# ESTIMATES FOR LONG-TERM STABILITY FOR THE LHC

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## Abstract

Since about 10 years survival plots have been used to evaluate single-particle long-term stability. In a recent paper (M. Giovannozzi et al.) this concept has been reviewed, using a dynamic aperture (Dyn.Aper.) definition based on the average over different ratios of emittances. It has been shown that the survival times evaluated according to this procedure decay with the inverse of the logarithm of the number of turns in several different systems. In this paper the validity of this conjecture is tested in the case of the latest LHC lattice which has been studied extensively.

The inverse log conjecture also predicts a non-zero Dyn.Aper. at infinite times called  $D_{\infty}$ . The tracking data are analysed for LHC lattice to determine the relation between  $D_{\infty}$  and the onset of chaos determined through Lyapunov exponents. Two different methods to automate the prediction of the Lyapunov exponent are tested and are compared with  $D_{\infty}$ .

## **1 INTRODUCTION**

In Ref. [1] (see also Ref. [2]) it has been shown that for several dynamical systems the evolution of the Dyn.Aper. D(N) as a functions of turn number N is well described by the following equation here called the *Inverse* Log Conjecture:

$$D(N) = D_{\infty} \left( 1 + \frac{b}{\log_{10}(N)} \right). \tag{1}$$

The  $D_{\infty}$  can be interpreted as the Dyn.Aper. after an infinite number of turns while the *b* appears to be a measure of the range of amplitude where particle loss will take place, e.g. a value b = 3 means that after 1'000 turns the Dyn.Aper. is still a factor of two larger than  $D_{\infty}$ . For this relation to work a precondition is to average the Dyn.Aper. over the four dimensional phase space as described in Ref. [3]:

$$D(N) = \left(\int_0^{\pi/2} [D_{\alpha}(N)]^4 \sin(2\alpha) d\alpha\right)^{1/4}, \quad (2)$$

where  $\alpha$  is related to emittance ratio  $\epsilon_{II}/\epsilon_I$  by:

$$\alpha = atan\sqrt{\epsilon_{II}/\epsilon_{I}},\tag{3}$$

e.g.  $(\alpha = 45^{\circ})$  corresponds to a emittance ratio of  $(\epsilon_{II}/\epsilon_I = 1)$ . As the tracking for the LHC is usually done in the full six dimensional phase space one could argue that an average over the six dimensions is needed. This

is not done for the following reasons: firstly the nonlinear coupling between longitudinal and transverse planes is small which allows the separate treatment of the longitudinal plane, secondly for the LHC tracking the initial conditions in the longitudinal phase space are not varied but fixed to one set of pessimistic and therefore large values and lastly the tracking effort would have to be increased by another factor of ten. One aim of this report is to check the conjecture for the LHC version 4 which has been extensively studied (see Ref.[4]). Another aim is the understanding of the relation between  $D_{\infty}$  and the onset of chaos.

## 2 FITTING TECHNIQUE

One can rewrite Eq. 1 as follows:

$$D(N) \cdot \log_{10}(N) = D_{\infty} \cdot \log_{10}(N) + D_{\infty} \cdot b, \quad (4)$$

where  $\log_{10}(N)$  is treated as an independent variable. Thus  $D_{\infty}$  denotes the slope and  $D_{\infty} \cdot b$  the offset of a linear function which describes  $D(N) \cdot \log_{10}(N)$ . A linear regression yields both quantities with a certain error  $\Delta$ . The error of D(N) is calculated to be:

$$\Delta(D(N)) = \Delta(D_{\infty}) + \Delta(D_{\infty} \cdot b) \frac{1}{\log_{10}(N)}$$
(5)

It should be noted that the multiplication of D(N) with  $\log_{10}(N)$  in Eq. 4 puts a stronger weight on loss boundaries at larger turn numbers N where they are most relevant.

## **3 CONJECTURE TEST**



Figure 1: Fits of Eq. 4 from  $10^2$  to  $10^5$  and  $10^6$  as well as the extrapolation to  $10^7$  turns for one realization of the imperfect LHC

Figure 1 summarises the tracking data and the fitting result for one realization of the imperfect LHC: the tracking has been performed for 17 emittance ratios up to  $10^6$  turns. For the emittance ratio of one ( $\alpha = 45^\circ$ ) the tracking has been prolonged to  $10^7$  turns. A linear regression fit according to Eq. 4 is performed up to  $10^5$  and  $10^6$  turns. The fits

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are extrapolated to  $10^7$  turns and quoted with their errors. The data for  $\alpha = 45^{\circ}$  which deviate from the phase space averaged data at small turn numbers are consistent with both fits beyond  $10^6$  turns within their errors. Moreover, reducing the number of angles to 9 changes the predicted  $D_{\infty}$  by a mere 1.1%. A bit worrying is the fact that the fit-



Figure 2:  $D_{\infty}$  determined from a cumulative fit and a sliding fit

ted  $D_{\infty}$  is increasing by 3%. Figure 2 shows that this is due to the fact that the sliding fit of  $D_{\infty}$  is increasing monotonically after a few thousand of turns, i.e. the Dyn.Aper. decreases less rapidly than the linear fit does imply. Applying the conjecture fit to 60 machine representations (Figure 3) reveals a small anti correlation between  $D_{\infty}$  and b which could mean that the linear relation of Eq. 4 is based on a too simple assumption. On the other hand the figure also



Figure 3: Scaled Dyn.Aper. and the conjecture fit parameters  $D_{\infty}$  and b

shows that the fit constants and the Dyn.Aper. scaled from  $10^5$  to  $10^6$ , using the inverse log conjecture, have small errors. Even though the fit parameters may not have a clear physical meaning the two parameter fit may still be useful to extrapolate the Dyn.Aper. to larger turn numbers. To check this assumption emittance ratio scans have been extended up to  $10^6$  turns for 5 different seeds (see Figure 4). The fit involving data up to  $10^5$  turns and the tracking data for  $10^6$  turns agree within the error bars of the extrapolation.

## 4 CHAOS AND $D_{\infty}$

Since many years the chaotic boundary has been used to estimate the long-term Dyn.Aper. (see Ref. [5]).  $D_{\infty}$  determined from the conjecture fit should agree with the onset of chaos because both quantities describe the stability



Figure 4: Comparison of tracked and scaled Dyn.Aper.

boundary in phase space. Agreement of the two independent methods would give  $D_{\infty}$  a physical meaning at least in a heuristic manner. It is well known that there cannot be a rigorous non-zero loss boundary over infinite number of turns in a system with more than two degrees of freedom due to the loss of particles in the Arnold web (see Ref.[6]). However tracking studies for various systems have clearly shown that there always seems to be a hard core of stability in the amplitude space which is equivalent to a nonzero  $D_{\infty}$ . Two models have been tested: the four dimen-



Figure 5: The Hénon model – **Top:** Stable amplitude versus emittance ratio between  $10^2$  and  $10^7$  turns, **Bottom:** Survival plot, conjecture fit and chaos boundary

sional Hénon model and the LHC case for which the conjecture fit is shown in Figure 1. Due to its simplicity the first model can be tracked for a large number of angles and turn numbers (40 and 10<sup>7</sup> respectively) while the LHC can be tracked for only 17 angles and 10<sup>6</sup> turns. The LHC study has required two weeks of CPU time of a powerful 10 processor workstation cluster [7]. The **Top** part of figure 5 and 6 depict the Dyn.Aper. versus emittance ratio, a curve is shown for each decade of turn numbers. It should be noted however that the tunes of the latter have been carefully chosen [8] ( $Q_x$ =0.168,  $Q_z$ =0.201) to obtain a sizeable chaotic regime while for the LHC the tunes ( $Q_x$ =63.28,  $Q_z$ =63.31) are placed where the Dyn.Aper. is expected to be at its optimum value. For the phase space averaged Dyn.Aper. the conjecture fit agrees well with the tracking data in both cases (see **Bottom** part of Figure 5 and 6). Chaos is detected by tracing the path of two initially close–by particles. This method is preferred over the original one introduced by Benettin et al. [9] as in this context the most sensitive measure is more relevant than the precise knowledge of the Lyapunov exponent. Owing to the fact



Figure 6: The LHC – **Top:** Stable amplitude versus emittance ratio between  $10^2$  and  $10^6$  turns, **Bottom:** Survival plot, conjecture fit and chaos boundary

that the automatic detection of the onset of chaos is much more difficult than the reliable but time consuming inspection by eve a new approach has been attempted. Two different values can be automatically extracted from the tracking data: the first method uses a threshold of the distance in phase space which is larger than the final separation of any two regular (initially close-by) particles at the end of the tracking, the second method calls motion chaotic once the slope, calculated from the evolution of the distance in phase space in a double logarithmic scale, is outside a certain interval of slope values (for regular motion the slope is one). In the following these techniques are called the distance and the slope method respectively. The distance method is certainly safe due to its definition. However, it is an optimistic estimate because weakly chaotic particles may not have enough time to separate beyond the chosen threshold. The slope method is less precisely defined: it may be also optimistic in the case where the motion is so weakly chaotic, that the slope is not affected, but it may be pessimistic because it can pick up large oscillations of particles which are close to some resonance but nevertheless regular. The slope method is preferable because it is more consistent with the inspection by eye. It should be mentioned that both methods can be improved by using frequency analysis [10] which allows to eliminate most of the regular oscillations of the evolution of the distance in phase space. In the case of the Hénon model the slope method is pessimistic and very close to the  $D_{\infty}$  fit. From the above discussion it is not surprising that  $D_{\infty}$  itself varies widely as a function of turns. As expected the distance method is optimistic at low turn numbers. At  $10^7$  turns, however, all three curves converge to almost the same point. It should be noted that this behavior has been reproduced at two other tune working points ( $Q_x$ =0.201,  $Q_z$ =0.168 and  $Q_x$ =0.201,  $Q_z$ =0.112). For the LHC the distance and the slope method are both optimistic. Also in this case the latter agrees quite well with  $D_{\infty}$  at large turn numbers. It is clear from these dependencies that the motion of particles in the LHC case reveals very weak chaotic behavior over a large range of amplitudes.

In both models the fit of  $D_{\infty}$  appears to be pessimistic in an intermediate turn number regime. In fact, in all studied LHC cases  $D_{\infty}$  is a too pessimistic estimate of long-term stability.

#### 5 CONCLUSION

The inverse log conjecture has been thoroughly tested for the LHC version 4. Although doubts remain about the physical meaning of  $D_{\infty}$  and b the fit can be used to extrapolate the Dyn.Aper. from  $10^5$  to  $10^6$  turns. There are indications that this extrapolation can be further extended to  $10^7$  turns. The chaos and  $D_{\infty}$  border seem to converge for large turn numbers for both the Hénon and the LHC model.

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