

# ELECTRON-CLOUD BUILD-UP SIMULATION FOR FNAL MAIN INJECTOR\*

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## Abstract

We present a summary on ongoing simulation results for the electron-cloud (EC) buildup in the context of the proposed FNAL Main Injector (MI) intensity upgrade effort [1]. Most of the results presented here are for the field-free region at the location of the retarding field analyzer (RFA) electron detector [2–4]. The primary input variable we exercise is the peak secondary electron yield (SEY)  $\delta_{\max}$ , which we let vary in the range  $1.2 \leq \delta_{\max} \leq 1.7$ . By combining our simulated results for the electron flux at the vacuum chamber wall with the corresponding RFA measurements we infer that  $1.25 \lesssim \delta_{\max} \lesssim 1.35$  at this location. From this piece of information we estimate features of the EC distribution for various fill patterns, including the average electron number density  $n_e$ . We then compare the behavior of the EC for a hypothetical RF frequency  $f_{\text{RF}} = 212$  MHz with the current 53 MHz for a given total beam population  $N_{\text{tot}}$ . The density  $n_e$  goes through a clear threshold as a function of  $N_{\text{tot}}$  in a field-free region. As expected, the higher frequency leads to a weaker EC effect: the threshold in  $N_{\text{tot}}$  is  $\sim 2$  higher for  $f_{\text{RF}} = 212$  MHz than for 53 MHz, and  $n_e$  is correspondingly lower by a factor  $\sim 2$  when  $N_{\text{tot}}$  is above threshold. We briefly describe further work that needs to be carried out, sensitivities in the calculation, and puzzles in the results that remain to be addressed.

## INTRODUCTION

An upgrade to the MI at FNAL is being considered that would increase the bunch intensity  $N_b$  from the present  $N_b \sim 6 \times 10^{10}$  to  $\sim 30 \times 10^{10}$  in order to generate intense beams for the neutrino program [1]. Such an increase in beam intensity would place the MI in a parameter regime where other storage rings have seen a significant EC effect. Motivated by this concern, efforts have been undertaken over the recent past to measure [2–4] and simulate [5–12] the magnitude of the effect and to assess its operational implications on the proposed upgraded MI.

Although achieving such high intensities will require significant hardware upgrades, the technique of slip-stacking the bunch trains generated by the booster allows, at present, bunch intensities  $N_b \gtrsim 10 \times 10^{10}$  in the MI, though not for all fill patterns achievable at lower intensities. During 2006 an RFA-type electron detector was installed in a field-free straight section of the MI which has been used to measure the EC flux at the walls of the vacuum chamber [2–4]. The EC number density  $n_e$  inferred from these measurements is sufficiently low that it is

not expected to cause significant detrimental effects on the beam. This absence of an effect is, indeed, consistent with observations. Nevertheless, the RFA signal obtained at the highest achieved beam intensities is sufficiently clear to allow a first calibration of the simulation codes and therefore a sharpening of their predictions, and to better evaluate options for the proposed intensity upgrade.

In this article we present the current status of the EC build-up simulations by means of the build-up code POSINST [13–16], and their calibration against the above-mentioned RFA measurements. By comparing our simulations against measurements, and subject to reasonable assumptions, we conclude that  $\delta_{\max}$  was in the range  $1.25 \lesssim \delta_{\max} \lesssim 1.35$  at the location of the RFA when the measurements were taken [3].<sup>1</sup> We compare the EC build-up in the RFA field-free region with the build-up in a dipole bending magnet. We find a qualitative difference between the two:  $n_e$  shows a clear threshold behavior as a function of  $\delta_{\max}$  in the field-free region but not in the dipole magnet. In this latter case,  $n_e$  is higher by a factor of  $\sim 3$  than in the field-free region at the same beam intensity provided threshold is exceeded in the field-free region. We then compare the EC build-up for a hypothetical RF frequency  $f_{\text{RF}} = 212$  MHz with the current value of 53 MHz, for a given total beam population  $N_{\text{tot}}$ . We carry out the comparison of the two frequencies in the range  $3.29 \times 10^{13} \leq N_{\text{tot}} \leq 16.4 \times 10^{13}$ , which roughly corresponds to the range  $6 \times 10^{10} < N_b < 30 \times 10^{10}$  in bunch intensity. In the field-free region we see a strong threshold behavior of  $n_e$  as a function of  $N_{\text{tot}}$  at fixed  $\delta_{\max}$ , consistent with earlier simulations [5–11]. For  $f_{\text{RF}} = 212$  MHz, the threshold value of  $N_{\text{tot}}$  is higher by a factor  $\sim 2$  than for 53 MHz, and the value of  $n_e$  is correspondingly lower by a factor of  $\sim 2$ . Initial results of this comparison were described in [12]. The corresponding comparison of the EC build-up for the two RF frequencies in a dipole bending magnet remains to be carried out, and certain puzzles in our results remain to be explained.

## FIELD-FREE REGION

### Summary of Measurements

We are concerned here only with measurements taken for eight fill patterns. In these measurements a beam of 3, 4 or 5 booster trains was used, each consisting of 81 consecutive filled buckets with a bunch intensity  $N_b$  as indicated in Tab. 1. For cases 1, 2 and 4, the trains were equally spaced, with a gap of 5 empty buckets between trains, in addition to a long abort gap of 77 empty buckets (the harmonic number is  $h = 588$ ). For case 3, one of the trains was spaced

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<sup>1</sup>In general,  $\delta_{\max}$  can increase due to venting of the chamber to air, or decrease due to beam-induced conditioning.

further away from the other three, by a gap of 42 empty buckets. The MI beam ramps from injection at  $E_b = 8.9$  GeV to extraction at 120 GeV in  $\sim 0.5$  s, corresponding to  $\sim 45,000$  revolutions. The beam crosses transition at  $E_b \gtrsim 20$  GeV. The RFA is installed in a free-field round chamber, and the RFA signal was recorded during the full energy ramp. Using the known acceptance of the RFA and its  $V - A$  calibration, the incident electron flux  $J_e$  was inferred from the RFA signal, as shown in Fig. 1. For reasons that are not well understood, the RFA signal peaks in all cases at  $E_b \simeq 60$  GeV, and it is for this beam energy that the value of  $J_e$  is plotted in Fig. 1. We will address this issue in the discussion below.

Table 1: Fill patterns analyzed.

Case	No. trains	$N_b$ [ $10^{10}$ ]	Comment
1a	5	9.7	even gaps
1b	5	9.0	even gaps
1c	5	8.1	even gaps
1d	5	7.2	even gaps
2a	4	9.5	even gaps
2b	4	9.1	even gaps
3	4	9.5	uneven gaps
4	3	9.1	even gaps

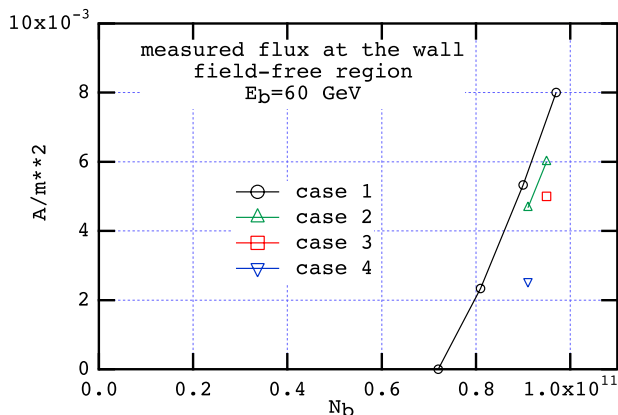


Figure 1: Electron flux incident on the vacuum chamber wall inferred from the RFA measurements in a field-free region, at  $E_b = 60$  GeV. Each point on this plot represents a “case,” as listed on Table. 1.

### Simulations

Ideally we would simulate the entire energy ramp, but this is wholly beyond our present-day computer capabilities. We have therefore simulated the EC build-up only for one full MI revolution for each case (the revolution period of  $11.1 \mu\text{s}$ , is much longer than necessary for the EC to reach a steady state, hence the one-turn averages sensibly

correspond to steady state), and only for a few selected values of  $E_b$  during the ramp. For each value of  $E_b$ , we used the actually measured value of the RMS bunch length  $\sigma_z$ , as shown in Fig. 2, and the corresponding transverse RMS beam sizes  $\sigma_x$  and  $\sigma_y$  at the RFA location. For the purposes of comparing our simulations against measurements, however, we select only  $E_b = 60$  GeV. CPU running time on a Macintosh G5 (1.8 GHz) is 1.5–2.5 hrs for one MI revolution, depending on which parameter set is chosen. Relevant machine and simulation parameters are listed in Tab. 2.

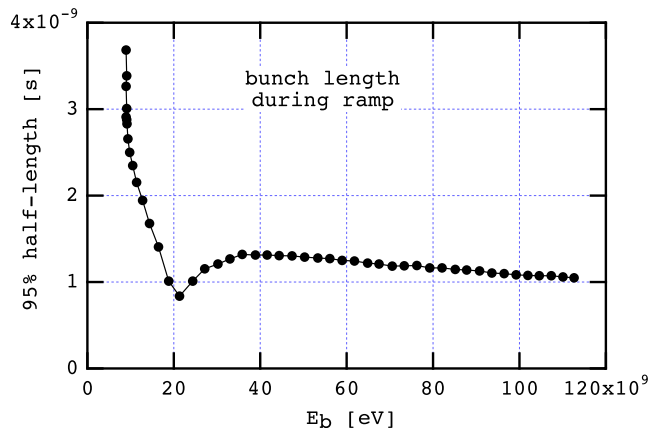


Figure 2: Bunch length vs. beam momentum during the MI ramp. Transition is crossed just above 20 GeV/c.

Concerning the source of electrons, we assume here that the main primary-electron source mechanism is ionization of residual gas, with pressure and temperature as listed in Tab. 2. We choose an artificially high pressure of 20 nTorr for the purposes of speeding up the simulated EC build-up; since the EC is dominated by secondary electron emission off the walls of the chamber, the details of the primary mechanism are not very important. We assume that the SEY model described in [14, 15] is applicable to the MI stainless steel vacuum chamber, with the additional practical assumption that the SEY at 0 energy,  $\delta(0)$ , is proportional to  $\delta_{\text{max}}$ ,  $\delta(0)/\delta_{\text{max}} = 0.2438$ . The peak SEY  $\delta_{\text{max}}$  is the primary variable exercised in this set of simulations: we allow it to range in  $1.2 \leq \delta_{\text{max}} \leq 1.7$ . We keep  $E_{\text{max}}$ , the incident electron energy at which the SEY peaks, fixed at 293 eV.

### Results for the RFA

Two samples of time-averaged projections of the EC distribution are shown in Fig. 3. Results for  $J_e$  are shown in Figs. 4 and 5. In this latter plot we show the measured data for the eight cases (Fig. 1) superposed on the simulations. The intersections of the measurements with simulations show a set of solutions for  $\delta_{\text{max}}$  in the range  $1.25 \lesssim \delta_{\text{max}} \lesssim 1.35$ . The fact that these solutions are reasonably well clustered suggests consistency of the model and of the measurements.

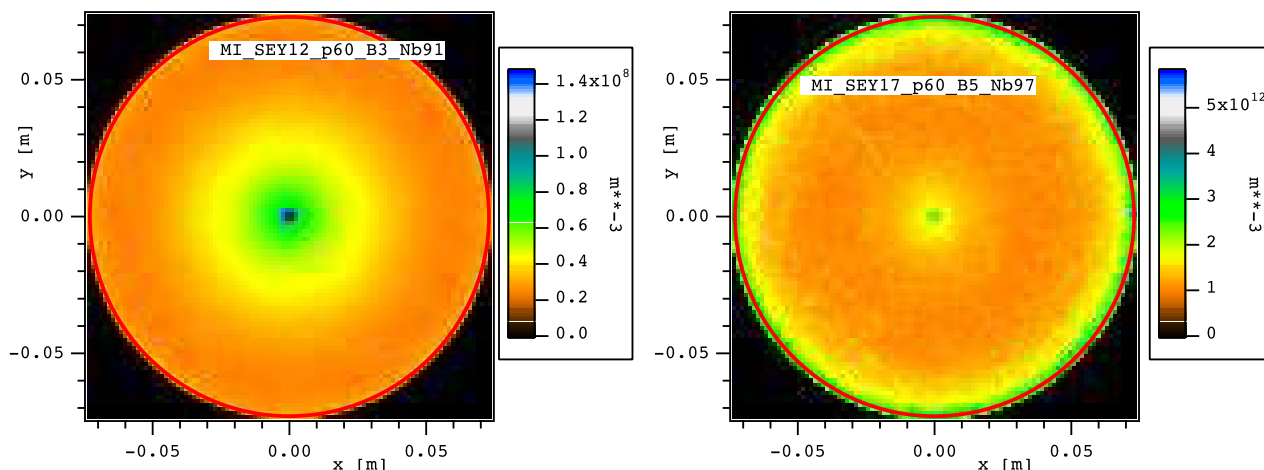


Figure 3: Simulated EC number density  $n_e$  at the field-free location of the RFA. The density is projected onto the transverse plane and is averaged over time during one MI revolution for  $E_b = 60$  GeV. The beam (not shown) travels perpendicularly to the page through the center of the chamber. The red circle represents the vacuum chamber boundary used in the simulation. Left: case 4, assuming  $\delta_{\max} = 1.2$ . Right: case 1a, assuming  $\delta_{\max} = 1.7$ .

The corresponding values of  $n_e$  are shown in Fig. 6. It is clear that  $J_e$  and  $n_e$  go through a threshold in  $\delta_{\max}$ : below (above) threshold,  $n_e$  has an exponential (linear) dependence on  $\delta_{\max}$ . These behaviors are expected on general grounds: below threshold, the multiplicative effect of secondary emission leads to exponential growth. Above threshold a high enough value of  $n_e$  is reached that space-charge forces suppress the further exponential growth of  $n_e$ . In the range  $1.25 \lesssim \delta_{\max} \lesssim 1.35$  the average growth of  $n_e$  is in the range  $n_e \sim 10^{10} - 10^{11} \text{ m}^{-3}$  which is typically lower by an order of magnitude than the average beam neutralization level given by

$$n_b = \frac{N_b}{\pi a^2 \langle s_b \rangle} \quad (1)$$

where  $\langle s_b \rangle = C/M$  is the average bunch spacing ( $M$  is the number of bunches stored in the ring). For this reason no significant effect on the beam is expected; indeed, this lack of an effect is consistent with observations.

### Results for the Dipole Magnet

We have carried out EC build-up simulations in a dipole bending magnet at  $E_b = 60$  GeV. All simulation parameters are the same as for the RFA location, except that the chamber is elliptical with semi-axes  $(a, b) = (6.15, 2.45)$  cm. The dipole field strength is  $0.0115 \text{ T}/(\text{GeV}/c)$ , i.e.  $B = 0.69 \text{ T}$  at  $60 \text{ GeV}$ . Other parameters are listed in Tab. 2.

The  $x - y$  projection of the time-averaged EC density is shown in Fig. 7, which should be compared with 3. The magnetic field effectively confines the electrons to tight vertical spirals, leading to the characteristic stripe structure seen in Fig. 7.

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The averaged  $J_e$  and  $n_e$  are shown in Fig. 8, which should be compared with Figs. 4 and 6. It seems clear that, in this case, there is no threshold behavior as a function of  $\delta_{\max}$ . It is possible that the threshold occurs at lower values of  $\delta_{\max}$  than 1.2. It is also possible that the effectively one-dimensional nature of the build-up physics in the dipole, as compared to the two-dimensional nature in the field-free region, accounts for the qualitative difference between the two.

### $f_{\text{RF}} = 53 \text{ MHz VS. } 212 \text{ MHz}$

One way to make the EC less intense is to spread out the beam charge along the circumference because less intense bunches naturally lead to lower-energy electrons hence, typically, to a lower effective SEY. To quantify the potential benefit of this effect for the MI, we have carried out a comparison of the current RF frequency,  $f_{\text{RF}} = 53 \text{ MHz}$ , with a hypothetical frequency 4 times higher,<sup>2</sup> for a given total beam population  $N_{\text{tot}}$ .

In this initial assessment, we have carried out a simplified simulation only at injection energy,  $E_b = 8.9 \text{ GeV}$ , and only in the field-free section at the location of the RFA. Furthermore, we assume a simplified fill pattern in which there is only one long train and one gap. Specifically, for each  $f_{\text{RF}}$  we assume a fill pattern as follows:

$$f_{\text{RF}} = \begin{cases} 53 \text{ MHz:} & 548 \text{ full} + 40 \text{ empty buckets} \\ 212 \text{ MHz:} & 2192 \text{ full} + 160 \text{ empty buckets} \end{cases} \quad (2)$$

For any given fill pattern all the bunches are assumed to have the same particle population  $N_b$ . When carrying out comparisons of the two RF frequencies, we assume that  $N_b$  for  $f_{\text{RF}} = 212 \text{ MHz}$  is  $1/4$  of the value for  $f_{\text{RF}} = 53$

<sup>2</sup>The precise values of  $f_{\text{RF}}$  are  $52.809$  and  $211.24 \text{ MHz}$ .

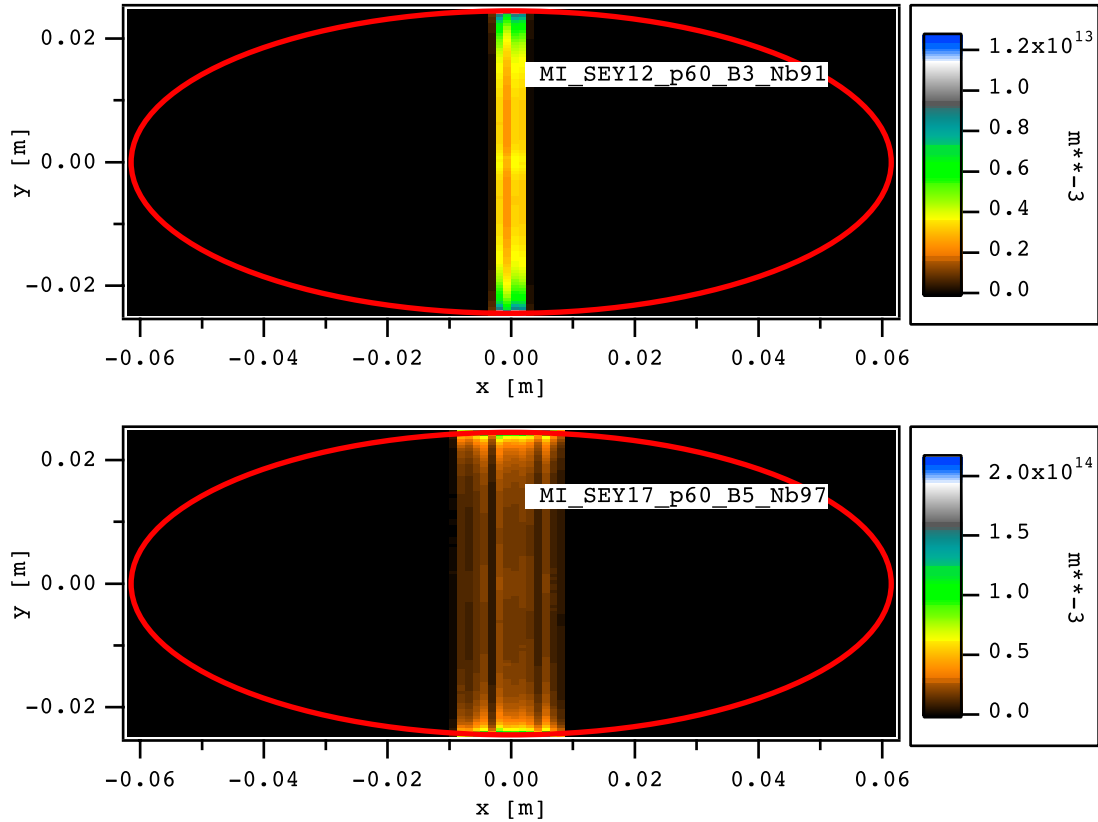


Figure 7: Simulated EC density  $n_e$  in a dipole bending magnet projected onto the transverse plane, averaged over time during one MI revolution for  $E_b = 60$  GeV. The red ellipse represents the vacuum chamber boundary used in the simulation. Top: case 4, assuming  $\delta_{\max} = 1.2$ . Bottom: case 1a, assuming  $\delta_{\max} = 1.7$ .

MHz, so that  $N_{\text{tot}}$  is the same in both cases. The range of values explored for  $f_{\text{RF}} = 53$  MHz is  $N_b = (6 - 30) \times 10^{10}$ , corresponding to  $N_b = (1.5 - 7.5) \times 10^{10}$  for  $f_{\text{RF}} = 212$  MHz, and to  $N_{\text{tot}} = (3.29 - 16.4) \times 10^{13}$  for either case. Concerning the RMS bunch length  $\sigma_z$ , we assume  $\sigma_z = 0.75$  m for  $f_{\text{RF}} = 53$  MHz, and  $\sigma_z = 0.75/4 = 0.1875$  m for  $f_{\text{RF}} = 212$  MHz. We assume the same SEY model as above, but we restrict  $\delta_{\max}$  to the range 1.2–1.4. Parameters specific to this exercise are listed in Tab. 3 [12]. Parameters that do not appear here are the same as in Tab. 2.

## Results

Fig. 9 shows the average incident electron flux  $J_e$  at the walls of the chamber, which might be compared with the data in Fig. 4. The result that  $J_e$  is much lower for  $E_b = 8.9$  GeV than at higher values of  $E_b$  is consistent with previous MI simulations in a somewhat similar parameter regime [8].

Figure 10 shows  $n_e$  vs.  $N_{\text{tot}}$ , along with the average beam neutralization density, Eq. 1. For sufficiently high  $\delta_{\max}$  and/or  $N_{\text{tot}}$ , the average EC density exceeds the beam neutralization level. This condition is typically a rough indication of the onset of significant effects on the beam such as single-bunch instability or emittance growth.

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Figures 9 and 10 exhibit a clear threshold behavior in  $N_{\text{tot}}$ . Simple fits to these data show that when  $N_{\text{tot}}$  exceeds a certain value  $N_{\text{th}}$ , the average EC density grows like

$$n_e \simeq n_0(N_{\text{tot}} - N_{\text{th}}) \quad (3)$$

where  $n_0 \simeq 0.04 \text{ m}^{-3}$ , roughly independently of  $\delta_{\max}$  and  $f_{\text{RF}}$ . On the other hand, as shown in Fig. 11, the threshold  $N_{\text{th}}$  does depend on both  $\delta_{\max}$  and  $f_{\text{RF}}$ , in the form

$$N_{\text{th}} \simeq -N_0(\delta_{\max} - \delta_0) \quad (4)$$

where  $N_0 \simeq 2.5 \times 10^{14}$ , roughly independently of  $f_{\text{RF}}$ , and

$$\delta_0 \simeq \begin{cases} 1.75, & f_{\text{RF}} = 53 \text{ MHz} \\ 1.55, & f_{\text{RF}} = 212 \text{ MHz} \end{cases} \quad (5)$$

The growth of  $n_e$  and  $J_e$  as a function of  $N_{\text{tot}}$  can be partially explained by the monotonic dependence of the electron-wall impact energy  $E_0$  on  $N_{\text{tot}}$ , as shown in Fig. 12. As  $E_0$  increases towards  $E_{\max} \simeq 293$  eV, where  $\delta(E_0)$  is maximum, one naturally expects an increase in the effective SEY, hence a larger  $n_e$ . This argument, however, does not explain the above-mentioned threshold behavior, which probably involves a combination of secondary emission, space-charge forces, and the partial absorption of low-energy electrons striking the walls.

Table 3: Assumed MI fill pattern parameters for RF frequency comparisons.

Parameter	Symbol [unit]	Value	
<b>Ring and beam</b>			
RF frequency	$f_{\text{RF}}$ [MHz]	52.809	211.24
Harmonic number	$h$	588	2352
No. of bunches	$M$	548	2192
Gap length	$\dots$ [buckets]	40	160
Bunch spacing	$\dots$ [buckets]	1	1
Bunch spacing	$t_b$ [ns]	18.94	4.734
Bunch population	$N_b$ [ $10^{10}$ ]	6 – 30	1.5 – 7.5
Transverse RMS bunch sizes	$(\sigma_x, \sigma_y)$ [mm]	(2.3, 2.8)	
RMS bunch length	$\sigma_z$ [m]	0.75	0.1875
Total beam population	$N_{\text{tot}}$ [ $10^{13}$ ]	3.29 – 16.4	
Beam energy	$E_b$ [GeV]	8.9	
<b>Simulation parameters</b>			
No. kicks in $L_b$	$N_k$ [ $\dots$ ]	253	65
Integration time step	$\dots$ [s]	$4.8 \times 10^{-11}$	

## CONCLUSIONS

By fitting our EC build-up simulations to the RFA-measured electron-wall flux in an MI field-free region we conclude that the peak SEY was in the range  $1.25 \lesssim \delta_{\text{max}} \lesssim 1.35$  at the time of the measurements. This range of values is consistent with others for well-conditioned stainless steel [17]. Since  $\delta_{\text{max}}$  is almost certainly the essential parameter that will determine the EC build-up level in the MI upgrade, bracketing its value allows for better quantitative predictions for higher intensities. At present beam intensities, our simulations show that, for this range of  $\delta_{\text{max}}$ , the EC density is low enough not to lead to detrimental effects on the beam, a conclusion consistent with observations.

In the field-free region analyzed, the steady-state EC wall flux  $J_e$  and steady-state average density  $n_e$  show a threshold behavior as a function of  $\delta_{\text{max}}$  at fixed beam intensity. The threshold probably indicates a transition from a secondary-emission-dominated regime to a space-charge dominated regime. This threshold behavior is not seen in the simulations for a dipole bending magnet for the range of values of  $\delta_{\text{max}}$  explored in this article, namely  $1.2 \leq \delta_{\text{max}} \leq 1.7$ . More work is needed to understand the absence of threshold behavior in a dipole. One qualitative difference between field-free and dipole regions is that the EC dynamics in the former is effectively two-dimensional, while it is one-dimensional in the latter. This difference may hold the key to the explanation.

There is a qualitative difference between measurements and simulations that remains to be explained: the RFA signal shows a strong dependence on beam energy during the ramp, typically peaking at  $E_b \sim 60$  GeV, while spot-check simulations for the field-free region carried out at  $E_b = 8.9, 20, 45, 60$  and  $90$  GeV show virtually no depen-

dence on  $E_b$  (for each simulated case we used the appropriate values for all energy-dependent parameters, in particular the RMS beam sizes). We further recall that transition energy is  $\sim 20$  GeV, which is significantly below the energy at which the RFA signal peaks. We do not have an explanation for this discrepancy. It is possible that our simulations do not accurately represent certain details of the actual situation; for example, a significant beam closed orbit shift during the ramp might affect the RFA signal, but this shift would not be taken into account in the simulation. Interestingly, measurements at the SPS show a qualitatively similar behavior as the MI: the SPS RFA signal is strongly energy-dependent and peaks at an energy significantly higher than transition energy [18]. We are not aware of an explanation for the effect at the SPS, although a correlation has been noted between the RFA signal and an empirical but simple combination of powers of the transverse and longitudinal beam sizes.

When we compare the simulated EC build-up in the RFA field-free region for two RF frequencies, namely the current 53 MHz with a hypothetical 212 MHz, for a given total beam population  $N_{\text{tot}}$ , we observe a clear threshold behavior as a function of  $N_{\text{tot}}$ : when  $N_{\text{tot}}$  exceeds a value  $N_{\text{th}}$ ,  $n_e$  increases proportionally to  $(N_{\text{tot}} - N_{\text{th}})$ ; for  $N_{\text{tot}} < N_{\text{th}}$ ,  $n_e$  grows exponentially with  $N_{\text{tot}}$ .

The threshold  $N_{\text{th}}$  has a sensitive inverse dependence on  $\delta_{\text{max}}$ , and a sensitive direct dependence on  $f_{\text{RF}}$ : for a given  $\delta_{\text{max}}$ ,  $N_{\text{th}}$  is roughly a factor of 2 higher for  $f_{\text{RF}} = 212$  MHz than for 53 MHz. For fixed  $N_{\text{tot}}$ , this qualitative beneficial effect of the higher  $f_{\text{RF}}$  can be expected on rather simple grounds, because the correspondingly lower value of  $N_b$  makes the electron-wall impacts less energetic hence less effective in generating secondary electrons.

The dependence of  $N_{\text{th}}$  on  $f_{\text{RF}}$  affords the possibility of dramatically reducing the EC density assuming one has

Table 2: Assumed MI parameters for EC simulations.

<b>Ring and beam</b>	
Ring circumference	$C = 3319.419$ m
Revolution period	$T_0 = 11.13$ $\mu$ s
RF frequency	$f_{\text{RF}} = 52.809$ MHz
Harmonic number	$h = 588$
Beam energy	$E_b = 60$ GeV
Bunch profile	3D gaussian
Tr. RMS bunch sizes	$(\sigma_x, \sigma_y) = (0.866, 1.06)$ mm
RMS bunch length	$\sigma_z = 0.19$ m
Pipe cross sect. at RFA	round
Pipe radius at RFA	$a = 7.3$ cm
Pipe cross sect. at dipole	elliptical
Pipe semiaxes at dipole	$(a, b) = (6.15, 2.45)$ cm
Dipole field at $E_b = 60$ GeV	$B = 0.69$ T
<b>Primary e<sup>-</sup> sources</b>	
Resid. gas pressure	$P = 20$ nTorr
Temperature	$T = 305$ K
Ioniz. cross-section	$\sigma_i = 2$ Mbarns
Ioniz. e <sup>-</sup> creation rate	$1.266 \times 10^{-7}$ (e/p)/m
<b>Secondary e<sup>-</sup> parameters</b>	
Peak SEY	$\delta_{\text{max}} = 1.2 - 1.7$
Energy at $\delta_{\text{max}}$	$E_{\text{max}} = 292.6$ eV
SEY at 0 energy	$\delta(0) = 0.2438 \times \delta_{\text{max}}$
<b>Simulation parameters</b>	
Full bunch length	$L_b = 5\sigma_z$
Primary macroelectrons/bunch	100
Max. no. of macroelectrons	20000
No. kicks in $L_b$	$N_k = 253$
Integration time step	$4.8 \times 10^{-11}$ s
Space-charge grid	$64 \times 64$

some freedom to chose the value of  $N_{\text{tot}}$ . This is because there is always a range of  $N_{\text{tot}}$  for which the electron cloud is below threshold for  $f_{\text{RF}} = 212$  MHz but above threshold for  $f_{\text{RF}} = 53$  MHz. For example, in Fig. 10 (bottom) for the case  $\delta_{\text{max}} = 1.3$  and  $N_{\text{tot}} = 0.8 \times 10^{14}$ , the simulated  $n_e$  is almost 5 orders of magnitude smaller for  $f_{\text{RF}} = 212$  MHz than for 53 MHz. On the other hand, if the desired value of  $N_{\text{tot}}$  is so high that it exceeds threshold for  $f_{\text{RF}} = 212$  MHz (and, *a fortiori*, for 53 MHz), then the beneficial effect of the higher  $f_{\text{RF}}$  is in the range of a factor of  $\sim 2$  rather than several orders of magnitude. This, unfortunately, is the situation for the planned MI upgrade.

Although the RF frequencies comparison carried out here is based on a simplified beam fill pattern, and only for  $E_b = 9.9$  GeV, we expect the qualitative features of our results to remain valid for more realistic patterns, involving several gaps in the bunch train, provided the values of  $N_{\text{tot}}$  are in the range considered here. It seems important to repeat this exercise in a dipole on account of the observed qualitative difference in the simulations between a field-free region and a dipole field region.

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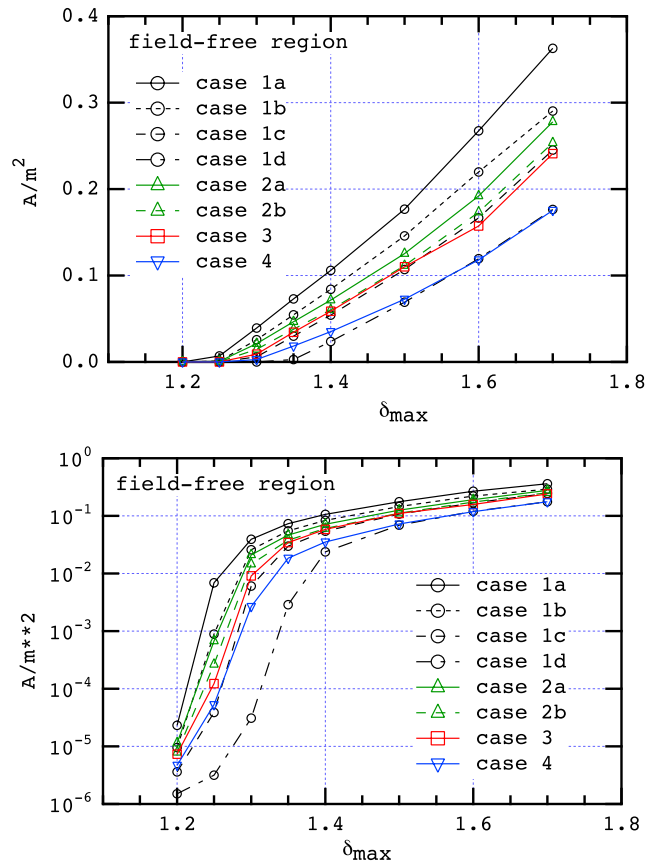


Figure 4: Simulated incident electron flux  $J_e$  on the vacuum chamber walls at the field-free region where the RFA is installed vs. the assumed value of  $\delta_{\text{max}}$ , at  $E_b = 60$  GeV. The flux was averaged during one MI revolution. Top and bottom are the same simulated data, plotted with linear and logarithmic scales respectively.

Our simulations may be sensitive to model variables, which we have not yet fully explored, that may change certain details of our conclusions. Such variables may include:

- The precise value of  $\delta(0)$ .
- The detailed composition of the secondary emission energy spectrum, particularly the fraction of redifused electrons.
- The precise value of  $E_{\text{max}}$ .
- Computational parameters, such as the space-charge grid size and integration time step.

We intend to extend the work presented here by addressing as many of these issues as possible in the near future.

### ACKNOWLEDGMENTS

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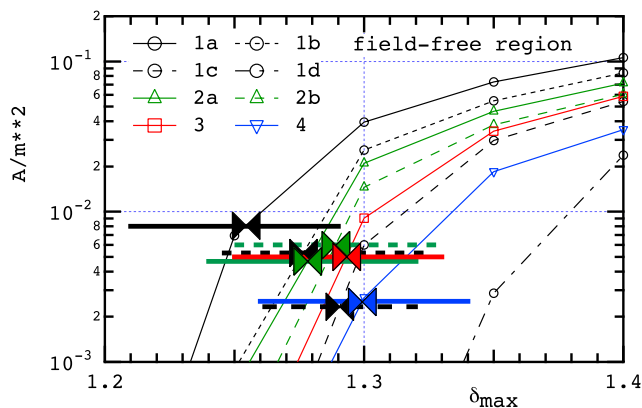


Figure 5: Detail of the simulated electron flux  $J_e$  (Fig. 4 bottom) plotted along with the RFA measurements, taken from Fig. 1, for each case (thick horizontal lines). The intersections of the measurements with the simulations, indicated by bowties, imply  $1.25 \lesssim \delta_{\max} \lesssim 1.35$ .

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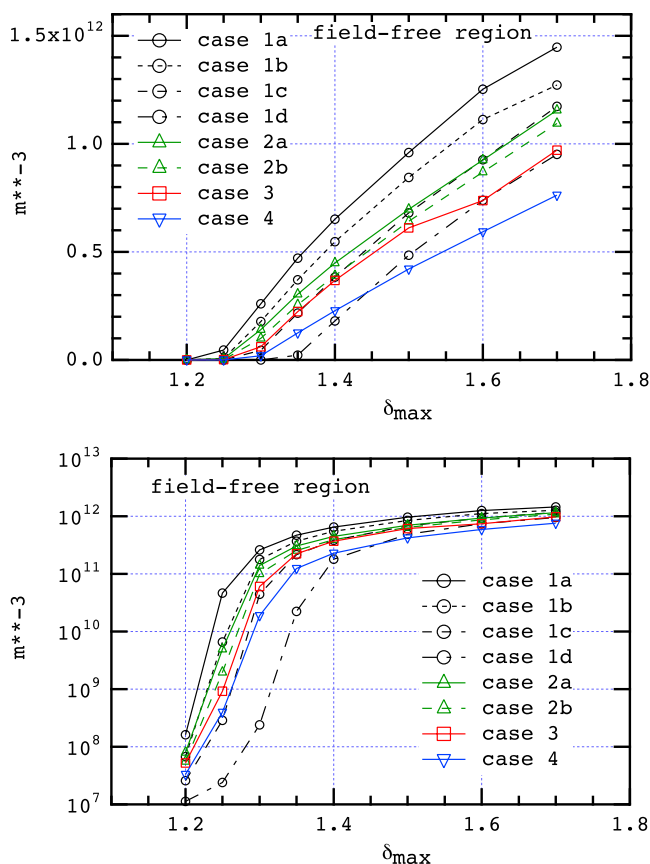


Figure 6: Simulated EC density at the field-free region where the RFA is installed vs. the assumed value of  $\delta_{\max}$ , at  $E_b = 60$  GeV. The density was averaged during one MI revolution and over the entire volume of the chamber section being simulated. Top and bottom are the same simulated data, plotted with linear and logarithmic scales respectively.

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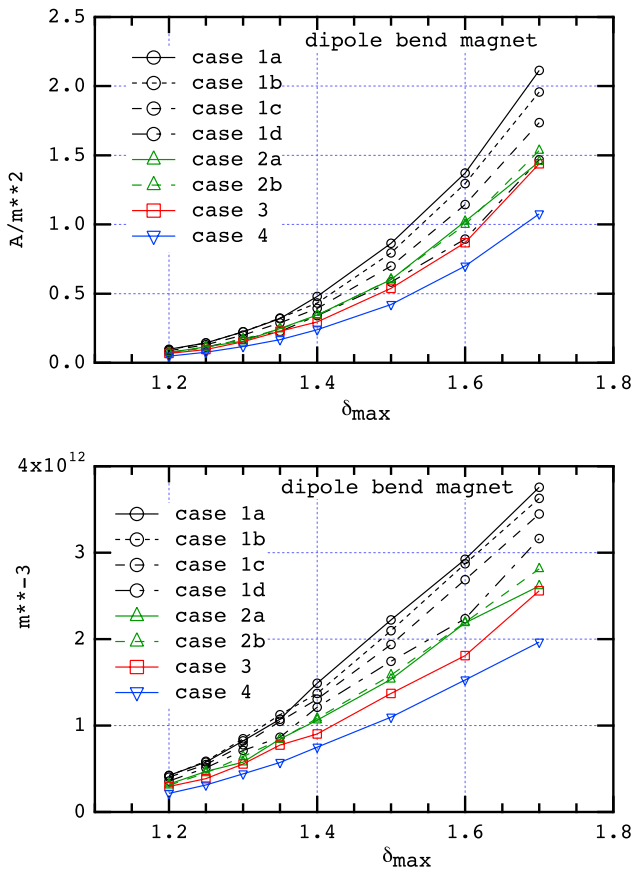


Figure 8: Simulated EC flux at the wall (top), and volumetric density (bottom) in a dipole bend vs. the assumed value of  $\delta_{max}$ , at  $E_b = 60$  GeV. The quantities were averaged during one MI revolution. The flux was averaged over the entire chamber surface, and the density over the entire volume.

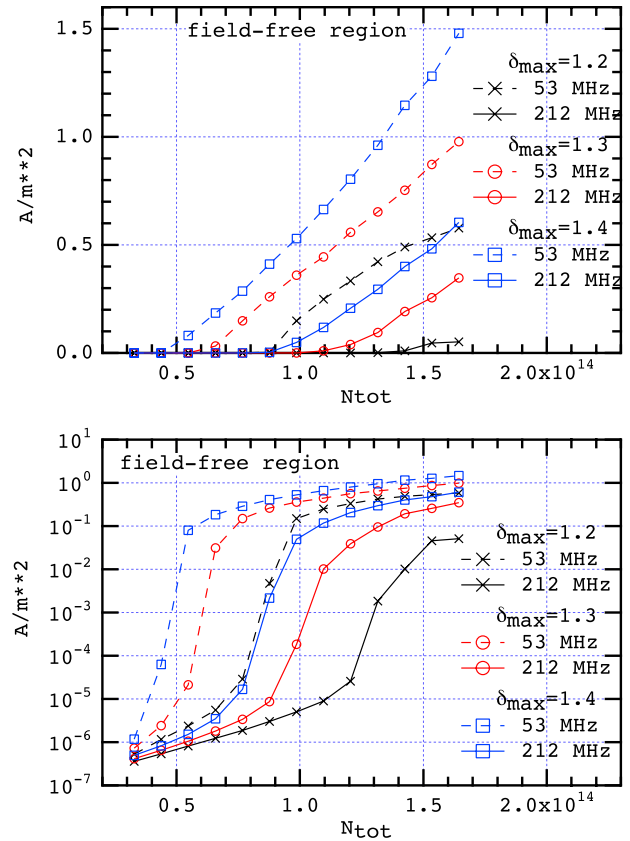


Figure 9: Average simulated  $J_e$  at the RFA location for  $E_b = 8.9$  GeV and  $\delta_{max} = 1.2, 1.3$  and  $1.4$ . Top: linear scale; bottom: log scale (same data).

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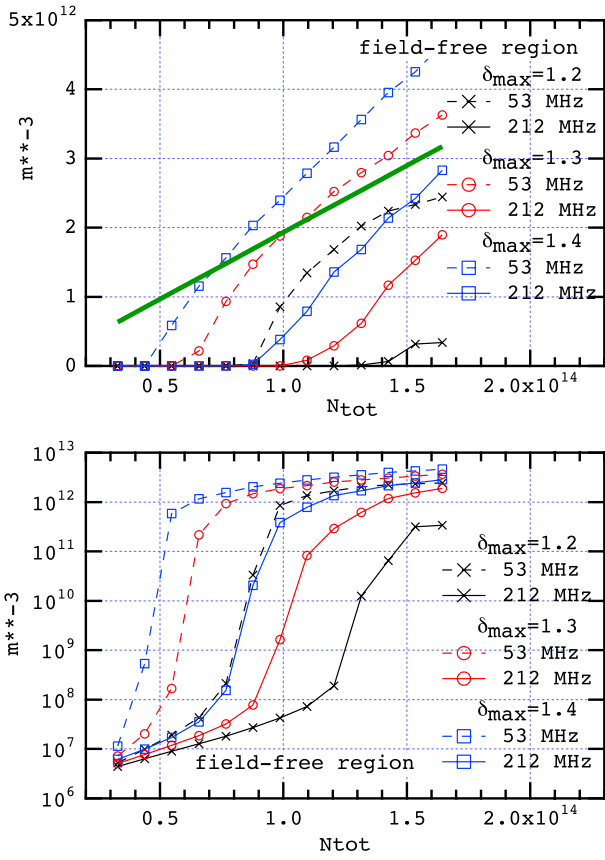


Figure 10: Average simulated  $n_e$ . Top: linear scale; bottom: log scale (same data). The straight green line in the top plot is the average beam neutralization density, Eq. (1).

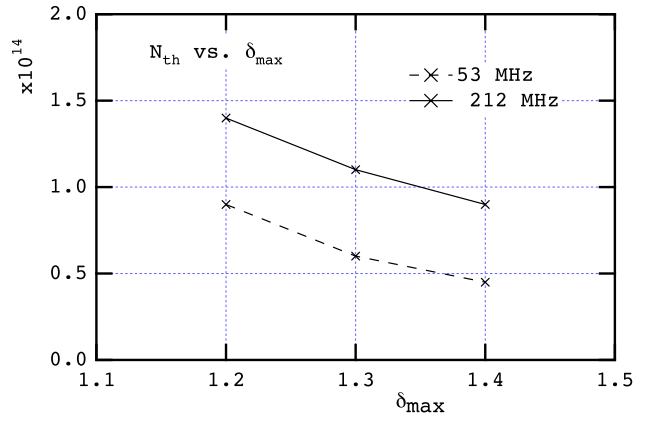


Figure 11:  $N_{th}$  vs.  $\delta_{max}$  (Eqs. (4-5)).

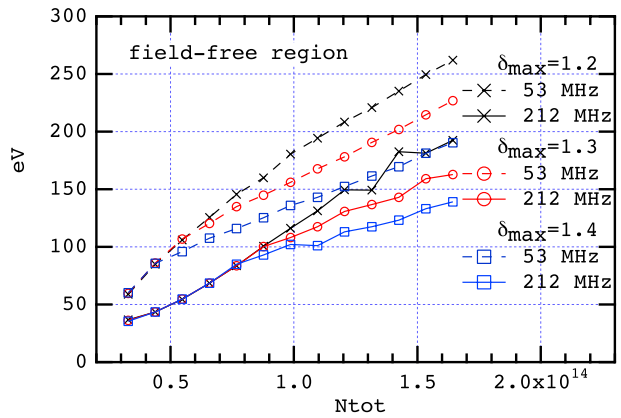


Figure 12: Average simulated impact kinetic energy at the walls, per electron-wall collision.