

# **Modeling of Space Charge Effects and Coherent Synchrotron Radiation in Bunch Compression Systems**

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SC and CSR effects are crucial for design & simulation of BC systems  
CSR and related effects are challenging for EM field calculation

### part 1: CSR codes

effects

approaches

Vlasov-Maxwell

paraxial approximation

1d

sub-bunch

Zeuthen benchmark example

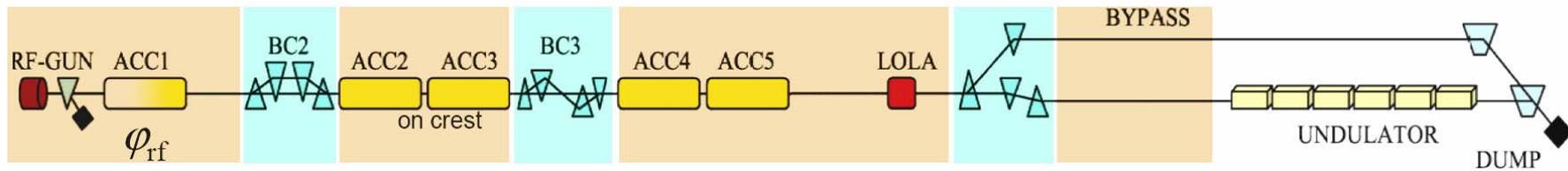
### part 2: simulation of BC systems

conclusions



# effects

what is different in magnetic BC systems (compared to usual LINACS)?



$r_{56}$  : there are dispersive sections with **non-linear trajectories**

**chirp**: there is a strong linear correlation between energy and longitudinal position

there is a **variation of bunch shape**

high  $I_{\text{peak}}/\text{Energy}$  after compression

linear trajectory (LT)  
most forces  $\sim 1/\gamma^2$

CSR & more  
energy independent effects



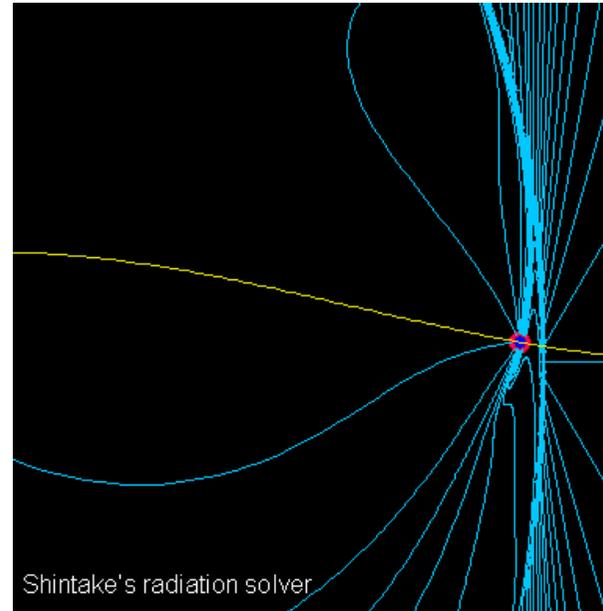
new types of tracking codes with **more general electromagnetic field solvers**  
called “**CSR codes**”



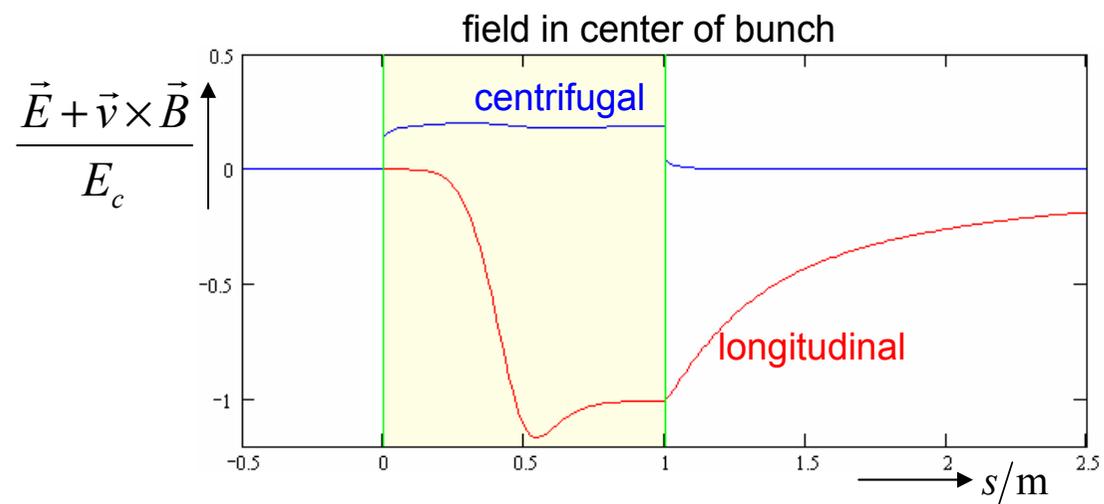
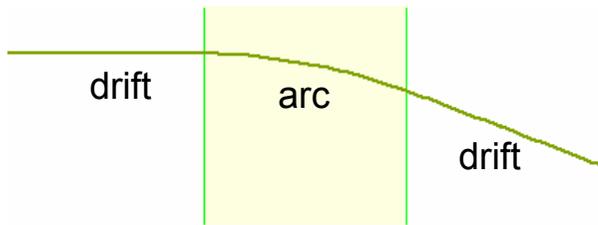
# ... effects

radiation effects

overtaking



long transients:

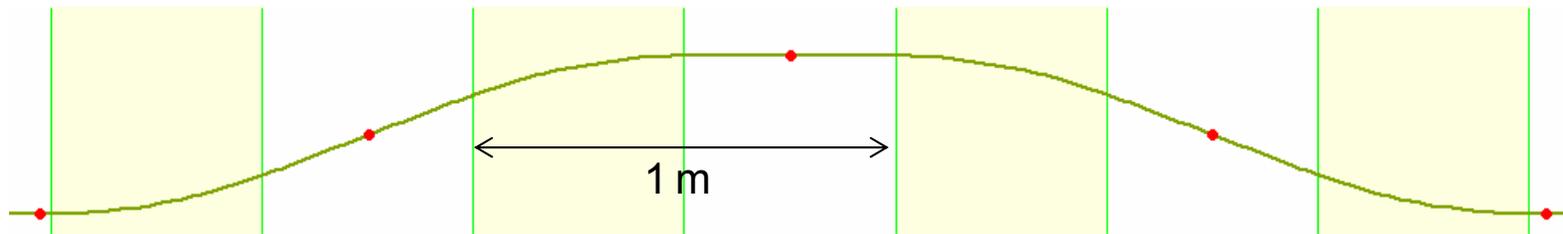
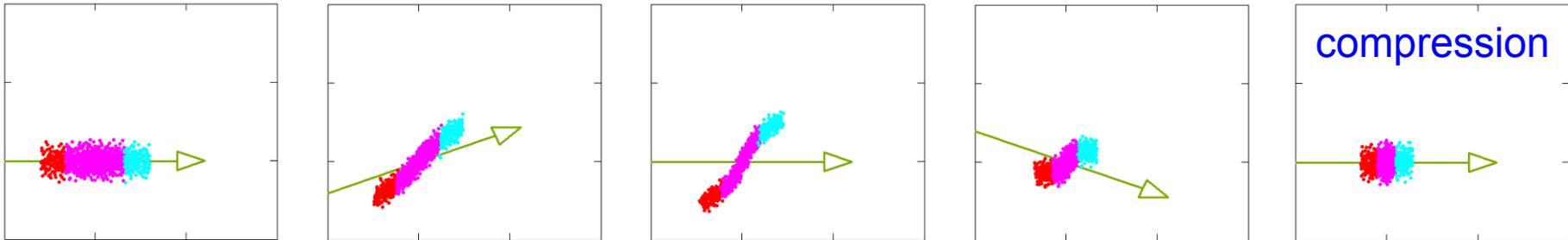


# ... effects

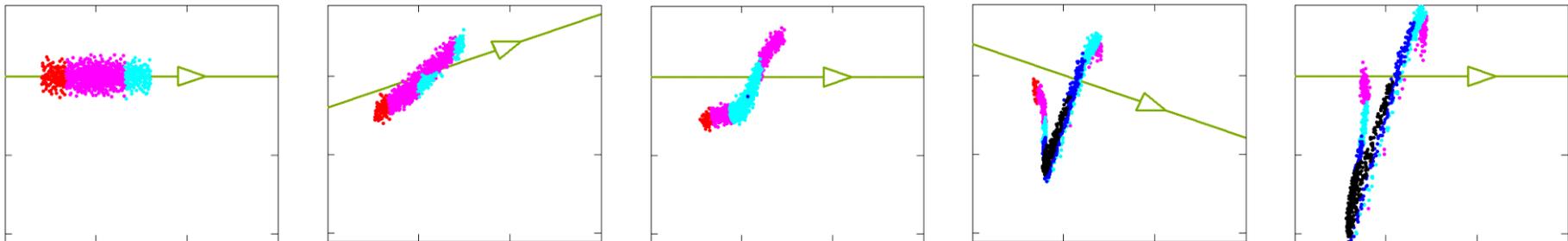
## shape variation

top view (horizontal plane), color = energy

without self-interaction



with self-interaction



emittance growth



# approaches

1d (or projected)	(1)	retarded sources
sub-bunch approach	(2)	$\mathbf{E}(\mathbf{r}, t) = \frac{1}{4\pi} \int \frac{\mathbf{Q}(\mathbf{r}', t')}{\ \mathbf{r} - \mathbf{r}'\ } dV' \quad t' = t - \frac{1}{c} \ \mathbf{r} - \mathbf{r}'\ $
Maxwell-Vlasov	(3)	open boundary
paraxial approximation	(4)	<p>partial differential equation</p> $\left( \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \mathbf{E} = -\mathbf{Q}$ <p>(closed) boundary condition</p>

(1) Schneidmiller, Stupakov, Emma, Borland, Dohlus, ...; [ELEGANT](#), [CSRtrack](#), ...

(2) R.Li, Kabel, Dohlus, Limberg, Giannessi, Quattromini; [???](#), [Trafic4](#), [CSRtrack](#), [TREDI](#)

(3) Warnock, Bassi, Ellison

(4) Agoh, Yokoya

} autor's code



# Vlasov-Maxwell

Warnock, Bassi, Ellison: Progress on Vlasov Treatment ..., PAC2005

4d phase space density in beam frame:  $f = f(\mathbf{r}, \mathbf{p}, s)$

2d projections

history (@ retarded time)

$$\rho(\mathbf{r}, t) = \int f(\mathbf{r}, \mathbf{p}, \beta ct) d\mathbf{p}$$

$$\tau(\mathbf{r}, t) = \int p_x f(\mathbf{r}, \mathbf{p}, \beta ct) d\mathbf{p}$$

3d charge and current density distributions:  
(in lab frame)

$$\rho_L(\mathbf{R}, Y, t) = Q \cdot H(Y) \cdot \rho(\mathbf{r}, t)$$

$$\mathbf{J}_L(\mathbf{R}, Y, t) = \dots$$

vertical profile

3d fields (by retarded source integration)

$$\mathbf{E}(\mathbf{R}, Y, t)$$

2d projections (weighted averaged)

$$\mathbf{E}(\mathbf{R}, t) = \langle \mathbf{E}(\mathbf{R}, Y, t) H(Y) \rangle_{Y \in \text{gap}}$$

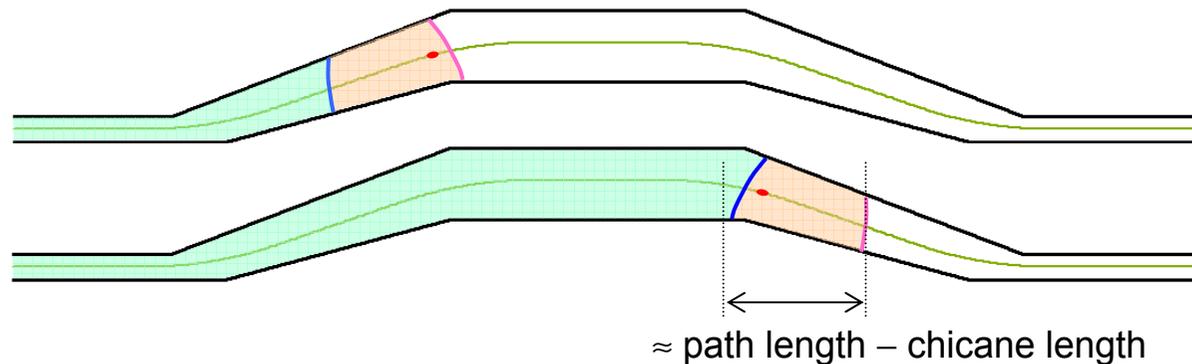
Lorentz force

EoM in beam frame, integration of Vlasov Equation



# em-field calculation with PDE? (on a grid)

the problems (direct time domain calculation):  
calculation window  $\gg$  bunch volume



mesh:  $V / \sigma^3 \propto 10^8$

number of time steps  $\propto$  chicane length /  $\sigma \propto 10^6$

numerical dispersion

no way with explicit schemes (my personal opinion)

but: **strong shielding**: calculation window can be reduced  
**neglect backward waves**;  $\text{Field}(x,y,s,t)$  is a slowly function of  $u=s-ct$

**paraxial approximation**: large steps in  $u$   
frequency domain



# paraxial approximation

T. Agoh: PhD Thesis, Dec. 2004

$$\left( \nabla^2 - \frac{\partial^2}{\partial t^2} \right) \tilde{\mathbf{E}} = \mu_0 \left( \nabla \tilde{J}_0 + \frac{\partial \tilde{\mathbf{J}}}{\partial t} \right)$$

wave equation in time domain

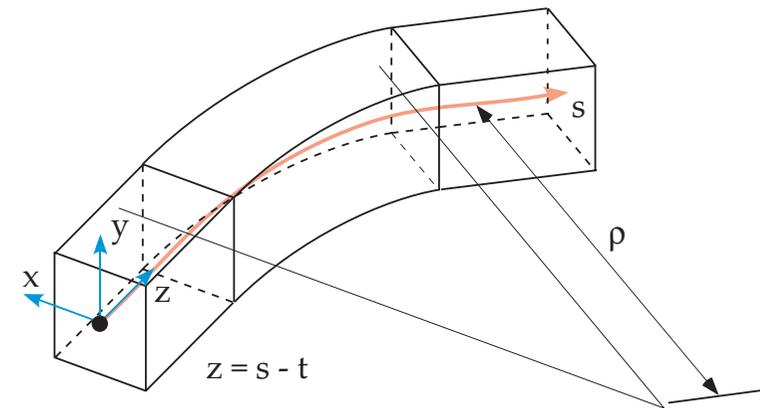
accelerator coordinates  $x, y, s$

Fourier transformation  $e^{ikz} = e^{ik(s-ct)}$

weak  $s$ -dependence (forward propagation)

pipe size small compared to bend radius

relativistic particles  $\gamma \gg 1$



paraxial approximation for transverse em-fields

$$\frac{\partial E_{\perp}}{\partial s} = \frac{i}{2k} \left[ \left( \nabla_{\perp}^2 + \frac{2k^2 x}{\rho} \right) E_{\perp} - \mu_0 \nabla_{\perp} J_0 \right] \rightarrow E_s = \frac{i}{k} \left[ \frac{\partial^2 E_x}{\partial x^2} + \frac{\partial^2 E_y}{\partial y^2} - \mu_0 J_0 \right]$$



## ... paraxial approximation

T. Agoh: PhD Thesis, Dec. 2004

### advantages:

(curved) rectangular beam-pipes defined by coordinate planes  
bending radius needs not to be constant  
**mesh based computation** (explicit, frequency by frequency)  
resistive wall effects

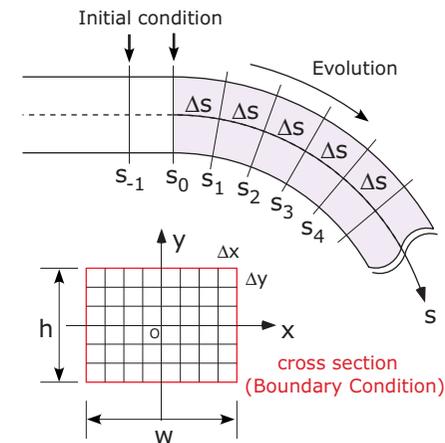
generalization to arbitrary transverse  
cross-sections and smooth variation of  
longitudinal profile

### problems:

free space or large chamber  
non smooth variations → stimulation of backward waves  
distributions with fine structure

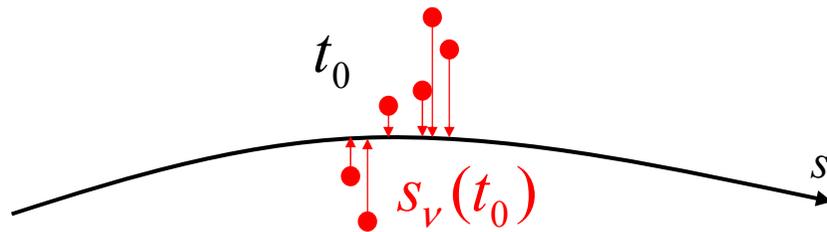
### special care:

singularity of 1d beams  
transverse beam dimensions & SC effects  
variation of bunch shape



## “CSR” codes: 1d

$$\dot{\mathbf{p}}_v = q(\mathbf{e}_{v\parallel} E^{(\lambda)}(s_v, t) + \mathbf{v}_v \times \mathbf{B}^{(\text{ext})})$$



**some physics is missing**

no transverse self-forces

no transverse dimensions,  
rigid 1d charge distribution:

$$\lambda^{(\delta)}(s - t_0 c) = \sum q_v \delta((s - t_0 c) - (s_v - s))$$

$$\lambda(s - t_0 c) = \lambda^{(\delta)}(s - t_0 c) \otimes (g(s/\sigma)/\sigma)$$

no SC effect,

1d E-field without  $\gamma^{-2}$  singularity:

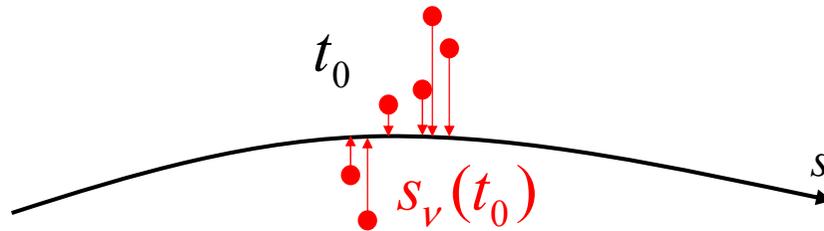
$$E^{(\lambda)}(s, t_0) = \int \lambda'(u + s - ct_0) K(s, u) du$$

no transverse dependency  
of longitudinal forces

**very low numerical effort**



## ... “CSR” codes: 1d



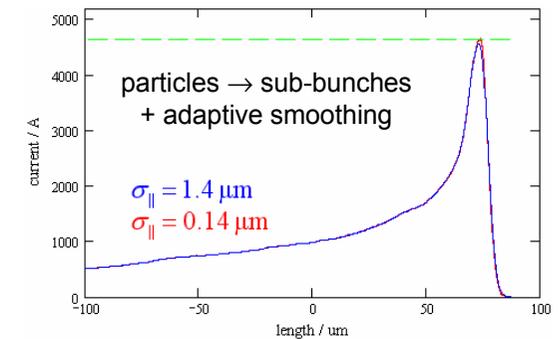
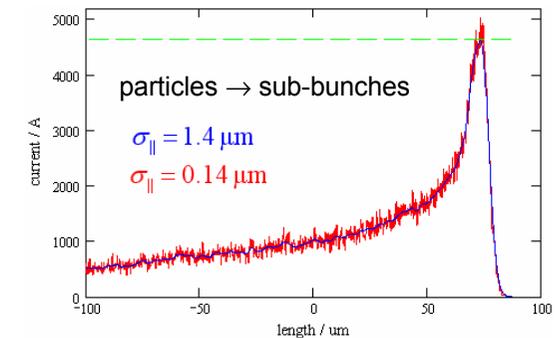
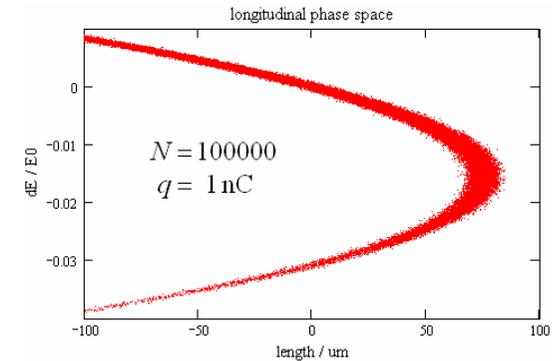
e.g. CSRtrack-1d

a) trajectory: **general sequences of arcs and lines**

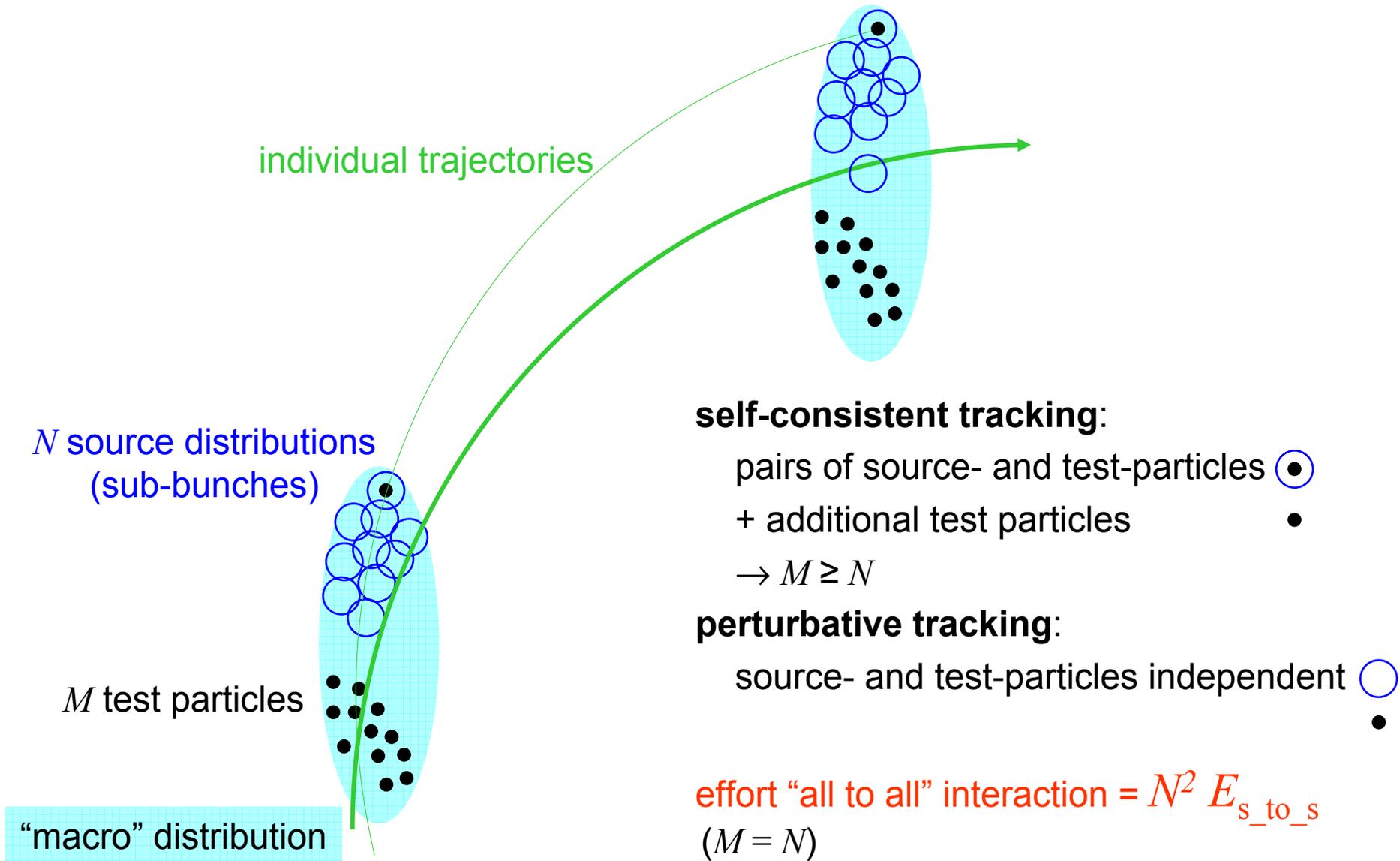
b) shielding: **PEC planes**

c) smoothing:

crucial for suppression of artificial  $\mu$ -bunch effects  
**sub-bunches & density dependent adaptive filters**



# “CSR” codes: sub-bunch approach



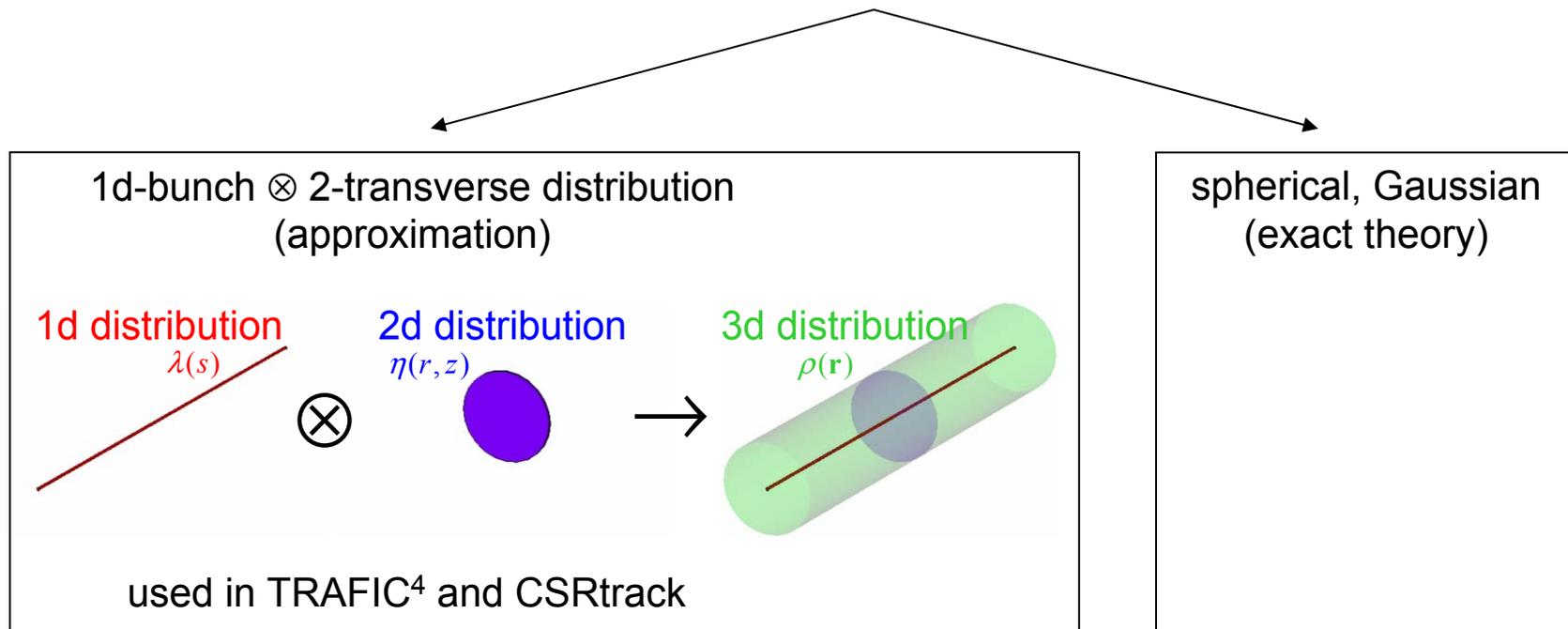
reduction of effort:  
calculation of sub-bunches

in general:

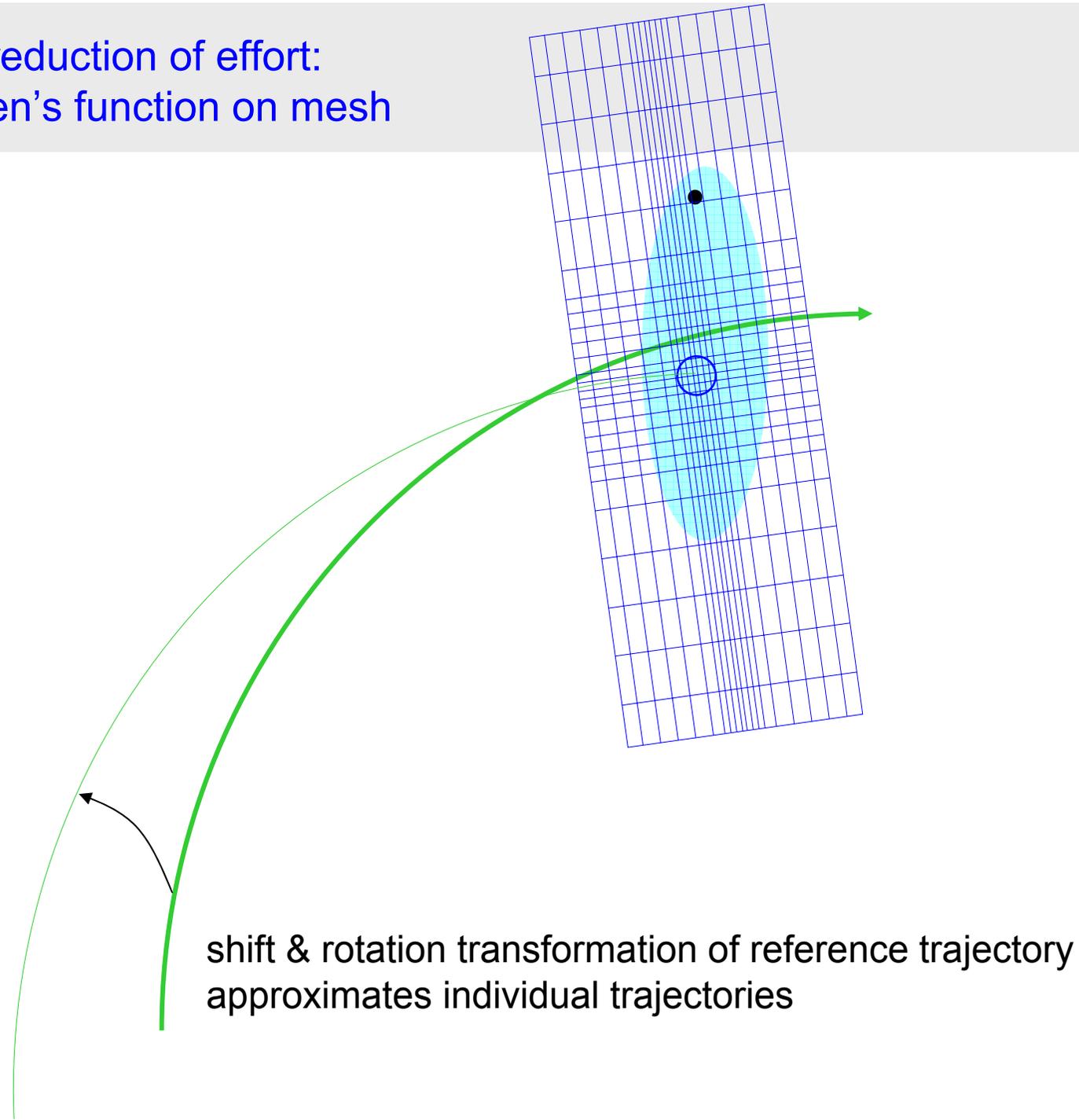
3d sub-bunch needs **3d integration**  $\mathbf{E}(\mathbf{r}, t) = \int \frac{\mathbf{Q}(\mathbf{r}', t')}{\|\mathbf{r} - \mathbf{r}'\|} dV'$

in particular:

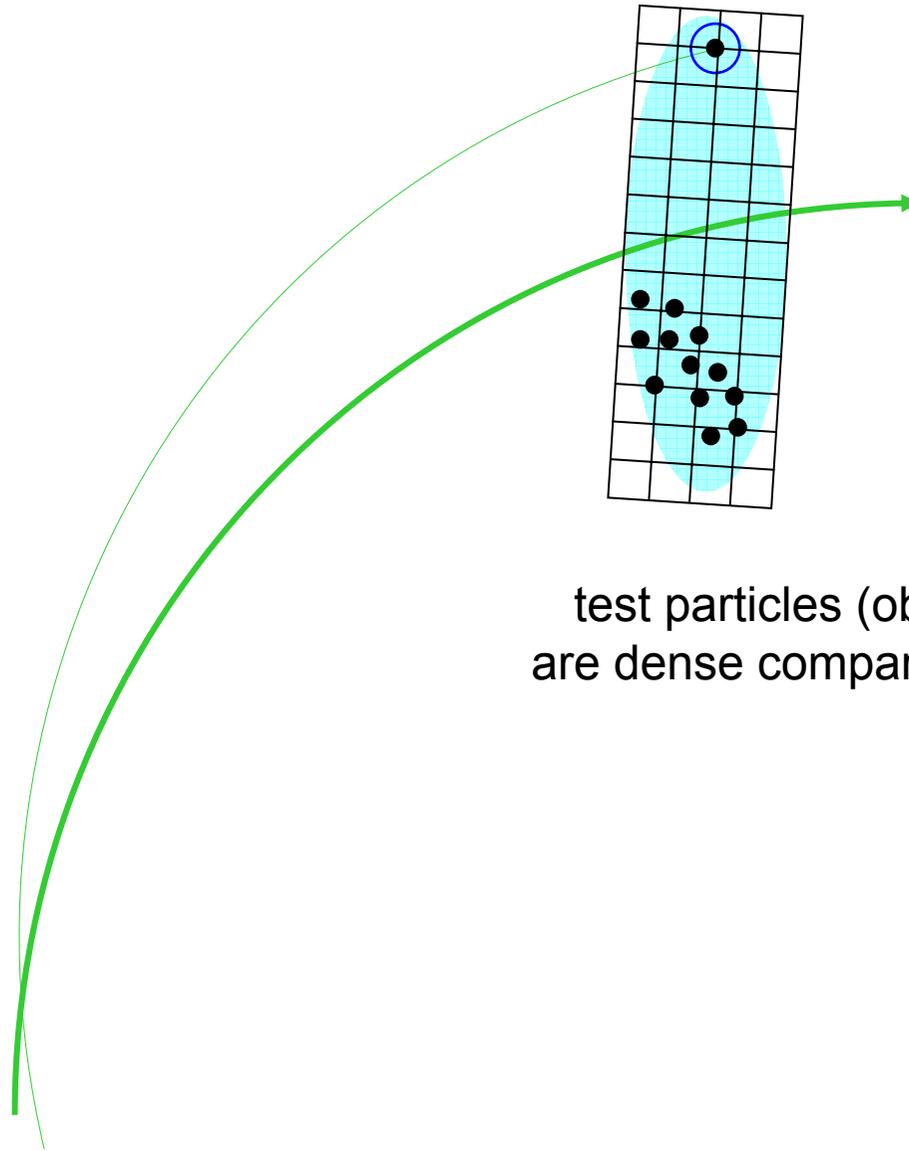
reduction to 1d integrals for **some types of sub-bunches**



reduction of effort:  
Green's function on mesh



reduction of effort:  
EM field on mesh

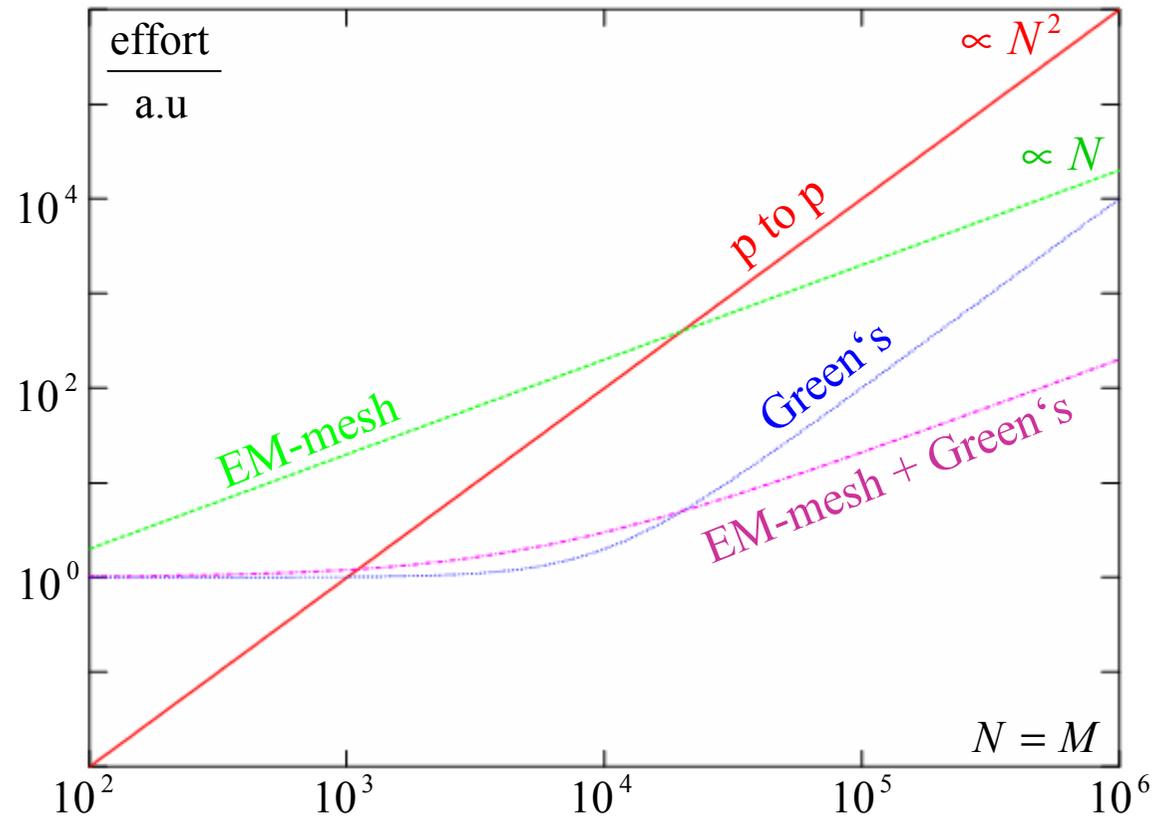


test particles (observer positions)  
are dense compared to field variation



# ... “CSR” codes: sub-bunch approach

scaling of effort  
(simplified)



# Zeuthen benchmark chicane

ICFA Beam Dynamics Mini-Workshop, Berlin-Zeuthen 2002, <http://www.desy.de/csr>

**CSR workshop 2002**

Workshop | Benchmark | Elegant | P. Emma Prog. | R. Li Prog. | TraFiC4 | Tredi | Info / Contact | Links

The example consists of a simple four-bend chicane with parameters similar to the one required for the compression stages of the LCLS (at 5 GeV) or TESLA XFEL (at 500 MeV). It is meant to be a compromise between academic benchmarking and more practical issues. The compressor is depicted in the figure below and its parameters are gathered in the first table. Click on the graphics to download a MAD input deck.

Parameters	Symbol	Value	Unit
Bend magnet length (projected)	$L_b$	0.5	m
Drift length B1->B2 and B3->B4 (projected)	$L_0$	5.0	m
Drift length B2->B3	$L_i$	1.0	m
Post chicane drift	$L_f$	2.0	m
Bend radius of each dipole magnet	R	10.35	m
Bending Angle	$\phi$	2.77	deg
Momentum compaction	$R_{56}$	-25	mm
2nd order momentum compaction	$T_{566}$	+37.5	mm
Total projected length of chicane	$L_{tot}$	13.0	m
Vertical half gap of bends	g	2.5,5	mm

← computed by many CSR codes  
**still a reference** for new developments  
 e.g. Maxwell-Vlasov solver

**The electron beam description:**

The input electron beam will test two different examples: (1) a uniform, and (2) a Gaussian distribution for the temporal profile, where the initial rms length is the same in both case ( $FWEHM_{uniform} = 2\sqrt{3} * rms$ ). The transverse phasespace is assumed to be gaussian in either case. The beam should have a perfectly linear time-energy "chirp" (the bunch head has lower energy than the tail). Therefore the time and energy distribution will be identical. In addition a very small uncorrelated ("slice") energy spread should be added with, for example, a Gaussian distribution.

Parameter	Symbol	Value	Unit
Nominal energy	$E_0$	0.5/5.0	GeV
bunch charge	Q	0.5, 1.0	nC
incoherent rms energv spread	$(\Delta E)_r$ , ...	10	keV

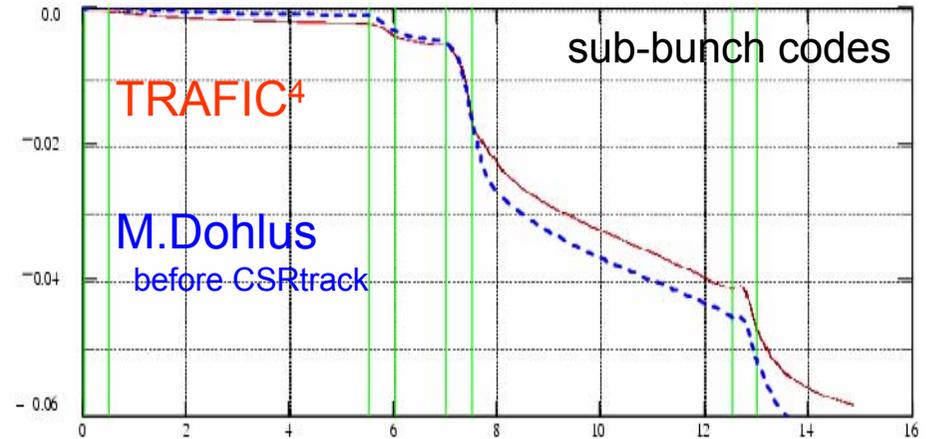
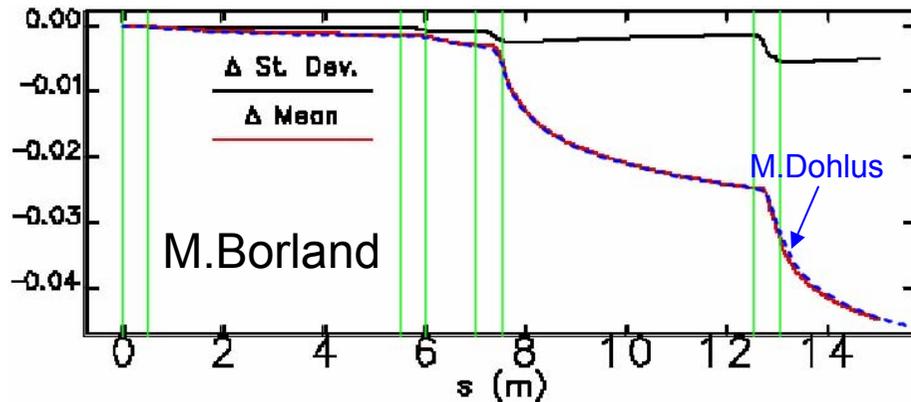
energy = 500 MeV / 5GeV  
 charge = 0.5 nC or 1nC  
 compression factor = 10  
 (600 A → 6 kA)  
 shape = Gaussian / rectangular



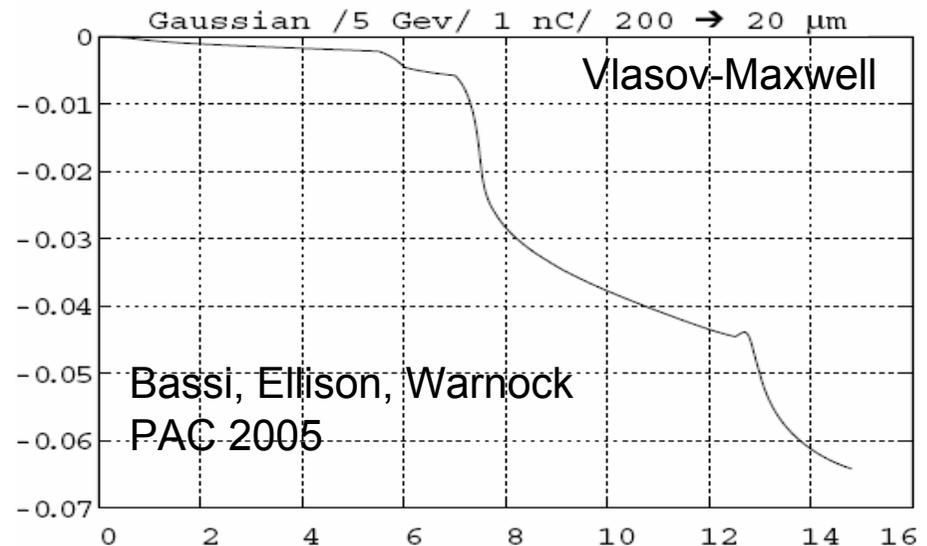
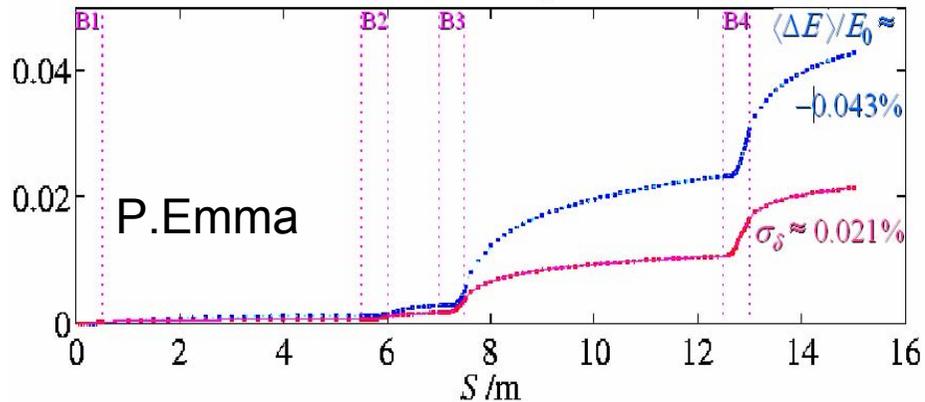
# ... Zeuthen benchmark chicane longitudinal phase space

5GeV, 1nC, Gaussian

1d codes



CSR energy loss (DASH) and rms spread (SOLID) accumulated



agreement between 1d codes  
e.g. relative loss @ 14m  $\approx$  0.04%

relative loss @ 14m  $\approx$  0.06%  
(differences due to transverse beam dim.?!)



# ... Zeuthen benchmark chicane

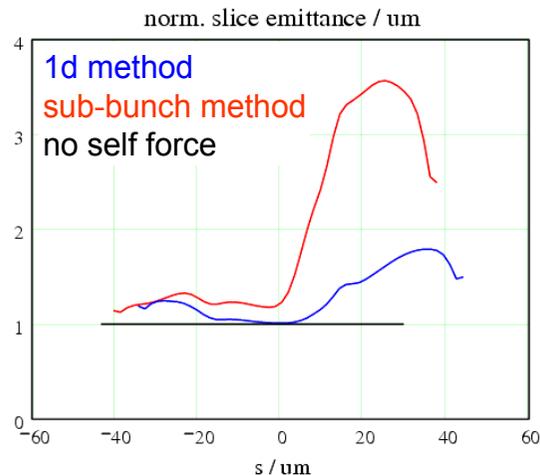
## horizontal phase space

5GeV, 1nC, Gaussian

agreement between 1d and sub-bunch methods:  
projected emittance  
slice emittance

but: 500 MeV, 1nC, trapezoid  
(stronger SC effects)

significant differences between 1d and sub-bunch methods:  
projected emittance: 5 compared to 3  
slice emittance:



part 1: CSR codes

part 2: simulation of BC systems

physical & numerical problems

example: SC effects after last BC

piecewise tracking, codes & tools

$\mu$  bunching

particle distributions

non linear effects in longitudinal phase space

examples: rollover compression

linearized compression

compensation in 2BC systems

conclusions



## more physical & numerical problems

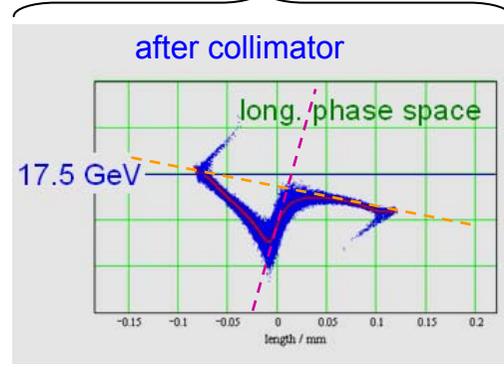
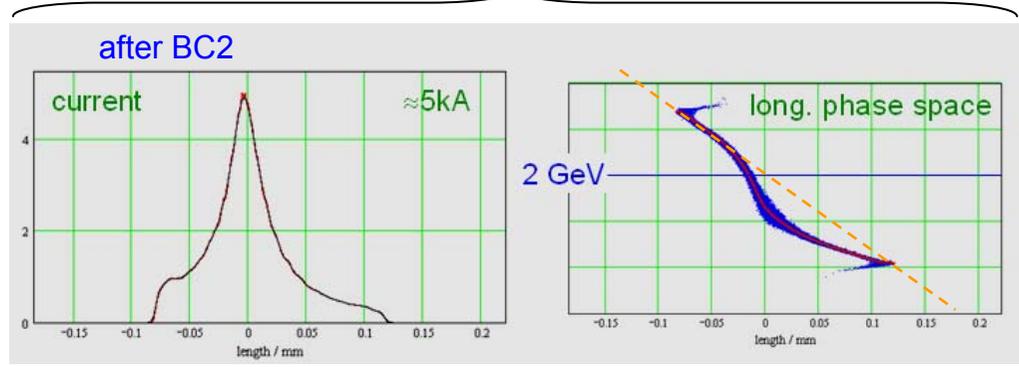
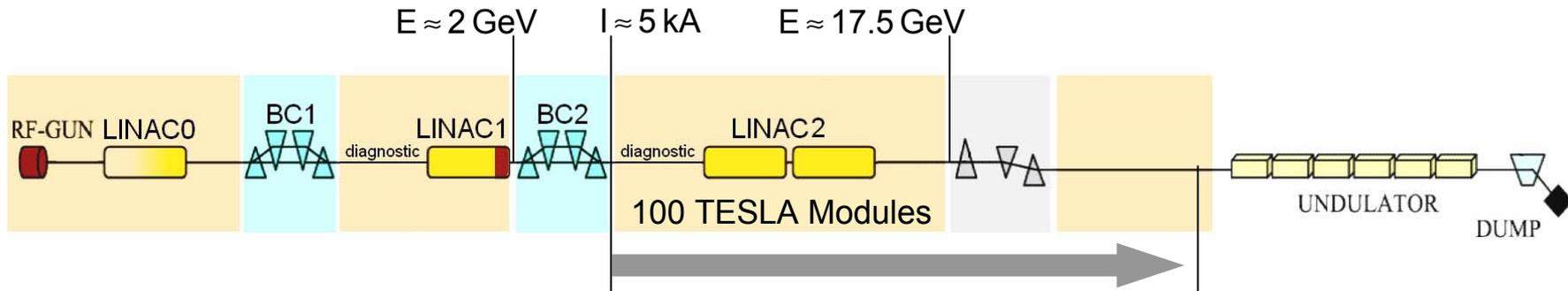
physical  
numerical

- tracking with different methods (different particle descriptions)
- particle description (macro particles, ensembles, sub-bunch distributions, phase space density)
- ●  $\mu$ -bunching → laser heater  
→ decoupled investigation → amplification  
→ noise suppression
- longitudinal sensitivity → a) controlled compression  
→ b) “over” compression
- transverse: space charge Q shift



# example: SC effects after last BC

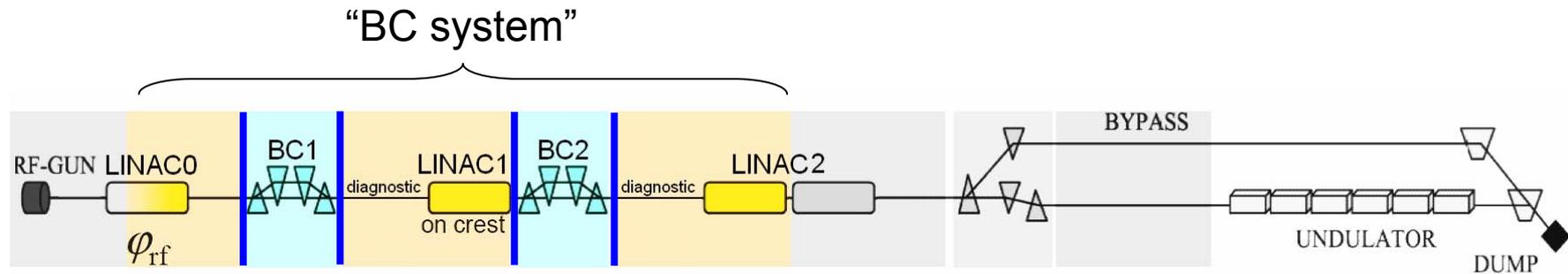
European XFEL:



negative chirp compensated by LINAC wakes  
positive chirp induced by space charge !



# piecewise tracking, codes & tools



linear trajectory codes (=LT codes)

Runge-Kutta tracker + Poisson solver  
PARMELA, ASTRA, GPT, ...  
or ELEGANT + external SC calculation

CSR codes

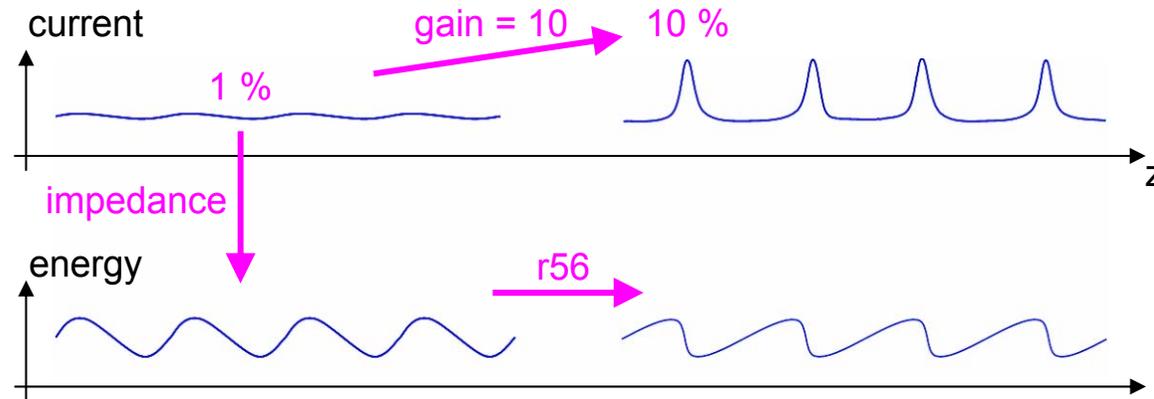
Trafic4, TREDI, CSRtrack,  
Elegant,  
...

utility programs

conversions  
simple manipulations  
...



# $\mu$ -bunching - amplification



picture based on: Z. Huang FLS2006

impedances (steady state):

$$Z'_{sc}(k, \gamma, \dots) \propto \frac{ik}{\gamma^2} \ln\left(\frac{\gamma}{k\sigma_r}\right)$$

“SC-instability”

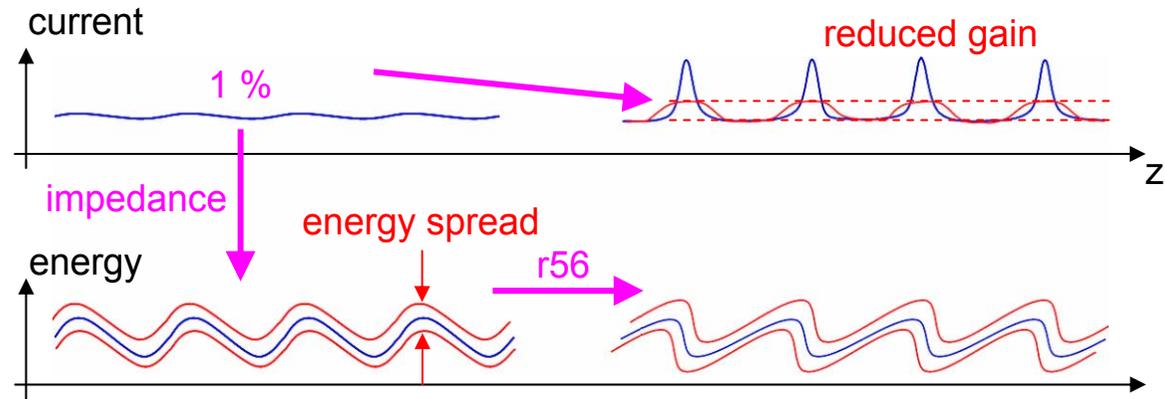
$$Z'_{CSR}(k, R_{curv}) \propto \sqrt[3]{\frac{k}{iR_{curv}^2}}$$

“CSR-instability”



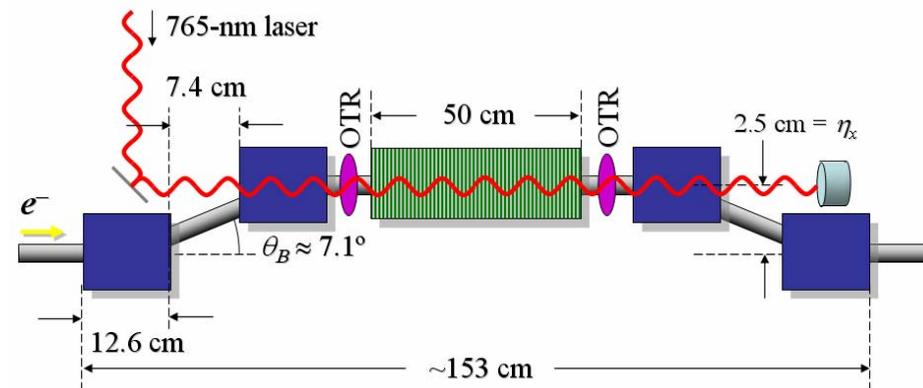
# ... $\mu$ -bunching - amplification laser heater

proposed by E. Schneidmiller 2002



picture based on: Z. Huang FLS2006

'laser heater' System (LCLS layout)

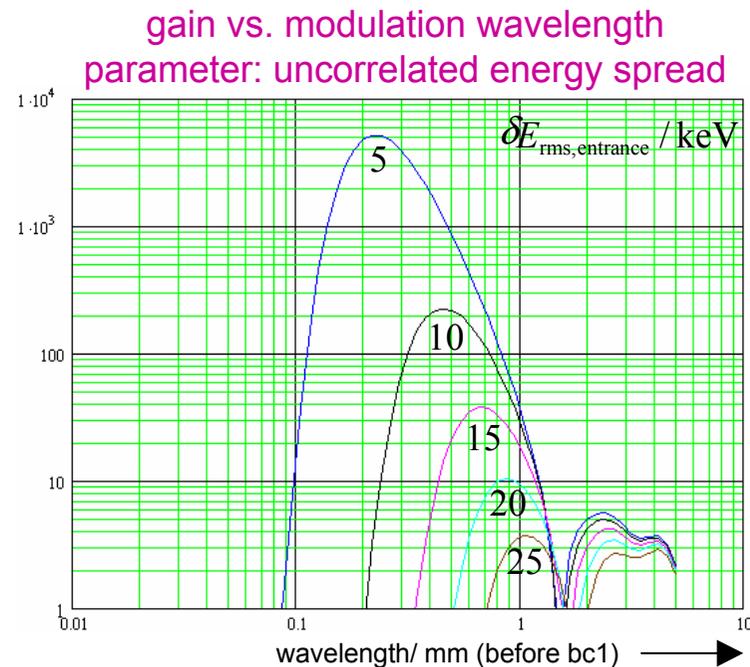


# ... $\mu$ -bunching - amplification

## numerical aspects

- 1) it is difficult to simulate macroscopic & microscopic effects together  
(very high resolution, very many particles required)
- 2) → separate investigation of  $\mu$ -bunching  
CSR: integral equation method (limited applicability)  
projected method: modulated beam, 1- and 2-stage compression  
SC: impedance + r56

example: European XFEL

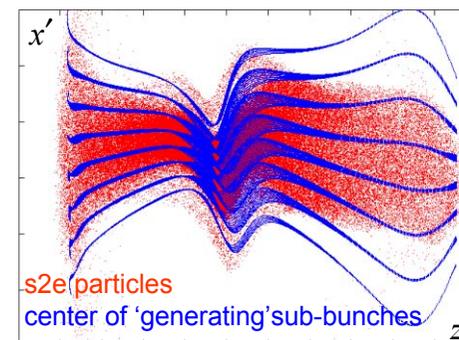


- 3) s2e simulations without  $\mu$  structure:  
avoid artificial instability  
e.g. due to shot noise of few macro-particles → noise reduction



# particle distribution

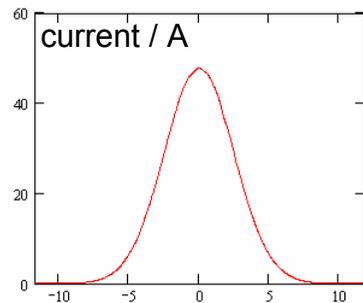
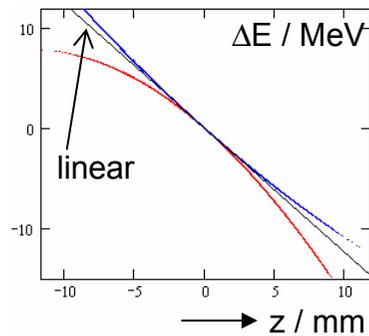
- use **one particle** set for complete simulation if possible!
- from injector simulation:  $N \sim 10^5 \dots 10^6$  equal charged **particles** (semi)random in **6d** phase space
- **N is no problem** for LT-codes and 1d-CSR codes; **N is possible** with sub-bunch **CSR codes with mesh** + parallel processing  
no hope for CSR codes with particle to particle interaction
- **noise is a problem in general**  
1d: binning, filtering, density adaptive methods  
mesh: use enough particles per cell
- **'image' technique**  
create a **'image'** distribution that has all essential properties of the original particle set but **is smooth**;  
**track image** distribution **with self interactions**;  
**track original particles** in the field of the **'image'**;



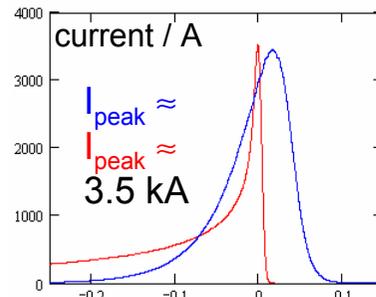
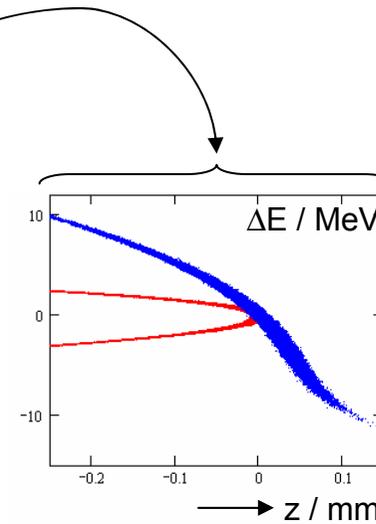
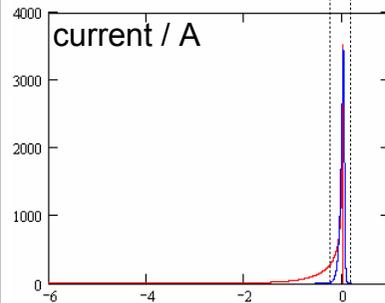
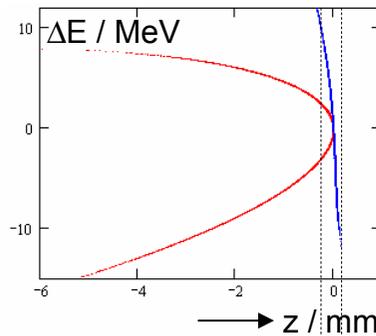
# non linear effects in long. phase space

'controlled' or linearized compression  
'rollover' compression

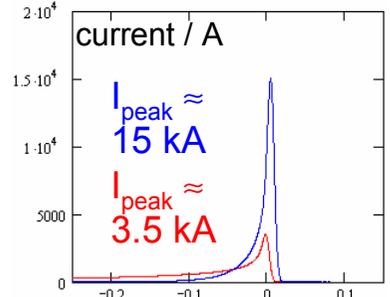
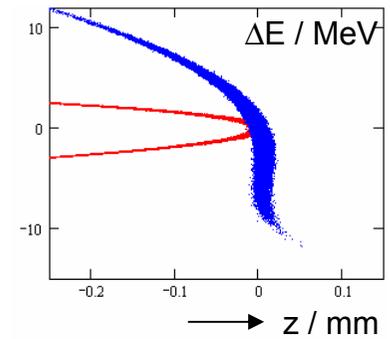
before BC



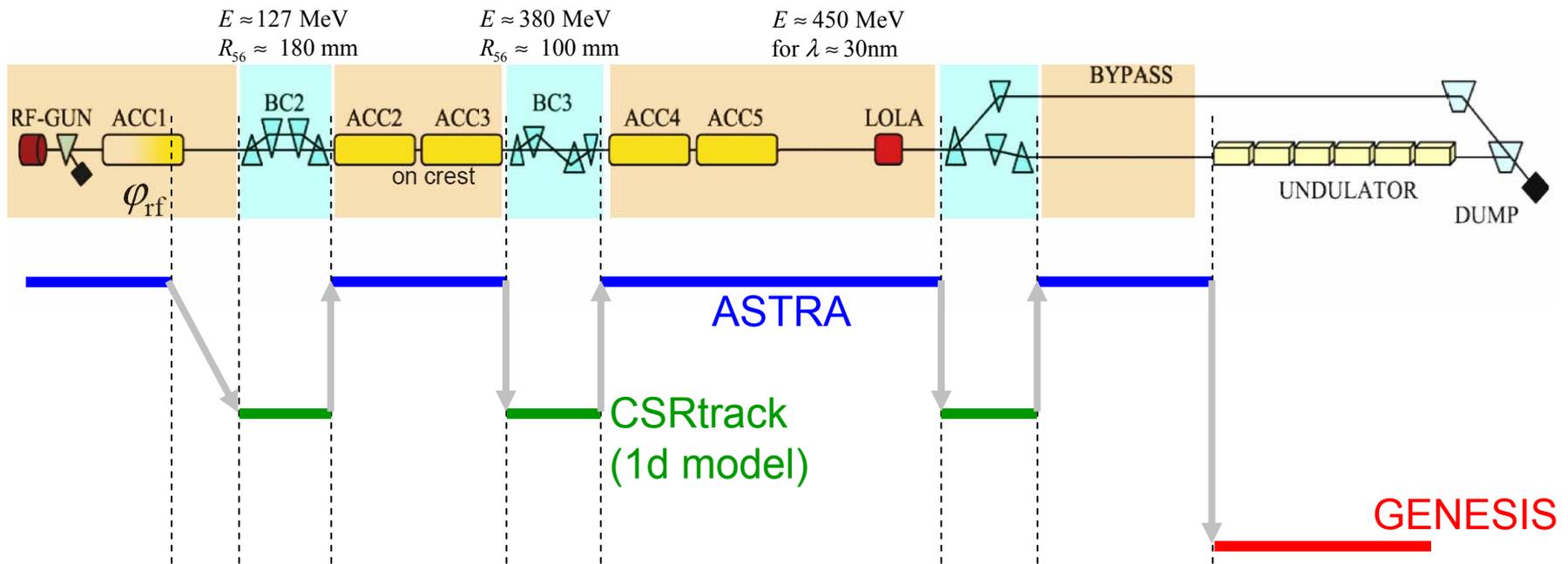
after BC



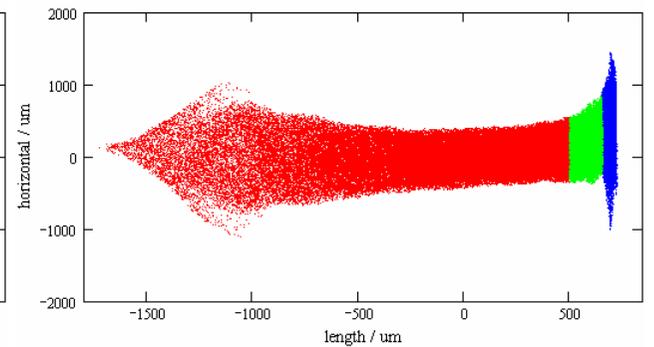
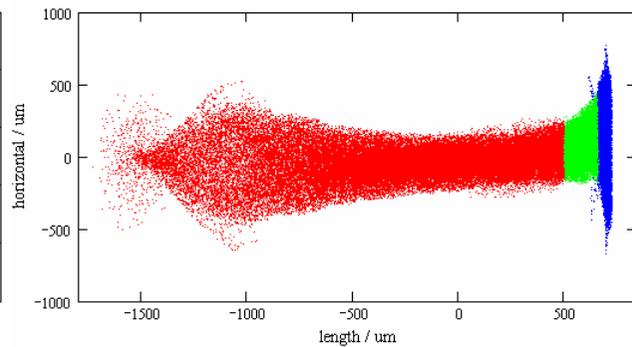
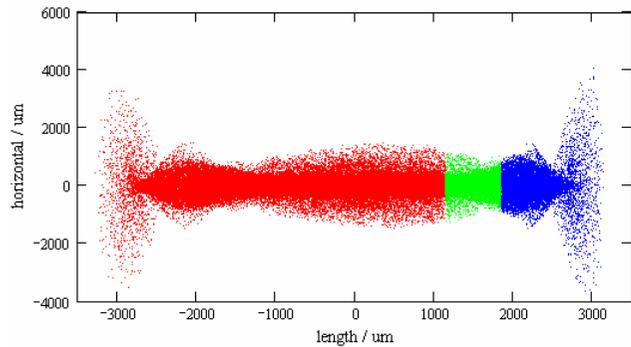
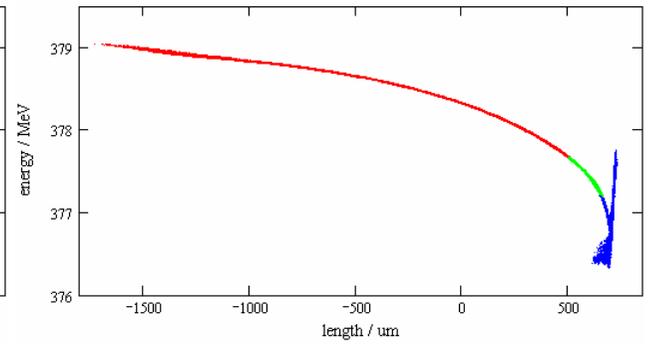
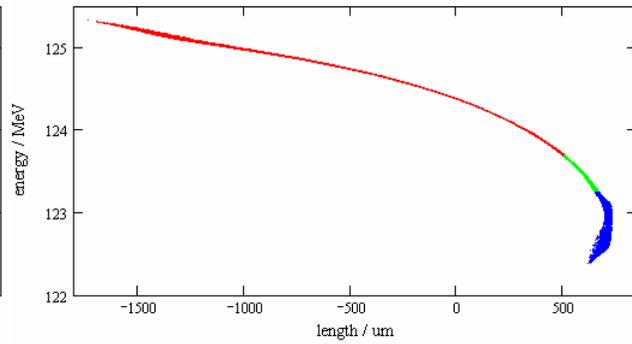
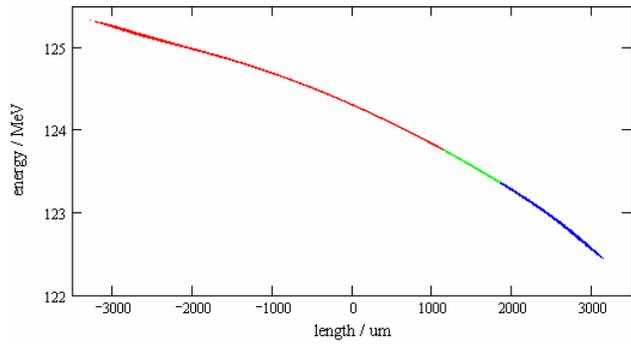
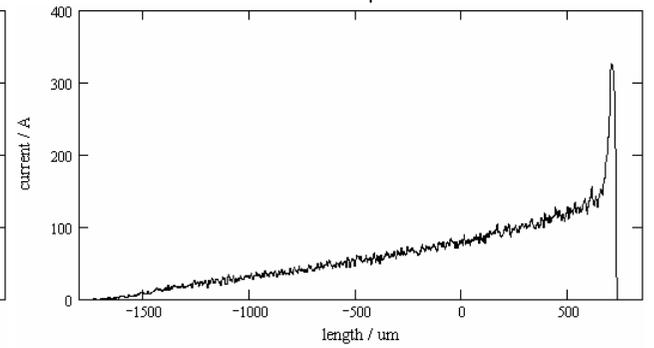
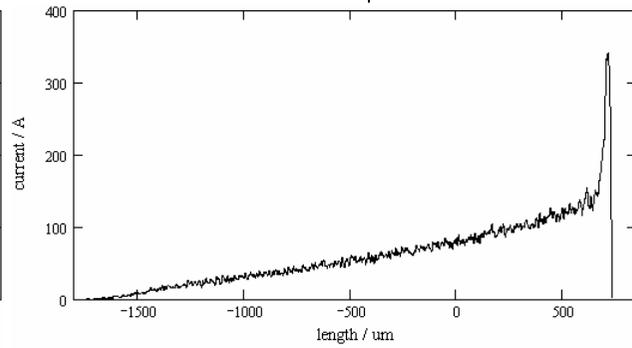
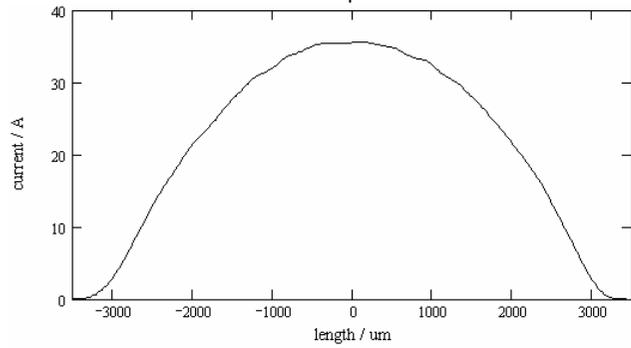
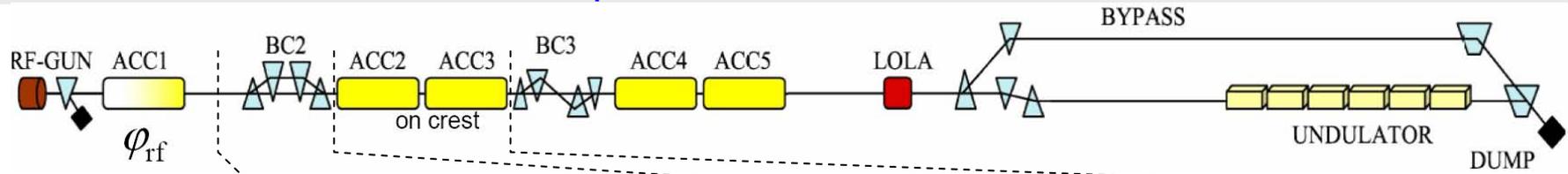
lost control:  
magnet strength  
changed by 0.5%



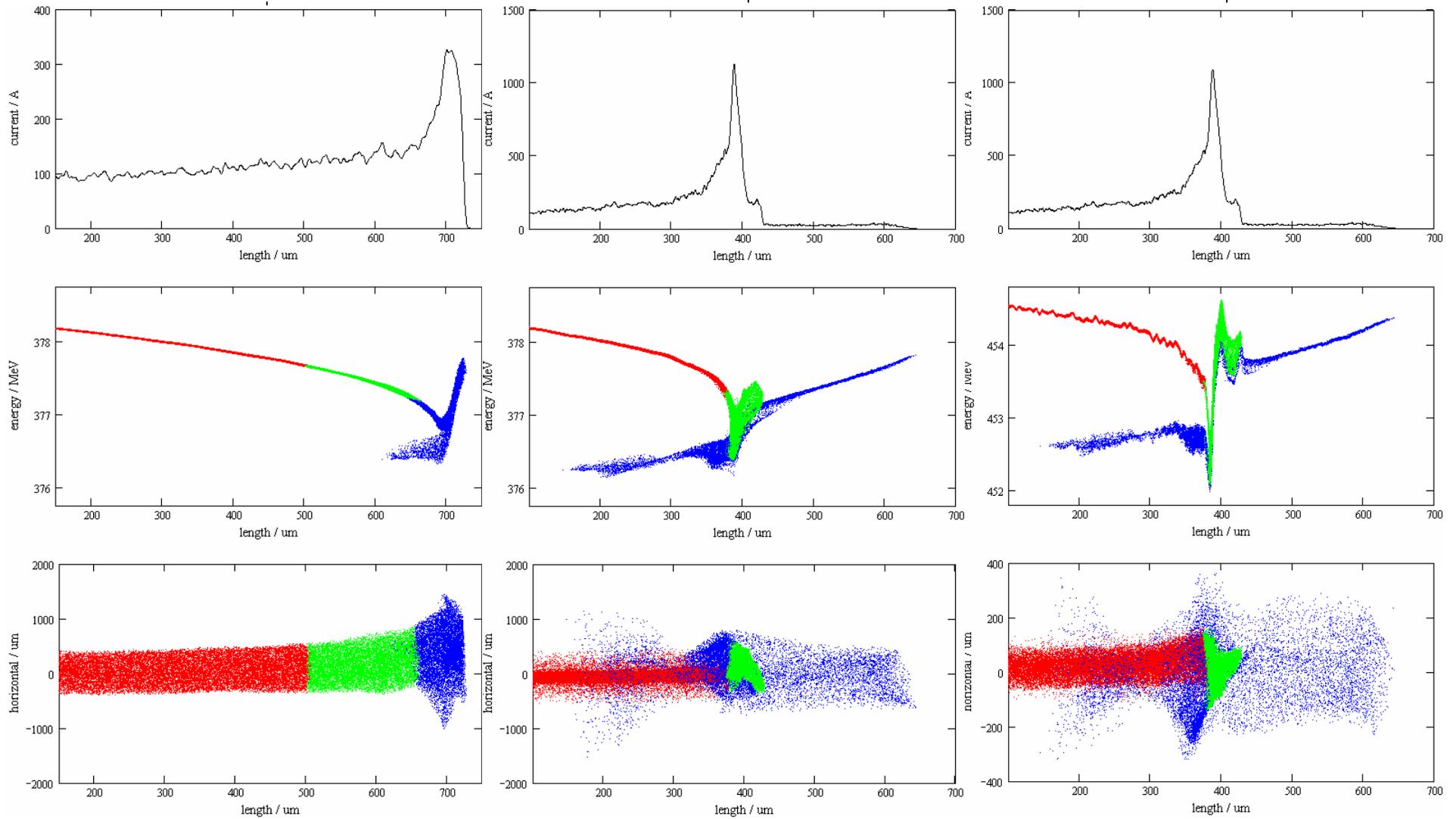
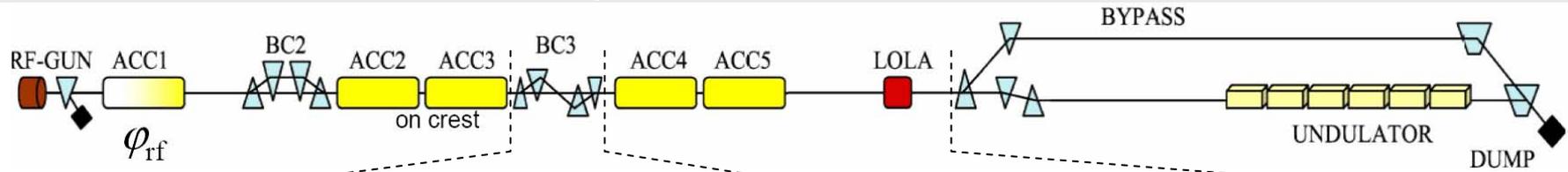
# ... rollover compression example: FLASH s2e simulation



# example: FLASH s2e simulation

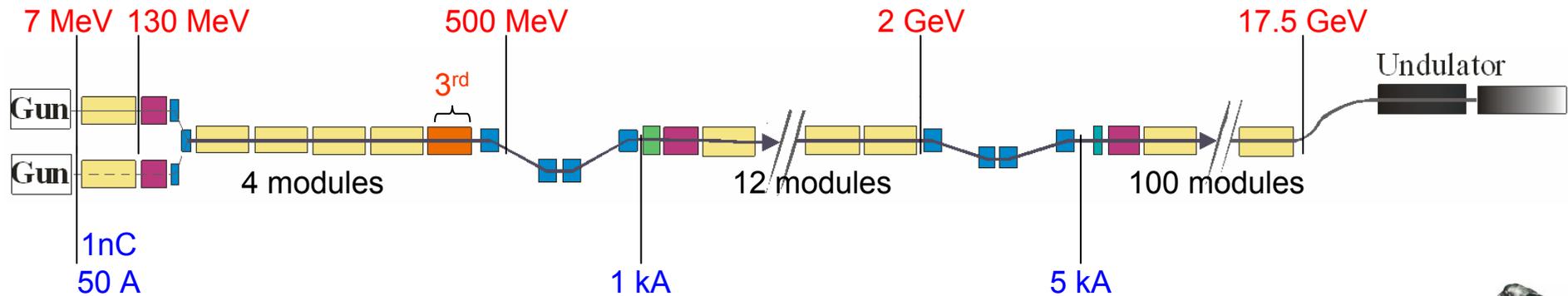
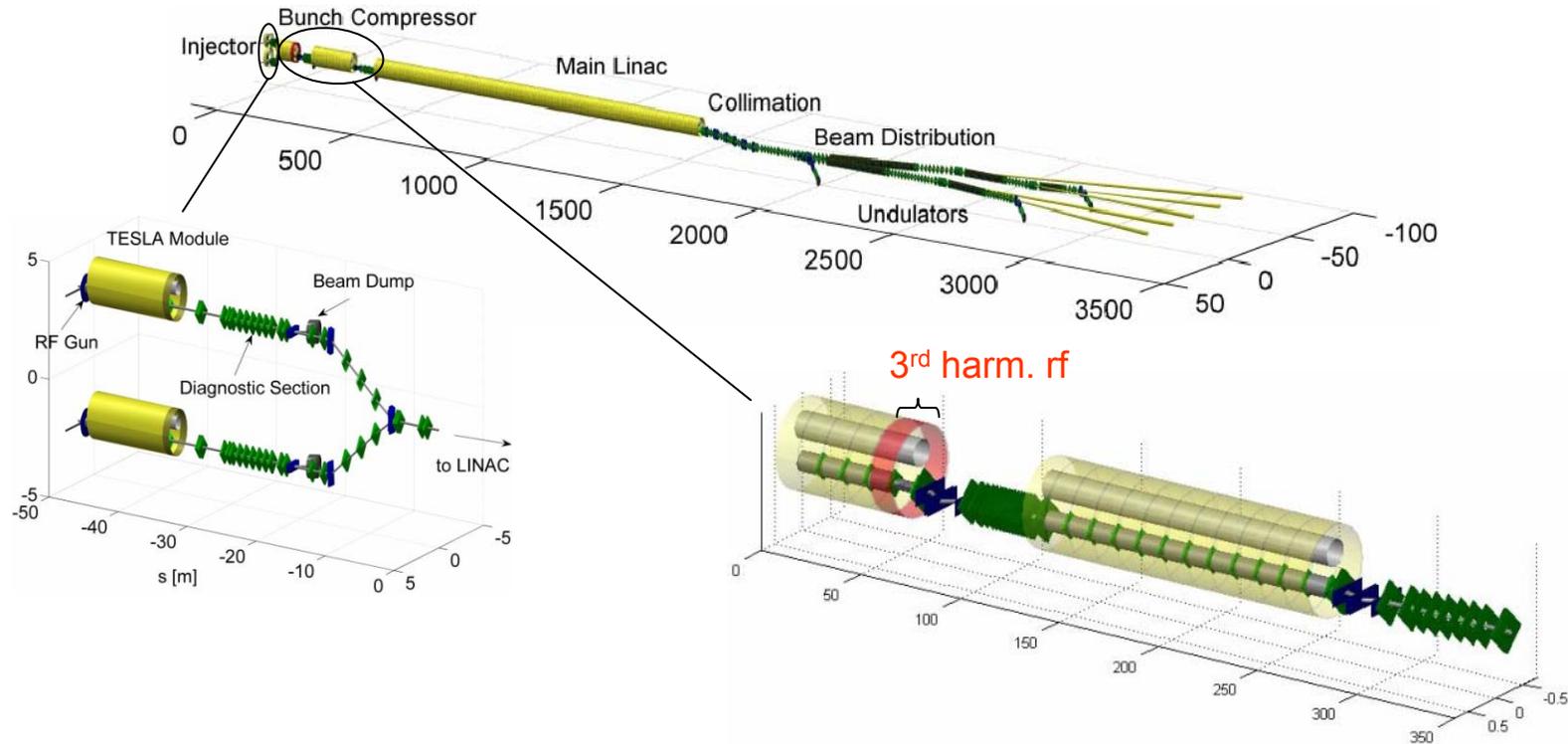


# example: FLASH s2e simulation



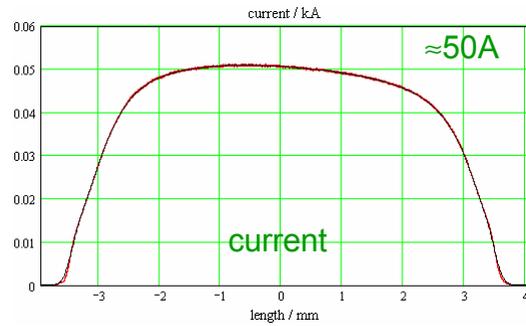
# controlled compression

## example: European XFEL

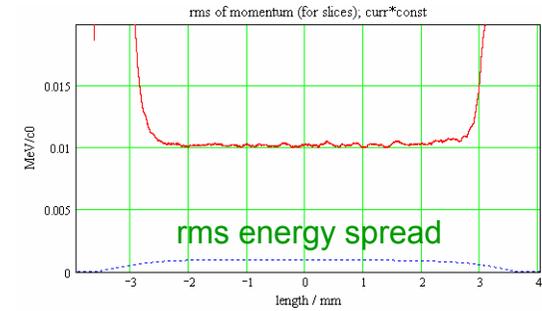
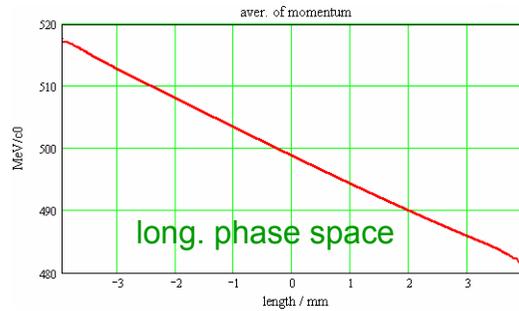


# example: European XFEL

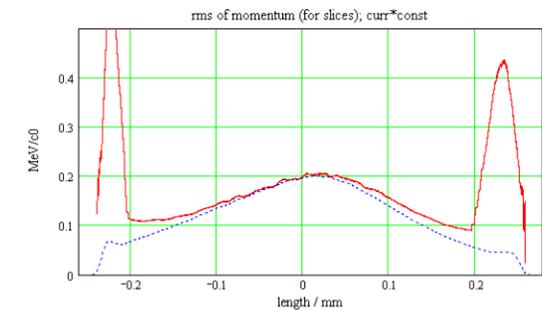
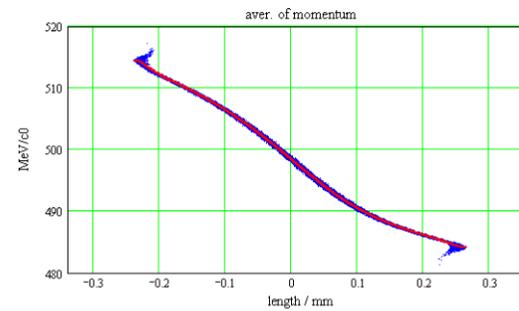
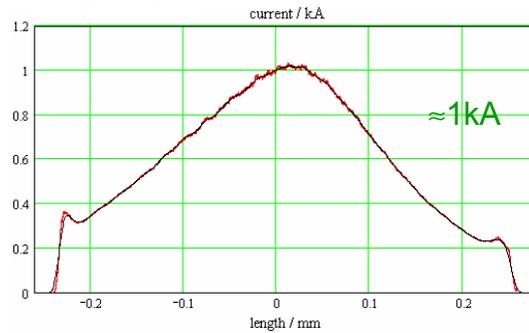
before BC1



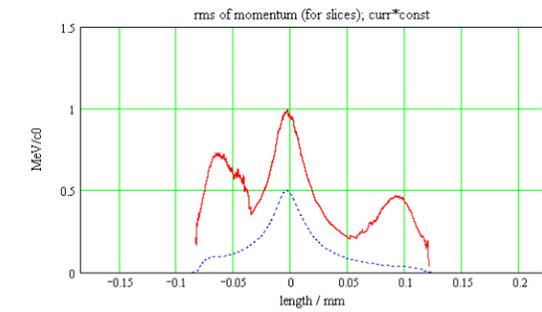
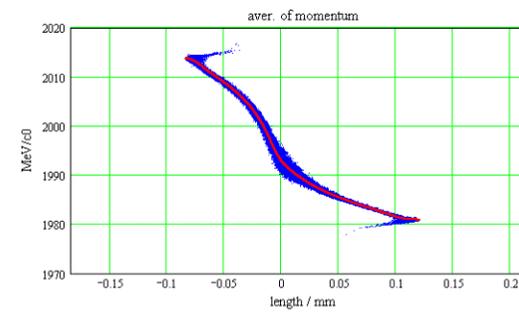
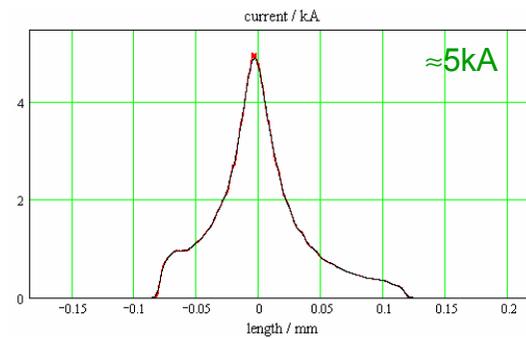
1.3GHz: 442.85 MV 1.42 deg  
3.9GHz: 90.63 MV 143.35 deg



after BC1

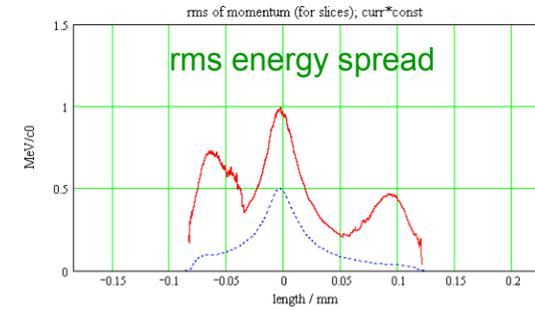
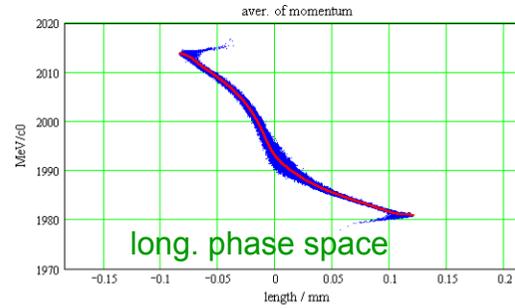
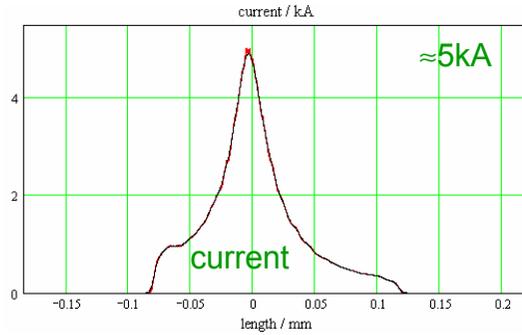


after BC2

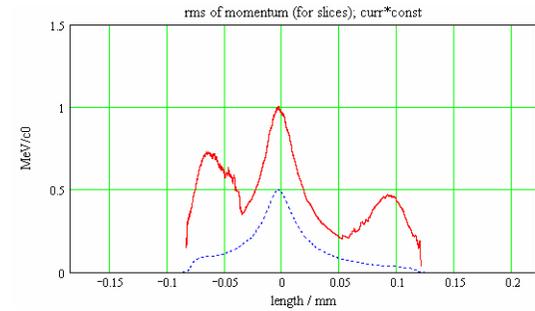
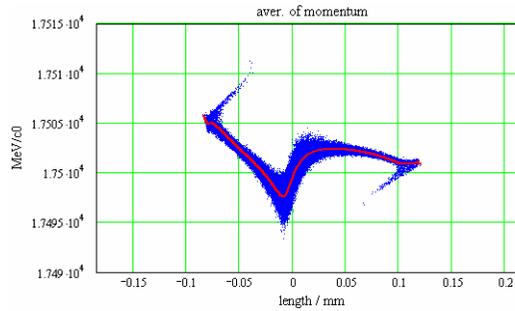
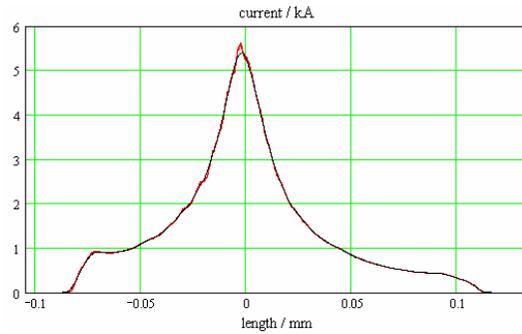


# example: European XFEL

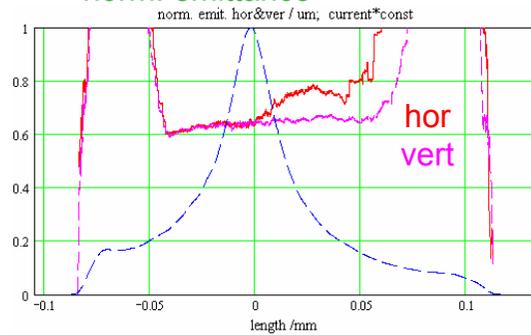
after BC2



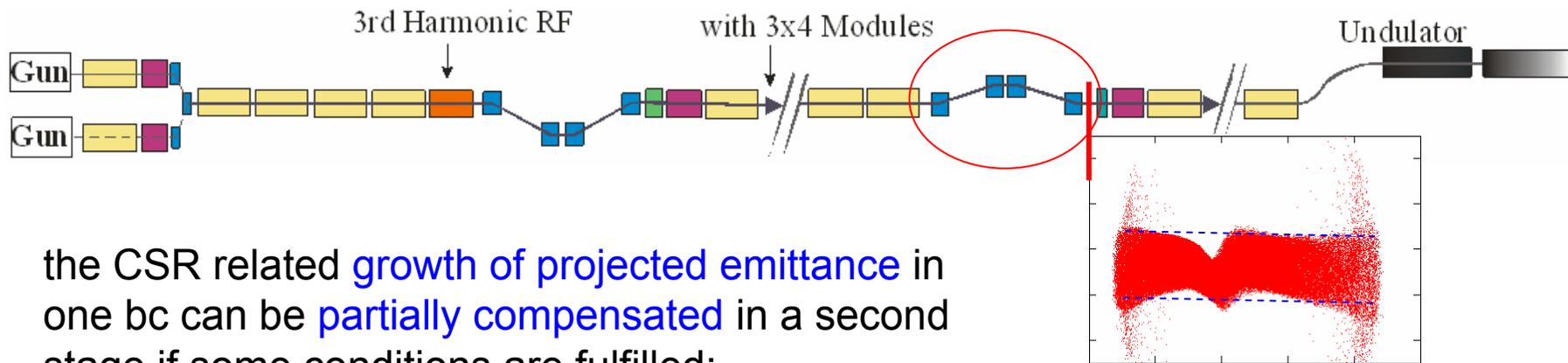
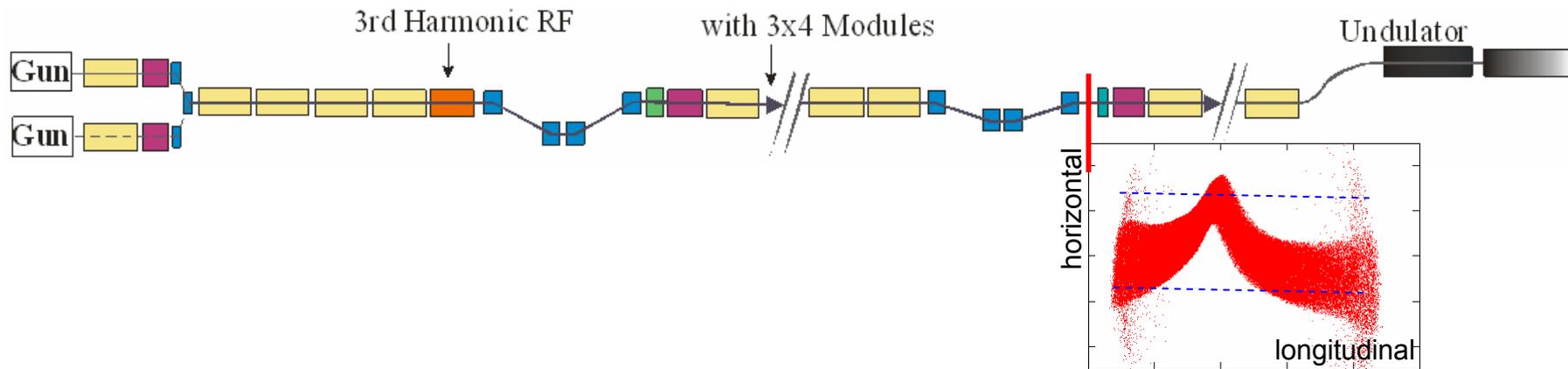
after collimator



norm. emittance



# compensation in 2-bc systems shielding & resistive walls



the CSR related **growth of projected emittance** in one bc can be **partially compensated** in a second stage if some conditions are fulfilled:

- right phase advance,
- right compression ratio (chirp as well as r56),
- no interference with other effects

**to be checked: influence of shielding, resistive walls**



# Conclusion

## part II

- effects in BC **systems** are challenging (many physical effects are involved)
- $\mu$ -bunching effects beyond the resolution of non-1d-codes
- several types of codes needed (LT- and CSR-codes)

## part I

- 1d- and sub-bunch codes are available  
Vlasov-Maxwell approach and paraxial approximation under development
- resolution of sub-bunch method increased
- ‘CSR’ methods cover all important physical effects  
(SC, CSR, shape variation, shielding, resistive walls)

**in reach: code that covers all effects**

