



The effect of undulator harmonics field on Free-Electron Laser harmonic generation

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Outline

- Introduction
- Analysis
 - in an ideal undulator
 - in an actual undulator
 - 3rd harmonic case
- Summary



INTRODUCTION

- Using the higher harmonic:

a way for FEL \Rightarrow shorter λ s.

$$\lambda_{sn} = \frac{\lambda_u}{2n\gamma^2} (1 + a_u^2)$$

- For a planar undulator with $B_u \sin(k_u z)$,

the electron's non-uniform axial motion (β_z)

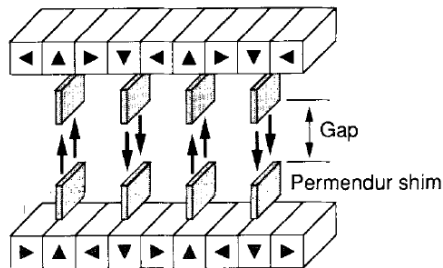
\Rightarrow the odd harmonics radiations on axis

$$n=1,3,5,\dots$$

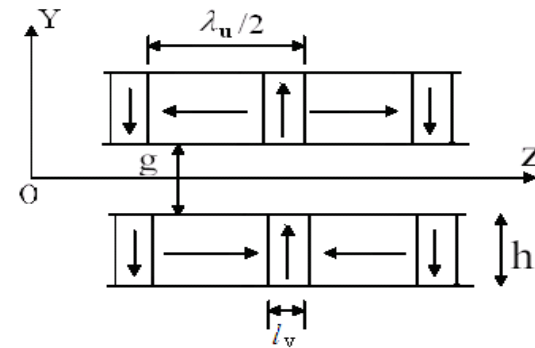
- The harmonic radiation can be enhanced by $\uparrow B_{un}$ *

Some methods for this were proposed, *eg.*:

putting high permeability shims
inside the undulator **



optimizing magnetic blocks size
in a standard Harbch undulator ***



- Here, we analysis the effect of B_n on FEL harmonic generation

*M.J. Schmitt and C.J. Elliott, IEEE J. Quantum Electron. QE-23 (1987) 1552.

**M. Asakawa *et al.* Nucl. Instr. and Meth. in Phys. Res. A 358 (1995) 399-402, A 375 (1996) 416-319

***Qi-ka Jia, "Undulator Harmonic field enhancement analysis", Proceedings of IPAC10, WEPD033/3165



ANALYSIS

In a ideal planar undulator

$$\vec{B}_u = \hat{B}_u \sin(k_u z)$$

the e-s oscillate*

at odd harmonics in the transverse direction

$$\beta_x \approx -4 \sum_{n=1,3,5,\dots} \left(\frac{K}{4\gamma}\right)^n \frac{(-1)^{(n-1)/2}}{[(n-1)/2]!} \cos[nk_u \bar{z}]$$

at even harmonics in the axial direction

$$\beta_{||} \approx \bar{\beta}_{||} + 2 \sum_{n=2,4,\dots}^{\infty} \left(\frac{K}{4\gamma}\right)^n \frac{(-2)^{\frac{n}{2}}}{[(n-2)/2]!} \cos[nk_u \bar{z}]$$

\Rightarrow radiations

on-axis odd harmonics

even harmonics off-axis

*Qika Jia, "Harmonic motion of electron trajectory in planar undulator," PAC09-WE5RFP088

For FEL

the n th harmonic optical field equation
and the phase equation in 1-D mode:

$$\frac{d}{dz} \tilde{a}_{sn} \square \frac{r_e n_e a_u [J, J]_n \lambda_{sn}}{\gamma} \langle e^{-in\phi} \rangle$$

$$\frac{d^2 \phi}{dt^2} = -\frac{c^2}{\gamma^2} 2a_u k_u \operatorname{Re} \sum_n [J, J]_n k_{sn} \tilde{a}_{sn} e^{in\phi}$$

$$\phi = (k_s + k_u)z - \omega_s t$$

the coupling coefficient:

$$[J, J]_n = (-1)^{\frac{n-1}{2}} \left[J_{\frac{n-1}{2}} \left(\frac{na_u^2}{2(1+a_u^2)} \right) - J_{\frac{n+1}{2}} \left(\frac{na_u^2}{2(1+a_u^2)} \right) \right]$$

the harmonic generation can be characterized by the coupling coefficients*

- Small signal gain in low gain FEL

$$g_n = -n \left(\frac{[J, J]_n}{[J, J]_1} \right)^2 (4\pi N \rho)^3 \left\langle \frac{\partial}{\partial x} \sin c^2 \frac{x}{2} \right\rangle_{\phi_0'}$$

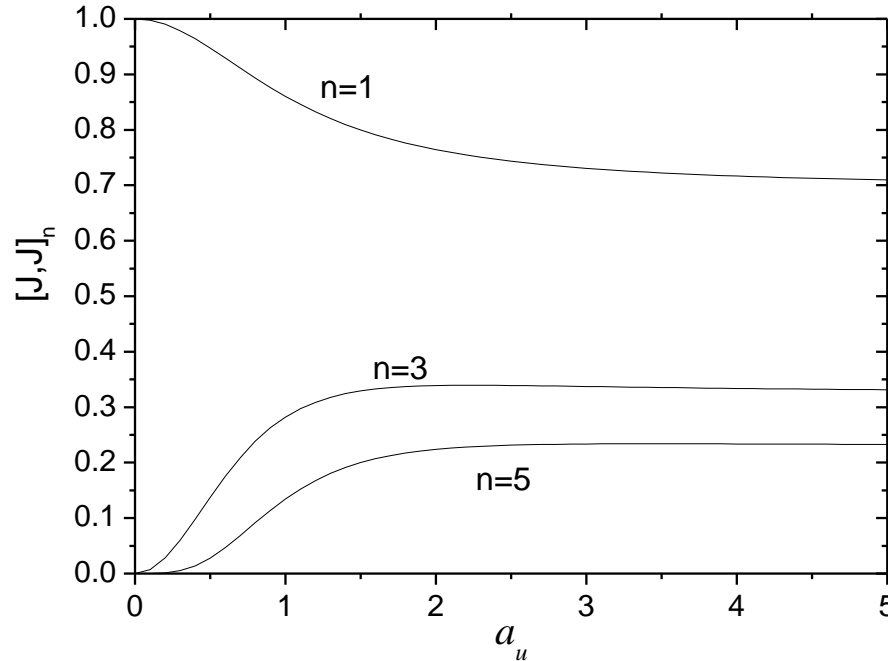
- nonlinear harmonic generation in high gain FEL

$$\frac{P_n}{\rho P_e} \approx \left(\frac{n^{n-1} [J, J]_n}{n! [J, J]_1} \right)^2 \left(\frac{P_{10}}{9 \rho P_e} \right)^n e^{n \frac{z}{L_g}}$$

harmonic saturation power:
$$\frac{P_{ns}}{P_{1s}} \approx \frac{(n+1)^n}{2(n * n!)^2} \left(\frac{[J, J]_n}{[J, J]_1} \right)^2$$

*Qi-ka Jia , “An analysis of nonlinear harmonic generation in high gain free electron laser”
IEEE J.Quantum Electron. 43, 833 (2007)

the harmonic coupling coefficient with undulator deflection parameter



harmonic generation $\propto ([J, J]_n / [J, J]_1)^2$



For actual planar undulators

$$B_u = \sum_m B_{um} \sin(mk_u z) \quad \vec{a}_u = \sum_m \hat{a}_{um} \cos(mk_u z)$$

m : all or part odd numbers,
due to the symmetry of the magnetic structure

generally $B_{um} \ll B_{u1}$, $a_{um} = \frac{B_{um}}{mB_{u1}} a_{u1} \ll a_{u1}$

• Resonance condition

$$\lambda_{sn} = \frac{\lambda_u}{2n\gamma^2} \left(1 + \sum_m a_{um}^2 \right)$$

$$a_{um}^2 = \hat{a}_{um}^2 / 2, \text{ (rms)}$$

• Electron motion

Using relations $\beta_{\perp}^2 = \frac{\vec{a}_u^2}{\gamma^2}$, $\beta_{\parallel} = 1 - \frac{1}{2}(\frac{1}{\gamma^2} + \beta_{\perp}^2)$

give

$$z = \bar{z} - \left\{ \sum_m \frac{\xi_m}{k_s} \sin(2k_u \bar{z}) + \sum_{m \neq l} \left[\frac{\xi_{ml+}}{k_s} \sin((m+l)k_u \bar{z}) + \frac{\xi_{ml-}}{k_s} \sin((m-l)k_u \bar{z}) \right] \right\}$$

$$\bar{z} = \bar{\beta}_{\parallel} ct, \quad \bar{\beta}_{\parallel} = 1 - \frac{1}{2\gamma^2} (1 + \sum_m a_{um}^2)$$

where

$$\xi_m = \frac{a_{um}^2}{2m(1 + \sum a_{ui}^2)} = \frac{r_m^2}{m} \xi_1, \quad \xi_{ml\pm} = \frac{a_{um} a_{ul}}{(m \pm l)(1 + \sum a_{ui}^2)} = \frac{2r_m r_l}{m \pm l} \xi_1$$

because $r_m = \frac{a_{um}}{a_{u1}} = \frac{B_{um}}{mB_{u1}} \ll 1$, $\xi_1 = \frac{a_{u1}^2}{2(1 + \sum a_{ui}^2)} < \frac{a_{u1}^2}{2(1 + a_{u1}^2)} < \frac{1}{2}$

$$\xi_m, \quad \xi_{ml\pm} \ll 1, \quad \text{for } m, l \neq 1$$

Only the terms related with the fundamental are dominant
therefore

$$z \approx \bar{z} - \left\{ \frac{\xi_1}{k_s} \sin(2k_u \bar{z}) + \sum_{m \neq 1} \left[\frac{\xi_{m+}}{k_s} \sin((m+1)k_u \bar{z}) + \frac{\xi_{m-}}{k_s} \sin((m-1)k_u \bar{z}) \right] \right\}$$



● the phase equation

$$\phi'' = \frac{2k_u}{\gamma^2} \sum_{n,l} k_{sn} a_{sn} a_{ul} \{ \cos[(nk_s + lk_u)z - n\omega_s t + \phi] + \cos[(nk_s - lk_u)z - n\omega_s t + \phi] \}$$

substituting expression of z

$$\phi'' = \frac{2k_u}{\gamma^2} \sum_n k_{sn} a_{sn} a_{u1} f_n \operatorname{Re} e^{-i(n\phi + \phi_{sn})}$$

$$f_n = \operatorname{Re} \sum_l \frac{a_{ul}}{a_{u1}} [e^{i(n-l)k_u z^-} + e^{i(n+l)k_u z^-}] e^{in \xi \sin(2k_u z^-)} \prod_{m \neq 1} e^{in \{ \xi_m \sin[(m-1)k_u z^-] + \xi_m \sin[(m+1)k_u z^-] \}}$$

In the exponential of f_n , many terms are small and oscillate fast, a average over undulator period will eliminate these small contribution terms.

● the n th harmonic optical field equation

$$\frac{d}{dz} \tilde{a}_{sn} \square \frac{r_e n_e a_{u1} \lambda_{sn}}{\gamma} f_n \langle e^{-in\phi} \rangle$$

the modified coupling coefficient: $[J, J]_n \rightarrow f_n$

the third harmonic field case

the most important harmonic

$$B_u = B_{u1} \sin(k_u z) + B_{u3} \sin(3k_u z)$$

in this case:

$$z = \bar{z} - \frac{\zeta_1}{k_{s1}} \sin(2k_u \bar{z}) - \frac{\zeta_2}{k_{s1}} \sin(4k_u \bar{z}) \quad \xi_1 = \frac{a_{u1}(a_{u1} + a_{u3})}{2(1 + a_{u1}^2 + a_{u3}^2)}, \quad \xi_2 = \frac{a_{u1}a_{u3}}{4(1 + a_{u1}^2 + a_{u3}^2)}$$

We have

$$f_n = \text{Re} \sum_l \frac{a_{ul}}{a_{u1}} [e^{i(n-l)k_u \bar{z}} + e^{i(n+l)k_u \bar{z}}] \sum_{h_1} \sum_{h_2} J_{h_1}(n\zeta_1) J_{h_2}(n\zeta_2) e^{i(h_1+2h_2)2k_u \bar{z}} \quad n, l=1,3.$$

Taking average over undulator period

the dominant product term in the sum is that with $h_1 + 2h_2 = -(n \pm l) / 2$

$$f_n = \sum_l \frac{a_{ul}}{a_{u1}} \left\{ \sum_{\substack{h_1, h_2, \\ h_1 + 2h_2 = -\frac{n+l}{2}}} J_{h_1}(n\zeta_1) J_{h_2}(n\zeta_2) + \sum_{\substack{h_1, h_2, \\ h_1 + 2h_2 = -\frac{n-l}{2}}} J_{h_1}(n\zeta_1) J_{h_2}(n\zeta_2) \right\}$$

for the small arguments, only zero order Bessel function contribute

Because $\zeta_2 \ll \zeta_1 < 1/2$, it can be further simplified by taking $h_2=0$:

the modified coupling coefficient:

$$[J, J]_1 \rightarrow f_1 = J_0(\zeta_2) \left\{ [J_0(\zeta_1) - J_1(\zeta_1)] + \frac{a_{u3}}{a_{u1}} [J_2(\zeta_1) + J_1(\zeta_1)] \right\}$$

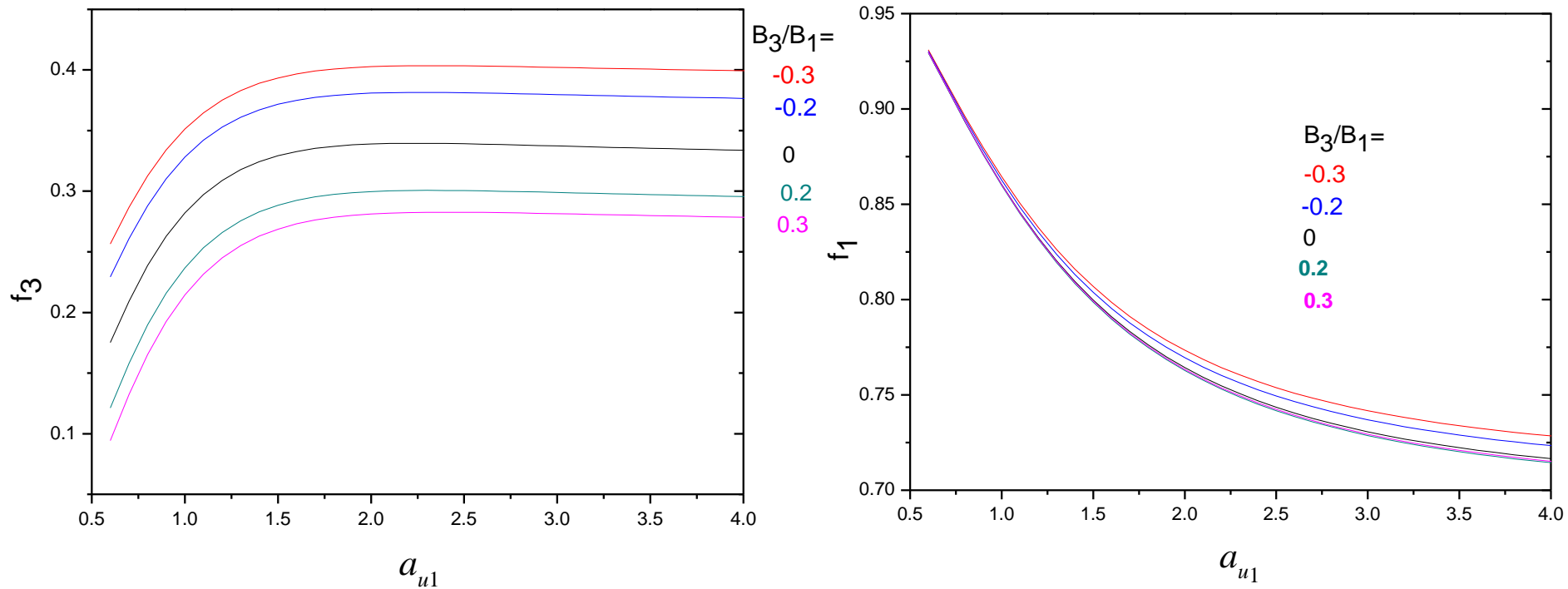
$$[J, J]_3 \rightarrow f_3 = J_0(3\zeta_2) \left\{ [J_2(3\zeta_1) - J_1(3\zeta_1)] + \frac{a_{u3}}{a_{u1}} [J_0(3\zeta_1) - J_3(3\zeta_1)] \right\}$$

3rd harmonic generation $\propto (f_3 / f_1)^2$

enhancement of the 3rd harmonic

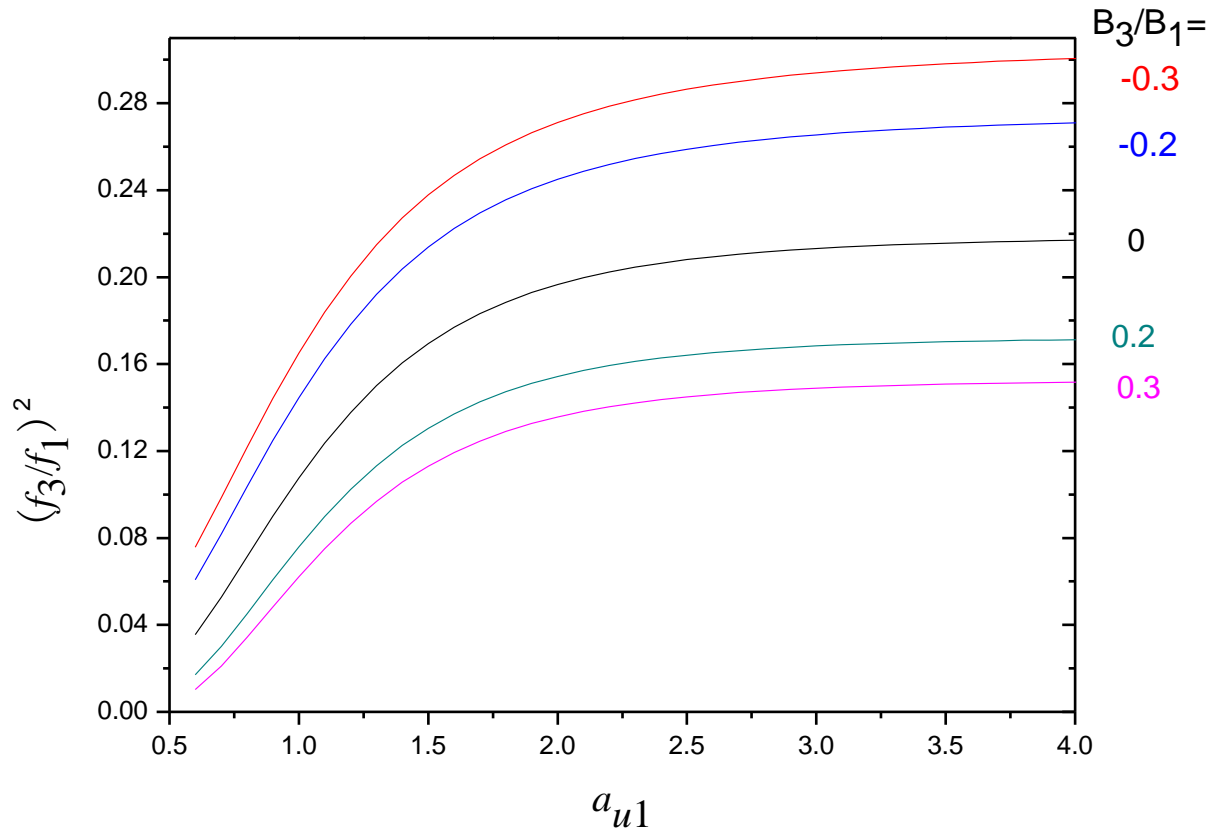
$$R_3 = \left(\frac{f_3 / f_1}{[J, J]_3 / [J, J]_1} \right)^2$$

numerical calculation result



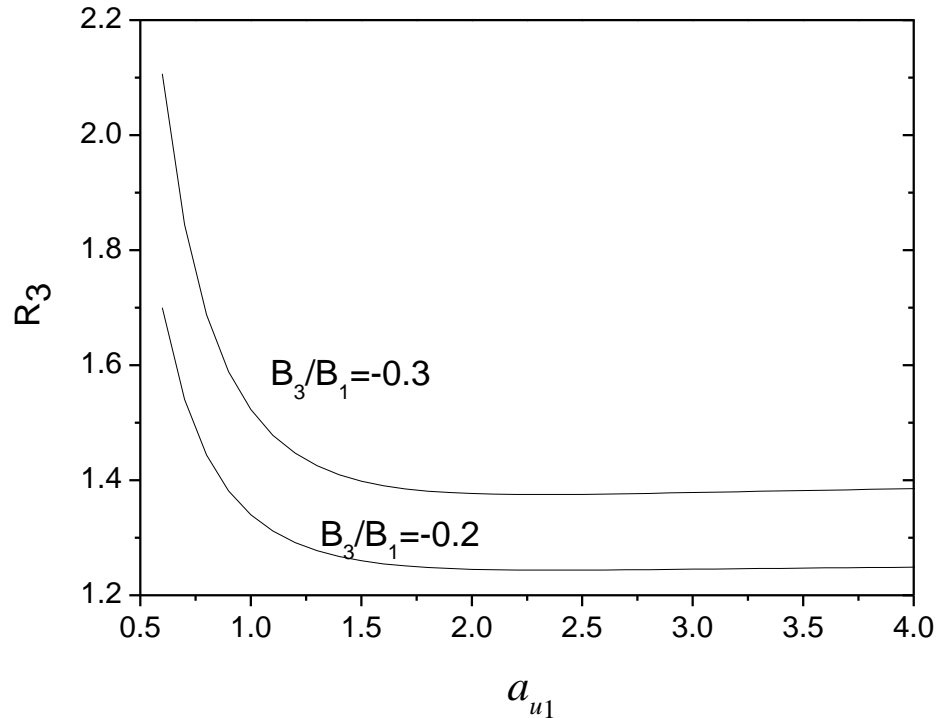
Modified coupling coefficient due to 3rd harmonic magnetic field

3rd harmonic generation $\propto (f_3/f_1)^2$



the effect of B_3 on FEL harmonic generation

The enhancement of the FEL 3rd harmonic radiation



$$R_3 = \left(\frac{f_3 / f_1}{[J, J]_3 / [J, J]_1} \right)^2$$

argument: a_{u1} has a little difference:
 a_u

$$a_u^2 = a_{u1}^2 \left[1 + \left(\frac{a_{u3}}{a_{u1}} \right)^2 \right] \Rightarrow \text{same resonant wavelength}$$



- 3rd harmonic emission can be distinctly enhanced
by 3rd harmonic field with an opposite sign to fundament field
- the larger magnetic harmonics fractions

=> the larger radiation enhancement,

$$|B_{u3} / B_{u1}| \uparrow \Rightarrow a_{s3} \uparrow$$

- fundamental radiation has been less affected
- for given B_{u3} / B_{u1}

the weaker $a_u \Rightarrow$ larger enhancement of a_{s3}

With $B_{u3} / B_{u1} = -0.3$:

the 3rd-harmonic radiation are enhanced ~40%

maximally doubled for $K(=\sqrt{2}a_u) \sim 1$



SUMMARY

- effects of undulator harmonics field on the harmonic coupling coefficients and FEL harmonic generation are analysed
- For the case 3rd magnetic field present, analytical expression is given for coupling coefficients, is easy to calculate and can be used to predict the enhancement of FEL HG
- 3rd emission increase with 3rd magnetic field that has an opposite sign to B_1
- fundamental emission has been less affected.
- next work: further study by simulation

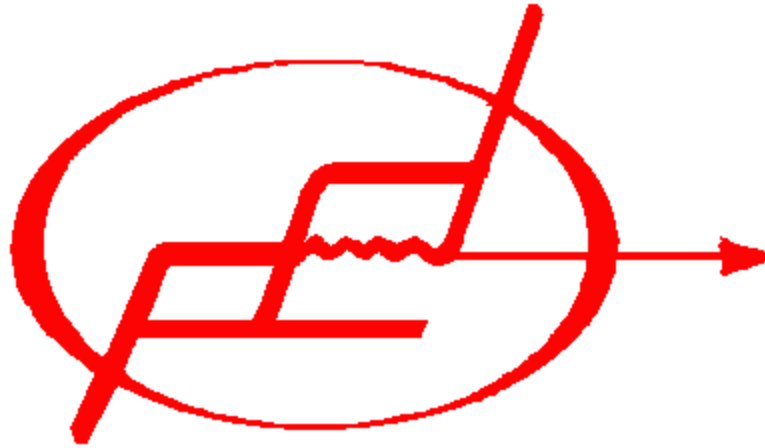


ACKNOWLEDGE

Work supported by the the National Nature Science Foundation of China under Grant No. 10975137

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Thank you