About Accelerators for X-ray FELs

challenges:energy, energy spread, gain lengthnm ... Å ...overlap electron – photon beam \rightarrow emittance

$$\lambda_{l} = \frac{\lambda_{u}}{2(\gamma_{0} + \delta\gamma)^{2}} \left(1 + \frac{K^{2}}{2}\right) + \frac{\lambda_{u}}{2} \left(x'^{2} + y'^{2}\right)$$
emittance & option

resonance energy

energy spread

emittance & optics

$$L_g \propto \sqrt[3]{\frac{2mc}{\mu_0 e} \cdot \frac{\gamma^3 \lambda_u}{K^2} \cdot \frac{\sigma_r^2}{\hat{l}}}$$
 current density

(1d theory)

typical numbers: $1 \dots 10 \text{ GeV} \dots$ energy $0.0001 \dots 0.001$ relative energy spread $0.1 \dots 1 \mu m$ normalized emittance $1pC \dots 1nC$ bunch charge $1 \dots 10 \text{ kA} \dots$ bunch current



About Accelerators for X-ray FELs

1 Remarks

- 2 Gun to Undulator Tracking
- **3 Simulation Tools**
- 4 Some Effects and Models
- **5 Bunch Compression Systems**

References



1 Remarks

this is not about all possible guns, accelerators, bunch compression systems ...

some aspects are about accelerators in general, some about acc. for FELs in particular (f.i. bunch compression)

most examples are close to European XFEL or FLASH parameters are not necessarily design (they are sometimes chosen to provoke effects)

from general to particular: from a layout of a complete facility down to effects (as wakes) and tolerance estimations

about accelerators from the view point of simulations

this is not about particular simulation tools and programs but about approaches and effects

from particular to general: about the design of bunch compression systems (tolerances)

references on last slide



2 Gun to Undulator Tracking

2.1 X-FELs Overview

2.2 Some Important Components - Gun

2.3 Some Important Components – Bunch Compressor

2.4 Some Important Components - Accelerator

2.5 Simulation Procedure



2.1 X-FELs Overview

FLASH (2010: 1.2 GeV, 4 nm)





50

3500

2.1 X-FELs Overview

European XFEL – injector & BC system



2.1 X-FELs Overview









external fields:

(f=1.3GHz, E0~50MV/m, laser launch phase -40deg)





Oth order description: particles are accelerated in external rf field

→ initial conditon (emission model) + time dependent acceleration + time dependent focussing

1st order description: with **self** fields (0th order)

→ space charge effects longitudinal self field depends on long. & transverse position in bunch; source of uncorrelated energy spread focusing depends on longitudinal position: "different optics" along bunch; causes growth of **projected** emittance

an other external field: solenoid

compensates (to some extend) different slice optics

different slice optics (may) cause projected emittance growth optimal setting for external fields depends on self effects (and Q!)









phase space pictures at z=2.6m (in the gun)



 $\gamma >>1 \rightarrow$ velocity differences are too small for effective compression magnetic compression: path length depends on energy







longitudinal phase space after rf gun (before accelerating module):



longitudinal phase space after accelerating module (before compressor):



after compressor



all is non linear: initial long. phase space, rf-shape, path length vs. pz \rightarrow only weak compression or rollover



with higher harmonic rf systems it is possible to compensate non-linearities of compression and to avoid the "spike" mode



higher harmonic rf system are important components!



2.4 Some Important Components - Accelerator



2.4 Some Important Components - Accelerator

external field of cavity (driven by external source f.i. klystron)



usual cavities with symmetry of revolution, usual monopole mode

usualy the accelerating mode is a monopole mode π mode in standing wave cavities (as shown) or $2\pi/3$ mode in most travelling wave structures

transverse focusing (rf focusing) ~ $1/\gamma^2$ (negligible at high energy)

coupler (input and HOM)

causes field asymmetries and unwanted kicks

lowest order contribution is usually the time dependency of the transverse kick \rightarrow different kick for head and tail, growth of projected emittance



2.4 Some Important Components - Accelerator

self field of cavity (driven driven by bunches)



the concept of wake fields is used to describe the integrated kick (caused by a source particle, seen by an observer particle)

short range wakes describe interaction of particles in same bunch long range wakes describe multi bunch interactions

important for FELs: longitudinal single bunch wakes change the energy chirp and interfere with bunch compression; "chirp compensation"

coupler (input and HOM) not the most important effect projected emittance growth can be avoided by symmetric design or by alternating orientation of couplers on successive cavities

beam dynamics must include wakes & SC effects



2.5 Simulation Procedure

simulation with "macro" particles

particle generator, number of macro particles

different tracking programs might be used (different physical approximation)

f.i. tracker with space charge solver (Astra, Parmela, ...) (for linear sections with cavities and optical elements)

f.i. CSR solver (CSRtrack, Bmad, elegant, ...)

(effects from coherent synchrotron radiation)

FEL (Alice, Genesis, ...)

interface routines, conversion tools

discrete elements (coupler kick & wake)

distributed elements \rightarrow wake per length

matching and trajectory correction



2.5 Simulation Procedure



 ASTRA
 CSRtrack "projected" model (sub-bunch approach)
 ALICE
 W1 -TESLA cryomodule wake
 W3 - ACC39 wake
 TM- transverse matching to design optics



2.5 Simulation Procedure – example



it is pre-FLASH called TTF (2005)



2.5 Simulation Procedure – example





2.5 Simulation Procedure – example



3 Simulation Tools

3.1 Tracking with Space Charge Effects

idea: local uniform motion

use uniform motion approach for field calculation

particles in individual uniform motion \rightarrow point to point interaction

particles together in uniform motion \rightarrow field calculation in rest frame with Poisson solver

3.2 Tracking with Radiative Interactions

general approaches (how to solve Maxwell's equations) the popular CSR approach: "the projected method"



3.1 Tracking with Space Charge Effects

tracking program with Poisson solver:

distribution of macro-particles $\mathbf{r}_{_{\!V}}, \mathbf{p}_{_{\!V}}, q_{_{\!V}} \rightarrow \overline{\mathbf{v}}$ velocity of rest frame



 $\rho = \rho(\mathbf{r} - \overline{\mathbf{v}}t)$

smooth charge density function f.i. unform inside of grid cells

Lorentz transformation to rest frame solve E static problem transform back

$$\mathbf{E}^{(s)} = \mathbf{E}^{(s)} (\mathbf{r} - \overline{\mathbf{v}}t) \qquad \leftarrow \\ \mathbf{B}^{(s)} = \overline{\mathbf{v}} \times \mathbf{E}^{(s)} / c^2$$

 $\mathbf{E}^{(e)}$

 $\mathbf{B}^{(e)}$

calculate external field f.i. analytic description in quadrupoles

or field maps in cavities

integrate equation of motion f.i. with Runge Kutha method:



3.1 Tracking with Space Charge Effects

input for Poisson solver (f.i. Astra):

initial particle distribution (from particle generator or previous tracking) external field

fieldmaps (f.i. solenoid or Ez component of cavities) lattice (position, size and strength of quadrupoles, solenoid etc.) rf settings (position of cavities, amplitudes & phases)

(Linac parameters)

self field

settings of Poisson solver

f.i. spatial resolution (mesh) and accuracy parameters

integrate e.o.m (tracker)

accuracy parameters as step width

end point



the model 'local uniform motion' is not applicable in BCs, doglegs, or one has to verify it; f.i. compare SC field with CSR field

$$E_z^{SC} \sim \frac{Z_0 \hat{I}}{\pi \sigma_s \gamma^2} \ln \frac{\gamma \sigma_s}{\sigma_r} \qquad \qquad E_z^{CSR} \sim \frac{1}{2\pi \sqrt[3]{3}} \frac{Z_0 \hat{I}}{\sigma_s^{1/3} R_c^{2/3}}$$

peak current *I*, long. and transverse bunch dimension σ_{s} , ρ_{r} relativistic factor γ , rucature radius R_{c}

EM field calculation for general sources

solve partial differential equation on a mesh

severe problems with conventional field solvers (as FDTD) bunch- and wavelengths on μm scale but interaction length in the range of meters

 \rightarrow special approach with paraxial approximation

integrate retarded sources

keep source distributions in time and space $\rho(\mathbf{r},t) \mathbf{J}(\mathbf{r},t)$ integration of retarded sources f.i. $\mathbf{B} \sim \nabla \times \int \frac{\mathbf{J}(\mathbf{r}',t')}{\|\mathbf{r}-\mathbf{r}'\|} dV'$ large numerical effort; not practical in standard simulations



popular CSR approach: "the projected method"

neglects offset from ideal trajectory



longitudinal field is calculated by Lienard-Wiechert formula for source particle on ideal trajectory and test particle at $\mathbf{r}_p(\tau = t + s/v)$

$$E(\tau,t) = \mathbf{e}_{\parallel}(\tau) \cdot \mathbf{E}(\mathbf{r}_{p}(\tau),t)$$

but the field is singular for $t \rightarrow \tau$



the field of particles on a linear trajectory is used to extract the singularity:

$$E_{lin}(\tau,t) = \mathbf{e}_{\parallel} \cdot \mathbf{E}_{lin}(\mathbf{r}_{lin}(\tau),t)$$



CSR kernel: (it is a definition)

$$K_{CSR}(s,t) = E(t+s/v,t) - E_{lin}(t+s/v,t)$$

the projected method:

calculate longitudinal charge density on trajectory $\lambda(s,t) \leftarrow \rho(\mathbf{r},t)$ (this involves smoothing)

calculate CSR field (of all particles): $E_{CSR}^{\lambda}(s,t) = \int \lambda(s-u,t)K(u,t)du$

solve equation of motion with $\mathbf{E} = \mathbf{E}^{(e)} + \mathbf{e}_{\parallel} E_{CSR}^{\lambda}$ and $\mathbf{B} = \mathbf{B}^{(e)}$



some remarks to the projected method:

space charge part neglected

no dependency on transverse beam size nor on transverse particle offset

no transverse fields

"retarded" 1D charge distribution with fixed shape

the method is fast and efficient

smoothing parameter is critical (µ-bunching instability)

it is an empirical method



4.1 Some Effects and Models

- 4.1 Wakes and Impedances
- 4.2 Some Longitudinal Impedances
- 4.3 Some CSR Effects
- 4.4 Transverse Space Charge Model



4.1 Wakes and Impedances



4.1 Wakes and Impedances

distributed 3D source distribution $\rho(x, y, s)$:

$$\Delta \mathbf{P}(x_t, y_t, s_t) = \frac{q_t}{c} \int dV_s \rho(x_s, y_s, s_s) \mathbf{W}(x_s, y_s, x_t, y_t, s_t - s_z)$$

special case 1D: symmetry of revolution, source particle on axis $\mathbf{W}(0,0,x_t,y_t,s) = \mathbf{e}_z W_{\parallel}(s)$!

longitudinal field of 1D source distribution $\lambda(s)$:

$$E_{\parallel}(s) = \int \lambda(s-u) W_{\parallel}'(u) du$$
$$E_{CSR}^{\lambda}(s,t) = \int \lambda(s-u,t) K(u,t) du$$

the same concept is used for the CSR approach "projected"

longitudinal impedance

 $Z_{\parallel}(\omega)$ = FourierTransformed $\{W_{\parallel}(ct)\}$



uniform motion		
response of geometry or matter	space charge	radiation
single cavity "gap"	free space	constant curvature
$Z(\omega) = (1+i)\frac{Z_0}{2\pi b}\sqrt{\frac{gc}{\omega\pi}}$	$Z_{sc}'(\omega) = -\frac{iZ_0}{4\pi} \frac{\omega}{c\gamma^2} F_{\eta}\left(\frac{\omega r_{\eta}}{c\gamma}\right)$	$Z_{CSR}'(\omega) \approx 0.15 Z_0 \sqrt[3]{\frac{\omega}{icR_{curv}^2}}$
g	$x \ll 1$: $F_{\eta}(x) \approx -2\ln(d_{\eta}x)$ $\eta = \text{transverse shape, } d_{\eta} \propto 1$	for $\omega << \frac{c\gamma^3}{R_{\rm curv}}$
resistive	up to very high frequencies inductive !	37

calculations of (longitudinal) wake for string of cavities:

numerical calculation for finite bunch length, extrapolation for $\sigma \rightarrow 0$



scaling of wake per length with fundamental mode frequency (or inverse cavity size): $W' \propto \omega^{-2}$

 $W'_{\parallel} \propto \omega^{-2}$ $W'_{\perp} \propto \omega^{-3}$



example:



example:



example:



negative chirp compensated by LINAC wakes positive chirp induced by space charge !





CSR effects are not instantaneous

example: longitudinal field in center of a spherical bunch that travels through a bending magnet





example [6]: **4 magnet chicane** compression 600A (1nC) \rightarrow 6KA at 5 GeV



significant change of energy profile in the last magnets and in the drift between



an effect causing growth of slice emittance

simplified picture of the last magent of a chicane: length \rightarrow 0 but kick = const



different long. field is seen by particles that come to the same slice (after compressor)

an effect ...

particles with different energy get different kick

growth of emittance $\varepsilon = \sqrt{\varepsilon_0^2 + \varepsilon_0 \beta (\phi \Delta E_{rms}/E)}$

with $\varepsilon_0, \varepsilon$ emittance before after discrete magnet

- β beta function (lattice)
- ϕ deflection angle
- ΔE_{rms} energy spread of particle bunch (slice or full bunch)
- *E* energy of particle bunch
- $\Delta E_{\rm rms}$ depends weak on energy

therefore: $\beta \rightarrow$ small; focus of lattice function in last magent

 $E \rightarrow high$



coasting pencil beam:
$$E_r = \frac{I/v}{2\pi\varepsilon} \frac{1}{R} \begin{cases} r/R & \text{if } r < R \\ R/r & \text{otherwise} \end{cases}$$

 $B_{\varphi} = \frac{I/c^2}{2\pi\varepsilon} \frac{1}{R} \begin{cases} r/R & \text{if } r < R \\ R/r & \text{otherwise} \end{cases}$

radial force:

0.4

0.3

0.2

0.1

0



a slice model is used to estimate transverse self effects:

 $F_r \propto \frac{I(s)}{\gamma^2} f(r, \text{shape}(s))$

force depends on energy

force is usually non-linear in offset \rightarrow effective linear force for rms properties

force depends on shape

force depends on bunch coordinate

 \rightarrow transverse optics depends on slice coordinate s

transverse EoM:

$$x'' + \frac{p'_r}{p_r} x' - (k_x^{(0)} + k_s)x = 0$$

quadrupoles space charge $k_s = k_s(\sigma_x, \sigma_y, \gamma, I(s), \text{shape})$
space charge parameter \hat{S} compares these terms



simplification: $\sigma_x \approx \sigma_y \approx \sqrt{\beta}$ $k_x^{(0)} \leftarrow \beta^{-2}$ $k_s \leftarrow k_s \left(\sqrt{\beta}, \cdots, \text{gaussian}\right)$

with emittance ε and averaged β -function

space charge parameter:

$$\hat{S} = \frac{k_s}{k_x^{(0)}} = \frac{I(s)}{I_A} \frac{\beta}{\gamma^2 \varepsilon_n}$$

 $\varepsilon_n \approx \gamma \varepsilon$ normalized emittance $I_A = 17$ kA Alven current



example:











integrated effect ~ 1 / (beam energy @ compression) similar scaling for longitudinal SC effects 51



Red: 10 000 particles, Gaussian distr.
Green (I=0) & Blue (I=2kA): test particles:
– do not contribute in space charge forces
– are tracked in space charge field of the main beam



CPU time = 90 sec (grid: 256x256, Nsp/elem=5)

5 Bunch Compression Systems

compression to kA bunches has to happen at high enough beam energy to avoid strong space charge effects

is it possible to compress the bunch in one stage? yes, but: rf tolerances are extremely tight linearization by higher harmonic system at high energy level (→ costs, wakes & beam loading)

some problems increase with energy:

a) energy spread (for chirp) is limited

b) required longitudinal dispersion needs space and/or strong magnets

c) emittance growth due to incoherent synchrotron radiation

5.1 RF Tolerances in Single Bunch Compressor

5.2 RF Tolerances in Multi-BC System



5.1 RF Tolerances in Single Bunch Compressor

example: compression ≈ 1000



5.1 RF Tolerances in Single Bunch Compressor

linear model of compression process:

coordinates z, p are deviation from nominal length Z and momentum P_z

rf system creates chirp
$$\begin{pmatrix} z^{(2)} \\ p^{(2)} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ a & 1 \end{pmatrix} \begin{pmatrix} z^{(1)} \\ p^{(1)} \end{pmatrix} \quad \text{chirp parameter}$$

rf matrix **R**
magnetic chicane changes $z \quad \begin{pmatrix} z^{(3)} \\ p^{(3)} \end{pmatrix} = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} z^{(2)} \\ p^{(2)} \end{pmatrix} \quad \text{dispersion parameter}$
bunch compressor matrix **B**

$$\begin{pmatrix} z^{(3)} \\ p^{(3)} \end{pmatrix} = \mathbf{BR} \begin{pmatrix} z^{(1)} \\ p^{(1)} \end{pmatrix} = \begin{pmatrix} \overline{1+ab} & b \\ a & 1 \end{pmatrix} \begin{pmatrix} z^{(1)} \\ p^{(1)} \end{pmatrix} \quad \text{inverse compression}$$
compression factor:
$$\boxed{C = \frac{1}{(\mathbf{BR})_{1,1}} = \frac{1}{1+ab}} \quad \text{strong compression} \rightarrow ab \approx -1$$

5.1 RF Tolerances in Single Bunch Compressor

rf tolerances of C are closely related to chirp tolerances

$$C = \frac{1}{1 + ab}$$
$$\frac{1}{C} \frac{\partial C}{\partial a} = -Cb = \frac{C - 1}{a}$$

relative error of compression:

$$\frac{\delta C}{C} = (C - 1)\frac{\delta a}{a}$$

formula for cosine rf curvature and non linear dispersion (of 4 magnet chicane):

$$\frac{\delta C}{C} = \left(C - 1\right) \left(3\tan\varphi + \frac{1}{\tan\varphi}\right) \delta\varphi$$

the example: C = 1000 $\varphi = 27 \text{ deg}$ \rightarrow half compression



system with two compressors:



compression factor:

$$C = \frac{1}{\left(\mathbf{B}_{2}\mathbf{R}_{2}\mathbf{B}_{1}\mathbf{R}_{1}\right)_{1,1}}$$

$$C = \frac{1}{(1 + a_1b_1)(1 + a_2b_2) + b_2a_1}$$

chirp parameter



compression factor:
$$C = \frac{1}{(1+a_1b_1)(1+a_2b_2)+b_2a_1}$$

chirp parameter

extreme 1: acceleration by rf2 is on crest $a_2 = 0$

$$C = \frac{1}{1 + a_1(b_1 + b_2)}$$

BC1 and BC2 work as one bunch compressor although they are on different energy levels

$$\frac{\delta C}{C} = (C - 1)\frac{\delta a_1}{a_1}$$

no improvement with respect to tolerances!



compression factor:
$$C = \frac{1}{(1+a_1b_1)(1+a_2b_2)+b_2a_1}$$

chirp parameter

extreme 2: decouple both compression stages:

 $|b_2a_1| << (1+a_1b_1)(1+a_2b_2)$ f small chirp in rf1 small dispersion in BC2

 $|b_2a_1| \ll C^{-1}$ (decoupling condition)

$$C = C_1 C_2$$
 with $C_1 = \frac{1}{(1 + a_1 b_1)}$ and $C_2 = \frac{1}{(1 + a_2 b_2)}$

relative error of decoupled compression:

$$\frac{\delta C}{C} = (C_1 - 1)\frac{\delta a_1}{a_1} + (C_2 - 1)\frac{\delta a_2}{a_2} \qquad \text{relaxed tolerances !}$$

but it is difficult to fulfill decoupling condition for large compression factors



last example:



last example:



not completely decoupled: $|b_2a_1| = 0.029 \leftrightarrow C^{-1} = 0.027$ (in all XFELs)

completely decoupled: C_1 -1=3.4 not decoupled: C-1=37

sensitivity to chirp parameter:

$$\frac{\delta C}{C} \approx 8 \frac{\delta a_1}{a_1} + 7 \frac{\delta a_2}{a_2}$$

Linac-2: $\frac{\delta a_2}{a_2} = \frac{\delta \varphi_2}{\tan \varphi_2}$ $\delta \varphi_2 \approx 0.4$ deg causes 10% change of compression ($\delta \varphi_1 \approx 0.2$ deg)



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