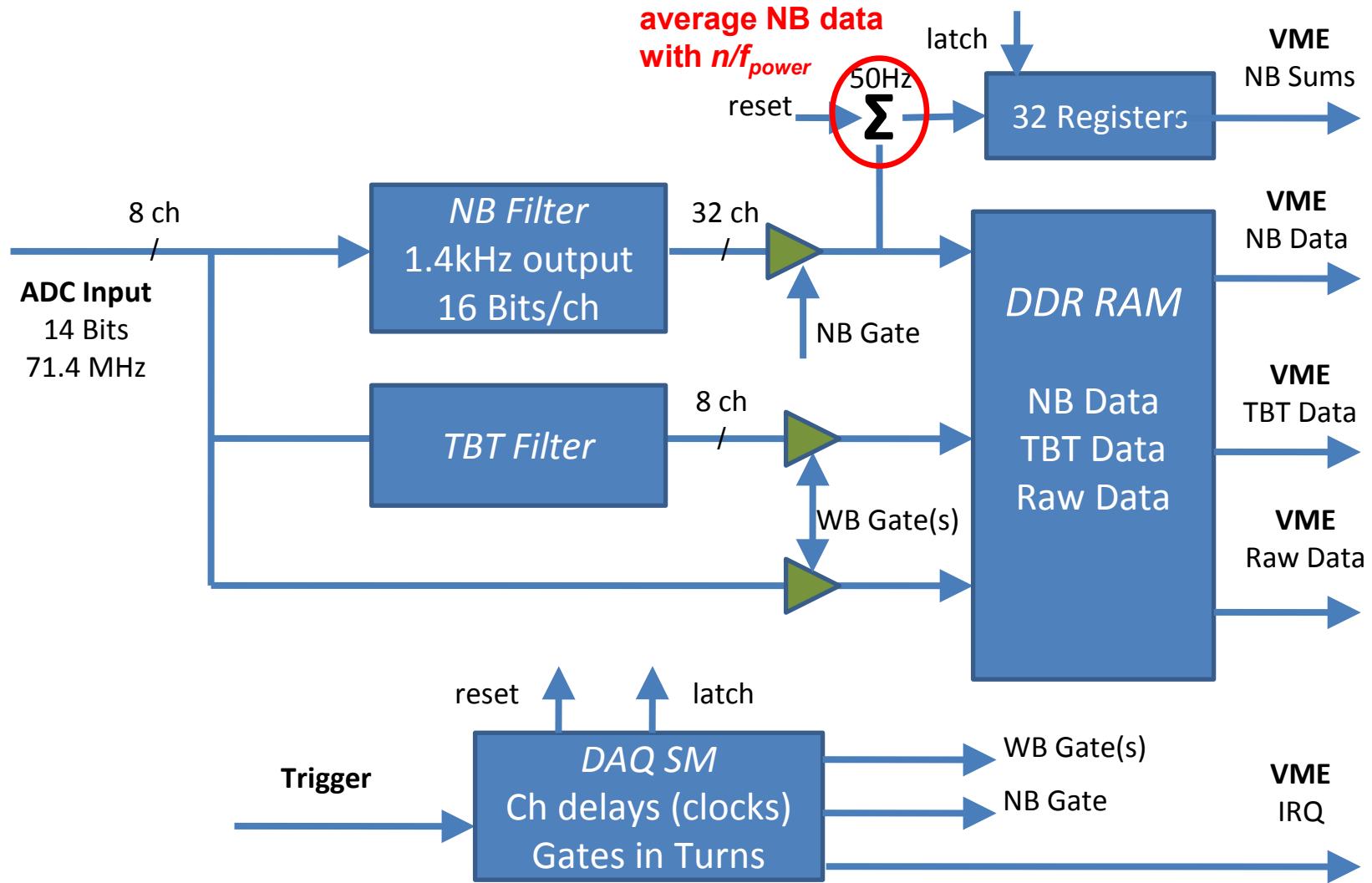


# Analog Downconverter vs. Direct Digital Under-Sampling



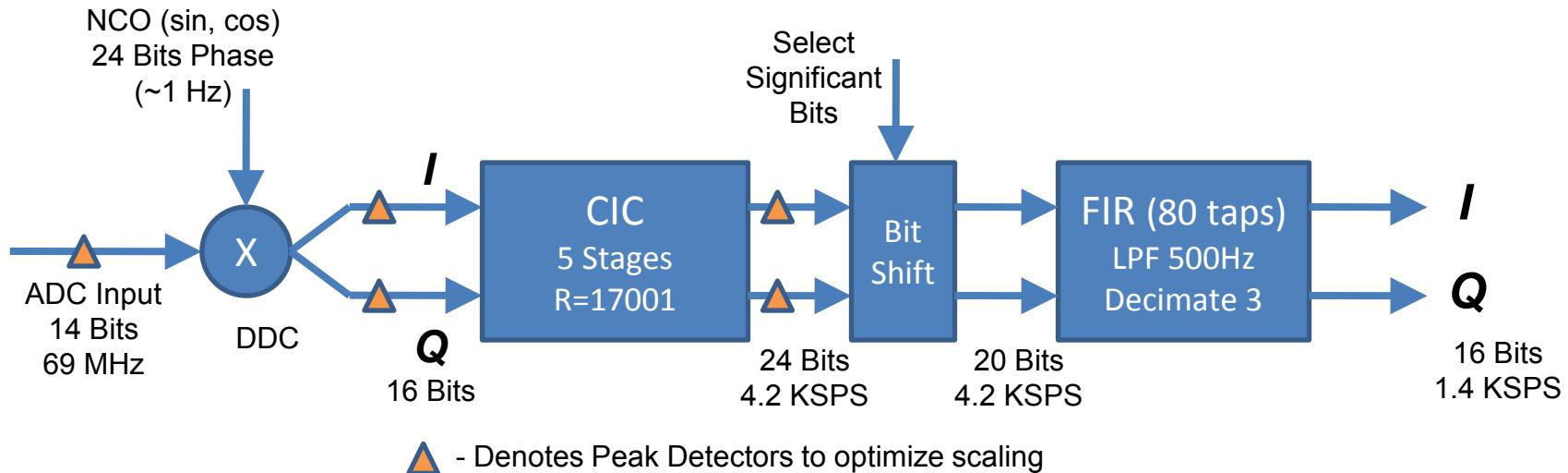
- ADC dynamic range is limited to ~70 dB
  - Not sufficient for most BPM applications
  - Need for analog signal attenuator / gain stages
    - Requires calibration signal to avoid “electronic offsets”
- Analog downconverter
  - + Certainly necessary for the conditioning of cavity BPM signals
  - + Allows sampling in the 1<sup>st</sup> Nyquist passband (no undersampling)
  - + Relaxes input RF filter requirements
  - + Relaxed ADC and CLK requirements
  - + May relax cable requirements and improve S/N
    - Analog hardware installation near the BPM pickup
    - Transfer analog IF signals out of the tunnel
  - Additional analog hardware required
  - Generates additional image frequencies
    - Consider image rejection analog mixer!

# Example: ATF DR BPM Signal Processing



# ATF BPM Narrowband Signal Processing

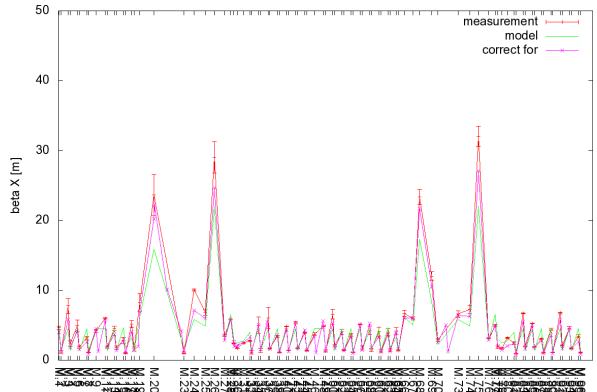
- Process 8 ADC channels in parallel up to FIR filter
  - Digitally downconvert each channel into *I,Q* then filter *I,Q* independently
  - CIC Filters operating in parallel at 71.4MHz
    - Decimate by 17KSPS to 4.2KSPS output rate
  - 1 Serial FIR Filter processes all 32 CIC Filter outputs
    - 80 tap FIR (400 Hz BW, 500 Hz Stop, -100 db stopband) -> 1KHz effective BW
    - Decimate by 3 to 1.4 KSPS output rate -> ability to easily filter 50Hz
  - Calculate Magnitude from *I,Q* at 1.4KHz
    - Both Magnitude and *I,Q* are written to RAM
    - Also able to write *I,Q* output from CIC to RAM upon request



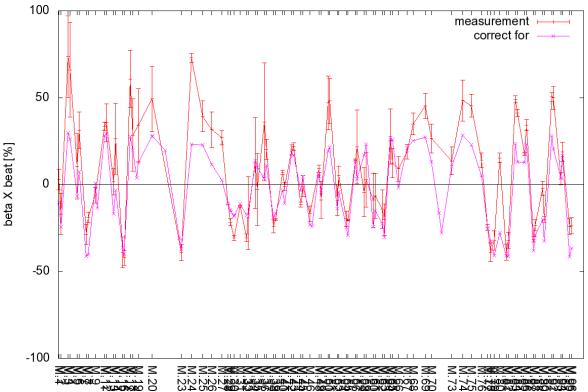
# ATF DR Turn-by-Turn Beam Studies

- Beam optics studies with 96 BPMs in the ATF damping ring

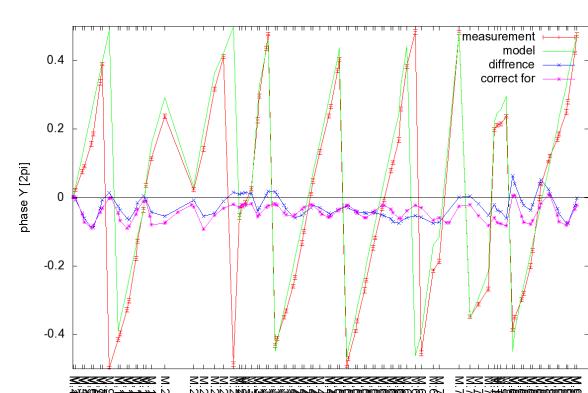
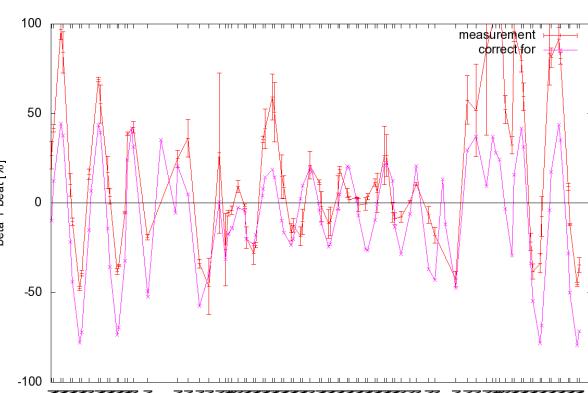
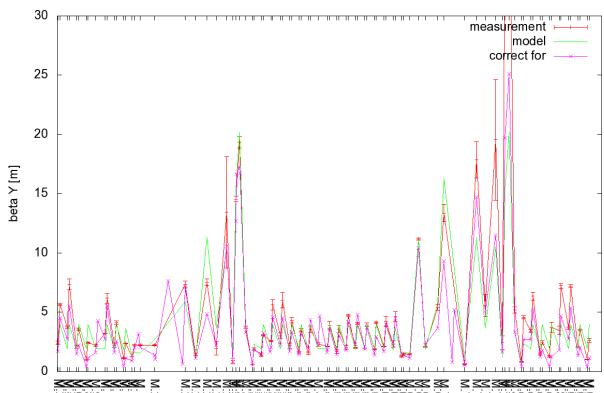
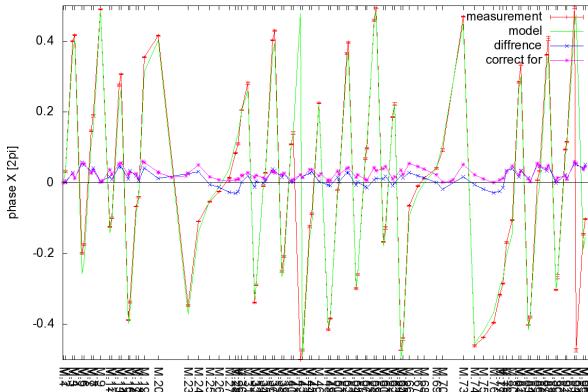
## $\beta$ function measurement



## $\beta$ beating measurement

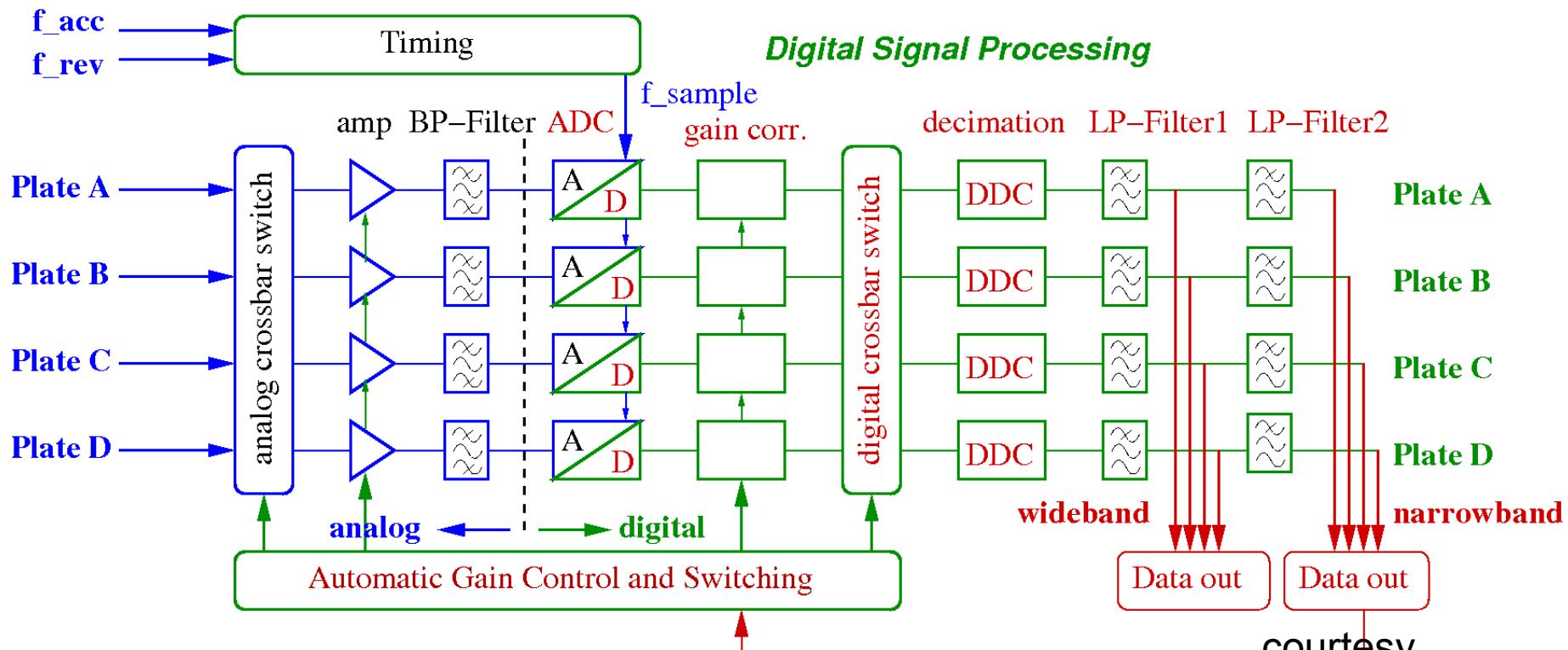


## $\phi$ measurement



courtesy Y. Renier

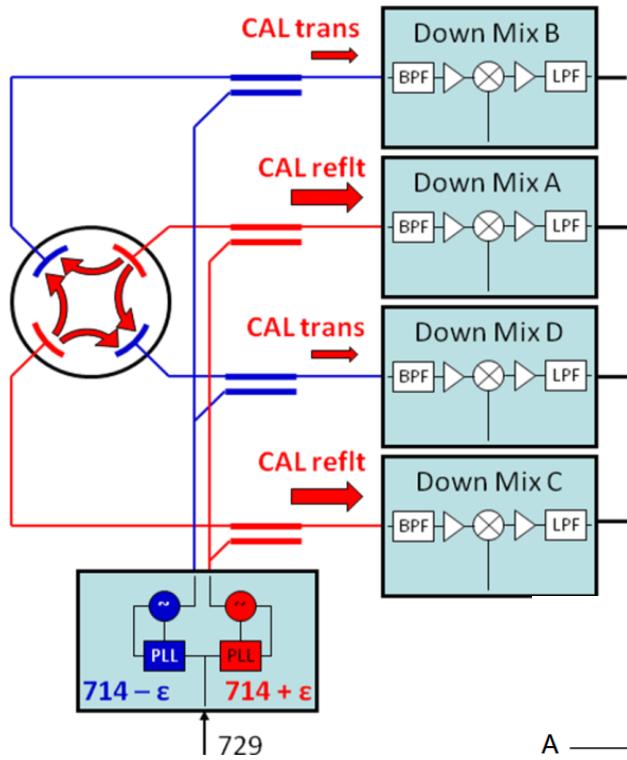
# Libera BPM Electronics



- **Analog & digital crossbar switch**
  - Compensation of long term drift effects in the analog sections

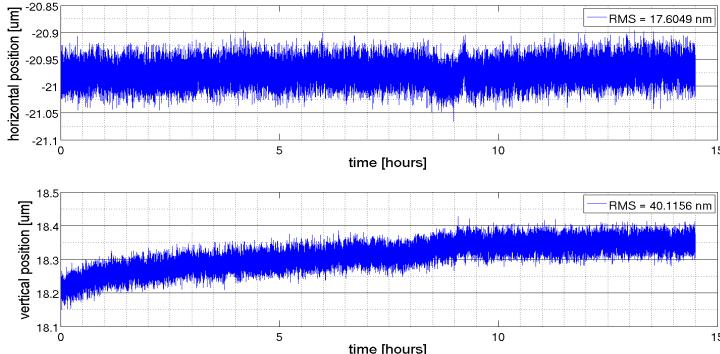
courtesy  
Instrumentation  
Technologies

# Long-Term Drift Compensation

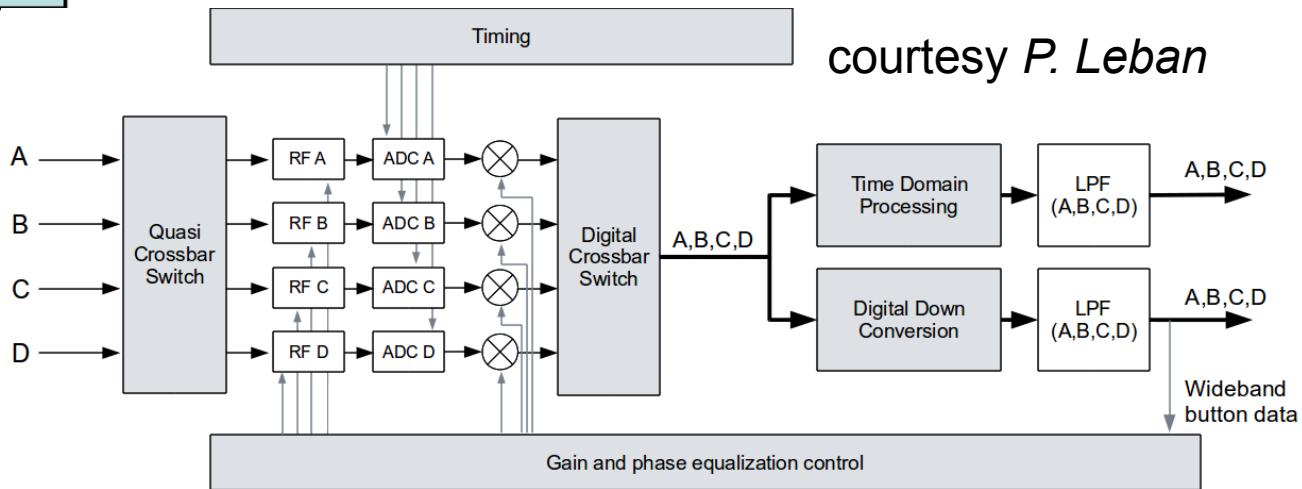


- Calibration tone technique (only in narrowband operation)  
ATF (KEK)

- Libera crossbar switching technique
  - <100 nm stability over 14 hours

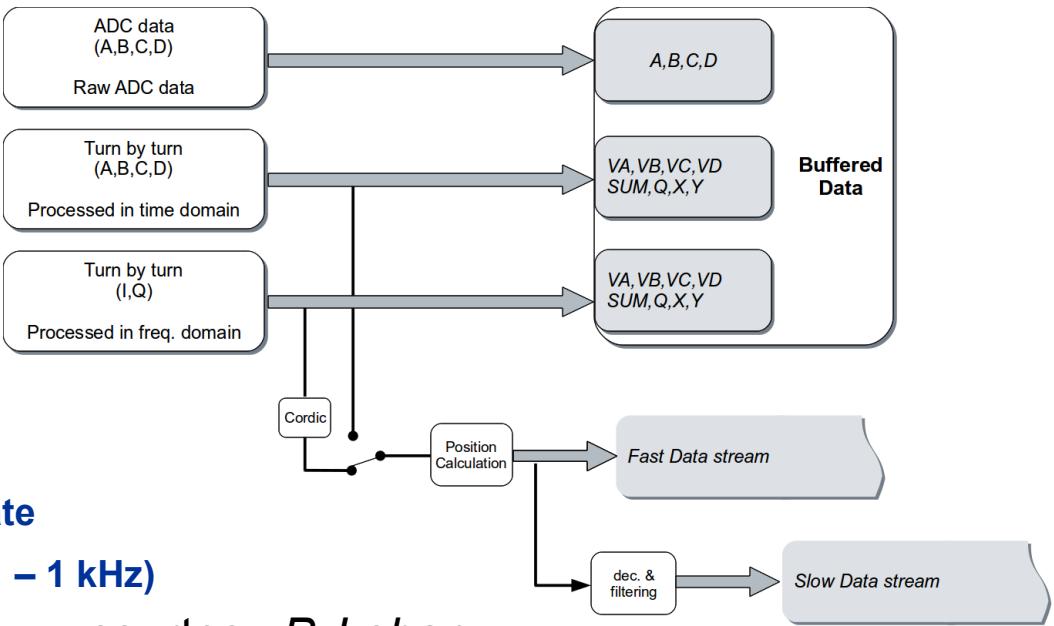
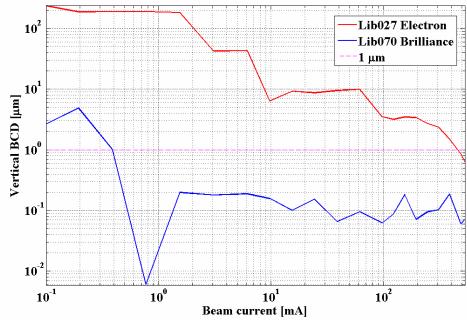


courtesy P. Leban



# Libera BPM Performance

## Beam Current Dependence

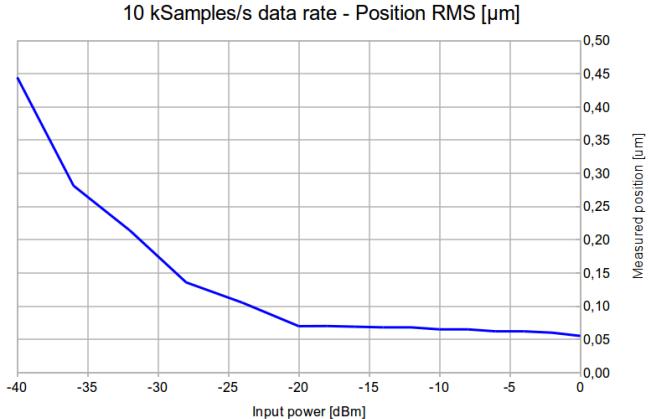


## Libera Brilliance +

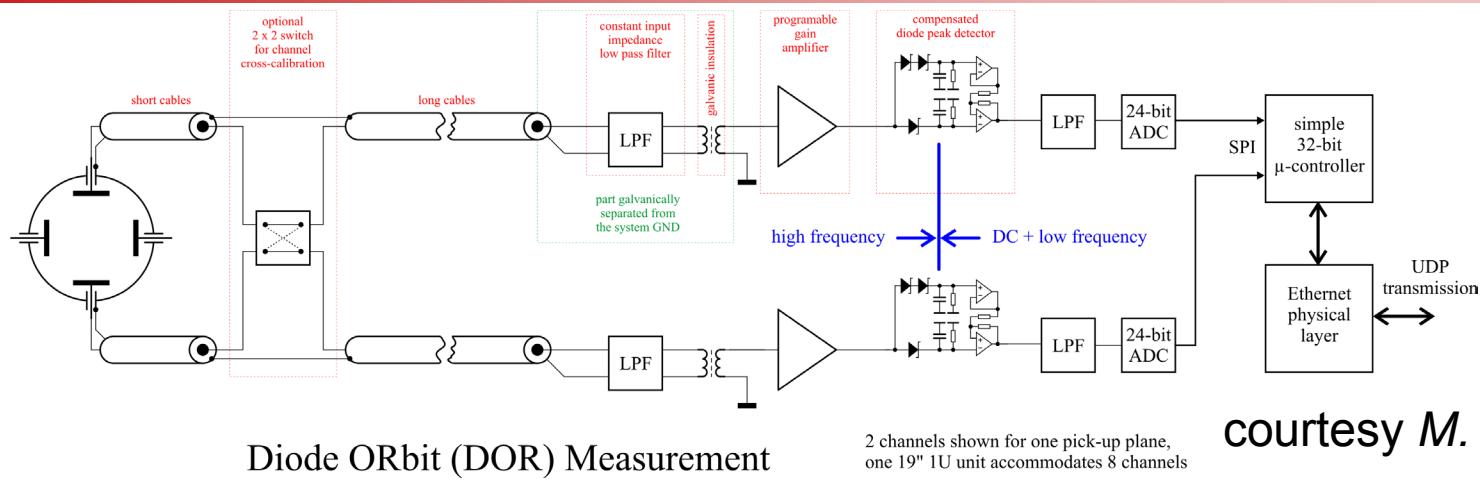
Electron beam position measurements

- **< 0.5 μm RMS at turn-by-turn data rate**
- **40 nm RMS at 10 kS/s data rate (0.01 – 1 kHz)**
- **10 nm RMS for slow monitoring**
- **sub-micron longterm stability**
- **Temperature drift < 200 nm / °C**
- **Full Fast Orbit Feedback implementation with magnet output**
- **Fast Interlock detection (< 100 μs)**
- **Clean turn to turn measurement using Time-Domain Processing**

courtesy P. Leban



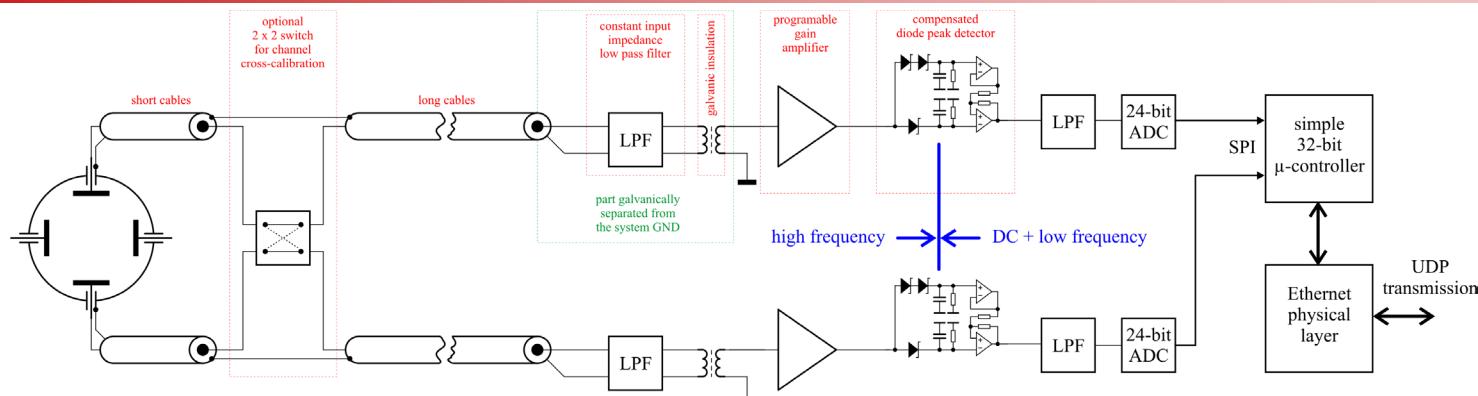
# Compensated Diode Detector for BOM



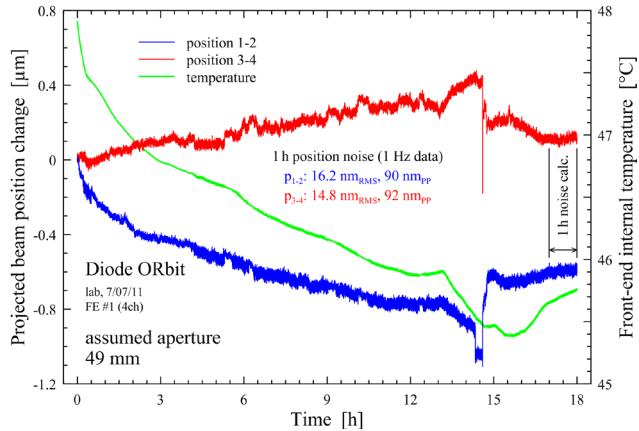
courtesy M. Gasior

- Sub-micrometre resolution can be achieved with relatively simple hardware and signals from any position pick-up.
- To be used for the future LHC collimators with embedded BPMs.

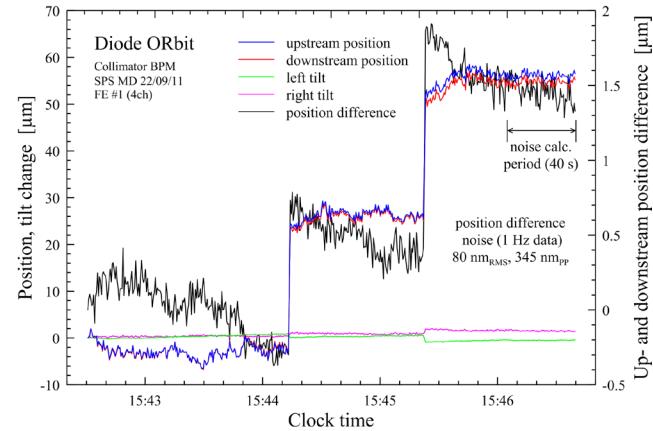
# Compensated Diode Detector for BOM



Diode ORbit (DOR) Measurement



2 channels shown for one pick-up plane,  
one 19" 1U unit accommodates 8 channels



courtesy M. Gasior

- Sub-micrometre resolution can be achieved with relatively simple hardware and signals from any position pick-up.
- To be used for the future LHC collimators with embedded BPMs.

# Summary & Final Remarks

- **Analog vs. digital**
  - Both technologies require the same great engineering care and well thought through design efforts!
  - Digital signal processing has many advantages, however
    - **Digital is not always and automatically better!**
    - **Digital often tends to be more complex and manpower consuming.**
- **The greater picture**
  - Today, the BPM read-out technology is very advanced, and in most cases not the limiting factor of the BPM system performance.
    - **Sub- $\mu\text{m}$  resolution and stability**
    - **Self offset error correction techniques**
    - **Calibration of pickup non-linearities**
  - Analog, RF and EM issues are still the dominating performance limits
    - **Cables, connectors, RF & analog components, etc.**
    - **Wakefield and impedance effects of the BPM pickup!**

# Thanks!

---

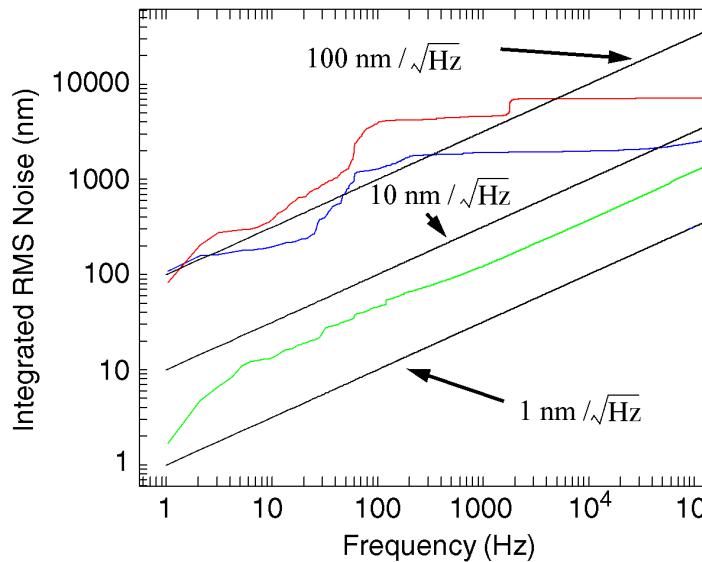


- **Further reading:**
  - **P. Forck, et.al.: Beam Position Monitors (DITANET School 2011)**
  - **E.B. Hogenauer: An Economical Class of Digital Filters for Decimation and Interpolation (IEEE Trans. 1981)**
  - **T. Schilcher: Digital Signal Processing in RF Applications (CAS 2007)**
  - **R.H. Siemann: Spectral Analysis of Relativistic Bunched Beams (BIW 1996)**
  - **G. Vismara: Comparison Among Signals Processing for BPM (BIW 2000)**
  - **M. Wendt: Overview of Recent Trends and Developments for BPM Systems (DIPAC 2011)**
  - **M. Wendt: Trends in High Precision , High Stability BPMs (ALERT 2014)**
  - **Internet: Wikipedia, many articles from companies, etc.**

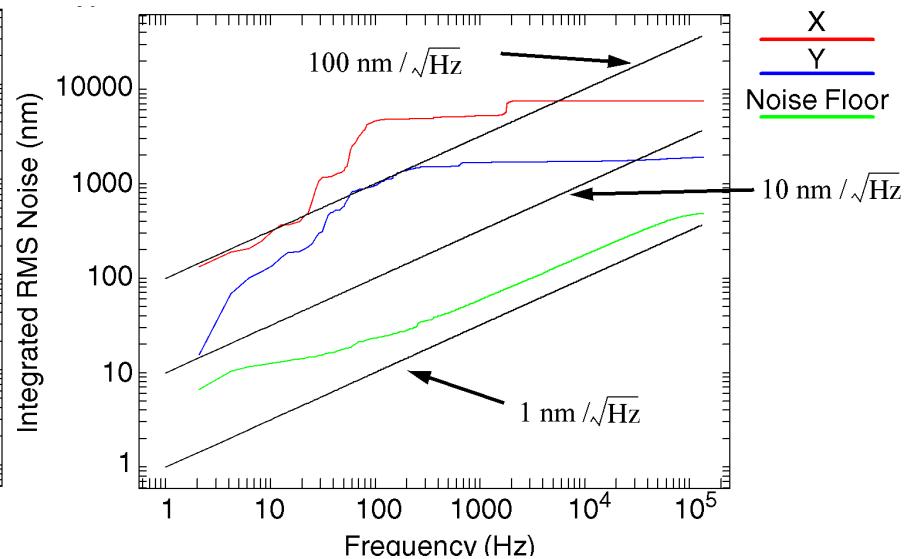
# Typical Performance

courtesy  
G. Decker

BSP-100 module  
(APS ANL)



Libera Brilliance  
(@APS ANL)



# BPM Resolution vs. Beam Intensity

- Observed at DAΦNE (INFN-LNF)
  - *Libera* (digital) and *Bergoz* (analog) BPM read-out electronics
    - This study was made some years ago, not with the actual *Libera* technology
  - Each point is averaged over 100 orbits

