EXPECTED PERFORMANCE AND BEAM-BASED OPTIMIZATION OF
THE LHC COLLIMATION SYSTEM

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Abstract

The cleaning efficiency requirements in the LHC are beyond the requirements at other circular colliders. The achievable ideal cleaning efficiency in the LHC is presented for the improved LHC collimation system. The longitudinal distribution of proton losses is evaluated with a realistic aperture model of the LHC. The results from simplified tracking studies are compared to simulations with complete physics and error models. Possibilities for beam-based optimization of collimator settings are described.

REQUIRED CLEANING EFFICIENCY

Halo particles are characterized by their normalized offsets $A_{x,y}$ in the transverse coordinates $x, y$ [1]:

$$A_x = \sqrt{\left(\frac{x}{\sqrt{\epsilon_x}}\right)^2 + \left(\frac{\alpha_x x + \beta_x x'}{\sqrt{\epsilon_x}}\right)^2}. \quad (1)$$

The same definition applies for $A_y$. The terms $\beta, \alpha,$ and $\epsilon$ are the beta and alpha Twiss functions and the emittance. The normalized radial amplitude $A_r = \sqrt{A_x^2 + A_y^2}$ of a particle is introduced. In order to define the cleaning inefficiency $\eta_c$ a variable normalized ring aperture $a_c$ is considered. For $N$ particles impacting at the collimators the cleaning inefficiency is defined as:

$$\eta_c(a_c, n_1, n_2) = \frac{1}{N} \sum_{i=1}^{N} H(A_r - a_c). \quad (2)$$

Here, $H$ is the Heaviside step function, returning 1 for $A_r \geq a_c$ and zero otherwise. The cleaning inefficiency gives the probability for a proton to escape the collimators and reach at least a normalized amplitude $a_c$ for given settings $n_1$ and $n_2$ of primary and secondary collimators. Losses are diluted over some length $L_{dil}$ and a local cleaning inefficiency $\tilde{\eta}_c = \eta_c/L_{dil}$ is defined.

The quench level $R_q$ is estimated to be $7 \times 10^8$ protons/m/s for 450 GeV and for slow, continuous losses [2]. For 7 TeV a value of $7.6 \times 10^6$ protons/m/s is obtained. The total intensity $N^q_\mu$ at the quench limit $R_q$ and for a minimum beam lifetime $\tau_{\mu}$ is given as: $N^q_\mu = \tau_{\mu} \cdot R_q / \tilde{\eta}_c$. It is numerically evaluated for the LHC in Figure 1. The most stringent requirements on the collimation inefficiency arise at top energy. The nominal intensity of $3 \times 10^{14}$ protons per beam requires a local collimation inefficiency of $2 \times 10^{-5}$ m$^{-1}$. Injection has less strict requirements.

BEAM TRACKING WITH COLLIMATORS

All studies discussed in the following refer to phase 1 of LHC collimation [5]. The prediction of cleaning efficiency was performed in the past with quite simplified computer codes and detailed loss predictions along the ring were not easily possible. Chromatic and non-linear effects were not fully included in the simplified tracking.

With the availability of modern computers tracking can now be done under much more realistic assumptions. The collimator scattering routines from K2 [3] and COLLTRACK were implemented into SIXTRACK [4]. The particle tracking can now be performed in SIXTRACK including full chromatic and non-linear effects, coupled motion, all available error models, beam correction, longitudinal beam dynamics, beam-beam models, etc. The trajectories are tracked through every magnetic element, allowing to save trajectories of halo particles for later analysis in the aperture model. The predictions of COLLTRACK/K2 and SIXTRACK are compared in Figure 2 for some cases. A very good agreement is seen.

DETAILED APERTURE MODEL

Detailed comparisons to the quench limit require prediction of the longitudinal loss distribution. For an ensemble of $10^6$ lost particles $10^5$ protons will escape from the cleaning insertion if the inefficiency is $10^{-3}$. If it is known over what length protons are lost in the cold aperture, the loss rates can be compared to the requirements from Figure 1. A detailed aperture model was set up for the LHC. Particle trajectories are saved from the tracking programs and are then compared to the LHC aperture. An example is shown.
Cleaning inefficiency

Figure 2: Comparison of inefficiency from COLLTRACK/K2 and full SIXTRACK tracking. Results show betatron collimation at 7 TeV (top) and 450 GeV (bottom), ideal set-up, collimators at 6 \( \sigma/7 \sigma \) and a horizontal beam halo. The impact parameter is about 0.5 \( \mu \)m.

in Figure 3. Loss points can be localized with an almost arbitrary resolution.

The loss distribution can be determined all around the ring. An example of losses due to tertiary halo is shown in Figure 4 for betatron cleaning at injection and top energy. Future studies will include error models, higher statistics, different operational modes and detailed interpretation of ratio between loss and quench limit, also including azimuthal loss location as illustrated in Figure 5.

BEAM-BASED OPTIMIZATION

The beam-based optimization of the LHC collimation system is challenging due to the tight tolerances and the many degrees of freedom. However, it is important to realize that a natural learning experience can be followed while the intensity is being pushed upwards. Three regimes can be distinguished:

One-stage cleaning: Only the three primary collimators are used. Cleaning efficiency is expected to support around \( 2 \times 10^{12} \) protons at 7 TeV and around \( 5 \times 10^{13} \) protons at injection. This one-stage set-up is quickly implemented and very robust against any kind of errors. Secondary collimators can slowly be commissioned in parallel to other tasks. The cleaning efficiency at top energy can easily be enhanced by local cleaning at the triplets.

Two-stage cleaning without skew: Two-stage cleaning is first implemented for momentum cleaning and horizontal and vertical betatron cleaning. The skew halo is not yet collimated and a somewhat increased cleaning inefficiency

Figure 3: Example of the LHC aperture between the betatron cleaning insertion and IR8. The trajectory of a 7 TeV halo particle (escaping from a secondary collimator) is plotted. The proton touches the aperture in the IR8 triplet.

Figure 4: Loss distribution of tertiary halo with 10 cm resolution around the ring with injection (top) and low beta (bottom) optics.
can be envisaged, depending on the beam loss processes.

**Full two stage cleaning**: All phase 1 collimators are used. The design performance supports about 50% of nominal intensity or $1.5 \times 10^{14}$ protons at 7 TeV.

The pursuit of such an evolutionary strategy will allow adiabatic set up of collimators in parallel to beam commissioning. Collimator set-up can be envisaged in various ways. A traditional set-up procedure could be done like this:

Initially about 5-10 nominal bunches are stored. The beam is then scraped to $3 \sigma$ in horizontal and vertical planes. The scrapers define a unique and stable beam edge. For the collimator of interest the first jaw is selected and its angle changed until the BLM's indicate that the first edge touches the beam. Readings of BPM's and jaw positions are recorded. The first edge is moved out and the procedure is repeated for the second edge. For the second jaw the steps listed above are repeated. Finally, the jaws are moved to the calibrated $3 \sigma$ gap, centered around the beam. The gap center, BPM readings and gap opening are recorded. The collimator is opened.

The procedure is repeated for the other collimators. Once all collimators have completed this beam-based alignment, the system can be moved to target positions. Further optimization of cleaning efficiency is performed by empirical collimator adjustments based on a small number of critical BLM's (where we are most likely to quench).

In the LHC it can also be envisaged to try more advanced algorithms for collimator adjustment.

**Collimators checks with equal settings**: All collimators are adjusted to the same normalized gap size (e.g. $6 \sigma$). Beam intensity must respect the one stage efficiency. Now the emittance is slowly increased. Losses will first occur at the collimator that is displaced and can easily be detected with BLM's. The center of the gap is adjusted such that losses are minimized. Once this collimator is better positioned the beam losses will indicate the next collimator bottleneck. A similar procedure can be performed with long orbit bumps through the collimation region. Collimator bottlenecks can be identified versus phase and amplitude of the closed orbit bump.

**Orbit knobs**: In the case of closed orbit drifts all collimators in a local region can be adjusted in a knob fashion, specifying amplitude and phase of the orbit drift.

**Beta beat knobs**: In the case of transient changes in beta beat the collimators can be adjusted such that the beta beat modulation is compensated. Input would be amplitude and phase of beta beat, most probably adjusted empirically by trial and error.

### CONCLUSION AND OUTLOOK

The tracking engine of SIXTRACK has been extended to include proton scattering on collimators. Results from SIXTRACK and COLLTRACK/K2 show excellent agreement. Limitations in the previous collimation studies can now be overcome. Future studies will include full chromatic and non-linear effects, coupled motion, error models from MAD and SIXTRACK, beam correction, longitudinal beam dynamics, beam-beam models, advanced diffusion models, etc.

The SIXTRACK engine tracks the trajectories through all magnetic elements, allowing to save trajectories of halo particles for later analysis in a detailed aperture model. Such a complete aperture model has been set up for the LHC. Longitudinal and azimuthal coordinates of loss points can be identified with great precision (10 cm over the 27 km length of the LHC). Loss patterns of halo protons have been generated, with the preliminary conclusion that efficiency goals are met. Future collimation studies will identify critical loss points.

Traditional and advanced concepts for collimation set-up have been shortly presented. The "natural" commissioning of the collimation system from one-stage cleaning to limited two-stage cleaning and finally to full two-stage cleaning has been outlined. Future simulation studies will aim at describing the set-up and optimization of LHC collimators in detail. This includes more detailed estimates on efficiency and allowable intensities, effects of imperfections, identification of critical observation points, studies of advanced and efficient procedures and other studies.

### REFERENCES