

Simulations of stochastic cooling of antiprotons in the collector ring CR

C. Dimopoulou

**A. Dolinskii, T. Katayama, D. Möhl, F. Nolden,
C. Peschke, M. Steck, L. Thorndahl**



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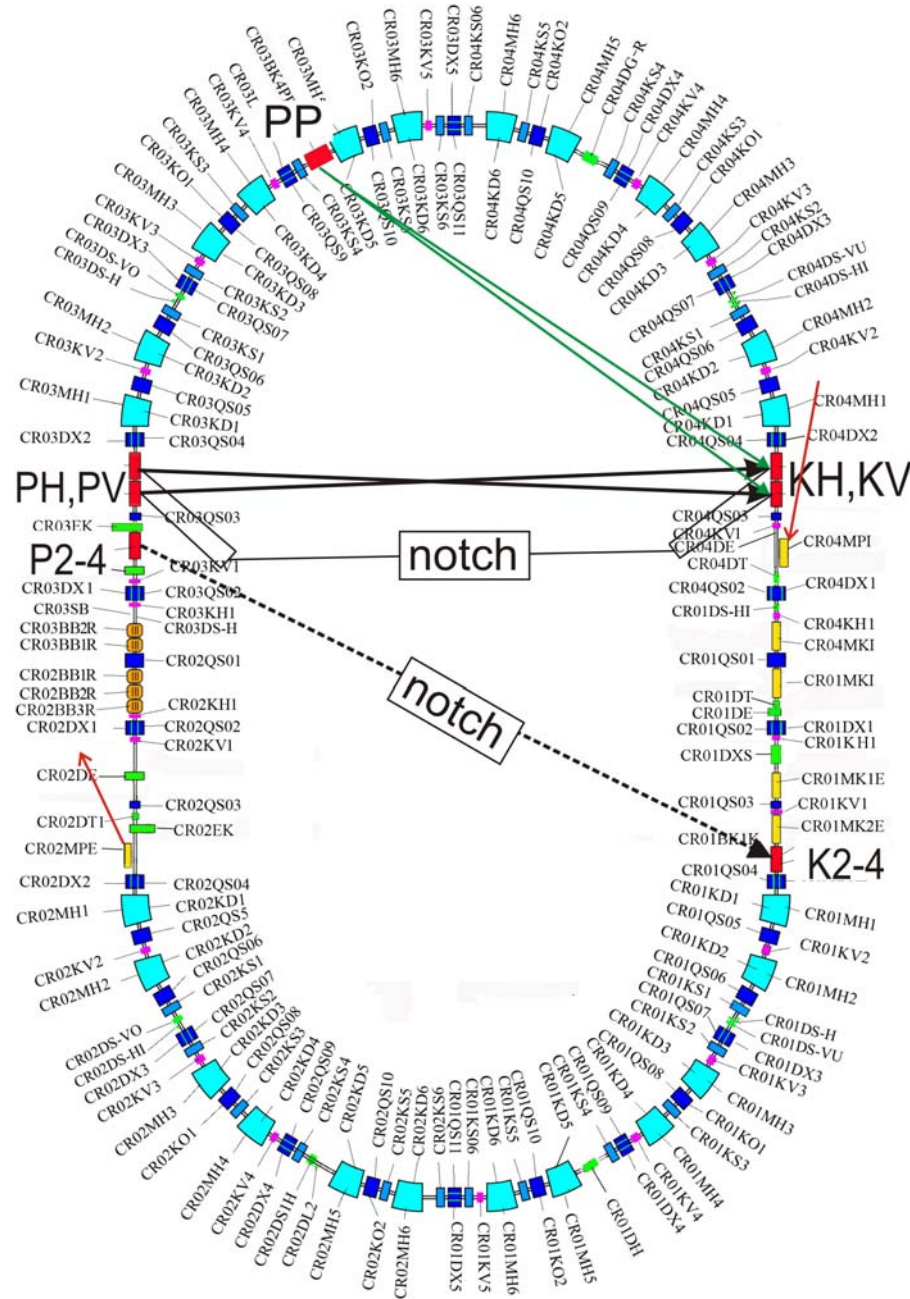
Required performance of CR stochastic cooling

- Short bunch of hot secondary beam from production target into the CR
- After bunch rotation and adiabatic debunching the $\delta p/p$ is low enough to apply stochastic cooling
- Fast 3D stochastic cooling required to profit from production rate of secondary beams

	Antiprotons 3 GeV, 10^8 ions		Rare isotopes 740 MeV/u, 10^9 ions	
	$\delta p/p$ (rms)	$\epsilon_{h,v}$ (rms) π mm mrad	$\delta p/p$ (rms)	$\epsilon_{h,v}$ (rms) π mm mrad
Before cooling	0.35 %	45	0.2 %	45
After cooling	0.05 % (*)	1.25 (*)	0.025 %	0.125
Phase space reduction	9×10^3		1×10^6	
Cooling down time	≤ 9 s		≤ 1 s	
Cycle time	10 s		1.5 s	

(*) 20% lower (if possible) for HESR as accumulator ring (instead of RESR)

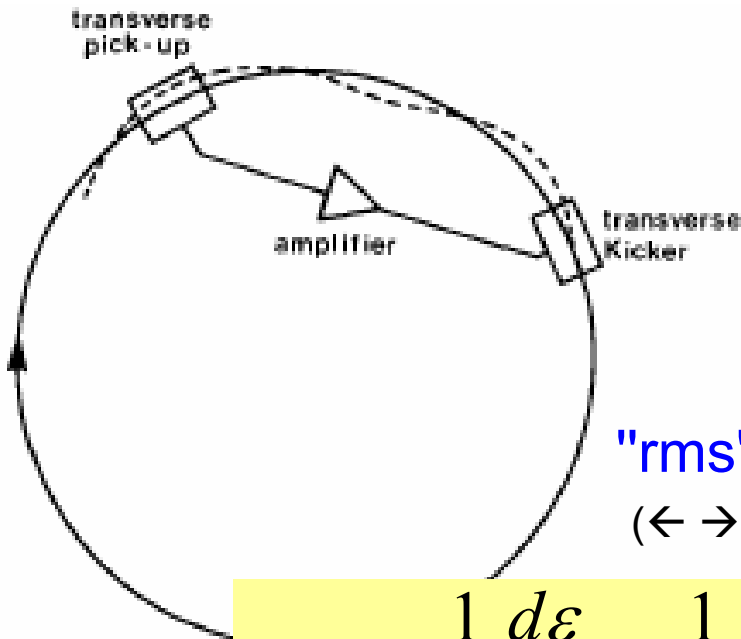
Overview of the CR stochastic cooling systems



Systems in frequency band 1-2 GHz				
Pickup	Kicker	pbars	RIBs	Method
PH	KH	hor.	hor., final stage	difference PU
PV	KV	vert.	vert., final stage	difference PU
PH+PV	KH+KV	long.	long., final stage	Sum PU + notch filter
PP	KH	-----	hor. + long., first stage	Palmer: difference PU at high D
PP	KV	-----	vert., first stage	difference PU
System in frequency band 2-4 GHz (future option)				
P2-4	K2-4	long.	-----	Sum PU + notch filter

Main issue for pbars: increase ratio
 $\frac{\text{Schottky signal } (\propto Q^2)}{\text{thermal noise}}$

Principle of betatron cooling & basic ingredients



Phase advance PU-K $\approx 90^\circ$

High amplification needed,
electronic gain $\sim 10^7$ (140 dB)



"rms" theory (analytical model)

($\leftarrow \rightarrow$ Fokker-Planck equation for $\Psi_\perp(J, t) = \partial N / \partial J$)

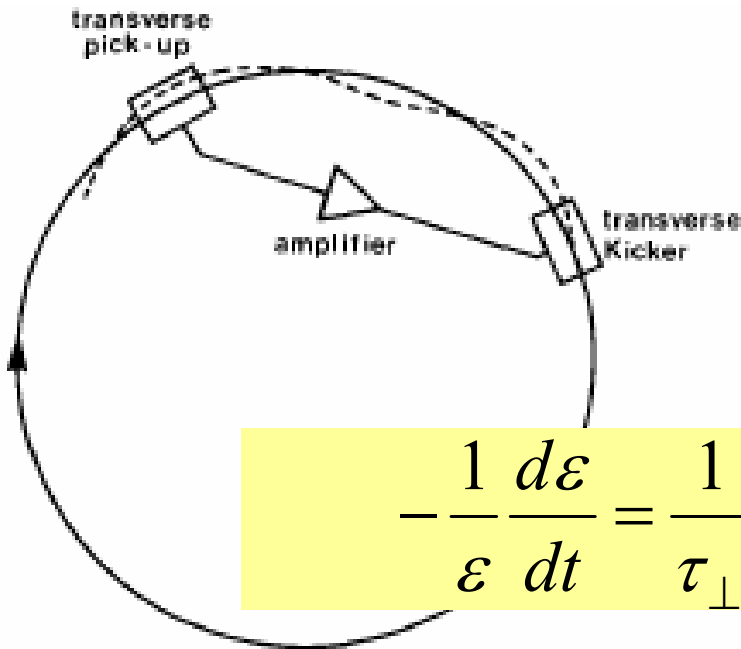
$$-\frac{1}{\varepsilon} \frac{d\varepsilon}{dt} = \frac{1}{\tau_\perp} = \frac{2W}{N} \left[2gB - g^2 (M + U) \right]$$

System gain g = PU response x Electronic gain x K response $\sim 10^{-2}$

Coherent term = cooling force x undesired mixing (PU \rightarrow K)

Diffusion = heating from Schottky noise (desired mixing (K \rightarrow PU)) + from thermal noise

Principle of betatron cooling & basic ingredients



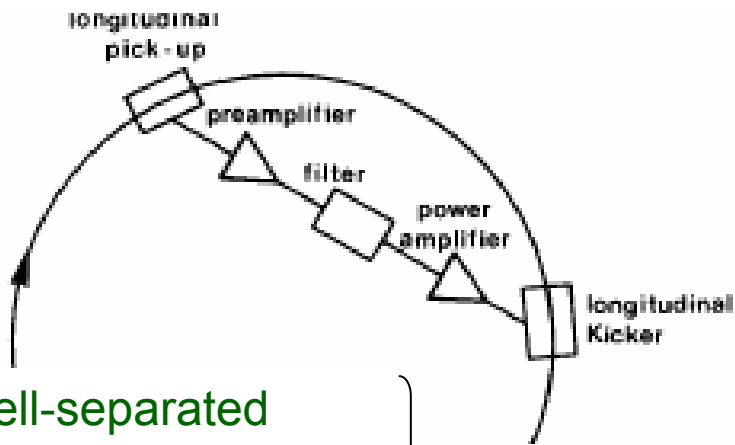
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$$-\frac{1}{\varepsilon} \frac{d\varepsilon}{dt} = \frac{1}{\tau_{\perp}} = \frac{2W}{N} \left[2gB - g^2 (M + U) \right]$$

- Good cooling for **overlapping Schottky bands** i.e. $M=1$ and **low ratio thermal noise/Schottky signal** U
- To cool **all the particles within the initial momentum distribution** $B \geq 0$
- B and M depend in a contradictory way on the spread $\Delta T/T = -\Delta f/f \sim -\eta_{\text{ring/pk}} \Delta p/p$ of the beam particles, they vary during momentum cooling
- In reality: choose $\eta_{\text{ring/pk}}$ for a compromise between **B** and **M**

Principle of momentum cooling with notch filter



well-separated
Schottky bands $M > 1$
 $B \geq 0$ for increased
undesired mixing

very small $|\eta| \approx 1\%$
i.e. ring almost @ γ_{tr}

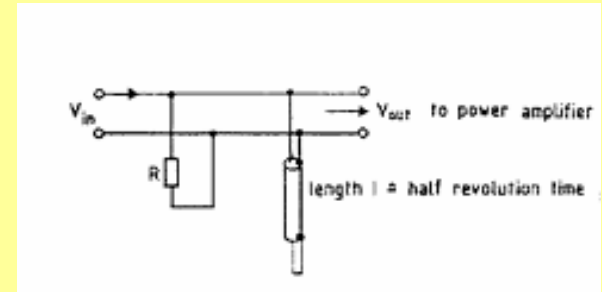
Fokker-Planck equation
(solved with CERN code)

$$\frac{\partial \Psi}{\partial t} = \frac{\partial}{\partial E} \left[-F\Psi + (D_s\Psi + D_n) \frac{\partial \Psi}{\partial E} \right],$$

$$\Psi(E, t) = \partial N / \partial E$$

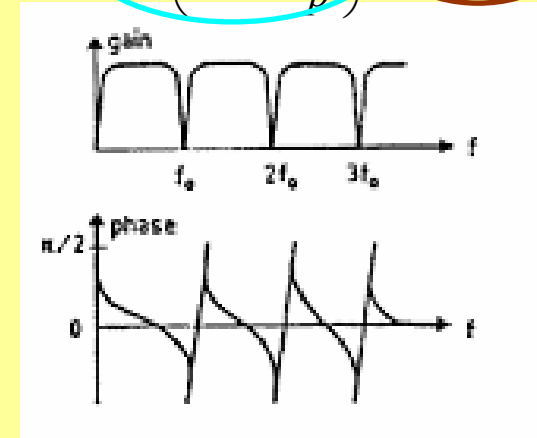
Coherent term = cooling force x undesired mixing (PU \rightarrow K)

System gain G = PU response x Filter response x Electronic gain x K response



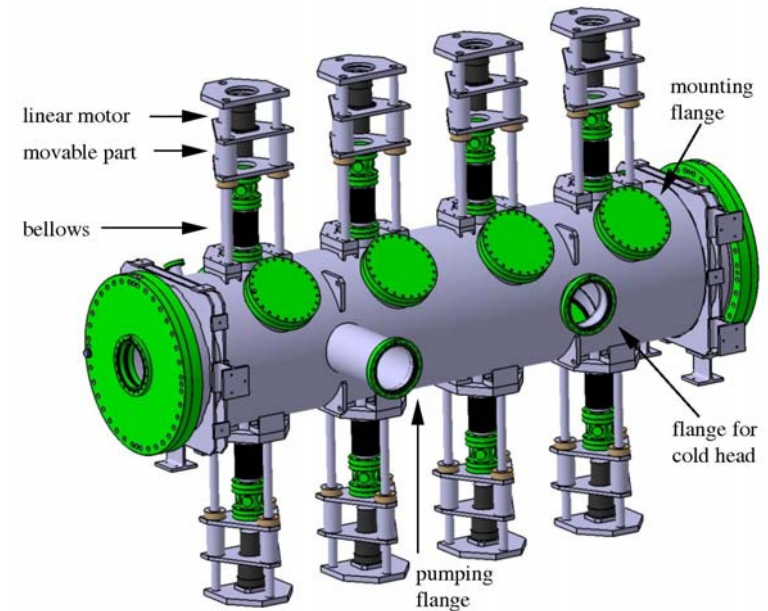
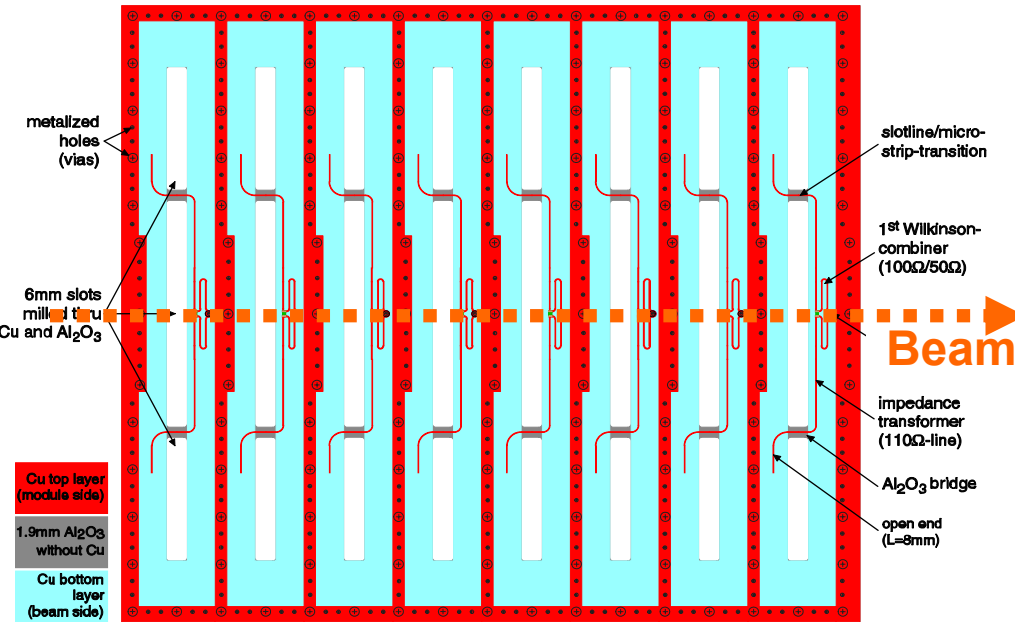
The response of the notch filter

$$H_{\text{notch}} = \frac{i}{2} (1 - e^{-i 2\pi f/f_0}) = -\sin\left(\pi m \eta \frac{\delta p}{p}\right) e^{-i \pi \eta \frac{\delta p}{p}}$$



- provides the cooling force,
- induces extra undesired mixing

Features and developments for the 1-2 GHz system



- PU/Kicker tank consists of 2 plates (up+down or left+right) with 64 electrodes/plate $PH/KH=PV/KV$ rotated by 90°
- Plunging of PU electrodes i.e. moving closer to beam during cooling
- No plunging of KI electrodes

Slotline PU electrodes at 20-30 K
 Cryogenic low-noise preamplifiers at 80 K
 (open option of preamplifiers in UHV at 20 K)
 Kickers at 300 K

Effective noise temperature
 at preamplifier input $T_{\text{eff}} = 73 \text{ K}$

- Optical notch filter (< 40 dB deep notches within 1-2 GHz)

Longitudinal PU/K impedance, sensitivity, PU plunging

circuit convention: $Z_k = \frac{U_{b\text{ rms}}^2}{P_k}$, $Z_p = \frac{P_p}{I_{b\text{ rms}}^2}$, $Z_k = 4 \cdot Z_p$

$$\sqrt{Z_k(f, y)} \approx \sqrt{Z_k(f_c)} \cdot S(y) \cdot S(f)$$

$$\sqrt{Z_p(f, y)} \approx \sqrt{Z_p(f_c)} \cdot S(y) \cdot S(f)$$

HFSS simulations, absolute values:

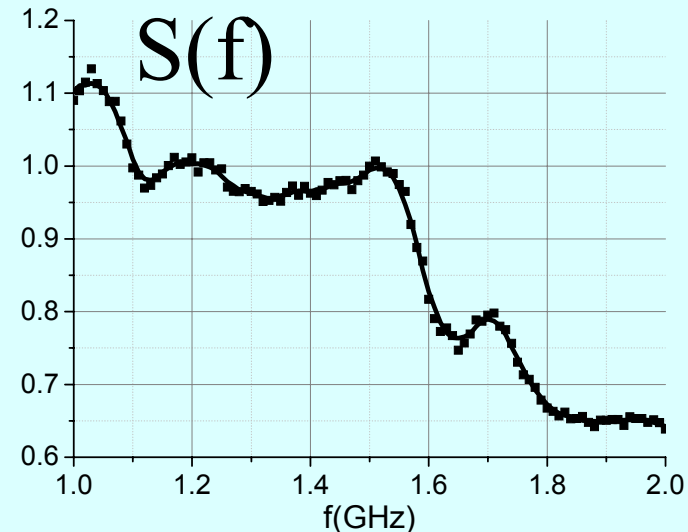
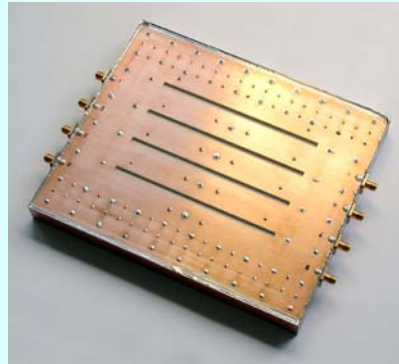
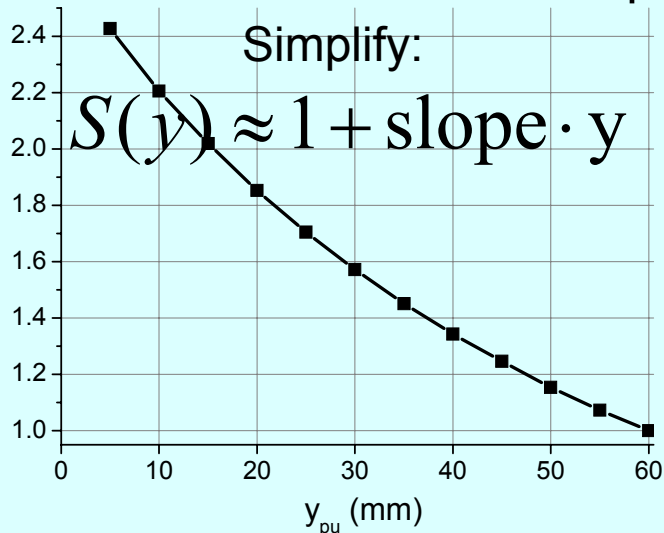
$$Z_p(f_c) = 11.25 \Omega \quad \text{at } y_{\text{PU}} = \pm 60 \text{ mm}$$

$$Z_p(f_c) = 37.75 \Omega \quad \text{at } y_{\text{PU}} = \pm 20 \text{ mm}$$

Plunging of PU electrodes:

factor 1.8 in sensitivity (3.4 in Z_p)
from $y_{\text{PU}} = \pm 60 \text{ mm} \rightarrow \pm 20 \text{ mm}$

Relative measurements on prototype PU:



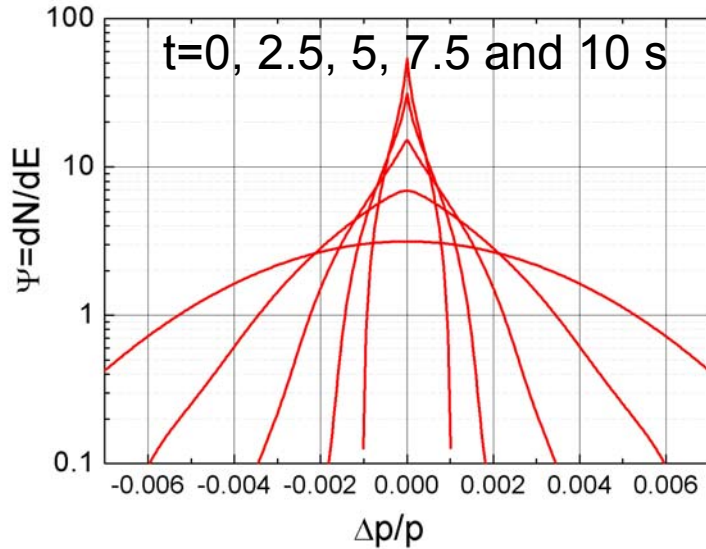
Input parameters & requirements

CR Circumference 3 GeV antiprotons	221.45 m $\beta=0.9712, \gamma=4.197, \text{ rev. frequency } f_0=1.315 \text{ MHz}$
Ring slip factor η , slip factor PU-K η_{pk} Distance PU-K/circumference	-0.011, -0.033 0.378
Beam intensity Initial rms momentum spread Initial rms emittance $\epsilon_{h,v}$	10^8 $3.5 \cdot 10^{-3}$, Gaussian/parabolic $45 \pi \text{ mm mrad}$
System bandwidth	1-2 GHz
Number of PU, K (longitudinal cooling) Number of PU, K (transverse cooling)	128, 128 64, 64
PU, Kicker impedance at midband 1.5 GHz PU/K sensitivity $S(y)=1+\text{slope} \cdot y$ PU/K sensitivity vs. frequency $S(f)$	no plunging considered, PU electrodes at $\pm 60 \text{ mm}$ 11.25 Ohm, 45 Ohm slope= 24.5 m^{-1}
Effective temperature for thermal noise	73 K
ideal, infinitely deep notch filter + 90° phase shifter	
Total installed power at kickers (limited by funding, can be upgraded)	4.8 kW

Goal: Cool longitudinally from $\sigma_p/p = 3.5 \cdot 10^{-3} \rightarrow 4 \cdot 10^{-4}$ in 9 s
Simultaneous transverse cooling from $\epsilon_{h,v} = 45 \rightarrow \approx 1 \pi \text{ mm mrad}$

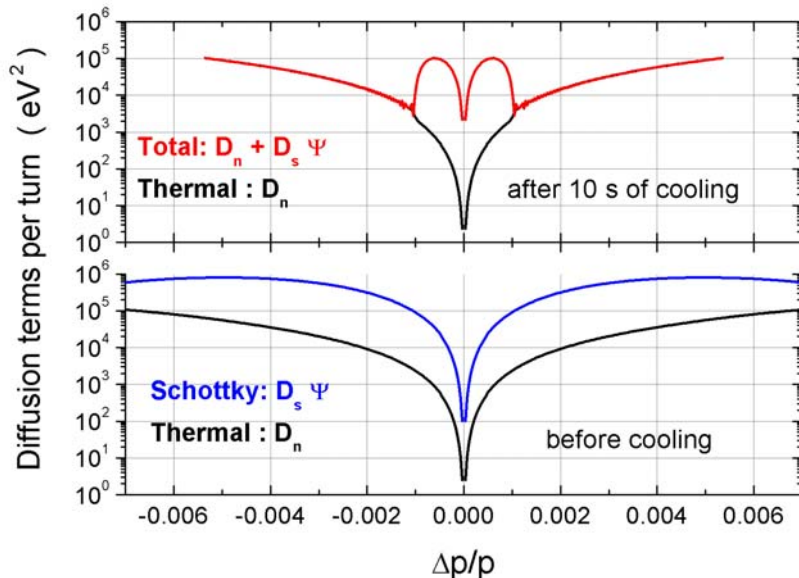
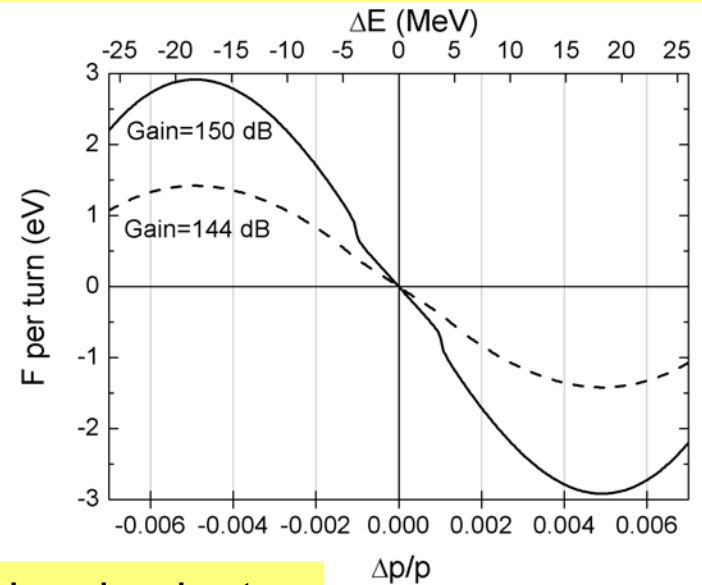
Momentum cooling: Cooling force and diffusion

$G_{||} = 150 \text{ dB}$ ($3.2 \cdot 10^7$); $t=10 \text{ s}$



Coherent term:

- linear notch filter response around $\Delta p/p=0 \rightarrow$ cooling force
- momentum acceptance of system (undesired mixing ≥ 0) $>$ total initial $\Delta p/p \rightarrow$ Cooling of all particles



Schottky noise dominates
 \rightarrow long. cooling time $\sim N$

Notch filter cuts thermal noise
 around all harmonics

Momentum cooling: Feedback by the beam

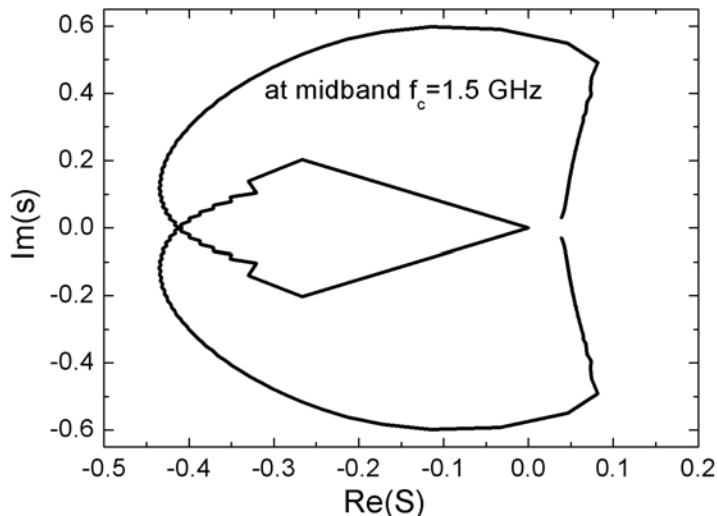
Feedback by the beam included:

$$G(m, E) \rightarrow \frac{G(m, E)}{1 - S(m, E, t)}$$

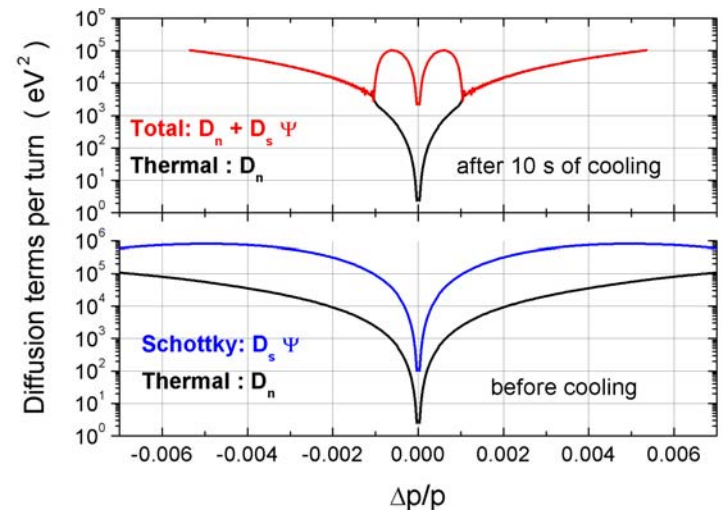
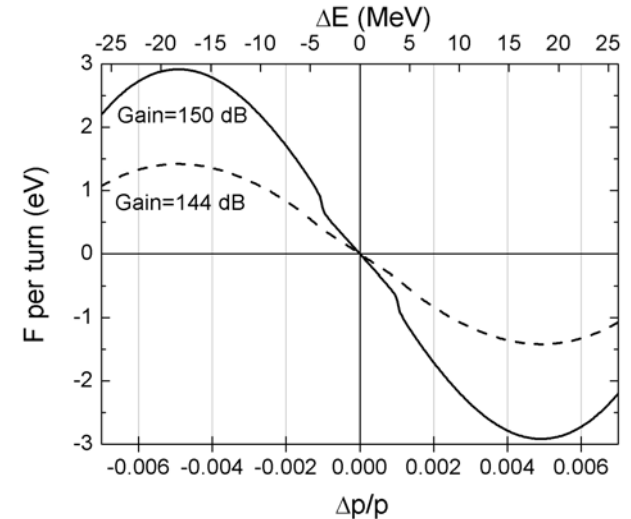
$$S(m, E, t) = \sqrt{n_p n_k Z_p(m) Z_k(m)} G(m, E) \cdot BTF(m, E, t)$$

$$B(m, E, t) = -\frac{ef_0^2}{m} \left[\frac{\pi}{|\kappa|} \frac{d\Psi}{dE} + \frac{i}{\kappa_{PV}} \int_{-\infty}^{+\infty} \frac{d\Psi/dE^*}{E^* - E} dE^* \right]$$

$G_{||} = 150 \text{ dB}$ ($3.2 \cdot 10^7$); $t=10 \text{ s}$



It deforms the cooling force and suppresses Schottky noise within the distribution, cooling loop is stable (Nyquist plot)



Momentum cooling: Results

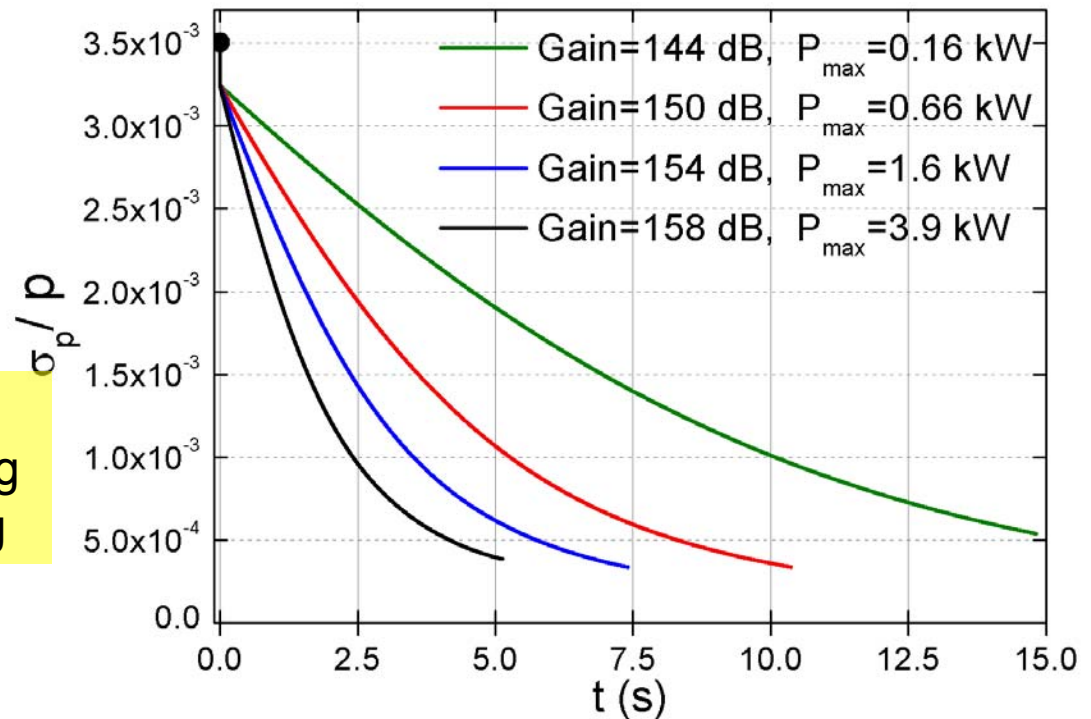
Optimization:

For a given signal/noise ratio there is a gain so as to reach the desired

σ_p/p in the desired time.

Lower gain leads to lower σ_p/p but cooling takes longer.

→ For ultimate σ_p/p :
increase signal/noise by plunging
the PU electrodes during cooling



Total cw power in bandwidth at kicker: $P_{\max} = P_s(t=0) + P_n$

Schottky $P_s(t) = \frac{1}{2} (2ef_0)^2 n_p \sum_m \sum_E Z_p(m) |G(E, m)|^2 \cdot \Psi(E, t)$, decreases as σ_p/p shrinks

filtered thermal $P_n \approx \frac{1}{4} WkT_{\text{eff}} \cdot G_{\parallel}^2$

Required installed power = $4 P_{\max}$ (to account for signal fluctuations)

Betatron cooling rate: details

$$-\frac{1}{\varepsilon} \frac{d\varepsilon}{dt} = \frac{1}{\tau_{\perp}} = \frac{2W}{N} \left[2gB |\sin(\mu_{pk})| - g^2 (M + U) \right]$$

$$B(t) \approx \cos \left(2\pi m_c x_{pk} \eta_{pk} \frac{\Delta p}{p} (t) \right)$$

$$M(t) \approx \frac{1}{m_c |\eta| \frac{\Delta p}{p} (t)}$$

Simultaneous notch filter momentum cooling ON
 Ansatz from Fokker-Planck results at $G_{\parallel} = 150$ dB

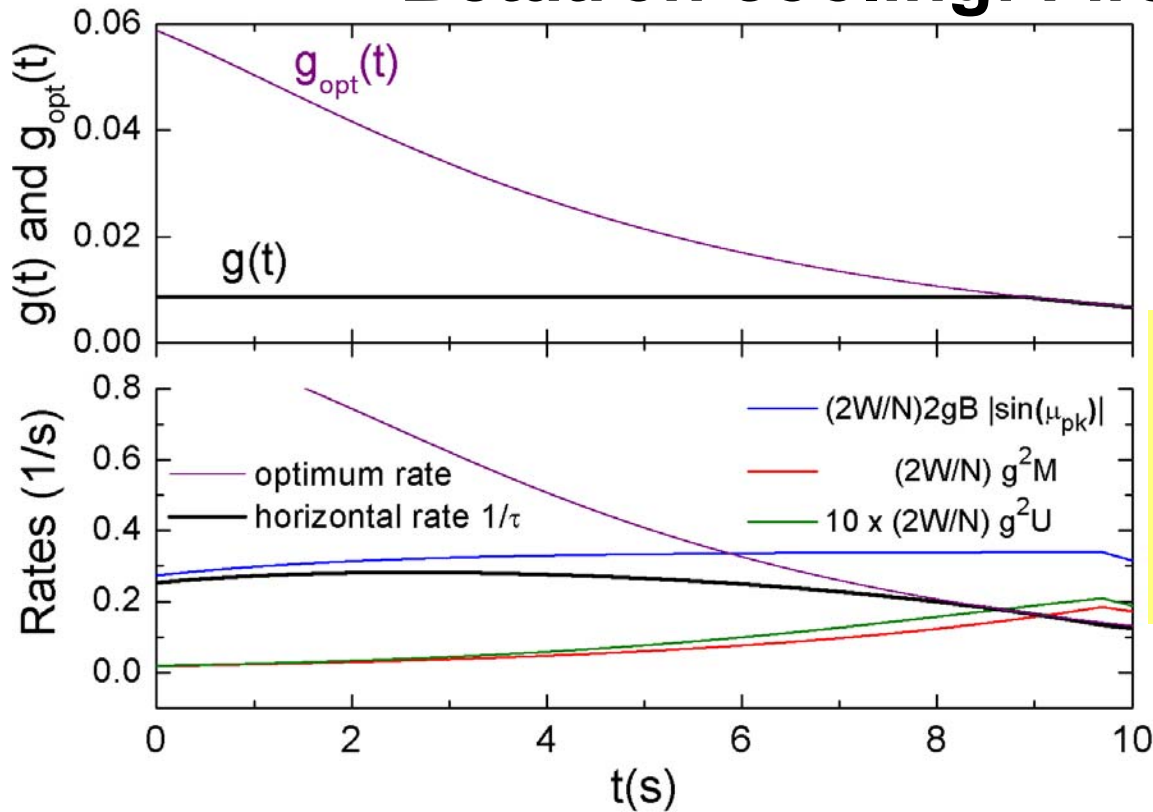
$$\frac{\delta\Phi}{p}(t) = \left(\frac{\delta\Phi}{p} \right)_{ini} e^{-\frac{t}{\tau_{long}}}$$

Interplay between betatron & momentum cooling

$$U(t) = \frac{k_B T_{eff}}{Ne^2 f_0 \beta_p \text{slope}^2 n_p} \left[\frac{f_0}{2W} \sum_{m=-\infty}^{+\infty} \frac{1}{Z_p(m)} \right] \frac{1}{\varepsilon(t)}$$

Optimum gain $g_{opt}(t) = \frac{B(t) |\sin(\mu_{pk})|}{M(t) + U(t)}$

Betatron cooling: First results

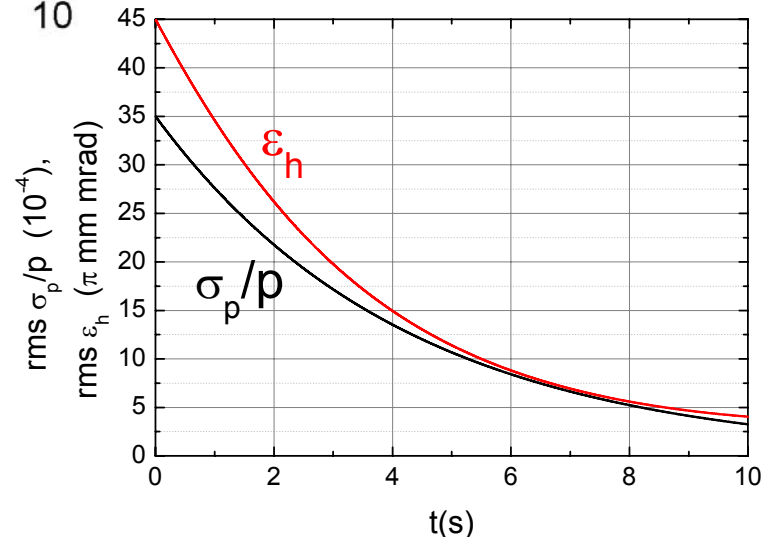


Initially:

$U = 1.2$, $M = 11$! and grows...

→ M dominates the heating at all t : $M \sim 10 U$
 in principle, need long cooling at very low gain
 (plunging helps only at the end)

- Reached $\epsilon_h = 4 \pi$ mm mrad in 9 s
- Beyond power limits...cw $P_{max} = 950$ W !
- For precise treatment, feedback by the beam must be included



Conclusions I

- Pbar filter momentum cooling from $\sigma_p/p = 3.5 \cdot 10^{-3} \rightarrow 4 \cdot 10^{-4}$ in 9 s is possible in the 1-2 GHz band:
 - with a gain around 150 dB ($3.2 \cdot 10^7$),
 - required max. installed power ~ 2.6 kW (cw ~ 0.7 kW),
 - assuming unplunged PU electrodes (conservative case), plunging expected to help reaching lower σ_p/p ,
 - feedback by the beam not negligible but loop stable.
- The design $\eta = -0.011$ of CR is optimum for both 1-2 and 2-4 GHz bands (undesired mixing)

Conclusions II

- Preliminary results show that betatron cooling is possible
 - with separately optimized **simultaneous filter momentum cooling** (150 dB, ~2.6 kW),
 - down to $\epsilon_{\text{rms}} \sim 4 \pi \text{ mm mrad}$ within 9 s,
 - with an electronic gain at midband around **140 dB (10^7)**,
 - with max. required installed power **$\sim 4 \text{ kW}$ (cw $\sim 1 \text{ kW}$)** per plane h/v **i.e. beyond the foreseen available power**,
 - assuming **unplunged** electrodes.
- As expected, **betatron cooling suffers from large desired mixing M** (required by filter momentum cooling) dominating the diffusion at all t.
→ Way out: **slow-down momentum cooling in the beginning**

Outlook

- Include **feedback by the beam** into betatron cooling model
- **Time-optimization** of momentum and betatron cooling **together**, **distribution of available power accordingly**, e.g.,
 - Initially, slower filter cooling to help the betatron cooling, then inversely to reach ultimate emittances and momentum spread.
 - Apply initially time-of-flight and later notch filter momentum cooling, with simultaneous betatron cooling.
- Include **plunging of PU electrodes**, expected to reduce diffusion by factors 4-9, especially transversally
- Additional **filter momentum cooling in the 2-4 GHz band**, study **handshake** between 2 bands